

last time, proved the following:

thm 6.1: fix self-adjoint non-commutative polynomial  $P$  of deg  $q_0$  and let  $K = \|P\|_{C^*(F_d)}$ . then

$\exists$  a linear functional  $\nu_i$  on  $\mathcal{P}$   $\forall i \in \mathbb{Z}_+$  s.t.  $\forall N, m, q \in \mathbb{N}$  and  $h \in \mathcal{P}_q$ :

$$|\mathbb{E}[\text{tr}_N h(P(S^N, S^{N*}))] - \sum_{i=0}^{m-1} \frac{\nu_i(h)}{N^i}| \leq \frac{(4q_0(1+\log d))^{2m}}{N^m} \|h\|_{C^*[-K, K]}$$

\*we only proved this for sufficiently large  $N$  (based on  $q$ ), but with a few algebraic tricks, easily extended to all  $N$

our eventual goal: show  $\mathbb{E} \text{tr}_N \chi(X_N) = o(1/N)$

three steps to do this:

① extend linear functionals  $\nu_i$  from  $\mathcal{P}$  to  $C^\infty$

② show extended linear functions can still be used to approximate  $\mathbb{E} \text{tr}_N h(X_N)$  for  $h \in C^\infty$

③ evaluate expression for  $\mathbb{E} \text{tr}_N \chi(X_N)$  \*reduces to evaluating  $\sup \nu_i$  (for  $m=2$ ) - David will cover this

↳ for  $m=2$ :  $|\mathbb{E}[\text{tr}_N \chi(X_N)] - \mathbb{I}(\chi(X_N)) - \frac{1}{N} \nu_1(\chi)| \leq O(\frac{1}{N^2})$

thm 7.1:  $P, q_0, K$  same as in thm 6.1. then  $\exists$  compactly supported distributions  $\nu_i \forall i \in \mathbb{Z}_+$  s.t:

$$|\mathbb{E}[\text{tr}_N(h(P(S^N, S^{N*})))] - \sum_{i=0}^{m-1} \frac{\nu_i(h)}{N^i}| \leq \frac{(4q_0(1+\log d))^{2m}}{N^m} \beta_m \|f^{(m)}\|_{L^p[0, 2\pi]} \quad * \neq \text{hiding constant coming from analytic lemma}$$

$\forall N, m \in \mathbb{N}, \beta > 1, h \in C^\infty(\mathbb{R})$  where  $f(\theta) := h(K \cos \theta)$  and  $1/\beta_m = 1 - 1/\beta$

pf: idea: extend  $\nu_i$  to  $h \in C^\infty$

• use thm 6.1 to show above for  $h \in \mathcal{P}$  \*main hurdle

• extend to all  $h \in C^\infty$  by density

what does "compactly supported distribution" mean?

def: linear functional  $\nu$  on  $C^\infty(\mathbb{R})$  is a compactly supported distribution if  $\exists c, K > 0$  and  $m \in \mathbb{Z}_+$  s.t:

$$|\nu(h)| \leq c \|h\|_{C^m[-K, K]} \quad \forall h \in C^\infty(\mathbb{R})$$

why does this matter to us? it will be helpful for:

• understanding  $\sup \nu$

• extending  $\nu_i$

we aren't going to go too heavily into the details on extending  $\nu_i$  except to say:

• using our work last time, we can show  $\nu_i$  is compactly supported on  $[-K, K]$  for  $h \in \mathcal{P}$

• we can think of  $\nu_i$  compactly supported on  $[-K, K]$  as  $\nu_i$  "cares" about function's behavior on  $[-K, K]$  \*if  $f = g$  on  $[-K, K]$  then  $\nu(f) = \nu(g)$

• since  $\mathcal{P}$  are dense on  $C^\infty([-K, K])$ , there is a natural extension of  $\nu_i$  to  $C^\infty$ , and we should expect  $\nu_i$  to only "care" about  $[-K, K]$

\*details in lemma 4.7

now we turn to showing claimed error bound in thm 7.1.

from thm 6.1, for  $h \in \mathcal{P}_q$  we have:

$$|\mathbb{E}[\text{tr}_N h(P(S^N, S^{N*}))] - \sum_{i=0}^{m-1} \frac{\nu_i(h)}{N^i}| \leq \frac{(4q_0(1+\log d))^{2m}}{N^m} \|h\|_{C^*[-K, K]}$$

this bound is  $q$  dependent though - our first step is to try to remove  $q$  dependence (and hopefully show desired bound for  $h \in \mathcal{P}$ ).

we still want to use thm 6.1, but instead of applying it directly to  $h$ , we'll apply it to Chebyshev polynomials + relate  $h$  to Chebyshev polynomials

we are only concerned with the behavior of  $h$  on  $[-K, K]$ . we can express  $h$  as:

$$h(x) = \sum_{j=0}^q a_j T_j(K^{-1}x) \quad \text{since } \{T_0(K^{-1}\cdot), \dots, T_q(K^{-1}\cdot)\} \text{ form a basis for } \mathcal{P}^q[-K, K]$$

now we might try to apply thm 6.1 to just  $T_j(K^{-1}\cdot)$ . by  $\Delta$  inequality, we have:

$$|\mathbb{E}[\text{tr}_N h(P(S^N, S^{N*}))] - \sum_{i=0}^{m-1} \frac{\nu_i(h)}{N^i}| \leq \sum_{j=0}^q \frac{(4q_0(1+\log d))^{2m}}{N^m} \|T_j\|_{C^*[-K, K]} = \frac{(4q_0(1+\log d))^{2m}}{N^m} \sum_{j=1}^q j^{2m} |a_j| \quad * \text{dropped } j=0 \checkmark$$

how can we understand this sum?

since  $T_j(\cos \theta) = \cos(j\theta)$ , we have:

$$h(K \cos \theta) = \sum_{j=0}^q a_j T_j(\cos \theta) = \sum_{j=0}^q a_j \cos(j\theta) \quad * \text{a Fourier series!}$$

the following gives us a way to understand these  $\{a_j\}$ :

lemma 4.4:  $h \in \mathcal{P}_q$ ,  $f(\theta) := h(K \cos \theta)$  then:

$$|a_0| \leq \|h\|_{C^0[-K, K]} \quad \text{and} \quad \sum_{j=1}^m j^m |a_j| \leq \beta_* \|f^{(m+1)}\|_{L^p[0, 2\pi]}$$
$$\forall m \in \mathbb{Z}^+ \quad \text{and} \quad \beta > 1, \beta_* \text{ s.t. } 1/\beta_* = 1 - 1/\beta$$

\* classical Fourier analytic result - couldn't find pf, but uses some sort of Hölder

applying this for  $h \in \mathcal{P}$  we have:  $|\mathbb{E}[\text{tr}_N(h(P(S^i), S^{i*}))] - \sum_{i=0}^{m-1} \frac{r_i(h)}{N^i}| \leq \frac{(4q_0(1 + \log d))^{4m}}{N^{2m}} \beta_* \|f^{(m+1)}\|_{L^p[0, 2\pi]}$   
then by density of  $\mathcal{P}$  in  $C^\infty([-K, K])$ , we're done.