

Recall Aldous's conjecture from Joao's talk.

Setup: $G([n], \binom{[n]}{2})$ a graph weighted by $c_{xy} \in \mathbb{R}_{\geq 0}$. (Let $c_{xx} = 0$ always.)

- L^{RW} the unnormalized Laplacian of G ,
 λ_1^{RW} is its least nontrivial eigenvalue.
- L^{IP} is the unnormalized Laplacian for the Cayley graph on S_n ,
where we identify $V = [n]$, and the generators are
transpositions of the form $\{(x, y) : xy \in V\}$.

λ_1^{IP} is its least nontrivial eigenvalue.

Conjecture (Aldous): $\lambda_1^{RW} = \lambda_1^{IP}$.

Proved by Caputo, Liggett, & Richthammer.

Note: there was a question last week about laziness when recognizing RW as a special case of IP.

CLR use a specific notion of "subprocess":

$$L_1(f \circ \pi) = (L_2 f) \circ \pi$$

$$f: S_2 \rightarrow \mathbb{R}, \quad \pi: S_1 \rightarrow S_2 \\ \sigma \mapsto \sigma(1).$$

$$L_1 = L^{IP}, \quad L_1 g(\sigma) = \sum_{xy} c_{xy} (g(\sigma) - g(\sigma^{xy}))$$

$$L_2 = L^{RW}, \quad L_2 f(x) = \sum_y c_{xy} (f(x) - f(y)).$$

$$\text{Check the condition: } (L_2 f) \circ \pi(\sigma) = L_2 f(\sigma(1)) = \sum_y c_{\sigma(1)y} (f(\sigma(1)) - f(y))$$

$$L_1(f \circ \pi)(\sigma) = \sum_{xy} c_{xy} (f(\pi(\sigma)) - f(\pi(\sigma^{xy})))$$

$$= \sum_{xy} c_{xy} \underbrace{(f(\sigma(1)) - f(\sigma^{xy}(1)))}_{0 \text{ if } 1 \notin \{\sigma^{-1}(x), \sigma^{-1}(y)\}}$$

$$= \sum_y c_{\sigma(1)y} (f(\sigma(1)) - f(y))$$

In my view, this functions to account for IP's much larger state space. ($n!$ vs n .)

CLR's key technical tool is the octopus lemma:

$$\text{for } c_{yz}^{*,x} = \frac{c_{xy} c_{yz}}{\sum_w c_{xw}} \quad \text{and} \quad \nabla_{xy} : \mathbb{R}^{S_n} \rightarrow \mathbb{R}^{S_n}$$

$$f \mapsto (y \mapsto f(y^{xy}) - f(y)),$$

$$\text{and } \mu = \bigcup S_n, \quad \text{for any } x \in V,$$

$$\sum_{y \in V} c_{xy} \mathbb{E}_\mu [(\nabla_{xy} f)^2] \geq \sum_{yz \in E} c_{yz}^{*,x} \mathbb{E}_\mu [(\nabla_{yz} f)^2].$$

(remember: if $x \in \{y, z\}$ then $c_{yz}^{*,x} = 0$)

Some example graphs .

First, some notation: let $M_n^{(x,y)}$ be a matching on S^n connecting $\sigma \in S_n$ to $(x,y)\sigma$ and let its Laplacian be $L_n^{(x,y)}$.

Let $x=n$ always.

- $G = K_n$. We compute $c_{yz}^{*,x} = 1/(n-1)$. So octopus tells us

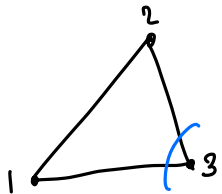
$$\sum_{y < n} L_n^{(y,n)} \succeq \frac{1}{n-1} \sum_{y < z < n} L_n^{(y,z)} \quad (\text{as operators})$$

