

§ 6.1 Definitions of $\hat{W}_{\alpha+1}$ and $\hat{V}_{\alpha+1}$

Given that we have \hat{W}_α and \hat{V}_α which include the objects above, let us define $\hat{W}_{\alpha+1}$ and $\hat{V}_{\alpha+1}$.

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Step 1 Iterate away least extended disagreements until you expose a strategy disagreement.

This produces $W_{Z(\alpha)}^*$ and $V_{Z(\alpha)}^*$ normally extending $W_{Z(\alpha)}$ and $V_{Z(\alpha)}$, with $R_\alpha \triangleq P_{Z(\alpha)}^*, Q_{Z(\alpha)}^*$, and Υ_α on R_α by Σ_{R_α} and Λ_{R_α} , with $b_\alpha \neq c_\alpha$ where $b_\alpha = \Sigma_{R_\alpha}(\Upsilon_\alpha)$ and $c_\alpha = \Lambda_{R_\alpha}(\Upsilon_\alpha)$. By Lemma 3, $(\Upsilon_\alpha \cap b_\alpha)^W$ on $P_{Z(\alpha)}^*$ and $(\Upsilon_\alpha \cap c_\alpha)^V$ on $Q_{Z(\alpha)}^*$ are by Σ and Λ .

Let $\hat{W}_\alpha^* = \hat{W}_\alpha$, but with $W_{Z(\alpha)}$ extended to $W_{Z(\alpha)}^*$. Similarly for \hat{V}_α^* .

Step 2. Form

and

$$\hat{W}_{\alpha+1} = X(\hat{W}_\alpha^*, (\hat{Y}_\alpha \hat{b}_\alpha)^w \uparrow_{\text{exts of } h < \delta(\hat{Y}_\alpha)})$$

$$\hat{V}_{\alpha+1} = X(\hat{V}_\alpha^*, (\hat{Y}_\alpha \hat{c}_\alpha)^v \uparrow_{\text{exts of } h < \delta(\hat{Y}_\alpha)}).$$

To do this, we form by induction the partial normalizations

$$\hat{W}_{\alpha,\xi} = X(\hat{W}_\alpha^*, (Y_\alpha \uparrow_{\xi+1})^w)$$

and

$$\hat{V}_{\alpha,\xi} = X(\hat{V}_\alpha^*, (Y_\alpha \uparrow_{\xi+1})^v)$$

for $\xi < lh(Y_\alpha)$. For $\xi < Y_\alpha$ we shall have embeddings

$$\dot{\Phi}_{\xi,\eta}^\alpha : \hat{W}_{\alpha,\xi} \rightarrow \hat{W}_{\alpha,\eta}$$

and

$$\dot{\Psi}_{\xi,\eta}^\alpha : \hat{V}_{\alpha,\xi} \rightarrow \hat{V}_{\alpha,\eta}.$$

(We use the dot above Φ because $\hat{\Phi}$'s will use the global indexing, not that of Y_α . Otherwise they are the same.)

$\hat{W}_{\alpha,\xi}$ will have last tree $W_{Z(\alpha,\xi)}$)

and $\mathcal{V}_{\alpha, \xi}^{\wedge}$ will have last tree $\mathcal{V}_{z(\alpha, \xi)}$.
The last models are

$$M_{\infty}^{W_{z(\alpha, \xi)}} = M_{\xi}^{Y_{\alpha}^W},$$

$$M_{\infty}^{\mathcal{V}_{z(\alpha, \xi)}} = M_{\xi}^{Y_{\alpha}^{\mathcal{V}}}.$$

We set $z(\alpha, 0) = z(\alpha)$, and

$$F_{z(\alpha, \xi)} = E_{\xi}^{Y_{\alpha}}.$$

Letting $F = F_{z(\alpha, \xi)}$, set as before

$$\beta_F = \text{least } \beta \text{ s.t. } \kappa_F < \lambda(F_{\gamma})$$

for all $\gamma \in [\beta, z(\alpha, \xi)]$.

Letting $\mu = Y_{\alpha}\text{-prod}(\xi+1)$, it is easy to see that

$$\beta_F = \text{least } \beta \text{ s.t. } \kappa_F < \lambda(F_{\gamma})$$

for all $\gamma \in [\beta, z(\alpha, \mu)]$.

Put

$$\sigma_F = z(\alpha, \mu)$$

$$I_F = [\beta_F, \sigma_F]$$

$$z_F(i) = \begin{cases} i & \text{if } i < \beta_F \\ \theta_F + 1 + (i - \beta_F) & \text{for } i \in I_F, \end{cases}$$

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(no page
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where as we said, $\theta_F = z(\alpha, \xi)$.

Let

$$J_F = z_F'' I_F$$

and

$$\begin{aligned} \hat{\tau}_F &= \max(J_F) \\ &= z_F(\sigma_F), \end{aligned}$$

so that $J_F = [\theta_F + 1, \hat{\tau}_F]$ as before. Then

$$\begin{aligned} \dot{\Phi}_{\mu, \xi+1}^\alpha &\stackrel{\wedge}{=} \dot{\Phi}_F^\alpha \\ &\stackrel{\text{df}}{=} \langle z_F, \langle \bar{\Phi}_i \mid i \leq \sigma_F \rangle \rangle, \end{aligned}$$

where

$$\bar{\Phi}_i : W_i^* \rightarrow X(W_i^*, F) \stackrel{\text{df}}{=} W_{z_F(i)}$$

is the natural weak tree embedding. We also

$$\text{let } W_{z_F(i)}^* = W_{z_F(i)}.$$

If $\gamma < \gamma_\alpha \mu$, then we define $\dot{\Phi}_{\gamma, \gamma+1}$

by $\dot{\Phi}_{\gamma, \gamma+1}^\alpha = \dot{\Phi}_{\mu, \gamma+1}^\alpha \circ \dot{\Phi}_{\gamma, \mu}^\alpha$

where the composition is understood in the natural way. let us write

$\dot{\Phi}_{\gamma, \delta}^\alpha = \langle u_{\gamma, \delta}^\alpha, \langle \dot{\Phi}_{i, u_{\gamma, \delta}(i)}^\alpha \mid i \in \text{dom}(u_{\gamma, \delta}) \rangle \rangle$ ← no dot

for the components. So $u_{\mu, \gamma+1}^\alpha = Z_F$, and

$u_{\gamma, \gamma+1}^\alpha = u_{\mu, \gamma+1}^\alpha \circ u_{\gamma, \mu}^\alpha$, and

$\dot{\Phi}_{i, u_{\gamma, \delta}(i)}^\alpha : W_i^* \rightarrow W_{u_{\gamma, \delta}(i)}$

is the composed meta-tree component of $\dot{\Phi}_{\gamma, \delta}^\alpha$.

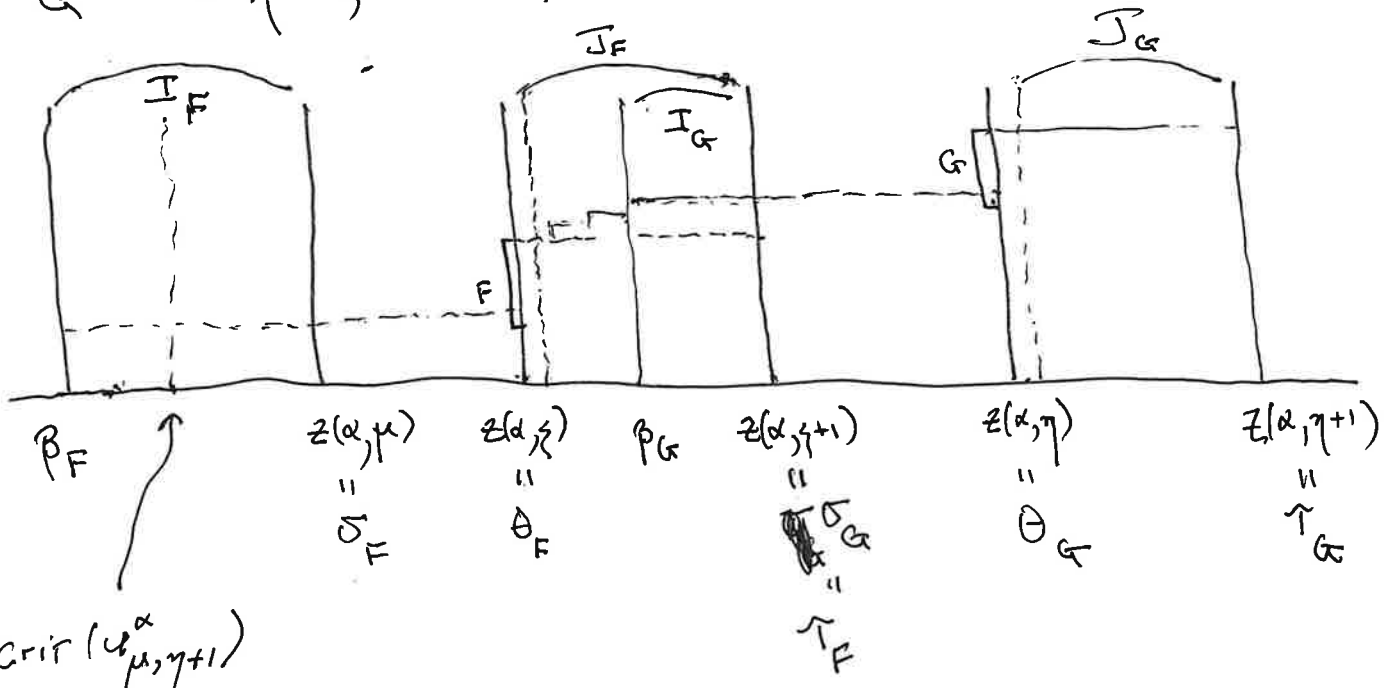
As one goes up a branch of Υ_α , the critical points of the u^α -maps go up.

That is,

$u_{\gamma\delta}^\alpha(\text{crit}(u_{\gamma\delta}^\alpha)) \leq \text{crit}(u_{\delta\rho})$

for $\gamma < \gamma_\alpha \delta < \gamma_\alpha \rho$.

For example, if $\mu = \gamma_\alpha\text{-pred}(\xi+1)$ and $\xi+1 = \gamma_\alpha\text{-pred}(\eta+1)$, and $F = E_\xi^{\gamma_\alpha}$ and $G = E_\eta^{\gamma_\alpha}$, the picture is



$\text{crit}(U_{\mu, \eta+1}^\alpha)$
 $= z_F^{-1}(\beta_G)$

If $\lambda < \text{lh}(\gamma_\alpha)$ is a limit ordinal,

then

$$\hat{W}_{\alpha, \lambda} = \lim_{\delta < \gamma_\alpha \lambda} \hat{W}_{\alpha, \delta}$$

and

$$\hat{V}_{\alpha, \lambda} = \lim_{\delta < \gamma_\alpha \lambda} \hat{V}_{\alpha, \delta}$$

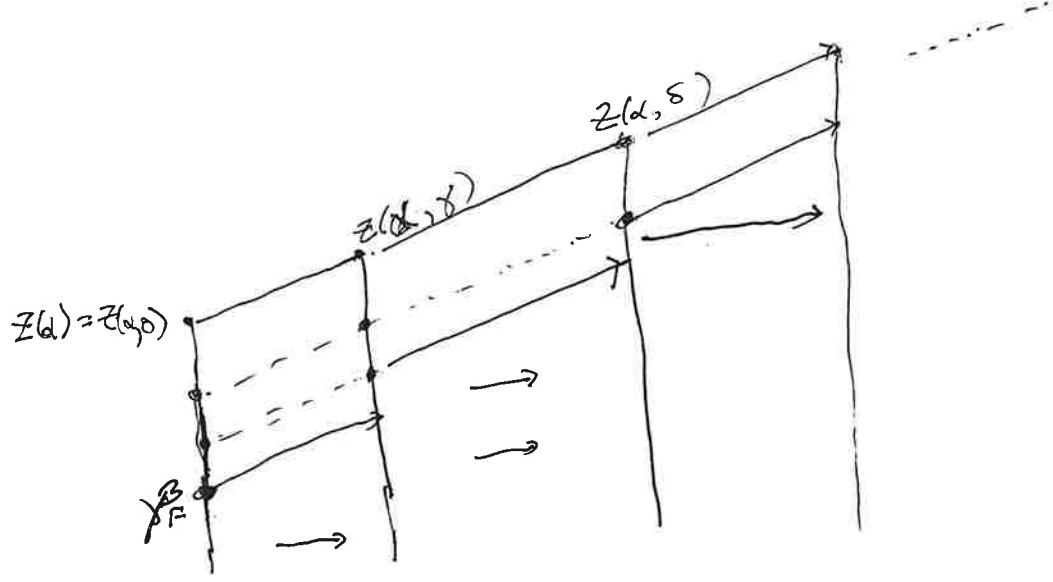
The limits are under the moria-tree embeddings

$\Phi_{\gamma\delta}^\alpha$ and $\Psi_{\gamma\delta}^\alpha$. So letting

$$z_0(\alpha, \lambda) = \sup_{\delta <_{\gamma_\alpha} \lambda} z(\alpha, \delta)$$

$$= \sup_{\delta <_{\gamma_\alpha} \delta < \lambda} \text{crit}(u_{\gamma\delta}^\alpha)$$

we list the direct limits along u^α -threads between $z_0(\alpha, \lambda)$ and $z(\alpha, \lambda)$. Our u -maps are order-preserving, and surjections except for the gap $(\text{crit}(u), u(\text{crit}(u)))$ in the range. So the u -maps along $(\lambda, \lambda)_{\gamma_\alpha}$ look like



Letting F be the first extender used on $(\lambda, \lambda)_{\gamma_\alpha}$, we have $\text{crit}(u_{0,\eta}) = \sqrt{F}^F$ for all $\eta \leq_{\gamma_\alpha} \lambda$. If we let $\gamma = \text{least } \eta \text{ s.t. } \forall \delta <_{\gamma_\alpha} \delta < \lambda$ ~~we have~~ $\text{crit}(u_{\delta,\lambda}) \leq u_{0,\delta}(\eta)$

then

$$Z(\alpha, \lambda) = Z_0(\alpha, \lambda) + (Z(\alpha) - \tau)$$

The u -threads ~~above~~ listed whose limits we list all start at $i \in [u; Z(\alpha)]$, with

$$W_{u, \lambda(i)}^* = W_{u, \lambda(i)}^* = \lim_{\delta < \lambda} W_{u, \delta(i)}^*$$

where the direct limit is under the tree emb,

$$\Phi_{u, \delta(i), u, \delta(i)}^\alpha : W_{u, \delta(i)} \rightarrow W_{u, \delta(i)}^*$$

that are components of Φ_{δ}^α for $\delta < \lambda$.

This furnishes the definition of $\hat{W}_{\alpha, \beta}$ and

$$\hat{V}_{\alpha, \beta}$$

for $\beta < lh(\bar{Y}_\alpha)$. We see

$$\hat{W}_{\alpha+1}^0 = \bigcup_{\beta < lh(\bar{Y}_\alpha)} \hat{W}_{\alpha, \beta}^0$$

$$\hat{V}_{\alpha+1}^0 = \bigcup_{\beta < lh(\bar{Y}_\alpha)} \hat{V}_{\alpha, \beta}$$

See page 56a

where the unions are taken componentwise. See

$$Z(\alpha+1) = \sup_{\beta < lh(\bar{Y}_\alpha)} Z(\alpha, \beta)$$

and

Remark It doesn't quite finish the definition because we need to define the F_i for i such that $i \neq z(\alpha, \xi)$ for all ξ . These are just the blow-ups of A -extenders F_k for $k < z(\alpha)$.

