

# Least branch hod pairs

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January 2017

Preliminaries

Definition of least  
branch hod pair

Comparison of  
least branch hod  
pairs

Hod pair  
capturing and  
HOD.

**Problem:** Analyze HOD in models of determinacy.

*Conjecture 1.* Assume  $AD^+ + V = L(P(\mathbb{R}))$ ; then  $HOD \models GCH$ .

*Conjecture 2.* There is  $M \models AD^+ + V = L(P(\mathbb{R}))$  such that  $HOD^M \models$  “there is a subcompact cardinal”.

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*Conjecture 2.* There is  $M \models AD^+ + V = L(P(\mathbb{R}))$  such that  $HOD^M \models$  “there is a subcompact cardinal”.

### Definition

“No long extenders” (NLE) is the assertion: there is no countable, iterable pure extender mouse with a long extender on its sequence.

## Theorem

*Suppose that  $\kappa$  is supercompact, and there are arbitrarily large Woodin cardinals. Suppose that  $V$  is uniquely iterable above  $\kappa$ ; then*

- (1) for any  $\Gamma \subseteq \text{Hom}_\infty$  such that  $L(\Gamma, \mathbb{R}) \models \text{NLE}$ ,  $\text{HOD}^{L(\Gamma, \mathbb{R})} \models \text{GCH}$ , and*
- (2) there is a  $\Gamma \subseteq \text{Hom}_\infty$  such that  $\text{HOD}^{L(\Gamma, \mathbb{R})} \models$  “there is a subcompact cardinal”.*

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- (2) there is a  $\Gamma \subseteq \text{Hom}_\infty$  such that  $\text{HOD}^{L(\Gamma, \mathbb{R})} \models$  “there is a subcompact cardinal”.

*Moral:* Below long extenders, there is a simple general notion of *hod pair*, and a general comparison theorem for them. They have a fine structure. *Modulo the existence of iteration strategies*, they can be used to analyze HOD, and they can have subcompact cardinals.

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# A Glossary

- (a) An *extender*  $E$  over  $M$  is a system of measures on  $M$  coding an elementary  $i_E: M \rightarrow \text{Ult}(M, E)$ .  $E$  is *short* iff all its component measures concentrate on  $\text{crit}(i_E)$ .

$$\text{Ult}(M, E) = \{[a, f]_E^M \mid f \in M \text{ and } a \in [\lambda]^{<\omega}\},$$

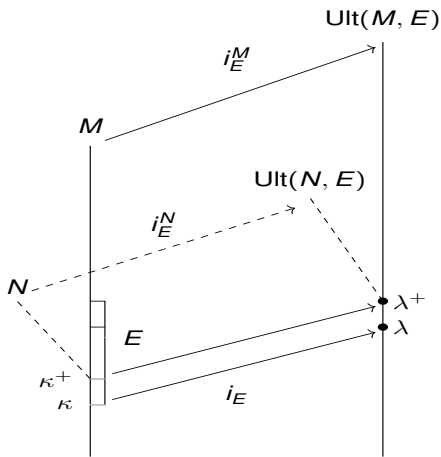
where  $\lambda = \lambda(E) = i_E(\text{crit}(E))$ .

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$M$  agrees with  $\text{Ult}(M, E)$  and  $\text{Ult}(N, E)$  to  $(\lambda^+)^{\text{Ult}(M, E)}$ .

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- (b) A *normal iteration tree on  $M$*  is an iteration tree  $\mathcal{T}$  on  $M$  in which the extenders used have increasing strengths, and are applied to the longest possible initial segment of the earliest possible model. (So along branches of  $\mathcal{T}$ , generators are not moved.)

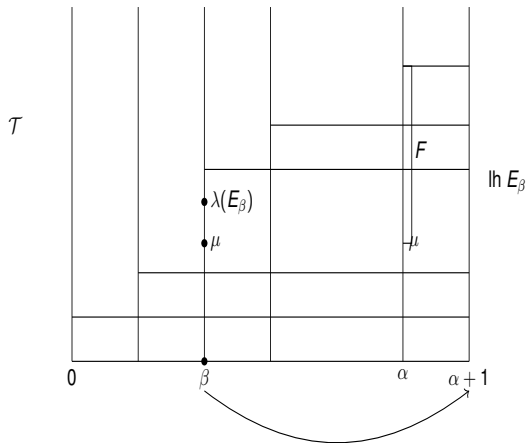
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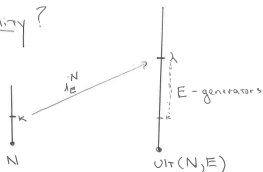
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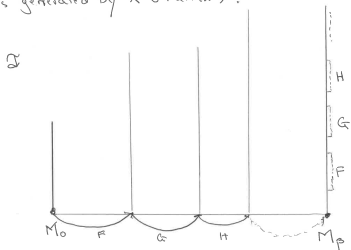
Hod pair capturing and HOD.

Why normality?



$$\text{Ult}(N, E) = \{i(f)(a) \mid f \in N \text{ and } a \in [X]^{<\omega}\}.$$

It's generated by  $\lambda \cup \text{ran}(i)$ .



The individual extenders used going from  $M_0$  to  $M_\beta$  can be recovered from  $\lambda_{\text{op}}$ .