- $G = C_n$. Now $c_{yz}^{*,x} = 1/2$, so

$$L_n^{(n-1,n)} + L_n^{(1,n)} \succeq \frac{1}{2} L_n^{(n-1,1)}.$$

- $G = \text{Pyr}_n$ (pyramid)  Again $c_{yz}^{*,x} = 1/(n-1)$

$$\sum_{y < n} L_n^{(y,n)} \succeq \frac{1}{n-1} \sum_{y < z < n} L_n^{(y,z)}$$

- $G = K_3$.  $c_{12}^{*,3} = 1/2$

$$L_3^{(1,3)} + L_3^{(2,3)} \succeq \frac{1}{2} L_3^{(1,2)}.$$

And this is tight! $\dim \ker (L_3^{(1,3)} + L_3^{(2,3)} - \frac{1}{2} L_3^{(1,2)}) = 3$.

Though the structure of this kernel is somewhat opaque to me.

How important is it that μ be uniform?

At least a little bit. Consider the situation of a point mass @ the identity:

$$\mu = \delta_e, \quad x = n, \quad G = K_n$$

$$\text{LHS} = \sum_{y < n} (f(y, n) - f(e))^2 \stackrel{?}{\geq} \sum_{y < z < n} \frac{1}{n-1} (f(y, z) - f(e))^2$$

$$\text{If } f(e) = f(y, n) = 0 \quad \forall y < n, \\ f(y, z) = 1 \quad \forall y < z < n.$$

$$\text{Then LHS} = 0, \quad \text{RHS} = \binom{n-1}{2} \cdot \frac{1}{n-1} > 0$$

Say $f(\eta)$ only tracks $\eta(1)$. i.e., $f(\eta) = g(\eta(1))$.

$$\text{Then, } \nabla_{xy} f(\eta) = \begin{cases} 0, & \eta(1) \notin \{x, y\} \\ f(y) - f(\eta(1)), & x = \eta(1) \end{cases}, \text{ so}$$

$$E_{\mu}[(\nabla_{xy} f(\eta))^2] = \frac{2}{n} (g(x) - g(y))^2.$$

This setting is actually a consequence of:

$$\sum_{y < n} c_{yn} (g(y) - g(n))^2 = \sum_{yz \in E} c_{yz}^{*,n} (g(y) - g(z))^2 + \frac{1}{\sum_y c_{yn}} (Lg(n))^2$$

Proof: $Lg(n) = \sum_y c_{yn} (g(n) - g(y))$

$$\text{so } (Lg(n))^2 = \sum_y \sum_z c_{yn} c_{zn} (g(n) - g(y))(g(n) - g(z))$$

$$\begin{aligned} \text{so } \frac{1}{\sum_y c_{yn}} (Lg(n))^2 &= \sum_y \sum_z c_{yz}^{*,n} (g(n) - g(y))(g(n) - g(z)) \\ &= 2 \sum_{y < z} c_{yz}^{*,n} (g(n) - g(y))(g(n) - g(z)) \end{aligned}$$

$$\text{Meanwhile, } \sum_{yz \in E} c_{yz}^{*,n} (g(y) - g(z))^2 = \sum_{y < z} c_{yz}^{*,n} (g(y) - g(z))^2$$

$$\text{So the RHS is } \sum_{yz \in E} c_{yz}^{*,n} ((g(n) - g(y))^2 + (g(n) - g(z))^2)$$

Each y shows up n times, so

$$= \sum_y (g(n) - g(y))^2 \underbrace{\sum_z c_{yz}^{*,n}}_{c_{yn}} \quad \checkmark$$

To get the case $f(\eta) = g(\eta(1))$, just drop the last term.

So, there, octopus is tight when $Lg(n) = 0$, i.e. g is harmonic @ x (and not nec. anywhere else).

Sketch of a proof (using representation theory)

Consider the group $G = S_n$. We will work w/ its group algebra $\mathbb{C}S_n$.

