

Math 141 Homework 6. Due 10/22  
Transversality and homotopy

**Reminder: if you are interested in having your solutions used for the answer key, please email me your TeX file with any style files you use.**

“GP $n$ ” denotes Guillemin-Pollack, chapter 1, section  $n$ .

1. GP5, 1 and 2 (careful proof not needed for 2, drawings encouraged.)
2. GP6, 1 and 2. You may use the function  $\rho$  in the hint for problem 1 as given (in fact, you’ve constructed a function of this sort in a previous homework).

No need to read all of chapter 6 for these problems: it is sufficient to know the following definition.

**Definition.** Two smooth maps  $f, g : X \rightarrow Y$  are said to be *homotopic* if for the interval  $I = [0, 1]$  there exists a smooth map  $h : X \times I \rightarrow Y$  such that  $h(p, 0) = f(p)$  and  $h(p, 1) = g(p)$  for  $p \in X$ . In other words,  $f$  and  $g$  are homotopic if there is a family of smooth functions  $h_t : X \rightarrow Y$  parametrized by  $t \in [0, 1]$  which interpolates between  $h_0 = f$  and  $h_1 = g$ , in such a way that for an  $p \in X$ , the values  $h_t(p)$  depend smoothly on  $t$ .

**3, extra credit.** (a) Let  $H_+ \subset \mathbb{R}^2$  be the open upper half-plane, given by  $H_+ = \{(x, y) \mid y > 0\}$  and  $H_- \subset \mathbb{R}^2$  the lower half-plane given by  $H_- = \{(x, y) \mid y < 0\}$ . Let  $L = \{(x, 0), x \in \mathbb{R}\}$  be the  $x$ -axis. Let  $I = [0, 1]$  and  $f : I \rightarrow \mathbb{R}^2$  is a smooth embedding which maps the endpoints to  $\mathbb{R} \setminus L$  (so either to  $H_-$  or to  $H_+$ ) and is transversal to  $L$  (by this I mean that the restriction  $f|_{\overset{\circ}{I}}$  to the interior,  $\overset{\circ}{I} = (0, 1) \subset I$  is transversal, as  $I$  is not a manifold while  $\overset{\circ}{I}$  is; since the endpoints aren’t allowed to map to  $L$ , this is a technicality).

(a) Show that the intersection  $f(I) \cap L$  has finitely many points. (Hint: a compact zero-dimensional manifold is a finite set of points.)

(b) Assume  $f(I)$  intersects with  $L$  at finitely many points. Show that the number of intersections of  $f(I)$  with  $L$  is even if  $f(0), f(1)$  are both in the same half-plane and odd if they are in different half-planes. (Hint: show that intersection points represent places where  $f(t)$  transitions from  $H_+$  to  $H_-$  or vice versa.)

(c) Show that if  $g : S^1 \rightarrow \mathbb{R}^2$  is an embedding transversal to  $L$  then it has a finite and even number of intersection points with  $L$  (hint: split the circle into

two line segments).

**(d)** Give a counterexample to (c) when  $g$  is not assumed to be an embedding (but is still assumed to be transversal to  $L$ ) — a picture will suffice. However, give “corrected” statements for (b), (c) that hold when  $f, g$  are not assumed to be embeddings (no need to prove these: the proof will be almost exactly the same).

**4.** (Not for credit). How hard did you find this homework set? How much time, approximately, did it take you to do the problems?