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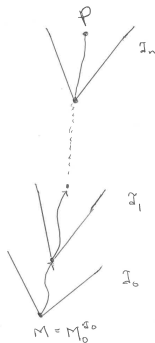
Hod pair capturing and HOD.

(c) An  $M$ -stack is a sequence  $s = \langle \mathcal{T}_0, \dots, \mathcal{T}_n \rangle$  of normal trees such that  $\mathcal{T}_0$  is on  $M$ , and  $\mathcal{T}_{i+1}$  is on the last model of  $\mathcal{T}_i$ .

$M$ -stacks

$s$  a stack  
on  $M$ .

$\lambda_i: M \rightarrow \mathcal{P}$   
each  $\mathcal{T}_i$  normal



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- (d) An *iteration strategy*  $\Sigma$  for  $M$  is a function that is defined on  $M$ -stacks  $s$  that are by  $\Sigma$  whose last tree has limit length, and picks a cofinal wellfounded branch of that tree.

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- (e) If  $s$  is an  $M$ -stack, then  $\Sigma_s$  is the *tail strategy* given by  $\Sigma_s(t) = \Sigma(s \frown t)$ .

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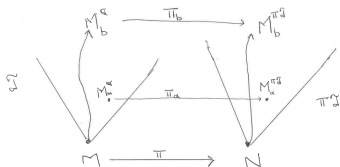
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- (f) If  $\pi: M \rightarrow N$  is elementary, and  $\Sigma$  is an iteration strategy for  $N$ , then  $\Sigma^\pi$  is the *pullback strategy* given by:  $\Sigma^\pi(s) = \Sigma(\pi s)$ .

### Pullback strategies

Given  $Z$  for  $N$ , and  $\pi: M \rightarrow N$



$$\text{if } b = \Sigma(\pi_d)$$

$$\text{then } \Sigma^\pi(\sigma) = b$$

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## Definition

A *least branch premouse* (lpm) is a structure  $\mathcal{M}$  constructed from a coherent sequence  $\dot{E}^{\mathcal{M}}$  of extenders, and a predicate  $\dot{\Sigma}^{\mathcal{M}}$  for an iteration strategy for  $\mathcal{M}$ .

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## Remarks

- (a)  $\mathcal{M}$  has a hierarchy, and a fine structure. By convention, there is a  $k = k(\mathcal{M})$  such that  $\mathcal{M}$  is *k-sound*. (i.e.,  $\mathcal{M} = \text{Hull}_k(\rho_k^{\mathcal{M}} \cup p_k^{\mathcal{M}})$ .)

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- (b) We use Jensen indexing for the extenders in  $\dot{E}^{\mathcal{M}}$ .
- (c) At strategy-active stages  $\alpha$ , we consider the  $\mathcal{M}|_{\alpha}$ -least  $\langle \nu, k, \mathcal{T} \rangle$  such that  $\mathcal{T}$  is a normal tree of limit length on  $\mathcal{M}|_{\langle \nu, k \rangle}$  that is by  $\dot{\Sigma}^{\mathcal{M}|_{\alpha}}$ , and  $\dot{\Sigma}^{\mathcal{M}|_{\alpha}}(\mathcal{T})$  is undefined. Then  $\dot{\Sigma}^{\mathcal{M}|_{\alpha+1}} = \dot{\Sigma}^{\mathcal{M}|_{\alpha}} \cup \{ \langle \nu, k, \mathcal{T}, b \rangle \}$ , where  $b$  is some cofinal branch of  $\mathcal{T}$ .

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## Definition

A *least branch hod pair* (lbr hod pair) with with scope  $Z$  is a pair  $(P, \Sigma)$  such that

- (1)  $P$  is an lpm,
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**Definition**

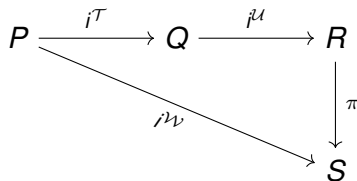
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$\Sigma$  is *self-consistent* iff the part of  $\Sigma$  that is a strategy for  $\mathcal{M}|\langle \nu, k \rangle$  is consistent with the part of  $\Sigma$  that is a strategy for  $\mathcal{M}|\langle \mu, j \rangle$ .

## Normalizing well

For  $\langle \mathcal{T}, \mathcal{U} \rangle$  a stack on  $P$ , and  $\mathcal{W} = W(\mathcal{T}, \mathcal{U})$  its embedding normalization, we have



Then  $\Sigma$  2-normalizes well iff

$\langle \mathcal{T}, \mathcal{U} \rangle$  is by  $\Sigma$  iff  $W(\mathcal{T}, \mathcal{U})$  is by  $\Sigma$ ,

and

$$\Sigma_{\langle \mathcal{W} \rangle}^{\pi} = \Sigma_{\langle \mathcal{T}, \mathcal{U} \rangle}.$$

for all such stacks  $\langle \mathcal{T}, \mathcal{U} \rangle$ .  $\Sigma$  normalizes well iff all its tails 2-normalize well.