Namely, if $i \notin \{z(\alpha, \xi) \mid \xi < lh(Y_\alpha)\}$ and $z(\alpha+1) > i > z(\alpha)$, we can find δ s.t.

$$i = \alpha_{0,\delta}^\alpha(k), \text{ where } k < z(\alpha),$$

and we set

$$F_i = \begin{pmatrix} \Phi^\alpha \\ I_{0,\delta} \end{pmatrix}_{k+1} (F_k)$$

we have $F_k \in \text{Ext}(W_{k+1})$
and $(\dot{\rho}_{0,\delta})_{k+1} : \text{Ext}(W_{k+1}) \rightarrow \text{Ext}(W_{i+1})$.
Then
 $F_i = (\dot{\rho}_{0,\delta})_{k+1}(F_k)$

One can also just define the F_i by induction on i .

It's straightforward to show that $(T)_{\alpha+1}$

still holds, e.g. $W_{i+1} = X(W_{i+1}, F_i)$ and

$V_{i+1} = X(W_{\beta_{F_i}}, F_i)$. There are more details

in the next sub-section.

$$W_{z(\alpha+1)} = X(W_{z(\alpha)}^*, (\gamma_\alpha \wedge b_\alpha)^w) \uparrow \text{extra of lh} < \delta(\gamma_\alpha)$$

and

$$v_{z(\alpha+1)} = X(v_{z(\alpha)}^*, (\gamma_\alpha \wedge c_\alpha)^v) \uparrow \text{extra of lh} < \delta(\gamma_\alpha)$$

where we include the branches generated by b_α and c_α . Another way to characterize them is as follows: let Δ^w be the "common part tree" on the W -side, i.e.

$\Delta \cong \Delta^w$ iff for all suff. large $\xi < z(\alpha+1)$,

$\Delta \cong W_\xi$, or equivalently

$$\Delta^w = \bigcup_{\beta < \text{lh}(\gamma_\alpha)} W_{z(\alpha, \beta)} \uparrow \text{extra of lh} < \text{lh}(F_{z(\alpha, \beta)}).$$

Similarly for Δ^v . We have that (Δ^w, Δ^v) is a slow comparison, and

$$W_{z(\alpha+1)} = \Delta^w \wedge \Sigma(\Delta^w)$$

$$v_{z(\alpha+1)} = \Delta^v \wedge \underline{\Lambda}(\Delta^v),$$

so $(W_{z(\alpha+1)}, v_{z(\alpha+1)})$ is also a slow comparison.

It is also a branch divergence, since

b_α fits into $\Sigma(\Delta^w)$ and c_α fits into $\Lambda(\Delta^v)$.

This finishes the definitions of $\hat{W}_{\alpha+1}$ and $\hat{V}_{\alpha+1}$; namely

$$\hat{W}_{\alpha+1} = \hat{W}_{\alpha+1}^0 \text{ "n" } \langle W_{Z(\alpha+1)} \rangle$$

and
$$\hat{V}_{\alpha+1} = \hat{V}_{\alpha+1}^0 \text{ "n" } \langle V_{Z(\alpha+1)} \rangle.$$

Notice that we did not take $\hat{W}_{\alpha+1} = \lim_{\beta \in \beta_\alpha} \hat{W}_{\alpha, \beta}$

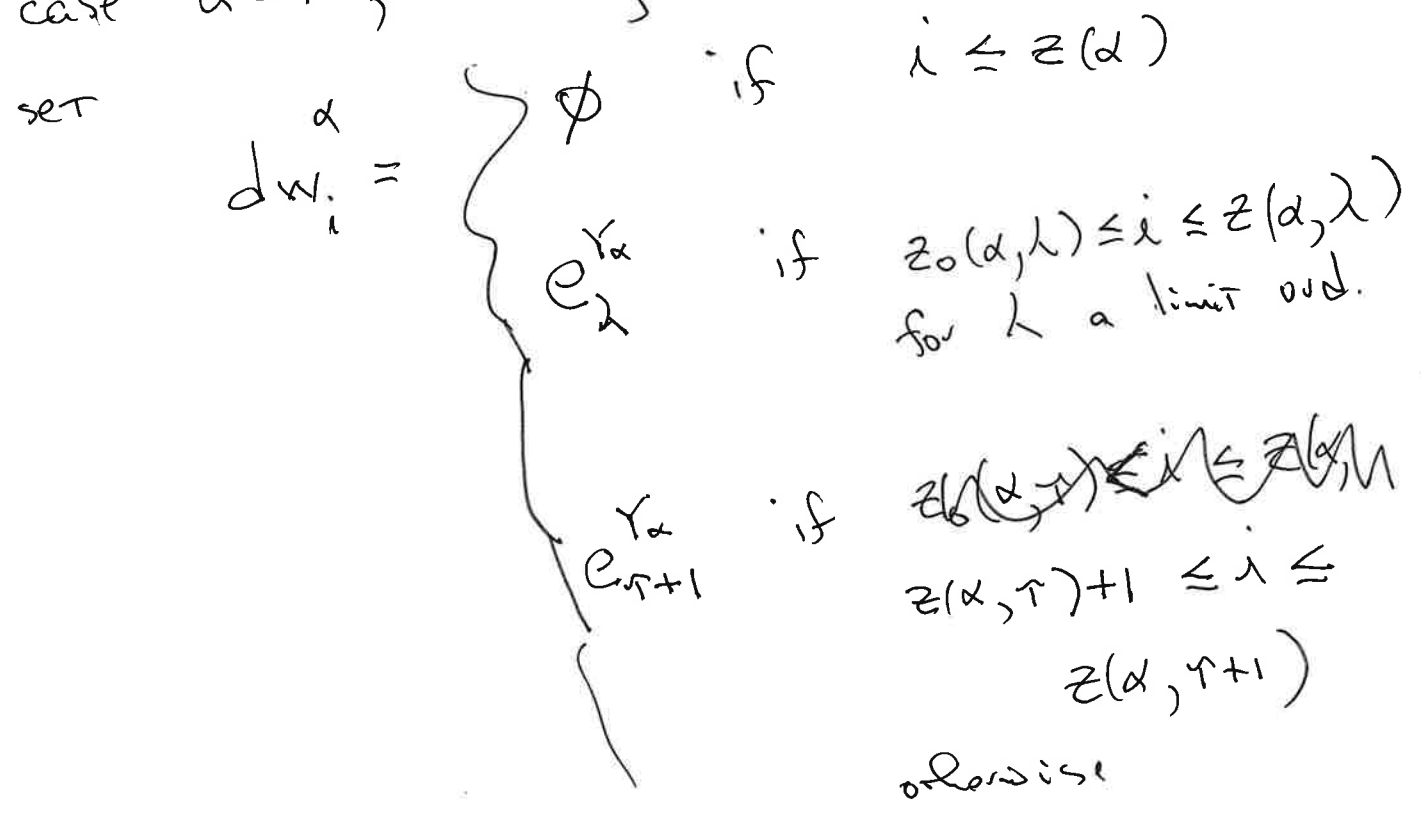
and $\hat{V}_{\alpha+1} = \lim_{\beta \in \beta_\alpha} \hat{V}_{\alpha, \beta}$. The reason was explained in the step to $(\hat{W}_\alpha, \hat{V}_\alpha)$: if we did that, we would be inflating by branches that are not tail equivalent, so the pairs (W_i, V_i) with $i > \sup_{\beta \in \beta_\alpha} Z(\alpha, \beta)$ might not be slow comparisons. The rule is that we only inflate by A-branches.

We haven't yet defined the F_i for $i < Z(\alpha+1)$ such that $i \neq Z(\alpha, \xi)$ for all ξ . Of course, they are blow-ups of extenders in ~~$\Sigma(\Delta^w)$~~ $\Sigma(\Delta^w)$ of \mathbb{R}_0 from F_i for $i \leq Z(\alpha)$. That these blow-ups fit together so that we get $(\hat{T})_{\alpha+1}$ we shall prove in the rest of this section.

§6.2 Definitions of $\hat{W}_{\alpha+1}^{\uparrow}$ and $\hat{V}_{\alpha+1}^{\uparrow}$

We define $\hat{W}_{\alpha+1}^{\uparrow}$ for $\uparrow \leq \alpha$, and its branch extensions dw_{\uparrow}^{\uparrow} for $\uparrow \leq z(\alpha+1)$. Similarly on the \downarrow -side. We start with $\uparrow = \alpha$, where $\hat{W}_{\alpha+1}^{\alpha}$ is essentially just

~~\hat{W}_{α}~~ the normal meta-meta-tree on \hat{W}_{α} induced by $\Upsilon_{\alpha}^{\uparrow}$. As in the case $\alpha=1$, letting $i < z(\alpha+1)$, we



Put $i \sim_{\alpha} j$ iff $dw_i^{\alpha} = dw_j^{\alpha}$.

and

$$[i]_\alpha = \{j \mid i \sim_\alpha j\}$$

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and

$$i \leq_\alpha j \text{ iff } d_{w_i}^\alpha \subseteq d_{w_j}^\alpha.$$

\leq^α is a quasi-tree order, and becomes a tree order isomorphic to $<_{T_\alpha}$ if we mod out by \sim^α . Let also

$$d_{w_{z(\alpha+1)}}^\alpha = \begin{cases} \gamma_\alpha \\ b_\alpha \end{cases}$$

and

$$d_{v_i}^\alpha = \begin{cases} d_{w_i}^\alpha & \text{if } i < z(\alpha+1), \\ e_{c_\alpha} & \text{if } i = z(\alpha+1). \end{cases}$$

So we get the same \leq^α and $[i]_\alpha$ using the $d_{v_i}^\alpha$'s. Note $[z(\alpha+1)]_\alpha = \{z(\alpha+1)\}$.

The $\dot{\Phi}_{\gamma_\alpha}$ are the embeddings along \leq^α -branches of $W_{\alpha+1}$. More precisely, for

$i < z(\alpha+1)$ set

$$\xi_i = \bigcap \text{unique } \tau \text{ such that } d_{w_i}^\alpha = d_{v_i}^\alpha = e_\tau^{\gamma_\alpha}.$$

So $[i]_\alpha = [z_0(\alpha, \xi_i), z(\alpha, \xi_i)]$. If

$i \leq^x j$ and $j < z(\alpha)$, then

$$\hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha = \hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha$$

We may sometimes just write $\hat{\Phi}_{ij}^\alpha$ for $\hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha$, which is not ambiguous because the \wedge on top indicates that it acts on blocks of trees, not single ones.

Letting $dw_i^\alpha \sim s = dw_j^\alpha$

we have

$$\hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha : W_{\alpha+1}^\alpha \upharpoonright \langle i \rangle \rightarrow W_{\alpha+1}^\alpha \upharpoonright \langle j \rangle$$

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comes from taking an s -ultrapower in some sense.

Note that s determines α , since $\text{Ext}(T_\alpha) \cap \text{Ext}(T_\beta) = \emptyset$ for $\alpha \neq \beta$. (We assume $s \neq \emptyset$.)

But then it determines dw_j^α , as its downward closure in V_α^{ext} , and hence dw_i^α , and hence $\langle i \rangle$ and

$\langle j \rangle$. So we can define

$$\hat{\Phi}_s = \hat{\Phi}_s^\alpha = \hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha = \hat{\Phi}_{\langle i \rangle, \langle j \rangle}^\alpha$$

More precisely, for any $\delta \in \Sigma_i J_\alpha$,

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$$W_{\alpha_{\Sigma_i, \Sigma_j}}^\alpha(\delta) = X(W_\delta^*, \delta).$$

let us also write

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$$u_s = u_s^\alpha = u_{\langle \xi_i, \xi_j \rangle}^\alpha$$

$$\left(\hat{\Phi}_s \right)_k = \left(\hat{\Phi}_{\langle \xi_i, \xi_j \rangle}^\alpha \right)_k \quad \text{for } k \in \text{dom}(u_s)$$

so that $\left(\hat{\Phi}_s \right)_k : W_k^* \rightarrow W_{u_s}(k)$ is a weak tree embedding. Let

$$\theta_s = \begin{cases} \theta_F + 1 & \text{if } F = s(\text{dom}(s)-1) \\ \sup \{ \theta_{s(m)} \mid m \in \text{dom}(s) \} & \text{if } \text{dom}(s) \text{ is a limit ordinal} \end{cases}$$

$(\theta_s = z_0(\alpha, \xi_j))$ and

$$\beta_s = u_s^{-1}(\theta_s),$$

$$\sigma_s = \sigma_{s(0)} (= z(\alpha, \xi_i)),$$

$$\tau_s = u_s(\sigma_s) (= z(\alpha, \xi_j)),$$

$$I_s = [\beta_s, \sigma_s],$$

and

$$J_s = [\theta_s, \tau_s].$$

So $u_s : I_s \xrightarrow[\text{op}]{\text{inl}} J_s$, and for $i \in I_s$,

$\left(\hat{\Phi}_s \right)_i : W_i^* \rightarrow W_{u_s(i)}$ is the weak tree

embedding corresponding to the fact that " $W_{\alpha}(i) = \text{Ult}(W_i^*, S)$ ".

Our definitions easily imply

Prop. 6.2.1 For any $i \in (z(\alpha), z(\alpha+1))$ there is a unique $s \in Y_\alpha^{\text{ext}}$ such that $i \in J_s$; moreover, $[i]_\alpha = J_s$ in this case, and $s = \text{dw}_i^\alpha$.

Now let us define dw_i^\uparrow and dv_i^\uparrow for $\uparrow < \alpha$ and $z(\alpha) < i \leq z(\alpha+1)$. Suppose first that $i < z(\alpha+1)$, and let

$$* [i]_\alpha = J_s$$

where $s \in Y_\alpha^{\text{ext}}$. Suppose first $s = e_{\uparrow+1}$

and let $F = E_\uparrow^{\uparrow}$.

We then have a unique $k \in I_F$ such that

$$i = z_F(k).$$

We have dw_k^\uparrow and dv_k^\uparrow by induction,

moreover $\text{ran}(\text{dw}_k^\uparrow) \cup \text{ran}(\text{dv}_k^\uparrow) \subseteq$

$\text{Ext}(W_k^*) \cap \text{Ext}(V_k^*)$ (by induction).

let \hat{P}_F act on $(W_k^*)^{ext}$.

$$P_F: Ext(W_k^*) \rightarrow Ext(W_i^*)$$

and

$$g_F: Ext(W_k^*) \rightarrow Ext(W_i^*)$$

be the maps of $\hat{\Phi}_F$ and Ψ_F . Let m

be least s.t. $\kappa_F < \lambda(dw_k^{\uparrow}(m))$ if such exists, and $m = \text{dom}(dw_k^{\uparrow})$ if none exists. Then

$$dw_i^{\uparrow} = \begin{cases} dw_k^{\uparrow} \sim \langle P_F(dw_k^{\uparrow}(n)) \mid n \geq m \rangle & \text{if } m < \text{dom}(dw_k^{\uparrow}) \text{ and } \kappa_F < \lambda(dw_k^{\uparrow}(m)) \\ dw_k^{\uparrow} \sim \langle F \rangle \sim \langle P_F(dw_k^{\uparrow}(n)) \mid n \geq m \rangle & \text{otherwise.} \end{cases}$$

dw_i^{\uparrow} is defined from dw_k^{\uparrow} and F in parallel fashion, using g_F .