For a rep ρ of G , we define its action on $\mathbb{C}S_n$ by linearizing its action on V . i.e., $\rho: G \rightarrow \text{End } V$ gives $\rho: \mathbb{C}G \rightarrow \text{End } V$

Important reps.:

- $\mathbb{1} \in \mathbb{C}$, $\mathbb{1}(g) = 1 \ \forall g \in G$ "trivial" $\dim = 1$
- $\mathbb{L} \in \mathbb{C}G$, $\mathbb{L}(g)(h) = gh$ "left-regular" $\dim = n!$
- $\mathbb{D}_n \in \mathbb{C}^n$, $\mathbb{D}_n(\sigma)(x_i)_{i=1}^n = (x_{\sigma(i)})_{i=1}^n$ "defining" $\dim = n$.

Each group term $w \in \mathbb{C}G$ & rep. $\rho \in V$ gives a "Laplacian" operator

$$\Delta_G(w, \rho) = \mathbb{1}(w) - \rho(w) \in \text{End } V.$$

Let $T_n \subset S_n$ be the set of transpositions. If $\text{supp } w \subset S_n$, and

$$w = \sum_{(x,y) \in T_n} w_{xy} (x,y) \quad \text{where } w_{xy} \geq 0, \text{ then}$$

$$\Delta_{S_n}(w, \mathbb{D}_n) = L^{RW} \quad \text{and} \quad \Delta_{S_n}(w, \mathbb{L}) = L^{IP}!$$

So to compare for Aldous's conj, suffices to compare these group/rep. Laplacians.

Neat facts: • if $\rho \cong \rho_1 \oplus \rho_2$ then $\Delta_G(w, \rho) \cong \Delta_G(w, \rho_1) \oplus \Delta_G(w, \rho_2)$

So, if we say $\Psi_G(w, \rho) = \inf(\text{spec } \Delta_G(w, \rho) \cap \mathbb{R}_{>0})$,
then $\Psi_G(w, \rho) = \min \{ \Psi_G(w, \rho_1), \Psi_G(w, \rho_2) \}$.

• let $\text{irr } G$ be the collection of irreps. Then, $\mathbb{L} \cong \bigoplus_{\rho \in \text{irr } G} \rho^{\oplus \dim \rho}$.

$$\text{Thus, } \lambda_1^{IP} = \min_{\substack{\rho \in \text{irr } G \\ \rho \neq \mathbb{1}}} \Psi_{S_n}(w, \rho)$$

and the content of the end result will be that this result is achieved by

$$\mathbb{D}_n \setminus \mathbb{1}!$$

↑ not standard notation

(as in, $D_n = \mathbb{1} \oplus \mathcal{U}_n$, and we claim that $\mathcal{U}_n = \operatorname{argmin}_{\substack{p \in \operatorname{irr} G \\ p \neq \mathbb{1}}} \Psi_{S_n}(w, p)$)

One direction is very easily handled (in CLR), so we just want to show that $\Psi_{S_n}(w, \mathcal{U}_n) \leq \min_{\substack{p \in \operatorname{irr} G \\ p \neq \mathbb{1}}} \Psi_{S_n}(w, p)$. $\star$

João's talk already discussed using the octopus lemma as an input to prove the conjecture, so I will focus on restating & proving the lemma.

Cesi reduces $\star$ to showing this octopus lemma:

$$\Delta_{S_n}(w - \Theta(w), p) \geq 0 \quad \forall p \in \operatorname{irr} S_n \text{ where}$$

$$w = \sum_{(xy) \in T_n} w_{xy} (xy) \in \mathbb{C} T_n, \quad w_{xy} \geq 0, \text{ and } \Theta(w) = \sum_{(xy) \in T_{n-1}} \left(w_{xy} + \frac{w_{xn} w_{yn}}{\sum_{i < n} w_{in}} \right) (xy).$$

We can readily check that the original octopus lemma amounts to

$$\Delta_{S_n} \left(\sum_{(yn) \in T_n} w_{yn} (yn), \mathbb{1} \right) \geq \Delta_{S_n} \left(\sum_{(xy) \in T_{n-1}} \frac{w_{xn} w_{yn}}{\sum_{i < n} w_{in}} (xy), \mathbb{1} \right) \xrightarrow{\downarrow} \mathbb{1}(w)$$

$$\text{or: } \Delta_{S_n}(w - \Theta(w), \mathbb{1}) \geq 0$$

$\downarrow$
or, any $\mathbb{1} \neq p \in \operatorname{irr} S_n$.