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# $W(E, F)$

Let  $\mathcal{T} = \langle E \rangle$  and  $\mathcal{U} = \langle F \rangle$ , with  $\text{crit}(F) < \text{crit}(E)$ .

$$\begin{array}{ccccc} N & \xrightarrow{F} & Q & \xrightarrow{\tau} & i_F^M(N) = \text{Ult}_0(P, i_F^M(E)) \\ \uparrow E & & & \nearrow i_F^M(E) & \\ M & \xrightarrow{F} & P & & \end{array}$$

$\tau$  is the natural embedding from  $\text{Ult}(N, F)$  to  $i_F^M(N)$ . That is,

$$\tau([a, g]_F^N) = [a, g]_F^M.$$

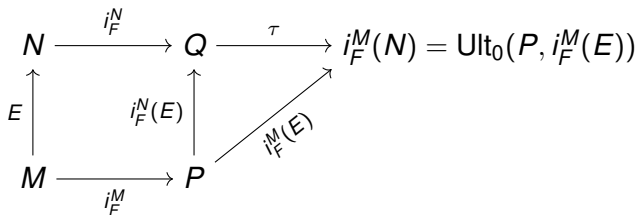
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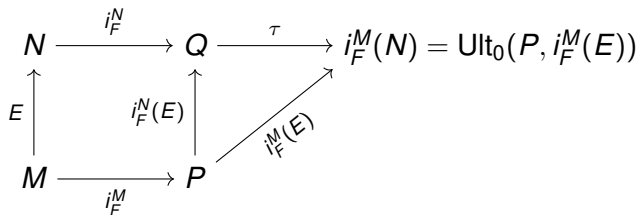
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The extenders used in  $W(E, F)$  are  $E$ , then  $F$ , then  $i_F^M(E)$ .



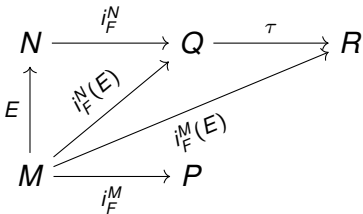
The *full normalization*  $X(E, F)$  uses  $E$ , then  $F$ , then  $i_F^N(E)$ .

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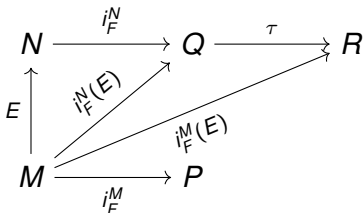
The *full normalization*  $X(E, F)$  uses  $E$ , then  $F$ , then  $i_F^N(E)$ .  $\text{Ult}(M, F)$  has  $i_F^N(E)$  on its sequence by Condensation.

The situation when  $\text{crit}(E) < \text{crit}(F) < \lambda(E)$  is summarized by:



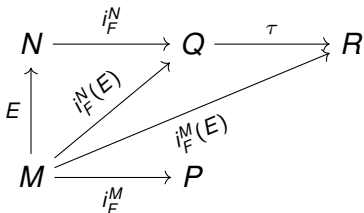
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$W(E, F)$  uses  $E$ , then  $F$ , then  $i_F^M(E)$ .  $X(E, F)$  uses  $E$ , then  $F$ , then  $i_F^N(E)$ .

*Remark* So there are two ways  $F$  can appear in the branch to the final model: as itself, or buried inside  $i_F(E)$ .

# $W(\mathcal{T}, F)$

Let  $\mathcal{T}$  have last model  $M_\theta^{\mathcal{T}}$ , with  $F$  on its sequence. Let

$\alpha = \text{least } \xi \text{ such that } F \text{ is on the } M_\xi^{\mathcal{T}}\text{-sequence,}$

and

$\beta = \text{least } \xi \text{ such that } \text{crit}(F) < \lambda(E_\xi^{\mathcal{T}}).$

Then

$$W(\mathcal{T}, F) = \mathcal{T} \upharpoonright (\alpha + 1) \hat{\cap} \langle F \rangle \hat{\cap} \Phi \text{ `` } \mathcal{T} \upharpoonright (\theta + 1 - \beta).$$

Here  $\Phi$  comes from a copying construction.

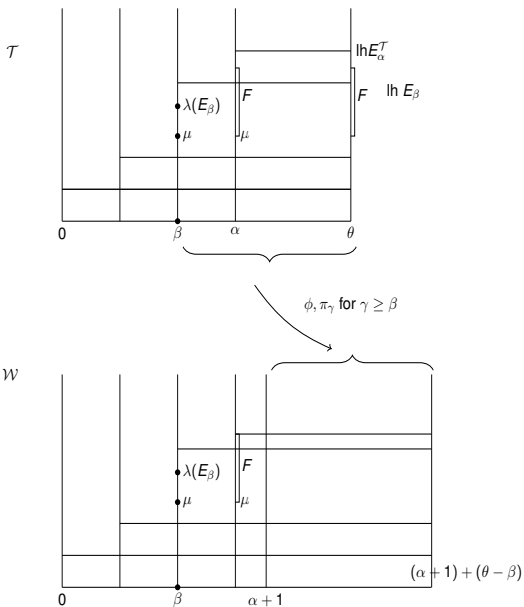
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# $W(\mathcal{T}, F)$



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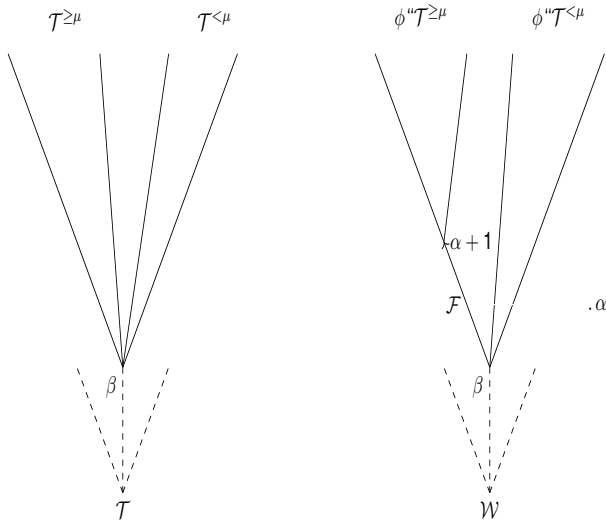
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Next we have the tree order picture,