~~Def 6.2.2~~ $i \sim j$ if $f \sim dw$

~~Prop 6.2.2~~ $dw_i^{\uparrow} = dw_j^{\uparrow}$

If $s = e_{\lambda}$ so $\lambda < lh(\chi_k)$ a limit, then we define dw_i^{\uparrow} by taking

the corresponding limit.

A more efficient way to describe Ext_i^r is:

let $S \in Y_\alpha^{\text{ext}}$ and let k_0 be such that

$\nu_S(k_0) = i$, and $i \in \overline{J_S}$. Let

\hat{p} be the \hat{p} -map of $(\hat{\Phi}_S)_{k_0}$, so that

$\hat{p}: \text{Ext}(W_{k_0}^+) \rightarrow \text{Ext}(W_i)$. We have

$$\text{ran}(\text{dwr}_{k_0}^+) \subseteq \text{Ext}(W_{k_0})$$

by induction. Then

$$\hat{p}''(\text{ran} \text{dwr}_{k_0}^+) \subseteq \text{ran}(\text{dwr}_i^+)$$

and the remaining extenders in dwr_i^+ are extenders in $\text{ran}(s)$ that have been inserted "as themselves".

Finally, let $\text{dwr}_{z(\alpha)}^+$ be the image of $\text{dwr}_{z(\alpha)}^+$ under the \hat{p} -map of $\hat{\Phi}_{e_{ba}^+}$, with appropriate extenders from e_{ba}^+ inserted as themselves. Similarly for $\text{dwr}_{z(\alpha+1)}^+$.

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One can show

Lemma 6.2.2

In particular,
 $dw_i^{\uparrow}(k)$ determines
 $dw_i^{\uparrow} \upharpoonright k$.

(a) $dw_i^{\uparrow} \equiv_{\text{tail}} dw_j^{\uparrow} \implies dw_i^{\uparrow} = dw_j^{\uparrow}$, and

similarly $dv_i^{\uparrow} \equiv_{\text{tail}} dv_j^{\uparrow} \implies dv_i^{\uparrow} = dv_j^{\uparrow}$

(b) $\forall i \forall j \exists j' (dw_i^{\uparrow} \upharpoonright j' = dw_j^{\uparrow})$, and
similarly for the \Downarrow -side.

(c) If $n \in \text{dom}(dw_i^{\uparrow}) \cap \text{dom}(dw_j^{\uparrow})$
and $dw_i^{\uparrow}(n) \neq dw_j^{\uparrow}(n)$, then

for all $m, m' \geq n$ such that
 $m \in \text{dom}(dw_i^{\uparrow})$ and $m' \in \text{dom}(dw_j^{\uparrow})$,
 $dw_i^{\uparrow}(m) \perp dw_j^{\uparrow}(m')$. Similarly on \Downarrow -side.

(d) Either $dw_i^{\uparrow} \equiv_{\text{tail}} dv_i^{\uparrow}$, or $\{j \mid dw_j^{\uparrow} = dw_i^{\uparrow}\} = \{i\} = \{j \mid dw_j^{\uparrow} = \text{tail}(dw_i^{\uparrow})\}$.

In (c), we use the notation
 $L \perp F$ for extenders E and F to mean
neither is an initial segment of the other

We omit the proof of 6.2.2 for now. Concerning (d), recall that for $\alpha = 1$ and $r = 0$, we have $dw_{z(i)}^0 = e_{b_0}^{r_0}$ and $dv_{z(i)}^0 = e_{c_0}^{r_0}$, so the two are not tail-equivalent. The tail-inequivalence can be inflated by agreeing branches $e_{\xi}^{r_i}$ for $\xi < lh(r_i)$, leading to

$$dw_{z(i), \xi}^0 \not\equiv_{\text{tail}} dv_{z(i), \xi}^0 \text{ for } 0 < \xi < lh(r_i).$$

(ξ must be a limit ordinal here. $\text{Cofinal inflation of a branch divergence in } (W_{z(i)}, V_{z(i)})$ is the only way to have $|[i]_{r_i}| = 1$.)

Def 6.2.3 $i \overset{r}{\sim} j$ iff $dw_i^r = dw_j^r$ $| [i]_{r_i} | = 1$

$$[i]_{r_i} = \{j \mid i \overset{r}{\sim} j\}.$$

By 6.2.2,
 $i \overset{r}{\sim} j$ iff $dw_i^r \equiv_{\text{tail}} dw_j^r$
 iff $dv_i^r \equiv_{\text{tail}} dv_j^r$
 iff $dv_i^r = dv_j^r$.

So 6.2.3 is justified in omitting "w" on the left-hand side. We can also omit reference to α , because \hat{W}_α^r will be extended by \hat{W}_β^r when $\alpha < \beta$.

Remark It is possible that both $dw_i^r \equiv_{\text{tail}} dw_i^r$ and $Li J_r = \{i\}$.

For example, let $r=0$ and $\alpha=1$ and $i = z(1, w)$, where $\text{crit}(e_w^{r_1}) > \delta(\gamma_0)$ and $w < lh(\gamma_0)$.

Def 6.2.4 $\text{Ext}(\hat{W}_\gamma^r) = \bigcup_{i \leq z(\gamma)} \text{ran}(dw_i^r)$, and $\text{Ext}(\hat{J}_\gamma^r) = \bigcup_{i \leq z(\gamma)} \text{ran}(dv_i^r)$,

Def 6.2.5 $(\hat{W}_\gamma^\tau)^{\text{ext}} = (\{dw_i^\tau \mid i \in z(\gamma)\}, \subseteq)$ 78

and $(\hat{V}_\gamma^\tau)^{\text{ext}} = (\{dv_i^\tau \mid i \in z(\gamma)\}, \subseteq)$.

For $s \in (\hat{W}_\gamma^\tau)^{\text{ext}}$, let

$$J_s^\tau = \{i \mid dw_i^\tau = s\}$$

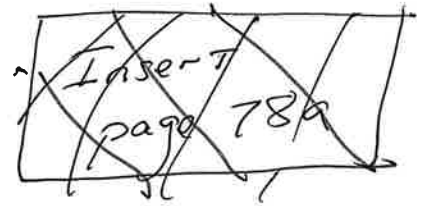
By 6.2.2
 these are trees.
 Also, if $s \in \hat{W}_\gamma^\tau$,
 then $s(k)$ determines
 $s \upharpoonright k$.

We can omit " γ " in specifying J_s^τ ,
 but not " τ ". What we called " J_s "
 before was defined in the special case
 that $s \in \mathcal{V}(T_\alpha)^{\text{ext}}$, and so is J_s^α .

We have

$$J_s^\tau = [i] J_s^\tau,$$

for all i s.t. $s = dw_i^\tau$.



Example 6.2.6 Let $F \in \text{Ext}(T_1)$ and $\beta_F = 0$.

Then ~~the~~ for $i = \theta_F + 1$, $dw_i^0 = dw_i^1 = \langle F \rangle$.

But $J_{\langle F \rangle}^0 = \{\theta_F + 1\}$, while $J_{\langle F \rangle}^1 = [\theta_F + 1, z_F(z(1))]$.

So $[\theta_F + 1]_0 \neq [\theta_F + 1]_1$.

Concerning the relationship between
the dw_i^α for varying α , we have

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Lemma 6.2.7 Let $\alpha < \beta \leq \alpha$ and $i \in Z(\alpha+1)$;

Then

$$(1) [i]_\alpha \subseteq [i]_\beta,$$

and

$$(2) dw_i^\beta \text{ fits into } dw_i^\alpha.$$

Proof By induction on α , with a subinduction on i . If we have it for $\alpha' < \alpha$, the new cases to consider involve $i \in \text{IT}$, $Z(\alpha) < i \leq Z(\alpha+1)$. Fix such an i , and let $dw_i^\alpha = E_{\alpha+1}^{Y_\alpha}$. (We leave the case that dw_i^α is of limit length to the reader; the relationships in (1) and (2) are preserved as we move i out in the relevant direct limits.)

Let $F = E_\alpha^{Y_\alpha}$, and

$$Z_F(k) = i.$$

Then $[k]_\alpha \subseteq [k]_\beta$ by induction, moreover

$$[k]_\alpha = Z_F^{-1}([k]_\alpha - \beta_F)$$

and

$$[i]_\beta = Z_F^{-1}([k]_\beta - \beta_F).$$

Thus $\Sigma \mathcal{I}_T \subseteq \Sigma \mathcal{I}_Y$, as desired.

(80)

For (2), we have that dw_k^δ fits into dw_k^τ by induction. Suppose first that

we have $G \in \text{ran}(dw_k^\delta)$ such that

$$\kappa_G < \kappa_F < \lambda_G.$$

In this case $\text{ran}(dw_i^\delta) = p_F'' \text{ran}(dw_k^\delta)$,

and since p_F preserves "fitting into" by 5.3, dw_i^δ fits into dw_i^τ . Suppose next there

is no such G ; then $\text{ran}(dw_i^\delta) =$

$p_F'' \text{ran}(dw_k^\delta) \cup \{F\}$. Since p_F preserves

fitting into, it is enough to see that F

fits into some $H \in \text{ran}(dw_i^\tau)$. But if

there is a $G \in \text{ran}(dw_k^\tau)$ s.t. $\kappa_G < \kappa_F < \lambda_G$,

then F fits into $p_F(G) \in \text{ran}(dw_i^\tau)$,

and otherwise $F \in \text{ran}(dw_i^\tau)$, so in either

case we're done.



Associated to the dw_i^τ there are embeddings acting on blocks of trees, just as we had when $\tau = \alpha$. Namely, if

$$dw_k^\tau \upharpoonright S = dw_i^\tau,$$

i.e. $[k]_\tau \prec^* [i]_\tau$ with S being a witness,

then we get

$$\Phi_{[k]_\tau, [i]_\tau}^\tau = \hat{\Phi}_S^\tau$$

where

$$\hat{\Phi}_S^\tau = \langle u_S^\tau, \langle \Phi_i^\tau \mid i \in I_S^\tau \rangle \rangle$$

with

$$u_S^\tau : I_S^\tau \xrightarrow[\text{op}]{\text{inv}} J_S^\tau$$

Rank See §4.4 for the defn of Φ_i^τ . See also 5.6.

and

$$\Phi_i^\tau : W_i^\tau \rightarrow W_{u_S^\tau(i)}$$

The weak tree embedding we get by composing all the $J(n)$ -ultrapower maps for $n \in \text{dom}(S)$.

Remark 6.2.8 Let us ignore the D-extensions for a moment, by assuming that there are none. (That could be the case.)

Then we can regard $\langle Y_{\gamma}^{\tau} b_{\gamma} / \tau \leq \gamma \leq \alpha \rangle$ as a stack of normal trees on \hat{W}_{τ} , and $\hat{W}_{\alpha+1}^{\tau}$ is the normalization of

$\langle Y_{\gamma}^{\tau} b_{\gamma} / \tau \leq \gamma \leq \alpha \rangle$. The dw_i^{τ} are the branch extender sequences of $\hat{W}_{\alpha+1}^{\tau}$.

Because we have padded the way we have we don't need to write $dw_i^{\tau, \alpha}$; the i tells you what the relevant α is.

Similarly, since $\alpha < \beta \Rightarrow \hat{W}_{\alpha}^{\tau}$ is an "initial segment" of \hat{W}_{β}^{τ} , we can just write \hat{W}^{τ} for the "post τ structure of \hat{W} ". Using this

notation, we have the heuristic formula

$$\hat{W}^\eta = X(Y_r^{-1} b_r, \hat{W}^{\eta+1}).$$

The formula explains why $dw_i^{\eta+1}$ fits into dw_i^η .
 Remark of course we also have $\hat{\psi}_s^\eta$ and $\hat{V}^\eta = X(Y_r^{-1} c_r, \hat{V}^{\eta+1})$.

The generalization of 5.7 is

Lemma 6.2.9 Let $\eta = \sup \{ \xi+1 \mid E_\xi^{w_i} \in \text{ran}(dw_i^0) \}$;

then

- (i) a tail of dw_i^0 fits ^{continually} into $e_\eta^{w_i}$, and
- (ii) if S is a branch of $(\hat{W}^0)^{\text{ext}}$ such that $\eta = \sup \{ \xi+1 \mid E_\xi^{w_i} \in \text{ran}(S) \}$, and η is a limit ordinal, then $S = dw_i^0$.

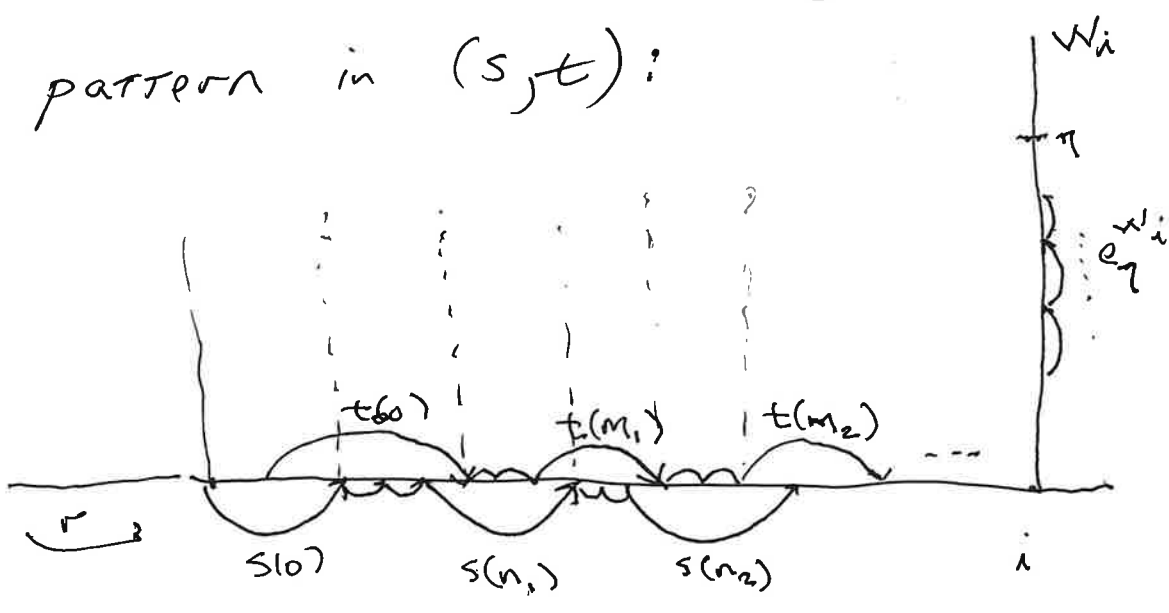
~~Moreover, the same is true on the γ -side.~~

and a tail of S fits continually into $e_\eta^{w_i}$, then ~~$S \neq dw_i^0$~~ , $S = dw_i^0$.