$$\text{Let } \Gamma(G) = \{ w \in \mathbb{C} G^{\text{sa.}} : \Delta_G(w, \mathbb{1}) \geq 0 \} \text{ where } w^* = \sum_{g \in G} \overline{w_g} g^{-1} \text{ and } \mathbb{C} G^{\text{sa.}} = \{ w \in \mathbb{C} G : w = w^* \}.$$

Then $\Gamma(G)$ is a real cone. We will use this momentarily.

First, we argue that if $w^2 \in \Gamma(G)$ then $w \in \Gamma(G)$. Clearly $w \in \Gamma(G)$

iff $\mathbb{1}(w) \geq \|p(w)\| \quad \forall p \in \operatorname{irr} G$. Also, since p is a

homomorphism, $p(w^2) = p(w)^2$, so if $w^2 \in \Gamma(G)$ then

$$\begin{aligned} \mathbb{1}(w^2) &\geq \|p(w^2)\| \\ \parallel &\parallel \\ \mathbb{1}(w)^2 &\quad \|p(w)^2\| = \|p(w)\|^2 \end{aligned}$$

and thus $\|p(w)\| \leq \mathbb{1}(w)$, as desired.

For convenience, let $x_i = w_{in}$

$$\begin{aligned} \text{Call } \hat{w} &= \sum_i x_i \cdot (w - \theta(w)) = \sum_i x_i \cdot \sum_j x_j (i \ n) - \frac{1}{2} \sum_{i \neq j} x_i x_j (i \ j) . \\ &= \sum_i x_i^2 (i \ n) + \sum_{i < j} x_i x_j ((i \ n) + (j \ n) - (i \ j)) . \end{aligned}$$

Since $\text{supp } \hat{w} \subseteq T_n$, $\hat{w}^2 = \sum_{\mu} \alpha_{\mu}^{(n)} \bar{x}^{\mu}$ where $\alpha_{\mu}^{(n)} \in \mathbb{C} S_n$ and $\mu \in \mathbb{N}^{n-1}$, $\|\mu\|_1 = 4$.
i.e., break $\hat{w}$ into its x -monomials.

$$\text{if } \alpha_{\mu}^{(n)} \in \Gamma(G) \ \forall \mu \Rightarrow \hat{w}^2 \in \Gamma(G) \text{ since } \bar{x}^{\mu} \geq 0$$

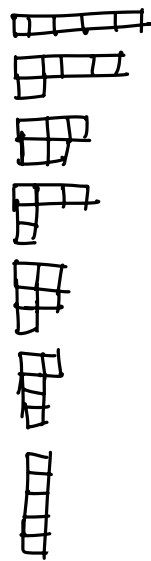
$$\Rightarrow \hat{w} \in \Gamma(G) \text{ and the octopus is proved.}$$

The remainder comes down to a finite calculation for each $\alpha_{\mu}^{(n)}$:

each permutation that arises is, up to similarity,

in $\mathbb{C} S_5$. Thus it suffices to calculate w/ S_5 ,

which has only



these irreps.

This omitted calculation finishes the proof of the octopus lemma.

One last comment on the octopus lemma to highlight its use in Cesi:

his induction takes the form $\forall w \in \mathbb{C} T_n^{(+)}$,

$$\Psi_{S_n}(w, D_n) \leq \Psi_{S_{n-1}}(\theta(w), D_{n-1}) \quad \text{⊛}$$

which I think is especially clean, also terminating

at an accessible point $n=2$.

Indeed, θ is chosen to satisfy general conditions to make the induction work (where for each n there is to be a set $A_n \subseteq CG$ with $\theta: A_n \rightarrow A_{n-1}$ and obeying $\star$)

though it appears that θ arose in CLR from taking the Schur complement; I'm not sure how θ might arise purely rep-theoretically.