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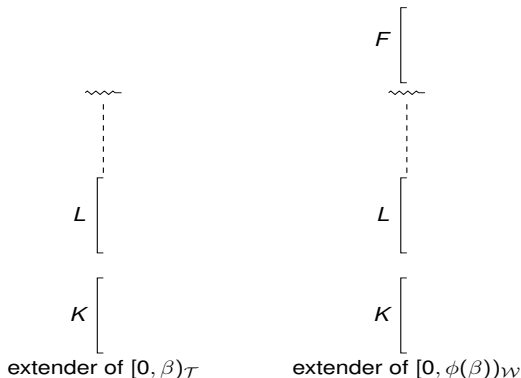
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We show how  $F$  gets inserted into the extender of the branch  $\mathcal{T}$  ending at  $M_\xi^{\mathcal{T}}$ .

For  $\xi = \beta$ :



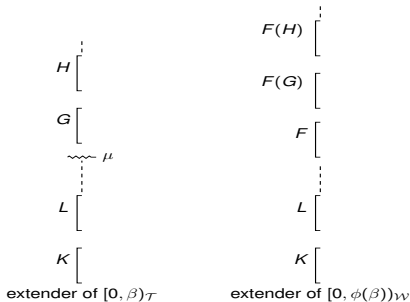
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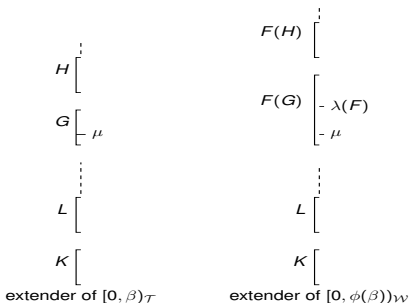
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For  $\xi > \beta$ , let  $G$  be the first extender used in  $[0, \xi)_{\mathcal{T}}$  such that  $\nu(G) \geq \nu(E_{\beta}^{\mathcal{T}})$ . The picture depends on whether  $\mu \leq \text{crit}(G)$ . If  $\mu \leq \text{crit}(G)$ , it is



In this case,  $F$  is used on  $[0, \phi(\xi))_{\mathcal{W}}$ , and the remaining extender used are the images of old ones under copy maps.

If  $\text{crit}(G) < \mu < \nu(G)$ , the picture is



In this case, the two branches use the same extenders until  $G$  is used on  $[0, \xi)_T$ . At that point and after,  $[0, \phi(\xi))_W$  uses the images of extenders under the copy maps.

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# $W(\mathcal{T}, \mathcal{U})$

We define  $W_\gamma = W(\mathcal{T}, \mathcal{U} \upharpoonright \gamma + 1)$  by induction on  $\gamma$ . It has last model  $R_\gamma$ , and we have  $\sigma_\gamma$  from  $M_\gamma^{\mathcal{U}}$  to  $R_\gamma$ .

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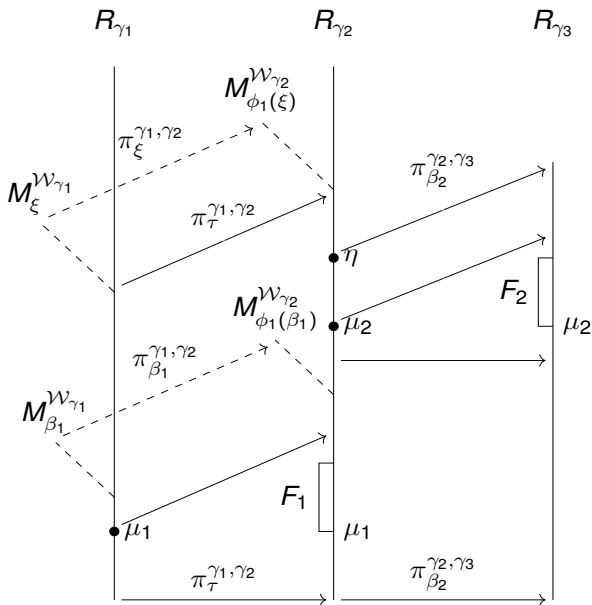
The  $W_\gamma$ 's constitute a tree of iteration trees, under the order  $<_{\mathcal{U}}$  on the  $\gamma$ 's. If  $\gamma_1 <_{\mathcal{U}} \gamma_2 <_{\mathcal{U}} \gamma_3$ , the picture is:

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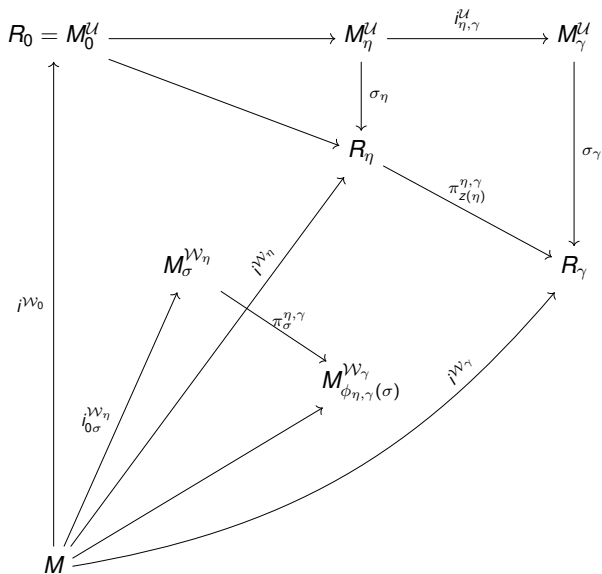
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Another view of  $W(\mathcal{T}, \mathcal{U})$ :



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# Strong hull condensation

Roughly,  $\Sigma$  has *strong hull condensation* iff  $\mathcal{T}$  and  $\mathcal{U}$  are normal trees on  $P$ , and  $\mathcal{U}$  is by  $\Sigma$ , and  $\pi: \mathcal{T} \rightarrow \mathcal{U}$  is appropriately elementary, then  $\mathcal{T}$  is by  $\Sigma$ .

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One must be careful about the elementarity required of  $\pi$ , and in particular, the extent to which  $\pi$  is required to preserve exit extenders. There are several possible condensation properties here: hull condensation (Sargsyan), strong hull condensation, and still stronger ones.

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Strong hull condensation means condensing under *pseudo-hull embeddings*. The natural embedding of  $\mathcal{T}$  into  $W(\mathcal{T}, \mathcal{U})$  is an example of a pseudo-hull embedding.

### Definition

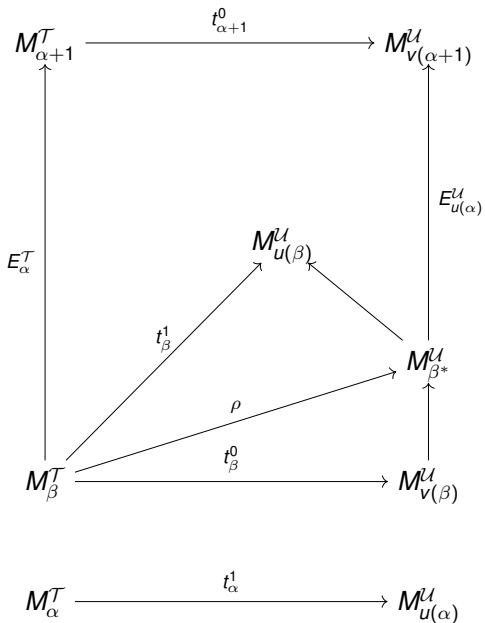
A *pseudo-hull embedding* of  $\mathcal{T}$  into  $\mathcal{U}$  is a system

$$\langle u, \langle t_\beta^0 \mid \beta < \text{lh } \mathcal{T} \rangle, \langle t_\beta^1 \mid \beta + 1 < \text{lh } \mathcal{T} \rangle, \rho \rangle$$

with various properties, including:

$$\begin{aligned} \rho(E_\alpha^\mathcal{T}) &= t_\alpha^1(E_\alpha^\mathcal{T}) \\ &= E_{u(\alpha)}^\mathcal{U}. \end{aligned}$$

The diagram related to successor steps in  $\mathcal{T}$  is:



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# Elementary properties of lbr hod pairs

## Lemma

*Let  $(P, \Sigma)$  be an lbr hod pair with scope  $Z$ , and suppose  $\pi: Q \rightarrow P$  is elementary; then  $(Q, \Sigma^\pi)$  is an lbr hod pair with scope  $Z$ .*

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## Lemma

*(Pullback consistency) Let  $(P, \Sigma)$  be an lbr hod pair with scope  $Z$ , and let  $s$  be a  $P$ -stack by  $\Sigma$  giving rise to the iteration map  $\pi: P \rightarrow Q$ ; then  $(\Sigma_s)^\pi = \Sigma$ .*

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## Lemma

*(Dodd-Jensen) The  $\Sigma$ -iteration map from  $(P, \Sigma)$  to  $(Q, \Psi)$  is pointwise a pointwise minimal embedding of  $(P, \Sigma)$  into  $(Q, \Psi)$ .*

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# Comparison

## Theorem (Comparison)

Assume  $AD^+$ , and let  $(P, \Sigma)$  and  $(Q, \Psi)$  be lbr hod pairs with scope HC; then there are normal trees  $\mathcal{T}$  on  $P$  by  $\Sigma$  and  $\mathcal{U}$  on  $Q$  by  $\Psi$  with last models  $R$  and  $S$  respectively, such that either

- (1)  $R \trianglelefteq S$ , and  $\Sigma_{\mathcal{T}} \subseteq \Psi_{\mathcal{U}}$ , or
- (2)  $S \trianglelefteq R$ , and  $\Psi_{\mathcal{U}} \subseteq \Sigma_{\mathcal{T}}$ .

## Corollary

Assume  $AD^+$ ; then the mouse order  $\leq^*$  on lbr hod pairs with scope HC is a prewellorder.

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*Proof of theorem.* Let  $N^*$  be a countable,  $\Gamma$ -correct model with a Woodin cardinal, where  $(P, \Sigma)$  and  $(Q, \Psi)$  are in  $\Gamma$ .