Moreover, the counterparts of (i) and (ii) hold for dv_i^0 .

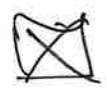
Proof For (i), see the proof of 5.7.

For the uniqueness clause (ii), by passing to tails we may assume we have a tail t of $d_{w_i}^0$ that fits into $e_\gamma^{w_i}$, and a branch $r \cap s$ of $(W^0)^{\text{ext}}$ s.t. $r \cap s$ fits into $e_\gamma^{w_i}$, and $s(0)$ is inconsistent with $t(0)$. We then get the zipper pattern in (s, t) :



We have $G \in \text{ran}(e_\gamma^{w_i})$ s.t. $s(0)$ fits into G , and $H \in \text{ran}(e_\gamma^{w_i})$ s.t. $t(0)$ fits into H . Since $s(0)$ and $t(0)$ are inconsistent (see 5.2), $G = H$. But then $s(n_1)$ fits into some $K \in \text{ran}(e_\gamma^{w_i})$, and since it is inconsistent with $t(0)$, $K = G$.

Proceeding by induction, all $t(m_i)$ and $s(m_i)$ fit into the fixed G . But this implies $\lambda_G > \lambda_{t(m)}$ for all m , so $G \notin \text{ran}(e_\eta^{w_i})$, contradiction.



We can sharpen 6.2.9 in the case that $e_\eta^{w_i}$ has a tail consisting of A -extenders.

Lemma 6.2.10 Let i be a limit ordinal, $\eta = \sup \{ \xi + 1 \mid E_\xi^{w_i} \in \text{ran}(dw_i^0) \}$, and suppose that s is a tail of $e_\eta^{w_i}$ such that every $F \in \text{ran}(s)$ is an A -extender; then s is a tail of dw_i^0 . Similarly on the v -side.

Proof Let $\lambda = \text{dom}(s)$, and for $1 \leq \alpha \leq \lambda$, let

$$\eta_\alpha = \sup \{ \xi + 1 \mid E_\xi^{w_i} \in \text{ran}(s \upharpoonright \alpha) \}$$

and

$$\theta_\alpha = \sup \{ \theta_F + 1 \mid F \in \text{ran}(s \upharpoonright \alpha) \}.$$

So $\eta_\lambda = \eta$ and $\theta_\lambda = i$. Notice that

$$W_{\theta_\nu} \upharpoonright \gamma_{\nu+1} = W_i \upharpoonright \gamma_{\nu+1}$$

for all $\nu < \lambda$, because the extenders in $\text{ran}(S \upharpoonright \nu)$ are cofinal in the extenders used on both sides. (This holds whether ν is a successor or a limit ordinal.) Let

$$d = \text{dw}_{\beta_{s(0)}}^0.$$

Claim 6.2.11 For $1 \leq \nu < \lambda$,

(a) $d \upharpoonright S \upharpoonright \nu = \text{dw}_{\theta_\nu}^0$, and

(b) $\theta_\nu = \beta_{s(\nu)}$.

Proof By induction on ν . Take first $\nu = 1$. We know $F = s(0)$, we have $\theta_1 = \theta_F + 1$ and $d = \text{dw}_{\beta_F}^0$. But $\text{dw}_{\theta_F+1}^0 = \text{dw}_{\beta_F}^0 \upharpoonright \langle F \rangle$, so we have (a). For (b), we must show that $\theta_F + 1 = \beta_{\alpha}$ where $\alpha = s(1)$.

The key is that F and G are used consecutively along a branch of the normal tree W_i . This implies $\lambda_F \leq \kappa_G$, and hence $\theta_F + 1 \leq \beta_G$. Note that F is used in all W_k for $k \in [\theta_F + 1, i]$, and G is used in all W_k for $k \in [\theta_G + 1, i]$.

Let

$$F = E_{\gamma}^{W_{\theta_F + 1}}$$

then

$$(*) \quad W_{\eta} \uparrow \gamma + 1 = W_{\eta'} \uparrow \gamma + 1 \quad \forall \eta, \eta' \in [\theta_F + 1, i],$$

so

$$(**) \quad \eta < \eta' \Rightarrow \lambda(H_{\eta'}) \leq \lambda(H_{\eta}),$$

where $H_{\xi} = E_{\gamma + 1}^{W_{\xi}} \quad \forall \xi \in [\theta_F + 1, i].$

By (*)

$$\lambda(H_{\eta + 1}) \leq \lambda(F_{\eta}) \quad \forall \eta \in [\theta_F + 1, i],$$

because $F_{\eta} \in \text{Ext}(W_{\eta + 1})$ and $F_{\eta} \neq E_{\gamma}^{W_{\eta + 1}}$ for $\eta < \gamma$.

Remark The " η " in the lines above is variable, it's not the η in the statement of 6.2.10, which has become η_2 .

But also

$$\kappa_G < \lambda(H_{\theta_G+1}),$$

because G is the next extender used after F on a branch of W_{θ_G+1} . Thus

$$\kappa_G < \lambda(F_\eta)$$

for all $\eta \in [\theta_{F+1}, \theta_G]$, which implies $\beta_G \leq \theta_{F+1}$, as desired.

This proves 6.2.11 when $\nu=1$. The general successor step is similar, so we omit it. Suppose now ν is a limit ordinal.

We have $e_{\eta_\nu}^{W_{\theta_\nu}} = e_{\eta_\nu}^{W_1}$ since $W_{\theta_\nu} \upharpoonright \eta_{\nu+1} = W_1 \upharpoonright \eta_{\nu+1}$,

and ST_ν is a tail of \tilde{W}_1 . Moreover $\tilde{\text{ST}}_\nu$ is a branch

of $\hat{W}^{0, \text{ext}}$ by (a) at $v' < v$. 83f

From 6.2.9 (ii) we get that

$d^\wedge s \cap v = d\omega_{\theta_v}^0$, so we have (a) of

Subclaim 6.2.11. For part (b),

let $G = S(v)$. Using that

$S(v) \cap \langle G \rangle$ is a ~~tail~~ segment of a branch of W_i , we get that

$B_G = \sup \{ \theta_{F+1} \mid F \in \text{ran}(S(v)) \}$ just as

in the successor step. This yields (b).

Subclaim 6.2.11

From the subclaim we get that $d^\wedge s$ is a branch of $\hat{W}^{0, \text{ext}}$. By 6.2.9 (ii), we have also that $d^\wedge s = e_{\eta_\lambda}^{w_i}$ as desired.

Lemma 6.2.10

§7. The limit step - outline

Because we cut to extenders of length $\leq \delta(Y_\alpha)$, the successor step did not produce an embedding from \hat{W}_α to $\hat{W}_{\alpha+1}$. When we get to limit λ , we'd like to set $\hat{W}_\lambda = \lim_{\alpha < \lambda} \hat{W}_\alpha$, but it's not clear what sort of limit to take. We outline the solution to this problem now.

Set

$$\hat{W}_\lambda^0 = \bigcup_{\alpha < \lambda} \hat{W}_\alpha$$

and

$$\hat{V}_\lambda^0 = \bigcup_{\alpha < \lambda} \hat{V}_\alpha,$$

where the "union" comes from the fact that $\alpha < \beta \Rightarrow \hat{W}_\alpha$ is extended by \hat{W}_β , and similarly for the \hat{V} 's. Let

$$z_0(\lambda) = \sup_{\alpha < \lambda} z(\alpha),$$

and let \mathcal{A}^w and \mathcal{A}^v be the common part trees, i.e.

$$\tilde{\mathcal{T}} \triangleq \mathcal{A}^w \text{ iff for all sufficiently large } \xi < z_0(\lambda), \tilde{\mathcal{T}} \triangleq W_\xi^w$$

and

$$\tilde{\mathcal{T}} \triangleq \mathcal{A}^v \text{ iff for all sufficiently large } \xi < z_0(\lambda), \tilde{\mathcal{T}} \triangleq V_\xi^v.$$

Then $(\mathcal{A}^w, \mathcal{A}^v)$ is a slow comparison. Set

$$b = \Sigma(\mathcal{A}^w),$$

$$c = \Delta(\mathcal{A}^v),$$

and

$$W_{z_0(\lambda)}^w = \mathcal{A}^w \wedge b,$$

$$V_{z_0(\lambda)}^v = \mathcal{A}^v \wedge c.$$

If $b \neq_{\text{tail}} c$, then we can stop here,

and set $\hat{W}_\lambda = \hat{W}_\lambda^0 \wedge \langle W_{z_0(\lambda)}^0 \rangle$ and

$\hat{V}_\lambda = \hat{V}_\lambda^0 \wedge \langle V_{z_0(\lambda)}^0 \rangle$. We have that ~~both~~

$(W_{z_0(\lambda)}^0, V_{z_0(\lambda)}^0)$ is both a slow comparison and a branch divergence. Moreover, the

usual reflection argument shows that this can't be what's happening at stationary

many λ . [It is happened at ω_1 ,

letting $\pi: H \rightarrow V$ with $\text{crit}(\pi) = \lambda$,

we'd have $b \equiv_{\text{tail}} c$ for b and c the

branches of the common part tree chosen by

Σ and Λ . This is because their extender

sequences $e_b^{\omega_1}$ and $e_c^{\omega_1}$ would be consistent

with π . But then $b \not\equiv_{\text{tail}} c$ by

elementarity.]

So let us assume $b \equiv_{\text{tail}} c$.

Insert 87a

By 6.2.9⁽ⁱⁱ⁾, we have branches s and t of \hat{W}_λ^0 and \hat{V}_λ^0 determine by $e_\lambda^{W_{z_0}^0}$ and $e_c^{V_{z_0}^0}$. The \hat{W}^0 and \hat{V}^0 systems now choose those as $dw_{z_0}^0$ and $dv_{z_0}^0$. By 6.2.7 (2), these in turn determine branches $dw_{z_0}^\tau$ and $dv_{z_0}^\tau$ for all $\tau < \lambda$. The tail agreements propagate, so

$$dw_{z_0}^\tau \equiv_{\text{tail}} dv_{z_0}^\tau$$

for all $\tau < \lambda$. This means that for each $\tau < \lambda$ we can lift \hat{W}_τ along the agreeing tail of $dw_{z_0}^\tau$ and $dv_{z_0}^\tau$.

Insert from p. 87

87a

Lemma 6.2.9 just applied to the finite stages \hat{W}_n, \hat{Z}_n for $n < \omega$ so far. Moreover, it was just the uniqueness part, ~~at the~~ showing that at most one branch of $(W^0)^{\text{ext}}$ fits into e_b^{dw} on a tail. We also need to prove existence.

Lemma 6.2.9 (i) proves existence by using the way a cotinal branch b of X generates a branch of $X(\hat{a}, Y^{\wedge} b)$. At the general limit steps we need to go in the other direction, from a branch of X to a branch of Y .

No result is ~~an~~ systems we call

~~W_2~~ \hat{W}_2^w and ~~v_2~~ \hat{v}_2^v that extend ~~W_2^0~~ \hat{W}_2^0 and

~~v_2^0~~ \hat{v}_2^0 , and look like them. All W_i for

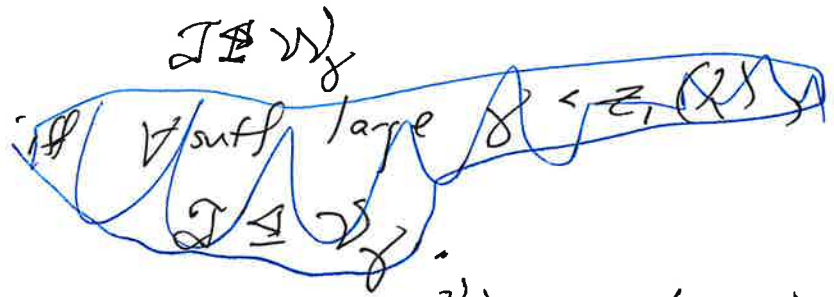
$i \in [z_0(\lambda), z_1(\lambda)]$ extend $\hat{D}_0^w \wedge b_0$, and all v_i

for $i \in [z_0(\lambda), z_1(\lambda)]$ extend $\hat{D}_0^v \wedge c_0$. Let $\hat{D}_1^w,$

\hat{D}_1^v be the common part, i.e.

$$\hat{D}_1^w \subseteq \hat{D}_1^v \text{ and } \forall \text{ suff large } \gamma < z_1(\lambda),$$

~~\hat{D}_1^w and \hat{D}_1^v~~



and similarly for \hat{D}_1^v .

Let $b_1 = \hat{z}(\hat{D}_1^w)$ and $c_1 = \hat{\Lambda}(\hat{D}_1^v)$, and now repeat the previous round. ~~The~~ The one wrinkle is

that we may have $e_{b_1}^w \notin \text{tail } e_{c_1}^v$

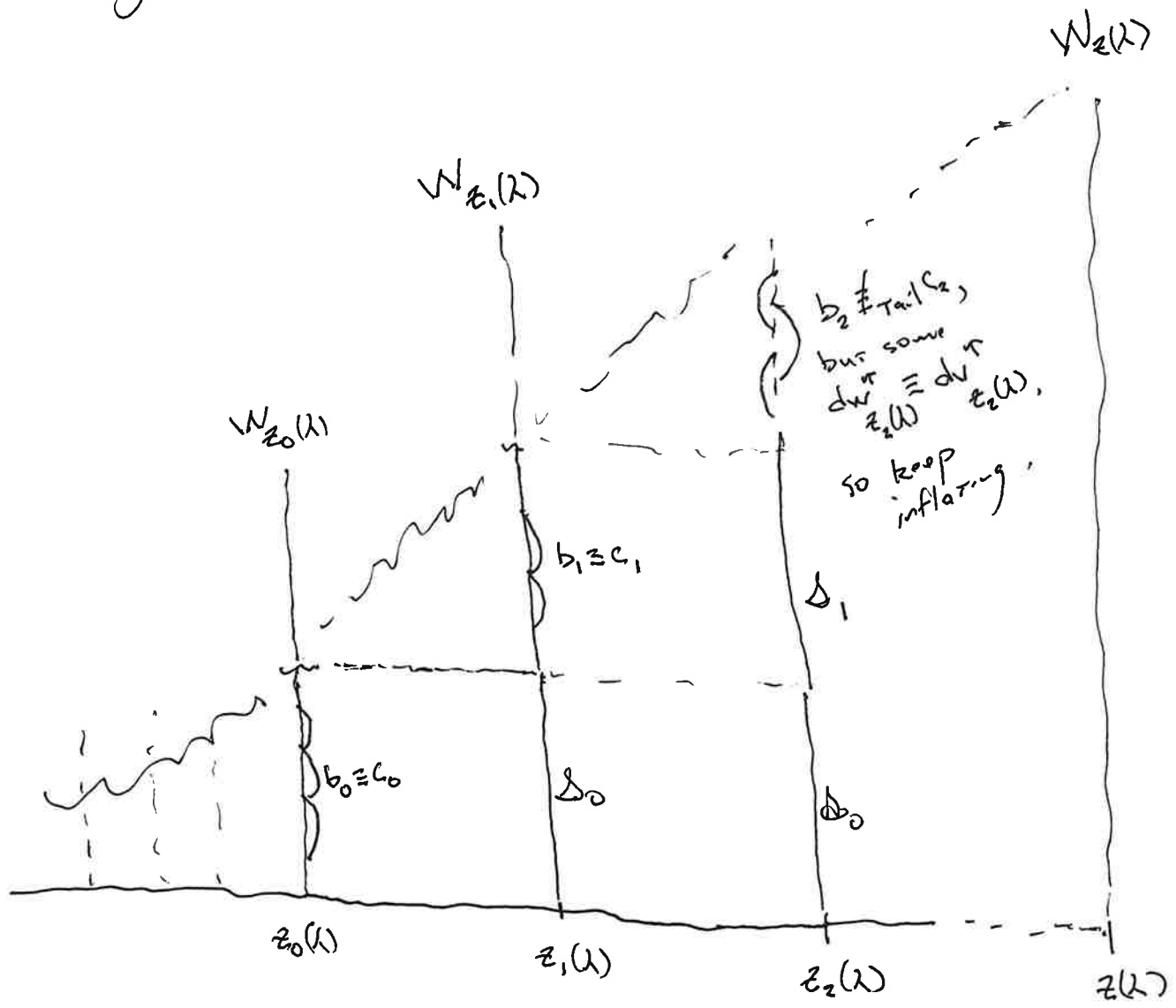
but some τ s.t. (using $e_{b_1}^w$ and $e_{c_1}^v$) to determine $d_{z_1(\lambda)}^w$ and $d_{z_1(\lambda)}^v$),

$$d_{z_1(\lambda)}^w \equiv_{\text{tail}} d_{z_1(\lambda)}^v$$

In that case, we lift by all the agreeing tails

Corresponding to $T' \geq T$.
 A diagram is

(89)



This "completion process" can go into the transfinite,
 but it must halt in countably many stages. (Since
 no ^{new} D-extendors are being added from outside,
 we are getting canonical maps of $z_0(k)$ onto all
 the $z_\alpha(k)$.) We let $(W_{z(k)}, z(k))$ be
 the last slow comparison so produce this way.
 We've stopped because there is ~~not~~ no $\tau < \lambda$
 such that $dw_{z(k)} \equiv_{\text{tail}} dw_{z(k)}$.

