

ADDENDUM TO “MAGNETIC OSCILLATIONS IN A MODEL OF GRAPHENE”

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In the proof of [BZ19, Proposition 5.1] we misquoted [HR84] when claiming that $F_1 \equiv 0$. Results of [HR84] do however produce this conclusion and to see it we will use an elegant presentation of higher order Bohr–Sommerfeld rules from [CdV05]. The more recent paper [ILR18] can also be consulted for a different approach and for references on this old subject. The statement that $F_1 \equiv 0$ is sometimes interpreted in the physics literature as the cancellation of the Maslov index by the Berry phase – see for instance [CU08]. Here we will confine ourselves to Bohr–Sommerfeld analysis as we will also discuss the next term.

We consider (compared to [BZ19] we remove $\frac{1}{3}$ as it does not change the calculations)

$$P := \lambda_+^w \lambda_-^w, \quad \lambda_{\pm}(x, \xi) := 1 + e^{\pm ix} + e^{\pm i\xi}, \quad (\lambda_{\pm}^w)^* = \lambda_{\mp}^w, \quad \lambda_{\pm}^w = \lambda_{\pm}^w(x, hD),$$

and work microlocally near

$$(x_0, \xi_0) = \left(\frac{2\pi}{3}, -\frac{2\pi}{3}\right), \quad \lambda_{\pm}(x_0, \xi_0) = 0, \quad \{\lambda_+, \lambda_-\}(x_0, \xi_0) = \sqrt{3}i.$$

We start by computing the full symbol of P :

$$P = P^w(x, hD, h), \quad P(x, \xi, h) = p(x, \xi) + hp_1(x, \xi) + h^2p_2(x, \xi) + \dots \quad (1)$$

From the product formula [Zw, (4.3.10),(4.4.18)] we immediately have

$$P = \lambda_+^w \lambda_-^w = (\lambda_+ \lambda_-)^w + \frac{h}{2i} \{\lambda_+, \lambda_-\}^w + \mathcal{O}(h^2) \quad (2)$$

To see an exact formula we recall (see for instance [Zw, Theorem 4.7]) that $(e^{i(ax+b\xi)})^w = e^{i(ax+bhD)}$ (where the right hand side is defined as an exponential of an anti-self-adjoint operator) and that $e^{i(ax+bhD)}e^{i(cx+dhD)} = e^{\frac{i}{2}h(cb-ad)}e^{i(a+c)x+(d+b)hD}$. Hence,

$$\begin{aligned} \lambda_+^w \lambda_-^w &= 3 + e^{ix} + e^{-ix} + e^{ihD} + e^{-ihD} + e^{ix}e^{-ihD} + e^{ihD}e^{-ix} \\ &= 3 + 2 \cos x + 2(\cos \xi)^w + e^{\frac{i}{2}h} (e^{i(x-\xi)})^w + e^{-\frac{i}{2}h} (e^{-i(x-\xi)})^w \\ &= 3 + 2 \cos x + 2(\cos \xi)^w + 2 \cos(h/2)(\cos(x-\xi))^w - 2 \sin(h/2)(\sin(x-\xi))^w. \end{aligned}$$

Returning to (1) we see that for $j \geq 0$,

$$p_{2j+1}(x, \xi) = \frac{(-1)^{j+1}}{(2j+1)!4^j} \sin(x-\xi), \quad p_{2j+2}(x, \xi) = \frac{1}{2} \frac{(-1)^{j+1}}{(2j+2)!4^j} \cos(x-\xi).$$

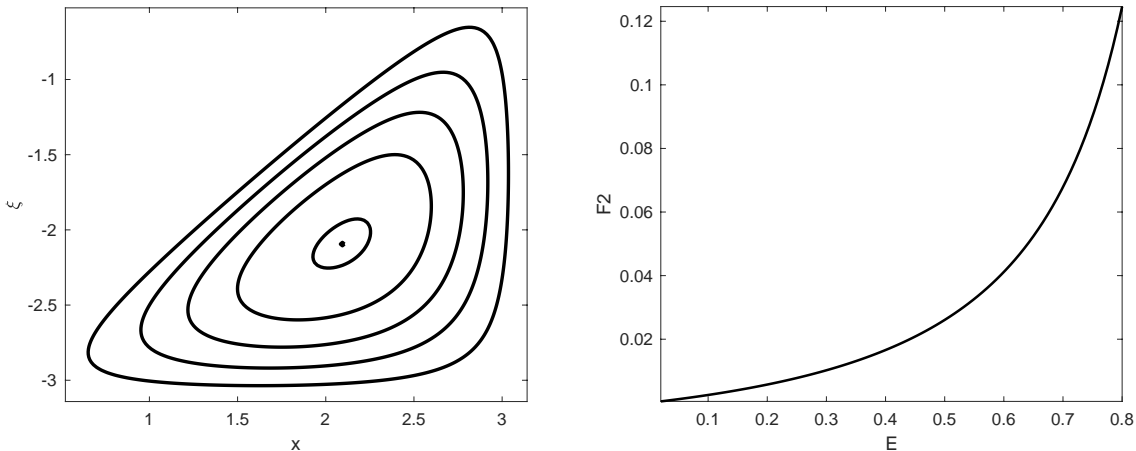


FIGURE 1. On the left: level sets $p(x, \xi) = E$ for $0.02 \leq E \leq 0.8$ with the point (x_0, ξ_0) , $p(x_0, \xi_0) = 0$, indicated. On the right: the plot of $F_2(E)$, $0.02 \leq E \leq 0.8$.

