

MATH 141 HOMEWORK 2

1. GP 1.6. $f(x) = x^3$ is smooth, as a polynomial, but f^{-1} is not smooth. To see this, note that $f(y) = \sqrt[3]{y}$, but $(f)'(y) = \frac{1}{3}x^{-\frac{2}{3}}$, so $(f)'(0)$ is not defined, meaning that f is not differentiable at 0, and hence not smooth.

GP 1.7. Let X denote this potential manifold. For X to be a manifold, it must be an n -manifold for some fixed n . We see immediately that $n = 1$ by noting that some points in X have neighbourhoods homeomorphic to 1-dimensional spaces. Then there must be some open set $U \subset X$ containing 0 and an open set $V \subset \mathbb{R}$ that is diffeomorphic to U . Then U is homeomorphic to V . This cannot be true however as removing 0 from U leaves 4 connected components but removing $f(0)$ from V (where f is the homeomorphism from U to V) leaves V with 2 connected components. As homeomorphisms preserve topological properties, including connectedness and connected components, we get a contradiction. Therefore X is not a manifold.

2. GP 1.18.

- (a) For $x < 0$, f is clearly smooth and every derivative is 0 everywhere. For $x > 0$, note that both e^x and $-\frac{1}{x^2}$ are smooth (as x is never 0). Composition of smooth functions is smooth, so we see that f is smooth away from 0. It remains to investigate behaviour at 0. If $f^n(0)$ exists for some positive n , it must be equal to 0. I prove this claim inductively on n , and by taking limits from the left. If $f^{(n)}(0)$ exists, we must have:

$$f^{(n)}(0) = \lim_{x \rightarrow 0^-} \frac{f^{(n-1)}(x) - f^{(n-1)}(0)}{x} = \lim_{x \rightarrow 0^-} \frac{0}{x} = 0.$$

It remains to evaluate these corresponding limits from the right. Differentiating $e^{-x^{-2}}$ iteratively using the product and chain rule, it can be shown that for $x > 0$, $f^{(n)}(x)$ is of the form $p(x)e^{-x^{-2}}$, where $p(x)$ is a polynomial in x . We take the limit (again we inductively assume that $f^{(n-1)}(0) = 0$)

$$\lim_{x \rightarrow 0^+} \frac{f^{(n-1)}(x) - f^{(n-1)}(0)}{x} = \frac{p(x)e^{-x^{-2}}}{x} = q(x)e^{-x^{-2}}.$$

We now investigate the following limit by the change of variables $x \mapsto z$

$$\lim_{x \rightarrow 0^+} x^{-k} e^{-x^{-2}} = \lim_{z \rightarrow \infty} z^k e^{-z^2} = \lim_{z \rightarrow \infty} \frac{z^k}{e^{z^2}} = \lim_{z \rightarrow \infty} \frac{kz^{k-1}}{-2ze^{z^2}} = 0,$$

where we have used L'Hospital's rule, and performed an induction on k . This shows that every term in $q(x)e^{-x^{-2}}$ goes to 0 as $x \rightarrow 0^+$, completing the proof of smoothness of f at 0.

- (b) There is a typo in GP: instead, define $g(x) = f(x - a)f(b - x)$. Then g is the product of two smooth functions and hence smooth, $g(x) = 0$ if $x \notin (a, b)$ as one of the terms in the product will be 0 as either $x - a \leq 0$ or $b - x \geq 0$, and g will be positive on (a, b) as $x - a, b - x > 0$ and f is positive on $\mathbb{R}_{>0}$.

Note that g is continuous and thus integrable on (a, b) and 0 everywhere else so integrable on \mathbb{R} . $\int_{-\infty}^x g(t)dt$ has first derivative $g(x)$ at x by the Fundamental Theorem of Calculus and by the smoothness of g . Then every higher order derivative of the integral function must also exist as they are simply the derivatives of g . Finally h must also be smooth as the denominator is a constant.

If $x < a$, $\int_{-\infty}^x g(t)dt = \int_{-\infty}^x 0dt = 0 \implies h(x) = 0$ for $x < a$. Note that

$$\int_{-\infty}^{\infty} g(t)dt = \int_{-\infty}^a g(t)dt + \int_a^b g(t)dt + \int_b^{\infty} g(t)dt = 0 + \int_a^b g(t)dt + 0,$$

and if $x < b$:

$$\int_{-\infty}^x g(t)dt = \int_a^b g(t)dt + \int_b^x g(t)dt = \int_a^b g(t)dt + 0,$$

showing that $h(x) = 1$.