Note The next section, § 8, comes from an earlier version. It needs to be re-written, but it is basically correct.

§8

~~§8.1~~ Definition of \hat{W}_ω and \hat{Z}_ω .

We have defined the \hat{W}_n and \hat{Z}_n for n finite. The definition of \hat{W}_ω and \hat{Z}_ω is much like the general limit case, but we isolate it for now. Let

$$\hat{W}_\omega^0 = \bigcup_{n \in \mathbb{N}} \hat{W}_n, \text{ and } z_0(\omega) = \sup_n z(n),$$

and
$$\hat{Z}_\omega^0 = \bigcup_{n \in \mathbb{N}} \hat{Z}_n.$$

Let \mathcal{J}^ω be the common part tree:

$$\mathcal{J} \triangleq \mathcal{J}^\omega \text{ iff for all sufficiently large } n,$$

$$\mathcal{J} \triangleq W_{z(n)}.$$

Similarly for \mathcal{J}^ω . $(\mathcal{J}^\omega, \mathcal{J}^\omega)$ is ^{almost} a skew comparison, but the last branch is missing.

It is easy to see that \mathcal{J}^ω has finite length. Let

$$W_{z_0(\omega)}^0 = \mathcal{J}^\omega \cap Z(\mathcal{J}^\omega)$$

$$Z_{z_0(\omega)}^0 = \mathcal{J}^\omega \cap \Omega(\mathcal{J}^\omega).$$

If $Z(\mathcal{J}^\omega) \neq_{\text{tail}} \Omega(\mathcal{J}^\omega)$ (i.e. they don't use the same exponents on a tail), then we are done. So set

two branches

$$z_0(\omega) = z(\omega),$$


$$W_{z(\omega)} = W_{z_0(\omega)}^0,$$

$$V_{z(\omega)} = V_{z_0(\omega)}^0,$$

and $\hat{W}_\omega = \hat{W}_\omega^0 \wedge \langle W_{z(\omega)} \rangle,$

$$\hat{V}_\omega = \hat{V}_\omega^0 \wedge \langle V_{z(\omega)} \rangle.$$

$(W_{z(\omega)}, V_{z(\omega)})$ is a slow comparison and a branch divergence, and our other inductive hypotheses are met.

So suppose $Z(\mathcal{S}) \equiv_{\text{tail}} \Lambda(\mathcal{S})$. Let $\lambda = h(\mathcal{S})$, and $\eta <^{\omega_\omega} \lambda$, $\eta <^{2\omega} \lambda$ be st. η begins an agreeing tail, i.e. $\Sigma(\eta, \lambda)_{\omega_\omega^0} = \Sigma(\eta, \lambda)_{\omega_\omega^0}$. Let S enumerate the extenders used in this tail in incre. order. Our goal now is to show that S determines a common cofinal-in- $z_0(\omega)$ ~~model~~ path in \hat{W}_ω^0 and \hat{V}_ω^0 .  ~~in that it is the sequence of~~

We shall use the proof of Lemma 6.2.10 for this. Let $\lambda = \text{dom}(S)$, and for $1 \leq \nu < \lambda$

$$\eta_\nu = \sup \{ \xi + 1 \mid \exists \xi \in \text{ran}(S \upharpoonright \nu) \}$$

and

$$\theta_\nu = \sup \{ \theta_F + 1 \mid F \in \text{ran}(S \upharpoonright \nu) \}.$$

Set $\eta_\lambda = \text{lh}(W_{z_0(w)}^0)$ and $\theta_\lambda = z_0(w)$. Let

$$d = dW_{\beta_{S(0)}}^0$$

$$c = dV_{\beta_{S(0)}}^0$$

Lemma 8.1.1 For $1 \leq \nu < \lambda$,

(a) $d \upharpoonright S \upharpoonright \nu = dW_{\theta_\nu}^0$,

(b) $\theta_\nu = \beta_{S(\nu)}$, and

(c) $c \upharpoonright S \upharpoonright \nu = dV_{\theta_\nu}^0$.

Proof This was proved in 6.2.11.



We defined $\theta_2 = z_0(\omega)$; this is justified by

Lemma 8.2 $\{ \theta_F + 1 \mid F \in \mathcal{A}_n(s) \}$ is cofinal in $z_0(\omega)$.

Proof Let $\gamma = \sup \{ \theta_F + 1 \mid F \in \mathcal{A}_n(s) \}$, and suppose toward contradiction that $\gamma < z_0(\omega)$. Then all $F \in \mathcal{A}_n(s)$ are used in W_γ .

so since W_γ and W_w^0 are both by Z and use the same extenders (those in $\text{ran}(s)$) cofinally - in - $\text{lh}(A)$ often,

$W_w^0 \trianglelefteq W_\gamma$. But then $W_w^0 \trianglelefteq W_\eta$ for

$\delta \leq \eta < z_0(w)$. However, let

$$\eta+1 = \text{lh}(W_w^0),$$

and consider the extender $E_\xi^{W_\eta}$ for

$\eta \geq \delta$. We have

$$\delta \leq \eta \leq \delta < z_0(w) \Rightarrow \text{lh}(E_\xi^{W_\eta}) \geq \text{lh}(E_\xi^{W_w^0}).$$

So $E_\xi^{W_\eta}$ can only change finitely often at $\eta \geq \delta$. Its eventual value is then in

the common part $\text{Ext}(A)$, contrary to

$$\text{Ext}(A) = \text{Ext}(W_w^0).$$



By 8.1.1 and 8.2, $d^{\wedge} S$ is a cofinal branch of $(\hat{W}_{z_0}^{\wedge, \text{EXT}}, \underline{c})$ and $c^{\wedge} S$ is a cofinal branch of $(\hat{V}_{z_0}^{\wedge, \text{EXT}}, \underline{c})$.

We set

$$d_{z_0(\omega)}^{\wedge} = d^{\wedge} S,$$

and

$$d_{z_0(\omega)}^{\vee} = c^{\wedge} S.$$

These are then the unique cofinal branches that fit into the branches of $W_{z_0(\omega)}^{\wedge}$ and $V_{z_0(\omega)}^{\vee}$ that are chosen by Σ and Λ .

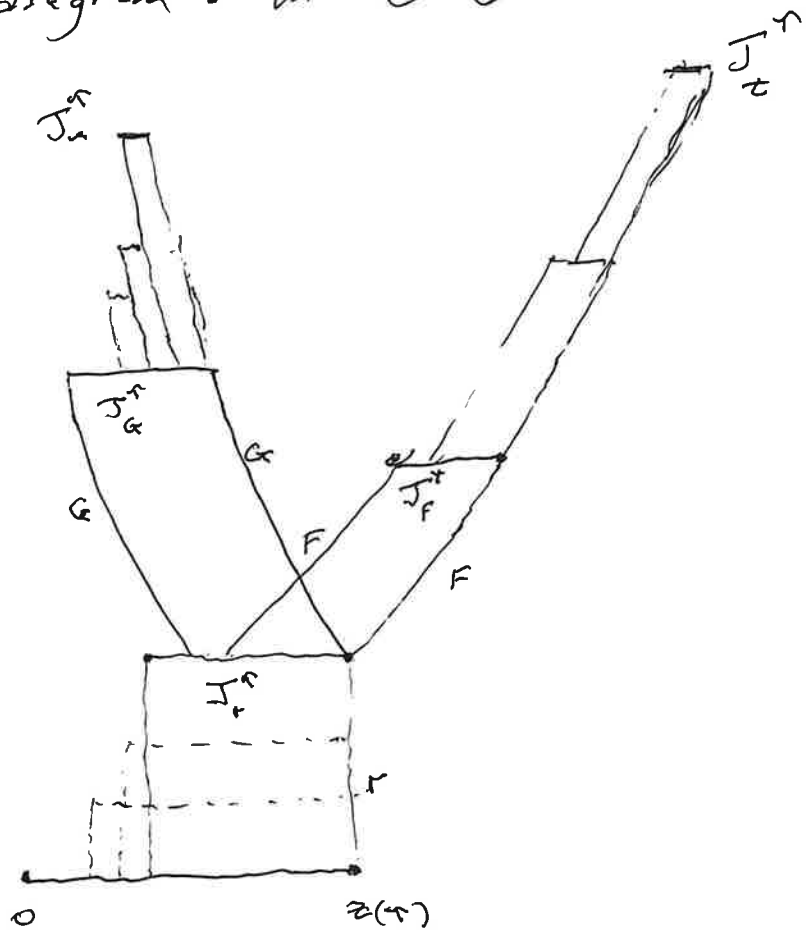
We show now that for $\uparrow \leq \omega$ there are unique cofinal branches of $W_{\omega}^{\wedge, \uparrow, \text{EXT}}$ and $V_{\omega}^{\vee, \uparrow, \text{EXT}}$ that fit into $d_{z_0(\omega)}^{\wedge}$ and $d_{z_0(\omega)}^{\vee}$.

Lemma 8.3 Let $t, u \in W_{\omega}^{\wedge, \uparrow, \text{EXT}}$ where $\uparrow \leq \omega$, and suppose $\text{In} \in \text{dom}(t) \cap \text{dom}(u)$ ($t(\text{In}) \neq u(\text{In})$); then $J_t^{\uparrow} \cap J_u^{\uparrow} = \emptyset$.

$L_0 \rightarrow$
 $t = r \wedge \langle F \rangle \wedge t_0$
 and
 $u = r \wedge \langle G \rangle \wedge u_0$

where $F \neq G$. Say $F = F_\gamma$ and $G = F_\delta$ where $\gamma < \delta$.

Here is a diagram of the horizontal



The horizontal lines represent inflations J_v^r of \hat{W}_r along initial segments v of t or u . $J_G^r \cap J_F^r = \emptyset$ because G was used strictly after F in the inflation process, so $J_F^r \subseteq \Theta_G$ and $\text{min}(J_G^r) = \Theta_G + 1$.

We now show inductively that the disjointness propagates. For the limit step, note that if λ, λ' are limit ordinals, then $\sup \{ \Theta_H \mid H \in \text{ran}(t_0 \upharpoonright \lambda) \} \neq \sup \{ \Theta_H \mid H \in \text{ran}(u_0 \upharpoonright \lambda') \}$. (Otherwise, letting $\lambda = \sup \{ \Theta_H \mid H \in \text{ran}(t_0 \upharpoonright \lambda) \}$,

$dw_i^r \leq t$ and $dw_i^r \leq u$ by the proof of 6.2.2 (b).

Remark $J_t^r \cap J_u^r = \emptyset$ implies that if $i+1 \in J_t^r$ and $k+1 \in J_u^r$, then $F_i \neq F_k$.

So A-extensions used in \hat{W}_r are inflated differently along incompatible branches of \hat{W}^r .

Lemma 8.3 fails if we allow $t \in \hat{W}_w^{r, ext}$ and $u \in \hat{V}_w^{r, ext}$. Take $r=0$, $t = dw_{z(1)}^0$ and $u = dv_{z(1)}^0$; then $J_t^0 = \{z(1)\} = J_u^0$. Lemma 8.4 says this is the only sort of counterexample.

Lemma 8.4 Let $t \in \hat{W}_w^{r, ext}$ and $u \in \hat{V}_w^{r, ext}$, where $r < w$, and suppose $J_t \cap \text{dom}(u) \cap \text{dom}(t) \neq \emptyset$ ($t(n) \neq u(n)$); then either

- (a) $J_t^r \cap J_u^r = \emptyset$, or
- (b) $J_t^r = J_u^r = \{i\}$, for some limit ordinal i .

Proof Let $t = dw_i^\tau$, so that

$J_t^\tau = \{i\} \cup J_\tau$. We are assuming $J_t^\tau \cap J_u^\tau \neq \emptyset$,

as otherwise (a) holds. But then


$J_u^\tau = \{i\} \cup J_\tau$, as we saw after 6.2.3. So

$$dw_i^\tau = J_t^\tau = \{i\} \cup J_\tau = J_u^\tau = dv_i^\tau.$$

By 6.2.2 (d), either $\{i\} \cup J_\tau = \{i\}$ and

we're done, or $dw_i^\tau \equiv_{\text{tail}} dv_i^\tau$. But

$t \not\equiv_{\text{tail}} u$, so $dw_i^\tau \not\equiv_{\text{tail}} dv_i^\tau$, so

we're done. 

Remark We need to fill in the proof
of 6.2.2 (d).

Lemma 8.5 Let $r < w$; then there is exactly one cotinal branch of $\hat{W}_w^{r, \text{ext}}$ that fits into $dW_{z_0(w)}^0$, and exactly one cotinal branch of $\hat{V}_w^{r, \text{ext}}$ that fits into $dV_{z_0(w)}^0$.