As explained in the proof of [BZ19, Proposition 5.1] the quasimodes microlocalized to a neighbourhood of $(x_0, \xi_0) = (2\pi/3, -2\pi/3)$ have energies (approximate eigenvalues) given by the Bohr–Sommerfeld rule $F(\lambda_n(h), h) = nh$, $n = 0, 1, \dots$, $F(\omega, h) \sim F_0(\omega) + hF_1(\omega) + h^2F_2(\omega) \dots$. General arguments there also show that $\lambda_0(h) = \mathcal{O}(h^\infty)$ from which we obtain that $F_j(0) = 0$ for all j .

We now analyse F_1 following [CdV05],[ILR18]. In the notation of those papers $F_1(E) = S_1(E)/2\pi$. The Bohr–Sommerfeld rules discussed there apply only to excited states, that is to $E > E_0 > 0$, for any fixed E_0 , but the the formulas apply in our setting. The validity of the Bohr–Sommerfeld rules near 0 energy follows from [HR84], see also [Sj89, §8, Case II, p.292].

Let γ_E be the component of $p^{-1}(E)$ enclosing (x_0, ξ_0) (see the figure). We denote by t the conjugate variable to the energy E so that $\kappa^{-1} : (x, \xi) \mapsto (t, E)$, $0 \leq t < T(E)$ (where $T(E)$ is the period of γ_E) is a local symplectomorphism near points on γ_E , $E > 0$. For any function $f = f(x, \xi)$ we then have

$$\begin{aligned} \frac{\partial}{\partial E} \int \int_{p(x, \xi) \leq E} f(x, \xi) |dx d\xi| &= \frac{\partial}{\partial E} \int_0^E \int_0^{T(\omega)} \kappa^* f(t, \omega) |dt d\omega| \\ &= \int_0^{T(E)} \kappa^* f(t, E) |dt| = \int_{\gamma_E} f |dt|. \end{aligned}$$

We then quote [CdV05] to obtain

$$S_1(E) = \pi - \int_{\gamma_E} p_1 |dt| = \pi - \frac{\partial}{\partial E} \int_{p(x, \xi) \leq E} p_1(x, \xi) |dx d\xi|. \quad (3)$$

The right hand side is the same as in [HS90a, (6.2.14)] where it is derived from [HR84, Corollary 3.7]. To compute it we use (2) noting that

$$p = |\lambda_+|^2, \quad p_1 = \frac{1}{2i} \{\lambda_+, \lambda_-\} = -\{\operatorname{Re} \lambda_+, \operatorname{Im} \lambda_+\}, \quad \{\operatorname{Re} \lambda_+, \operatorname{Im} \lambda_+\}(x_0, \xi_0) = -\sqrt{3}. \quad (4)$$

If we put $y = \operatorname{Re} \lambda_+(x, \xi)$, $\eta = \operatorname{Im} \lambda_+(x, \xi)$ then

$$\left| \frac{\partial(y, \eta)}{\partial(x, \xi)} \right| = |\{\operatorname{Re} \lambda_+, \operatorname{Im} \lambda_+\}| = -\{\operatorname{Re} \lambda_+, \operatorname{Im} \lambda_+\},$$

and we obtain

$$\begin{aligned} \frac{\partial}{\partial E} \int_{p(x, \xi) \leq E} p_1(x, \xi) |dx d\xi| &= -\frac{\partial}{\partial E} \int_{|\lambda_+|^2 \leq E} \{\operatorname{Re} \lambda_+, \operatorname{Im} \lambda_-\} |dx d\xi| \\ &= \frac{\partial}{\partial E} \int_{y^2 + \eta^2 \leq E} |dy d\eta| = \pi. \end{aligned}$$

Returning to (3) we see that $S_1(E) \equiv 0$ and hence the same is true for F_1 .

We conclude with comments about $F_2(E) = S_2(E)/2\pi$. Following [CdV05, Theorem 2] we have

$$S_2(E) = \frac{\partial}{\partial E} \left(\int_{\gamma_E} \left(-\frac{1}{24} \Delta + \frac{1}{2} p_1^2 \right) |dt| \right) - \int_{\gamma_E} p_2 |dt|, \quad \Delta := \det \begin{bmatrix} p_{x\xi} & p_{xx} \\ -p_{\xi\xi} & -p_{x\xi} \end{bmatrix}.$$

This function satisfies $S_2(0) = 0$ but it does not seem to have a simple form. The figure shows its numerical evaluation (one can check, as is indicated by numerics, that, near 0, $S_2(E) \simeq c_0 E$, $c_0 \simeq 0.134$).

Acknowledgements. We gratefully acknowledge support by the UK Engineering and Physical Sciences Research Council (EPSRC) grant EP/L016516/1 for the University of Cambridge Centre for Doctoral Training, the Cambridge Centre for Analysis (SB) and by the National Science Foundation under the grant DMS-1500852 (MZ).

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