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*Proof of theorem.* Let  $N^*$  be a countable,  $\Gamma$ -correct model with a Woodin cardinal, where  $(P, \Sigma)$  and  $(Q, \Psi)$  are in  $\Gamma$ . Let  $(N, \Omega)$  be a level of the lbr hod pair construction done inside  $N^*$ . We compare  $P$  with  $N$  by iterating away least extender disagreements, and show:

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(a) no extenders on the  $N$  side are used,

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- (a) no extenders on the  $N$  side are used, and
- (b) no strategy disagreements show up.

That  $\Sigma$  normalizes well and has strong hull condensation are crucial here.

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- (a) no extenders on the  $N$  side are used, and
- (b) no strategy disagreements show up.

That  $\Sigma$  normalizes well and has strong hull condensation are crucial here.

Since  $N^*$  has a Woodin cardinal,  $(P, \Sigma)$  cannot iterate past all such  $(N, \Omega)$ , and hence, some such  $(N, \Omega)$  is an iterate of  $(P, \Sigma)$ . Similarly for  $(Q, \Psi)$ , and we are done.

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Why are there no strategy disagreements?

Suppose we have produced an iteration tree  $\mathcal{T}$  on  $P$  with last model  $R$ , and that  $R|_\alpha = N|_\alpha$ , and that  $\mathcal{U}$  is a tree on  $R|_\alpha = N|_\alpha$  played by both  $\Sigma_{\mathcal{T}, R|_\alpha}$  (the tail of  $\Sigma$ ) and  $\Omega$ , the  $N^*$ -induced strategy for  $N$ . Let  $\mathcal{U}$  have limit length, and let  $b = \Omega(\mathcal{U})$ . We must see  $b = \Sigma(\langle \mathcal{T}, \mathcal{U} \rangle)$ .

For this, we look at the embedding normalization  $W(\mathcal{T}, \mathcal{U})$  of  $\langle \mathcal{T}, \mathcal{U} \rangle$ , which also has limit length. Then

for  $b = \Omega(\mathcal{T})$ :

- (i)  $b$  generates (modulo  $\mathcal{T}$ ) a unique cofinal branch  $a$  of  $W(\mathcal{T}, \mathcal{U})$ .
- (ii) Letting  $i_b^*: N^* \rightarrow N_b^*$  come from lifting  $i_b^{\mathcal{U}}$  to  $N^*$  via the iteration-strategy construction,  $W(\mathcal{T}, \mathcal{U}) \frown \langle a \rangle$  is a pseudo-hull of  $i_b^*(\mathcal{T})$ .
- (iii) But  $i_b^*(\Sigma) \subseteq \Sigma$  because  $\Sigma$  was Suslin-co-Suslin captured by  $N^*$ , so  $i_b^*(\mathcal{T})$  is by  $\Sigma$ .
- (iv) Thus  $W(\mathcal{T}, \mathcal{U}) \frown \langle a \rangle$  is by  $\Sigma$ , because  $\Sigma$  has strong hull condensation.
- (v) But  $a$  determines  $b$ , so since  $\Sigma$  normalizes well,  $\Sigma(\langle \mathcal{T}, \mathcal{U} \rangle) = b$ , as desired.

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Phalanx comparisons work too. From this we get

### **Theorem**

*Assume  $AD^+$ , and let  $(P, \Sigma)$  be an lbr hod pair with scope  $HC$ ; then the standard parameter of  $P$  is solid and universal, and hence  $(P, \Sigma)$  has a core.*

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### Theorem

*Suppose that  $V$  is uniquely iterable, and there are arbitrarily large Woodin cardinals; then the hod pair construction of  $V$  does not break down.*

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Phalanx comparisons also yield Condensation, and

### Theorem

(Trang, S.) Assume  $AD^+$ , and let  $(P, \Sigma)$  be an lbr hod pair with scope HC;  $P \models \forall \kappa (\square_\kappa \Leftrightarrow \kappa \text{ is not subcompact})$ .

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Phalanx comparisons also give

### Theorem

*Assume  $AD^+$ , and let  $(P, \Sigma)$  be an lbr hod pair with scope HC; then*

- (1)  $\Sigma$  is positional,*
- (2)  $\Sigma$  has very strong hull condensation, and*
- (3)  $\Sigma$  fully normalizes well.*

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# Hod pair capturing

Hod pairs can be used to compute HOD, provided that there are enough of them.

## Definition

$(AD^+)$  *HOD pair capturing* (HPC) is the statement: for every Suslin, co-Suslin set of reals  $A$ , there is an lbr hod pair  $(P, \Sigma)$  with scope HC such that  $A$  is Wadge reducible to  $\text{Code}(\Sigma)$ .

*Remark.* Under  $AD^+$ , if  $(P, \Sigma)$  is an lbr pair with scope HC, then  $\text{Code}(\Sigma)$  is Suslin and co-Suslin.

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## Theorem

*Assume there is a supercompact cardinal, and arbitrarily large Woodin cardinals. Suppose  $V$  is uniquely iterable. Let  $\Gamma \subseteq \text{Hom}_\infty$  be such that  $L(\Gamma, \mathbb{R}) \models \text{NLE}$  ; then  $L(\Gamma, \mathbb{R}) \models \text{HPC}$ .*

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## Theorem

*Assume  $AD^+ + V = L(P(\mathbb{R})) + HPC$ ; then  $HOD \upharpoonright \theta$  is an lpm. Thus  $HOD \models GCH$ .*

*Remark.* Under  $AD_{\mathbb{R}} + HPC$ ,  $HOD \upharpoonright \theta$  is the direct limit of all “full” lbr hod pairs with scope HC.