Proof Let

$$F_{i-1}, F_{k-1} \in \text{ran}(S)$$

with $i < k$. We shall show that $dW_i^r \subseteq dW_k^r$, and similarly on the \mathcal{V} -side. The desired branch on the \mathcal{W} -side is then

$$d = \bigcup_{F_{i-1} \in \text{ran}(S)} dW_i^r$$

By 6.2.7 (2), d fits into $\bigcup_{F_{i-1} \in \text{ran}(S)} dW_i^0 =$

$$dW_{z_0(\lambda)}^0$$

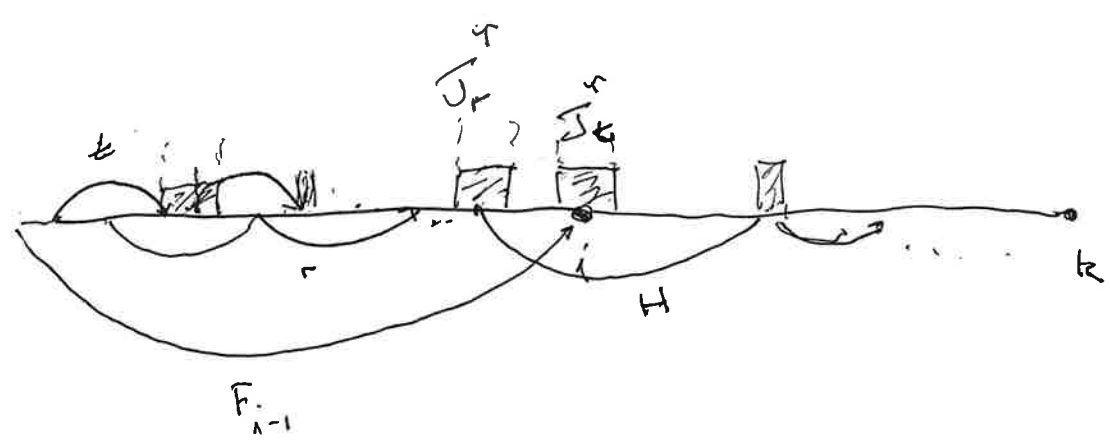
Let $\tau = \text{dom}_i^r$ and $u = \text{dom}_k^r$, and suppose that $J \in \text{dom}(\tau) \cap \text{dom}(u)$ ($\tau(n) \neq u(n)$).

Let

$$r = \text{longest } r \text{ s.t. } J_r \subseteq i.$$

We have $i \in J_\tau^r$, and $J_r^r \cap J_r^r$ by 8.4.

The picture is (collapsing the vertical lines for W_σ^i 's in our usual diagram)



In the picture, $H = u(\text{dom}(r))$. It has to cross F_{i-1} because it is inflating a tail of J_r^r and $J_r^r \subseteq i$. That is, H does not fit into F_{i-1} , and therefore does not fit into any $F \in \text{ran}(\text{dw}_{\varepsilon_0}^0(\mathcal{W}))$.

Contradiction,



In the same vein

Lemma 8.4.1 If $s, t \in W^{\delta, \text{ext}}$ and $s(n) = t(m)$, then $n = m$ and $s \upharpoonright n = t \upharpoonright m$.

Proof. Like 8.4. □

So we can define

Def 8.4.2 Let $F \in \text{Ext}(W^{\uparrow})$;

then
$$\hat{\Phi}_F^{\uparrow} = \langle z_F, \langle \frac{\Phi^{\uparrow}}{-i} \mid i \in I_F^{\uparrow} \rangle \rangle,$$

where z_F is as defined on p. 81, with $dw_k^{\uparrow} \cap \langle F \rangle = dw_i^{\uparrow}$. (There are unique such k and i by 8.4.1.) Let

$$J_F^{\uparrow} = z_F \cdot I_F^{\uparrow}.$$

(So $J_F^{\uparrow} = J_{dw_i^{\uparrow}}^{\uparrow}$ in the sense defined before.)

Def 8.4.3 If F is an A -extender,
then $I_F = \bigcup_{\gamma} I_F^{\gamma}$ and $J_F = \bigcup_{\gamma} J_F^{\gamma}$.

It's easy to see that if $\gamma < \gamma'$,
then I_F^{γ} is an initial segment of $I_F^{\gamma'}$
and J_F^{γ} is an initial segment of $J_F^{\gamma'}$,
but the inclusions can be proper.

Example: Let $F \in \text{Ext}(Y_{\gamma+1})$ and $\beta_F < z(\gamma)$;
then $J_F^{\gamma} = [\theta_{F+1}, z_F(z(\gamma))]$ and $J_F^{\gamma+1} = [\theta_{F+1}, z_F(z(\gamma+1))]$.

If F is an atomic A -extender, then
 I_F and J_F are just what we defined in
§ 6.1 (page 62). We have now extended the
defn to arbitrary A -extenders,

Definition 8.5.1 For $\gamma < \omega$

$$dw_{z_0(\omega)}^\gamma = \text{unique cotinal branch of } \hat{W}_\omega^{\gamma, \text{ext}} \text{ that fits into } dw_{z_0(\omega)}^0,$$

and

$$dv_{z_0(\omega)}^\gamma = \text{unique cotinal branch of } \hat{V}_\omega^{\gamma, \text{ext}} \text{ that fits into } dv_{z_0(\omega)}^0.$$

Lemma 8.5.2

The proof of 8.5 shows that $dw_{z_0(\omega)}^\gamma \equiv_{\text{tail}} dv_{z_0(\omega)}^\gamma$.

To repeat it: Suppose not, and let t and u be tails of $dw_{z_0(\omega)}^\gamma$ and $dv_{z_0(\omega)}^\gamma$ that fit into S , where S is a tail of $dw_{z_0(\omega)}^0$ and of $dv_{z_0(\omega)}^0$, and such that $\text{ran}(t) \cap \text{ran}(u) = \emptyset$. Let $F_{i-1} \in \text{ran}(S)$ be such that $t(0)$ fits into F_{i-1} , and let k be largest s.t. all $G \in \text{ran}(t|_k)$ fit into F_{i-1} . Thus

$$\varepsilon_i J_\gamma = \bigvee_{t|_k}^\gamma.$$

Let l be largest s.t. for all $G \in \text{ran}(u|_l)$, $G \varepsilon_k \theta_G < i-1$.

We apply Lemma 8.4 now: since

$J_n(\text{trk})(n) \neq (\text{url})(n)$, and (b) of the conclusion fails because $i \in J_{\text{trk}}^\uparrow$

and i is a successor ordinal, we get that $J_{\text{trk}}^\uparrow \cap J_{\text{url}}^\uparrow = \emptyset$. But

this implies $J_{\text{url}}^\uparrow \subseteq i-1$, and $H = \text{url}$

must have been such that $\beta_H < i-1 < \theta_H$,

so $\kappa_H < \lambda_{F_{i-1}} < \lambda_H$, so H doesn't fit

into any $G \in \text{ran}(S)$, contradiction.

Lemma 8.5.2 ~~□~~

From this we get

Lemma 8.6 There is a $\gamma < \omega$ such that for

all $\tau \in [\gamma, \omega)$, $dW_{z_0(\omega)}^\tau = dV_{z_0(\omega)}^\tau$, and

$dW_{z_0(\omega)}^\tau$ fits into S .

Proof

Let $dw_{z_0}^0(w) = t^{\wedge} S$ and

$dv_{z_0}^0(w) = u^{\wedge} S$. Let $\gamma < \omega$ be large

enough that for all $G \in \text{ran}(t) \cup \text{ran}(u)$, $\theta_G < z(\gamma)$. (This is true if $\theta_{S(w)} < z(\gamma)$.)

~~Since~~ Let $r \geq \gamma$. Since $dw_{z_0}^r(w)$ fits into $dw_{z_0}^0(w)$, every $G \in \text{ran}(dw_{z_0}^r(w))$ fits into some $F \in \text{ran}(t) \cup \text{ran}(u)$. But

$r \geq \gamma$, so $\theta_G \geq z(\gamma)$, so we must have

$F \in \text{ran}(S)$. So $dw_{z_0}^r(w)$ fits into S ,

and similarly $dv_{z_0}^r(w)$ fits into S . By the

proof of 8.5.2, we get $dw_{z_0}^r(w) = dv_{z_0}^r(w)$.



Recall the "meta-tree embeddings"

$\hat{\Phi}_a^\top$, defined when there are i, k

such that $dw_k^\top \cap a = dw_i^\top$:

$$\hat{\Phi}_a^\top = \langle U_a^\top, \langle \Phi_i^\top \mid i \in \mathcal{I}_a^\top \rangle \rangle$$

with

$$U_a^\top : \mathcal{I}_a^\top \xrightarrow[\text{o.p.}]{\text{onto}} \mathcal{J}_a^\top$$

and

$$\Phi_i^\top : W_i^* \longrightarrow W_{U_a^\top(i)}$$

a weak tree embedding. Our notation was

$$\begin{aligned} \theta_a^\top &= \sup \{ \theta_G^\top \mid G \in \text{ran}(a) \} \\ &= \min(\mathcal{J}_a^\top) \end{aligned}$$

and

$$\begin{aligned} \beta_a^\top &= (U_a^\top)^{-1}(\theta_a^\top) \\ &= \min(\mathcal{I}_a^\top). \end{aligned}$$

These were defined for $i < z_0(w)$,

but now they also make sense for $i = z_0(\omega)$. In parallel fashion we have $\hat{\Psi}_a^\uparrow$ when $dv_m^\uparrow \wedge a = dv_n^\uparrow$, defined when $n \leq z_0(\omega)$ now:

$$\hat{\Psi}_a^\uparrow = \langle u_a^\uparrow, \langle \hat{\Psi}_i^\uparrow \mid i \in I_a^\uparrow \rangle \rangle.$$

When both $dv_m^\uparrow \wedge a = dv_n^\uparrow$ and $dw_i^\uparrow \wedge a = dw_k^\uparrow$, then $i = m, k = n$, and $\hat{\Phi}_a^\uparrow$ and $\hat{\Psi}_a^\uparrow$ have the same I_a^\uparrow , $J_a^\uparrow, u_a^\uparrow$ associated, which is why we can specify them using only a and \uparrow .

The proof of the following lemma is a key element.

Lemma 8.7 Let $\gamma < \omega$ be such that $d_{z_0(\omega)}^\gamma = d_{z_0(\omega)}^\gamma$ for all $\gamma \geq \gamma$, and let $d = d_{z_0(\omega)}^{\gamma+1}$; then $\beta_d < z(\gamma+1)$.

Remark So $I_d^{\gamma+1} = [\beta_d, z(\gamma+1)]$ gets mapped by $\hat{\Phi}_d^{\gamma+1}$ to $[z_0(\omega), \rho] = \hat{V}_d^{\gamma+1}$, where $z_0(\omega) < \rho$. Thus $\hat{\Phi}_d^{\gamma+1}$ and $\hat{\Psi}_d^{\gamma+1}$ inflate tails of $\hat{W}_{\gamma+1}$ and $\hat{V}_{\gamma+1}$ to proper extensions of \hat{W}_ω^0 and \hat{V}_ω^0 .

Proof Let $d^\gamma = d_{z_0(\omega)}^\gamma = d_{z_0(\omega)}^\gamma$, and $d^{\gamma+1} = d_{z_0(\omega)}^{\gamma+1} = d_{z_0(\omega)}^{\gamma+1}$.

Let

$$p: \text{Ext}(\mathbb{Y}_\gamma) \rightarrow \text{Ext}(\hat{W}_w^{\gamma+1})$$

be the inflationary map corresponding to the fact that

$$\hat{W}_w^{\gamma} \cap d^{\gamma} = X(\mathbb{Y}_\gamma \cap b_\gamma, \hat{W}_w^{\gamma+1} \cap d^{\gamma+1}).$$

(This is heuristic; we haven't defined the X-operation formally, and we are ignoring D-extenders when we write this formula.)

Let

$$a = \text{longest } a \in (\mathbb{Y}_\gamma \cap b_\gamma)^{\text{ext}} \text{ such that } \hat{p}(a) \sqsubseteq d^{\gamma}.$$

Here $\hat{p}(a)$ is the downward closure of $p'' \text{ran}(a)$ in the extender tree $(\hat{W}_w^{\gamma} \cap d^{\gamma})^{\text{ext}}$.

Claim 8.7.1 $\sup \{ |h(E)| \mid E \in \text{ran}(a) \}$
is bounded in $\delta(\gamma_\delta)$.

Proof Otherwise a is cotrunc in $\gamma_\delta^{\text{ext}}$.

We show that this implies $a = e_{b_\delta}^{\gamma_\delta}$,

by appealing to condensation (very strong hull) for Σ . But the whole situation is

symmetric now, so the same proof shows

$a = e_{c_\delta}^{\gamma_\delta}$ by vshc for Λ_- . This is a contradiction.

Let $e_b = e_{b_\delta}^{\gamma_\delta}$, and let us show $a = e_b$.

Let $i = \partial_{\hat{p}(a)} = \partial_{d^{\delta \uparrow k}}$

where $\hat{p}(a) = d^{\delta \uparrow k}$. Then

$$d_{w_i}^\delta = \hat{p}(a).$$

On the other hand, let

$$\gamma = \sup \{ \zeta+1 \mid E_\zeta^{W_{z(\zeta+1)}} \in \text{ran}(e_b) \};$$

then e_b fits into ~~the~~ d^γ and $z(\gamma+1)$

e_b fits into $e_\gamma^{W_{z(\gamma+1)}}$. \hat{p} is the \hat{p} -map of $\hat{\Phi}_r^{\gamma+1}$, where $r \triangleq d^{\gamma+1}$ is the initial segment of $d^{\gamma+1}$ consisting of extenders inserted into images of extenders in $\text{Ext}(\mathcal{T}_\gamma)$.

In particular, we have

$$\left(\hat{\Phi}_r^{\gamma+1} \right)_{z(\gamma+1)} : W_{z(\gamma+1)}^* \longrightarrow W_i$$

a weak tree emb. Let \hat{g} be the associated map on extender trees; then

$$\hat{g}(e_b) = e_{v(\gamma)}^{W_i}$$

where v is the v -map of $\left(\hat{\Phi}_r^{\gamma+1} \right)_{z(\gamma+1)}$.

But since e_b fits into $e_\eta^{W_{Z(\gamma+1)}}$, and \hat{p} agrees with \hat{q} on $\text{Ext}(W_{Z(\gamma+1)})$ because they both come from taking the r -ultrapower, we get that $\hat{p}(e_b)$ fits into $e_{V(\eta)}^{W_i}$. Thus

$$\begin{aligned} \hat{p}(e_b) &= dW_i^\delta \\ &= \hat{p}(a). \end{aligned}$$

It follows that $e_b = a$. That proves Claim 8.7.1.



Remark In [22] it is shown that if (P, Σ) is a mouse pair, and \mathcal{T} is a tree on (P, Σ) with last model, then Σ induces a meta-strategy for \mathcal{T} via

$$\Sigma^{\#}(\mathcal{U}) = \text{unique } \ast\text{-cotinal } b \text{ s.t. } \mathcal{U}_b \text{ is by } \Sigma.$$

(102)

Our \hat{W}^δ is not really a meta-tree on \hat{W}_γ , but the situation is similar. (The result of [22] has a heavy component due to Schlurzenberg. [5].)

We can now finish the proof of Lemma 8.7. Let

$$a = e_{\xi}^{\gamma}$$

where $\xi < \mu(\gamma)$. (Note here that a is by Σ , by the proof of its claim 8.7.1.) Let $E_{\xi}^{\gamma} = F_{\beta}$, so $\beta = z(\gamma, \xi)$.