Let $a < x < b$. Then $g(x) = d > 0$ and the continuity of $g \implies \exists \delta > 0$ st $g(z) > \frac{d}{2}$ for $z \in [x - \delta, x]$. This, along with the nonnegativity of g means that $\int_{-\infty}^x g(t)dt \geq \int_{x-\delta}^x g(t)dt > 0$. So $h(x) > 0$. A very similar argument shows that $h(x)$ is strictly less than 1 for $x < b$. (The fact that h is nondecreasing follows from the nonnegativity of g and shows the non-strict part). So $0 < h(x) < 1$ for $x \in (a, b)$.

- (c) Let f, g, h be defined as above but using a^2 and b^2 in place of a and b . Set $i(x) = h(x_1^2 + \dots + x_k^2)$. Note that $x = (x_1, \dots, x_k) \mapsto x_1^2 + \dots + x_k^2$ is smooth (as a composition of x^2 and projection functions) so i is smooth. Furthermore $|x| \leq a \implies \sum x_i^2 < a^2 \implies h(\sum x_i^2) = i(x) = 0$. Similarly, $|x| \geq b \implies i(x) = 1$, and by the properties of h , $a < |x| < b \implies 0 < i(x) < 1$. So $1 - i(x)$ is smooth, and is exactly the function we need.

3. GP 2.1. Let $x \in X \subset Y$, and suppose the manifolds are of dimension n in \mathbb{R}^m . Then there exists an open nbd of x , $V \subset \mathbb{R}^m$, an open set $U \subset \mathbb{R}^n$ and $f: U \rightarrow V$ st f is a diffeomorphism, and can be considered as a chart to both X and Y around x . We can then consider the commutative diagram on page 10 on GP, and see that the function $h: U \rightarrow U$ is the identity map. Taking derivatives, and using the chain rule we see that $df \circ di_x = df \circ dh$, and since df is invertible at all points, $di_x = dh$ which is the identity mapping.

GP 2.2 $T_x(X), T_x(U)$ are independent of the choice of open $V \subset \mathbb{R}^n, W \subset X$, where $x \in W$ and homeomorphism f between them. We can find an open subset of W contained in U , and restrict f to this subset, so we can assume $W \subset U$. Then the same map f maps W to an open nbd of x in both X and U , meaning that $T_x(X) = T_x(U) = \text{im } df_x$.

4. GP 2.6. Let $x = (a, b)$ be given and note that we can let $f : U \rightarrow S^1$ be defined by $f(\theta) = (\cos \theta, \sin \theta)$ on some small open interval U contained in $(-\pi, 2\pi)$ in such a way that f is a diffeomorphism from U to some open nbd of (a, b) in S^1 . Then $df = (-\sin \theta, \cos \theta)$, and $df_x = (-b, a)$, showing that $T_x(X)$ is the space spanned by $(-b, a)$ as required.

GP 2.7 $T_x(X)$ will be the set of all vectors (x, y, z) perpendicular to (a, b, c) which can be seen to have basis $\{(b, -a, 0), (c, 0, -a)\}$. I am taking “exhibit” to mean we don’t have to prove this but the basic idea is to define some parametrisation of S^2 using spherical coordinates, and calculate derivatives as in 2.6.

5. Fix p . Let $\varphi : U \rightarrow \mathbb{R}^N$ be a diffeomorphism from U to some nbd of p in X st $\varphi(q) = p$. We use the chain rule to show that $f \circ \varphi = 0 \implies df_p \circ \varphi_q = 0$. Therefore for any vector $v \in \text{im } \varphi_q$ we have $df_p(v) = 0$ which means exactly that $T_p(X) = \text{im } d\varphi_q \subset \ker df_p$.

Define $f : \mathbb{R}^n \rightarrow \mathbb{R}, x = (x_1, \dots, x_n) \mapsto x_1^2 + \dots + x_n^2 - 1$. Then f is smooth and $f(X) = 0$. This proves that $T_p(X) \subset \ker df_p$ for all $p \in S^{n-1}$. To show the equality, see that $df_p = 2p^t$. So $df_p(v) = 0 \iff p \cdot v = 0 \iff v \perp p$. For $p \in S^{n-1}$, we have that $T_p(S^{n-1})$ is exactly the set of all vectors v perpendicular to p , proving that $T_p(X) = \ker df_p$.