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Assume  $AD^+ + V = L(P(\mathbb{R})) + HPC$ ; then equivalent are:

- (a)  $\delta$  is a cutpoint Woodin cardinal of  $HOD$ ,
- (b)  $\delta = \theta_0$ , or  $\delta = \theta_{\alpha+1}$  for some  $\alpha$ .

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Thus  $\theta_0$  is the least Woodin cardinal of  $HOD$ .

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- (a)  $\delta$  is a cutpoint Woodin cardinal of  $HOD$ ,
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Thus  $\theta_0$  is the least Woodin cardinal of  $HOD$ .

*Remark.* Woodin showed  $\theta_0$  and the  $\theta_{\alpha+1}$  are Woodin in  $HOD$ . He proved an approximation to their being cutpoints.

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**Conjecture.**  $(AD^+ + NLE) \Rightarrow HPC.$

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*Remark.* HPC is a cousin of Sargsyan's "Generation of full pointclasses". It holds in the minimal model of  $AD_{\mathbb{R}} + \theta$  is regular, and somewhat beyond, by Sargsyan's work.

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HPC localizes:

### Theorem

*Assume  $AD^+ + HPC$ , and let  $\Gamma \subseteq P(\mathbb{R})$ ; then  $L(\Gamma, \mathbb{R}) \models HPC$ .*

The key to localization of HPC is to compute optimal Suslin representations for the iteration strategies in lbr hod pairs.

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# Hod pairs vs. Suslin cardinals

## Definition

(AD<sup>+</sup>) For  $(P, \Sigma)$  an lbr hod pair with scope HC,  $M_\infty(P, \Sigma)$  is the direct limit of all nondropping  $\Sigma$ -iterates of  $P$ , under the maps given by comparisons.

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$M_\infty(P, \Sigma)$  is well-defined by the Dodd-Jensen lemma. Moreover, it is OD from the rank of  $(P, \Sigma)$  in the mouse order. Thus  $M_\infty(P, \Sigma) \in \text{HOD}$ .

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A tree  $\mathcal{T}$  by  $\Sigma$  is  $M_\infty$ -relevant iff there is a normal  $\mathcal{U}$  by  $\Sigma$  extending  $\mathcal{T}$  with last model  $Q$  such that the branch  $P$ -to- $Q$  does not drop.  $\Sigma^{\text{rel}}$  is the restriction of  $\Sigma$  to  $M_\infty$ -relevant trees.

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Recall that  $A$  is  $\kappa$ -Suslin iff  $A = p[T]$  for some tree  $T$  on  $\omega \times \kappa$ .

### Theorem

$(AD^+)$  Let  $(P, \Sigma)$  be an lbr hod pair with scope HC; then  $Code(\Sigma^{rel})$  is  $\kappa$ -Suslin, for  $\kappa = |M_\infty(P, \Sigma)|$ .

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Recall that  $A$  is  $\kappa$ -Suslin iff  $A = p[T]$  for some tree  $T$  on  $\omega \times \kappa$ .

### Theorem

$(AD^+)$  Let  $(P, \Sigma)$  be an lbr hod pair with scope HC; then  $\text{Code}(\Sigma^{\text{rel}})$  is  $\kappa$ -Suslin, for  $\kappa = |M_\infty(P, \Sigma)|$ .

*Remark.*  $\text{Code}(\Sigma^{\text{rel}})$  is not  $\alpha$ -Suslin, for any  $\alpha < |M_\infty(P, \Sigma)|$ , by Kunen-Martin. So  $|M_\infty(P, \Sigma)|$  is a Suslin cardinal.

*Proof sketch.*  $M_\infty(P, \Sigma)$  is the direct limit along a generic stack  $s$  of trees by  $\Sigma$ .

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*Proof sketch.*  $M_\infty(P, \Sigma)$  is the direct limit along a generic stack  $s$  of trees by  $\Sigma$ . But  $s$  can be fully normalized, so there is a single normal tree  $\mathcal{W}$  on  $P$  with last model  $M_\infty(P, \Sigma)$  such that every countable “weak hull” of  $\mathcal{W}$  is by  $\Sigma$ .

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$\mathcal{T}$  is by  $\Sigma \Leftrightarrow \mathcal{T}$  is a weak hull of  $\mathcal{W}$ .

The right-to-left direction follows from very strong hull condensation for  $\Sigma$ .

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$$\mathcal{T} \text{ is by } \Sigma \Leftrightarrow \mathcal{T} \text{ is a weak hull of } \mathcal{W}.$$

The right-to-left direction follows from very strong hull condensation for  $\Sigma$ .

For left-to-right direction, we may assume  $\mathcal{T}$  has last model  $Q$ , and  $P$ -to- $Q$  does not drop. We then have a normal  $\mathcal{U}$  on  $Q$  with last model  $M_\infty(P, \Sigma)$  such that all countable weak hulls of  $\mathcal{U}$  are by  $\Sigma$ .