(it's an A -extender). We claim that

$\beta_d \leq \beta$, hence $\beta_d < z(\gamma+1)$. For letting $\nu \leq d^{\gamma+1}$ be its initial segment that produces $\hat{p}(a)$ as above, and

$$d^{\gamma+1} = \nu \hat{\wedge} t$$

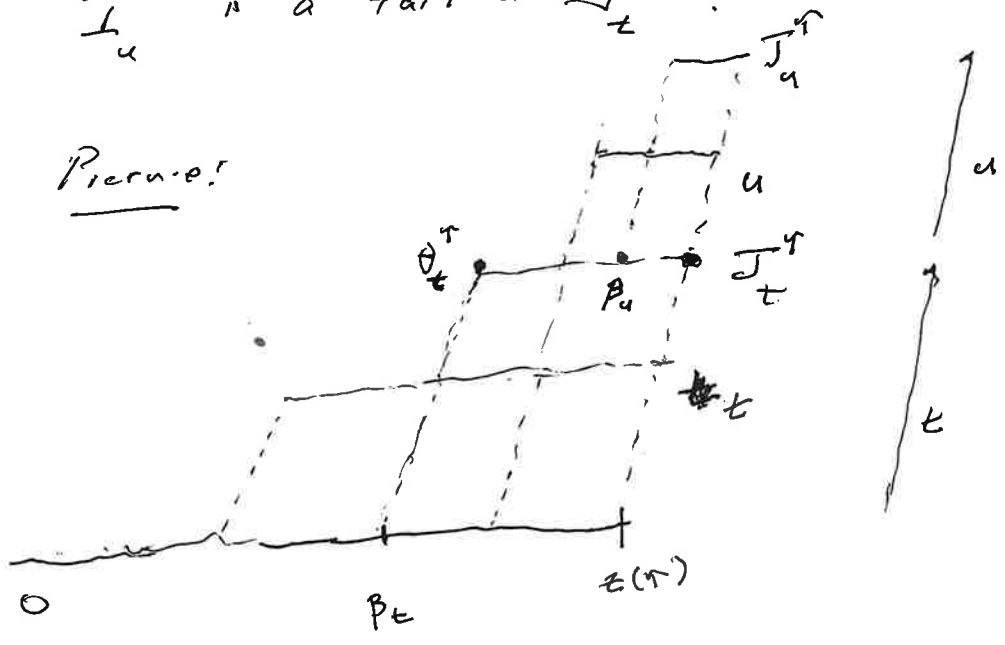
we have

$$d^{\delta} = \hat{p}(a) \hat{\wedge} t.$$

The following is easy to prove

Lemma 8.7.2 For any τ and u
 $t \cap u \in \hat{W}^{\tau, \text{ext}}$ $\beta_u^\tau \in J_t^\tau$. Thus
 J_u^τ is a tail of J_t^τ .

Picture:



Proof $\theta_t^\tau \leq \beta_u^\tau$ because otherwise $u(0)$ would overlap some $E \in \text{ran}(t)$.

$\beta_u^\tau \leq \max J_t^\tau$ because otherwise

$d_{\beta_u^\tau}^\tau$ is incompatible with t , contrary

to $d_{\beta_u^\tau}^\tau \cap u \in \hat{W}^{\tau, \text{ext}}$.



Returning to 8.7, note that with $F = F_\beta$

$$\beta = z(\gamma, \xi) = \max J_a^\gamma$$

$$\beta_{t+1} = z(\gamma, \xi) + 1 = \min (J_F^\gamma)$$

and

$$J_a^\gamma \cap J_F^\gamma = \emptyset.$$

This propagates via r to yield $J_{\hat{p}(a)}^\gamma \cap J_{P(F)}^\gamma = \emptyset.$

But $\hat{p}(a) \cap t \in W^{\gamma, \text{ext}}$, so by 8.7.2,

$$\beta_t^\gamma \in J_{\hat{p}(a)}^\gamma. \text{ But}$$

$$\begin{aligned} \beta_t^\gamma &= u_{\hat{p}(a)}^\gamma (\beta_{d^\gamma}^\gamma) \\ &= u_r^{\gamma+1} \circ u_a^\gamma (\beta_{d^\gamma}^\gamma). \end{aligned}$$

This means that letting $\eta = u_a^\gamma (\beta_{d^\gamma}^\gamma)$, we have $\eta \in J_a^\gamma$, so $\eta < \beta$, and $u_r^{\gamma+1}(\eta) = \beta_t^\gamma$ (sp)

$$\eta \in u_{r \cap t}^{\gamma+1} \quad u_r^{\gamma+1}(\eta) = \beta_t^\gamma = \beta_t^{\gamma+1}$$

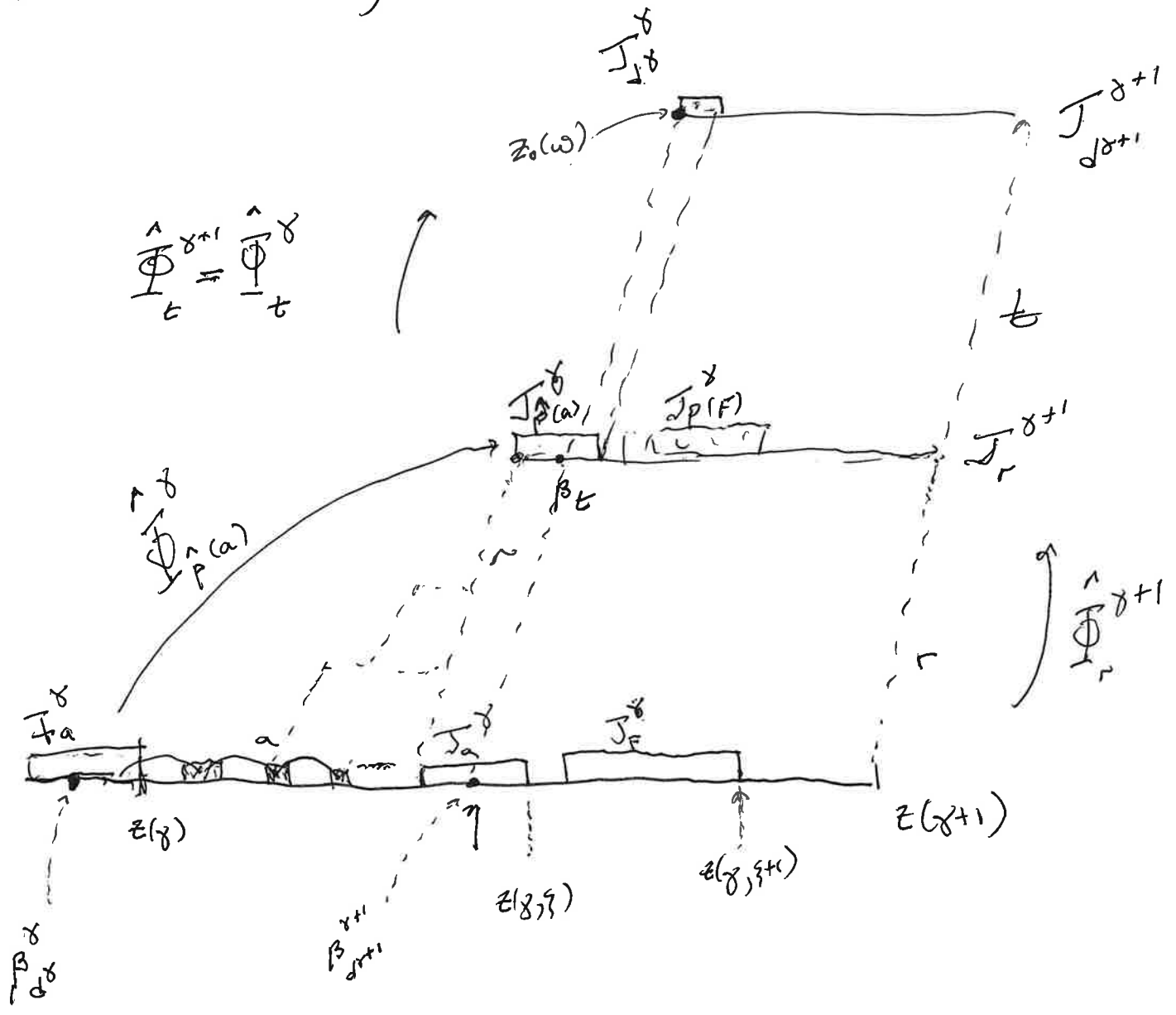
(since t is actually a tail of $d^{\gamma+1}$). This implies

$$\eta = \beta_{r \cap t}^{\gamma+1},$$

and since $\eta < \beta$ and $r \cap t = d^{\gamma+1}$, we're done.

102 m

Here is a diagram



By lemma 8.7, we can properly extend \hat{W}_ω^0 and \hat{V}_ω^0 by inflating along

$$d^\nu = d_{z_0(\omega)}^\nu = d_{z_0(\omega)}^\nu$$

for $\nu < \lambda$ such that the two branch extended sequences are indeed equal. This is true for arbitrary large $\nu < \omega$, and it yields proper extensions by 8.7. Let

$$\hat{W}_{\omega, \nu}^0 = \bigcup_{i \in I_{d^\nu}} W_i$$

$$I_{d^\nu} = \{ u_{d^\nu}^\nu(i) \mid i \in I_{d^\nu} \}$$

and for $i \in I_{d^\nu}$, and $k = u_{d^\nu}^\nu(i)$,

$$W_k = \lim_{s \triangleleft d^\nu} W_{u_s^\nu(i)}^*$$

and
$$V_k = \lim_{s \triangleleft d^\nu} V_{u_s^\nu(i)}^*$$

where the limits are under the $\hat{\Phi}$ and $\hat{\Psi}$ maps. In the $\hat{\Phi}$ case, for example, ~~we~~ we have whenever

$$s \wedge t \triangleleft d^{\vee}$$

a map

$$\left(\hat{\Phi}_t^{\vee} \right)_{u_s^{\vee}(i)} : W_{u_s^{\vee}(i)}^{\vee} \rightarrow W_{u_{s \wedge t}^{\vee}(i)}$$

that is a weak type embedding, and $W_{u_{d^{\vee}}^{\vee}(i)}$ is the direct limit under these maps.

~~we~~ This gives us maps when $s \wedge t = d^{\vee}$, namely

$$\left(\hat{\Phi}_t^{\vee} \right)_k : W_k^{\vee} \rightarrow W_{u_t^{\vee}(k)}$$

defined when $k \in \overline{I}_t^{\vee}$. (Our notation

sets $\overline{J}_{d^{\vee}}^{\vee} = \overline{J}_t^{\vee}$ when t is a tail of d^{\vee} .)

For $s \in \hat{W}^{d, \text{ext}}$, let

$$\hat{W}_{s, \nu}^* = \langle (W_\alpha, W_\alpha^*) \mid \alpha \leq \max(J_s^d) \rangle,$$

together with its additional structure (the F'_p 's, the Z -functions, and the dw_i^* for $i \leq \max(J_s^d)$ and $\tau < \omega$, for example) we have put on it.

This also makes sense for $s = d^d$. We set

$$\hat{W}_{\omega, \nu}^0 = \hat{W}_{d^d, \nu}^*.$$

For $s \wedge t = d^d$, we have

$$\hat{\Phi}_{s, t}^d : \hat{W}_{s, \nu}^* \rightarrow \hat{W}_{\omega, \nu}^*.$$

Similarly on the ν -side, where we end up with

$$\hat{\Psi}_{s, t}^d : \hat{V}_{s, \nu}^* \rightarrow \hat{V}_{\omega, \nu}^*.$$

when $s \wedge t = d^d$. Since we are inflating by the same d^d on both sides, $\text{lh}(\hat{W}_{\omega, \nu}^*) = \text{lh}(\hat{V}_{\omega, \nu}^*)$, and

(W_α, W_α) is a slow comparison for each $\alpha \leq \text{lh}(\hat{W}_{\omega, \nu}^*)$.

Moreover, for $\alpha > z_0(\omega)$, W_α extends $W_{z_0(\omega)}^*$ and

and V_α extends $V_{z_0(\omega)}^*$.

Suppose that $\eta < \gamma < \omega$ and

$$dw_{z_0(\omega)}^{\uparrow} = dv_{z_0(\omega)}^{\uparrow}, \text{ so let } dw_{z_0(\omega)}^{\uparrow} = dv_{z_0(\omega)}^{\uparrow}$$

for all $\eta \geq \gamma$. (See the proof of 8.6.)

We need to show that $\hat{W}_{\omega, \eta}$ is extended

by $\hat{W}_{\omega, \gamma}$, and that \mathcal{R} is inflationary

maps $\hat{\Phi}_t^{\uparrow}$ for $t \triangleleft d^{\uparrow}$ interact

properly with the inflationary maps $\hat{\Phi}_s^{\uparrow}$

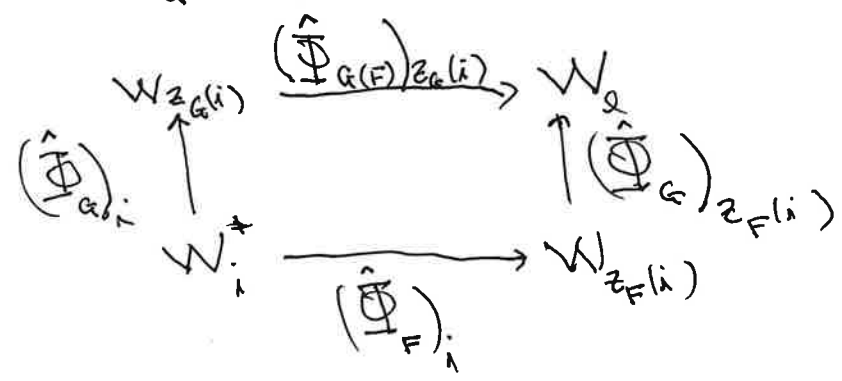
for $s \triangleleft d^{\uparrow}$. Similarly on \mathcal{R} 's \mathcal{D} -side.

The diagram on p. 102m already shows the consistency-of-inflation we need to prove. (There it is between $\hat{\Phi}_{d^{\uparrow}}^{\uparrow}$ and $\hat{\Phi}_{d^{\uparrow+1}}^{\uparrow}$.)

The consistency of inflations comes down to the following very familiar commutative diagrams. Let $W_{z_F(i)} = X(W_i^*, F)$ and $W_{z_G(i)} = X(W_i^*, G)$, and suppose $K_G \leq K_F$,

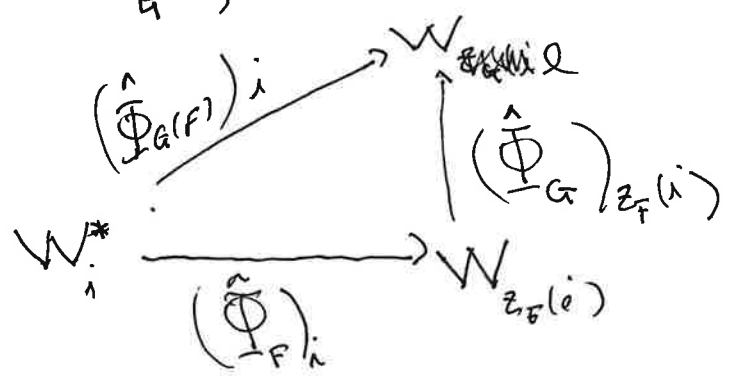
then

(a) If $K_G \leq K_F$, then



commutes. Here $l = z_{G(F)} \circ z_G(i) = z_G \circ z_F(i)$.