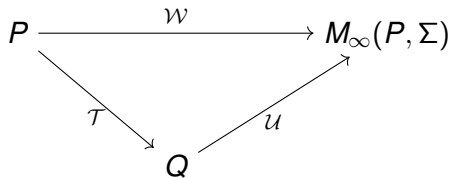
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We have



Then

$$\mathcal{W} = X(\mathcal{T}, \mathcal{U})$$

is the full normalization of  $\langle \mathcal{T}, \mathcal{U} \rangle$ . The construction of  $X(\mathcal{T}, \mathcal{U})$  produces a weak hull embedding from  $\mathcal{T}$  into  $X(\mathcal{T}, \mathcal{U})$ , which is what we want.

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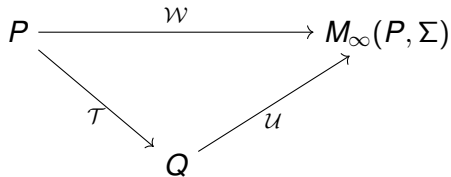
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Thus our Suslin representation verifies that  $\mathcal{T}$  is in the  $M_\infty$ -relevant part of  $\Sigma$  by producing a weak hull embedding of  $\mathcal{T}$  into  $\mathcal{W}$ .

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There is another source for Suslin cardinals.

## Definition

Let  $P$  be an lpm.

- (a)  $\eta^P$  is the nonstrict sup of all  $\text{lh}(E)$ , for  $E$  on the  $P$ -sequence.

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- (b)  $P$  has a top block iff there is a  $\kappa < \eta^P$  such that  $o(\kappa)^P = \eta^P$ . If so, then  $\beta^P$  is the least such  $\kappa$ . We say  $\beta^P$  begins the top block of  $P$ .

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- (a)  $\eta^P$  is the nonstrict sup of all  $\text{lh}(E)$ , for  $E$  on the  $P$ -sequence.
- (b)  $P$  has a top block iff there is a  $\kappa < \eta^P$  such that  $o(\kappa)^P = \eta^P$ . If so, then  $\beta^P$  is the least such  $\kappa$ . We say  $\beta^P$  begins the top block of  $P$ .
- (c) Let  $\mathcal{T}$  be a normal tree on  $P$  with last model  $Q$ . We say  $\mathcal{T}$  is short iff  $P$ -to- $Q$  drops, or for  $\pi: P \rightarrow Q$  the iteration map,  $\eta^Q < \pi(\eta^P)$ .

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There is another source for Suslin cardinals.

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## Theorem

(AD<sup>+</sup>) Let  $(P, \Sigma)$  be an lbr hod pair with scope HC, and suppose  $P$  has a top block. Let  $\Psi$  be the restriction of  $\Sigma^{rel}$  to short trees, and  $\pi: P \rightarrow M_\infty(P, \Sigma)$  be the iteration map; then  $\text{Code}(\Psi)$  is  $\pi(\beta^P)$ -Suslin, but not  $\alpha$ -Suslin for any  $\alpha < |\pi(\beta^P)|$ .

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We believe that under  $AD^+ + HPC$ , all Suslin cardinals  $\kappa$  arise in one of these two ways. That is, the set that is Suslin for the first time at  $\kappa$  is either a complete iteration strategy for an lpm, or a short tree strategy for an lpm.

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This suggests proving HPC, assuming  $AD^+ + NLE$ , via an induction on Suslin cardinals, or equivalently, pointclasses with the Scale Property. Crossing gaps in scales is not actually a problem:

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## Theorem

*Assume  $AD^+$ , and let  $\Gamma$  be an inductive-like pointclass with the scale property. Suppose that the iteration strategies of lbr hod pairs are Wadge cofinal in  $\Gamma \cap \check{\Gamma}$ ; then*

- (a) *there is a short-tree-strategy pair  $(P, \Psi)$  such that  $Code(\Psi)$  is in  $\Gamma \setminus \check{\Gamma}$ , and*

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- (a) there is a short-tree-strategy pair  $(P, \Psi)$  such that  $Code(\Psi)$  is in  $\Gamma \setminus \check{\Gamma}$ , and
- (b) if all sets in  $\check{\Gamma}$  are Suslin, then there is an lbr hod pair  $(P, \Sigma)$  such that  $Code(\Sigma)$  is not in  $\Gamma$ .

# Determinacy models from hod pairs

## Theorem

(Sargsyan, S.) Assume  $AD^+$ , and that there is an lbr hod pair  $(P, \Sigma)$  such that  $P \models ZFC + \text{“}\delta \text{ is a Woodin limit of Woodin cardinals + “there are infinitely many Woodin cardinals above } \delta\text{”}$ . Then there is a pointclass  $\Gamma$  such that

- (1)  $L(\Gamma, \mathbb{R}) \models \text{“the largest Suslin cardinal exists, and belongs to the Solovay sequence”}$  (LSA), and
- (2)  $L(\Gamma, \mathbb{R}) \models \text{“if } A \text{ is a set of reals that is } OD(s) \text{ for some } s: \omega \rightarrow \theta, \text{ then } A \text{ is Suslin and co-Suslin”}$ .

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Part (1) is due to Sargsyan, and requires weaker hypotheses on  $P$ . The insight that Woodin limits of Woodins are what you need for (2) is due to Sargsyan.

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Part (1) is due to Sargsyan, and requires weaker hypotheses on  $P$ . The insight that Woodin limits of Woodins are what you need for (2) is due to Sargsyan.

Part (2) is a step toward a model of  $AD_{\mathbb{R}}$  that satisfies “ $\omega_1$  is  $X$ -supercompact, for all sets  $X$ ”.

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