(b) If $K_F < K_G$, then



commutes. Here $l = z_{G(F)}(i) = z_G \circ z_F(i)$.

Here is a diagram that puts the diagrams above together in a representative case. Suppose $\gamma < \gamma < \omega$ and $z(\gamma) < k < z(\gamma)$. Let

$$dw_k^\gamma = \mu^n \langle F, G, H \rangle$$

and suppose

$$\mu^n \langle F, G, H \rangle(n) = k.$$

Suppose

$$dw_{z_0(\omega)}^\gamma = \langle K, L \rangle^s$$

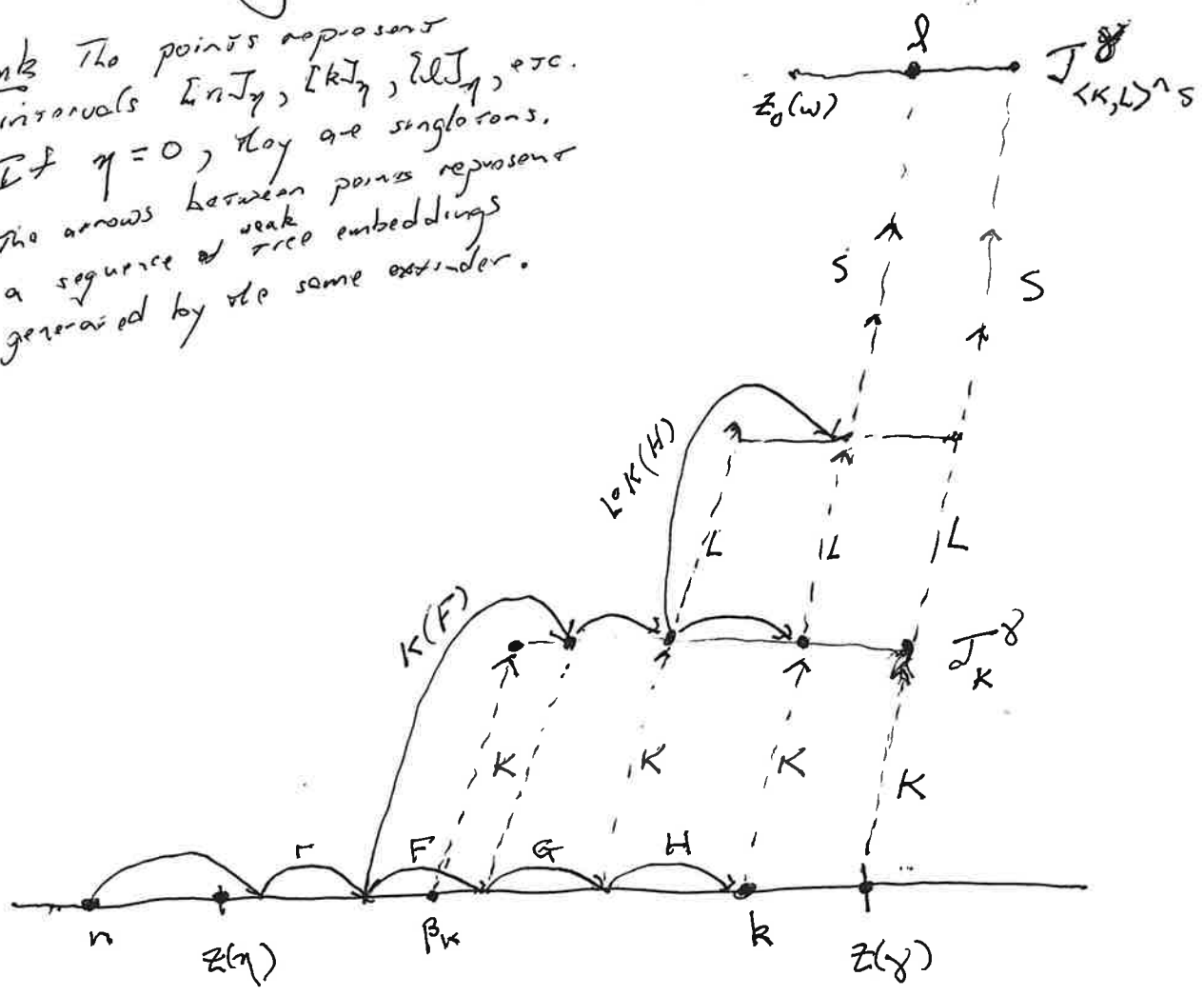
and

$$\mu^s \langle K, L \rangle^s(k) = \emptyset.$$

Let us draw horizontal lines to represent intervals in $[0, z_0(\omega)]$, with its points ω corresponding to ω intervals ~~of~~ normal trees ~~of~~ of. We put the intervals on different levels, so that the arrows representing weak tree embeddings show up better.

We might have the pattern

Rmk The points represent intervals $[n, \eta]$, $[k, \eta]$, $[l, \eta]$, etc. If $\eta = 0$, they are singletons. The arrows between points represent a sequence of weak embeddings generated by the same extender.



In this situation, dw_k^η has been inflated by $\langle K, L \rangle$, then left behind by S , so

$$dw_\ell^\eta = r \cdot \langle K(F), K(G), LoK(H) \rangle^\eta S$$

and

$$a_{0,w}^\eta(n) = a_{0,w}^\delta(k) = \varphi$$

$$\text{and } \left(\hat{\Phi}_{0,w}^\eta \right)_n = \left(\hat{\Phi}_{dw_\ell^\eta}^\eta \right)_n = \left(\hat{\Phi}_{dw_\ell^\delta}^\delta \right)_k \circ \left(\hat{\Phi}_{dw_k^\eta}^\eta \right)_n$$

Here is a version of the diagram above that shows the block structure - the fact that F, G , etc. act on blocks of trees.

We drop H and L to simplify the picture.

So $dw_k^\eta = r^\wedge \langle F, G \rangle$ and $dw_\ell^\delta = \langle K \rangle^\wedge s$

and $dw_\ell^\eta = r^\wedge \langle K(F), K(G) \rangle^\wedge s$ in the

situation we draw. The drawing also

assumes that I_F^η is a proper ~~subset~~ subset

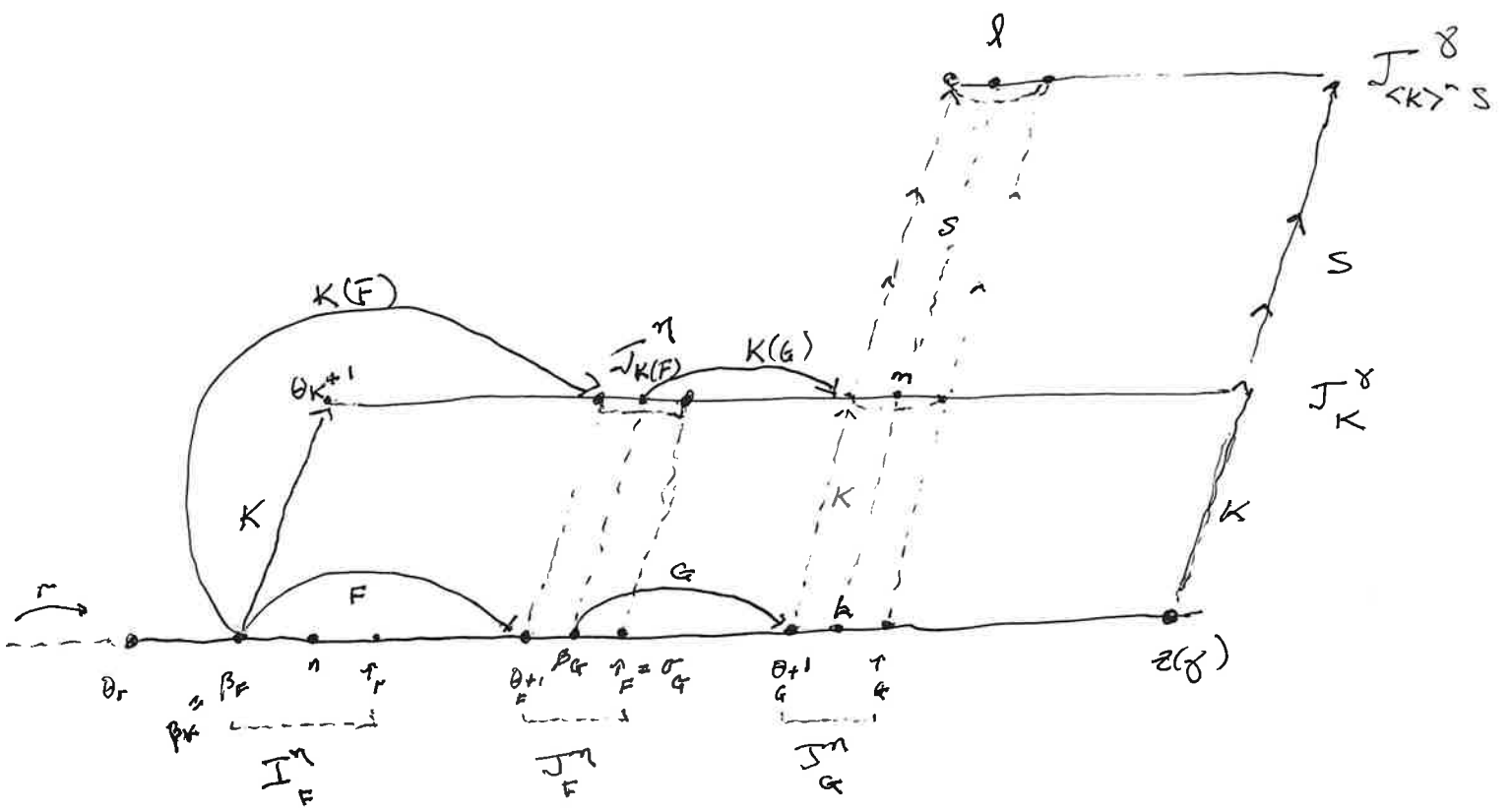
of J_r^η and I_G^η is a proper subset

of $J_{\mathbb{K}}^\eta = J_{r^\wedge \langle F \rangle}^\eta$.

In the diagram, the points are connected by arrows representing ^{weak} tree embeddings. J -intervals are connected by a sequence of weak tree embeddings which are all generated by ~~the same~~ ultrapowers by the same sequence of extenders.

The embeddings of ~~\hat{W}_k^η~~ \hat{W}_k^η and ~~\hat{W}_ℓ^δ~~ \hat{W}_ℓ^δ commute with those of \hat{W}_k^δ (i.e. the $(\hat{\Phi}_\pm^\eta)_k$ and the $(\hat{\Phi}_\pm^\delta)_m$) ~~and~~ whenever it makes sense.

Here the points represent single normal traces w_i ,
 not blocks $\langle w_i | i \sim j \rangle$.



In the diagram, $J_{r \wedge K(F)}^\eta = J_{K(F)}^\eta = z_K^{\langle K \rangle} J_F^\eta$,

and $J_{K(G)}^\eta = z_K^{\langle G \rangle} J_G^\eta$. At the top line

$$[L]_\eta = J_{r \wedge \langle K(F), K(G) \rangle}^\eta = u_{\langle K \rangle}^\delta \otimes J_{r \wedge \langle F, G \rangle}^\eta$$

(The diagram assumes that $\beta_s = \theta_{K(G)} + 1$.) Thus

$[L]_\eta$ is a proper initial segment of $[L]_\gamma = J_{\langle K \rangle}^\delta$.

Commutativity yields $z_K(k) = m = u_{r \wedge \langle K(F), K(G) \rangle}^\eta(n)$.

More generally, let $\eta < \gamma < \omega$ and let $a \in \hat{W}^{\eta, \text{ext}}$ be such that $\Theta_a < z(\gamma)$. Let $r \in \hat{W}^{\gamma, \text{ext}}$. Let

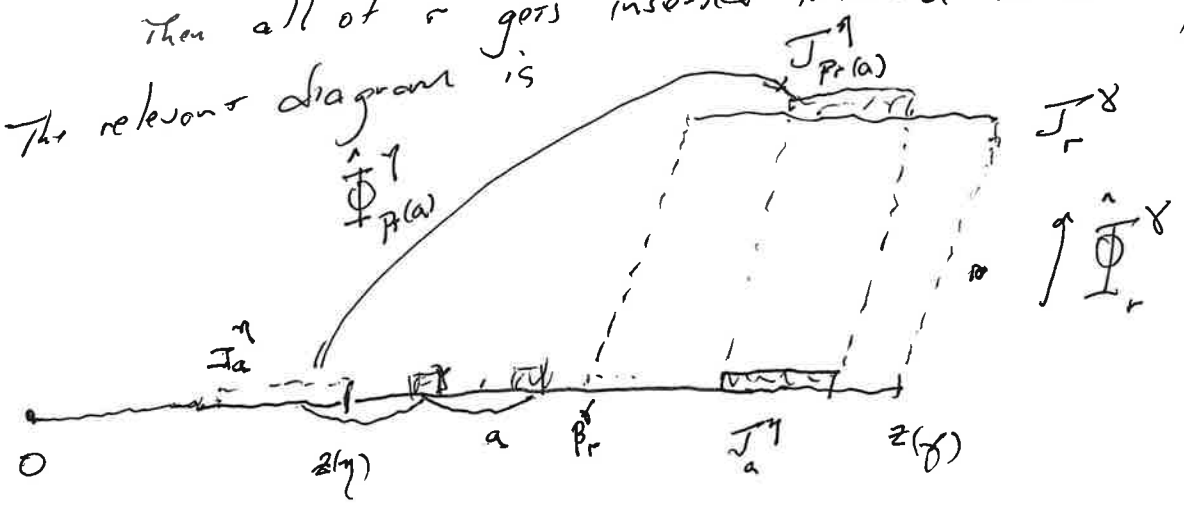
$$P_r^\gamma = p\text{-map at } \hat{\Phi}_r^\gamma$$

(Recall that each $(\hat{\Phi}_r^\gamma)_i$ has its own p-map from $\text{Ext}(W_i^*)$ into $\text{Ext}(W_{u_r^\gamma(i)}^\gamma)$, and these maps agree at places α in their common domain. P_r^γ is the union of them. $\text{Ext}(W_{z(\gamma)}^*) \subseteq \text{dom}(P_r^\gamma)$, if we allow $(\hat{\Phi}_r^\gamma)_i$ to be defined when $i < \beta_r$ in the natural way.

Case (a) $\beta_r^\gamma < \Theta_a$.

Then all of r gets inserted into a so that $P_r^\gamma(a) \in \hat{W}^{\eta, \text{ext}}$.

The relevant diagram is



We have $J_{P_r(a)}^\gamma = u_r^\gamma \circ J_a^\gamma$ and

$$\hat{\Phi}_{P_r^\gamma(a)}^\gamma = \hat{\Phi}_r^\gamma \circ \hat{\Phi}_a^\gamma \text{ in the component-wise sense.}$$

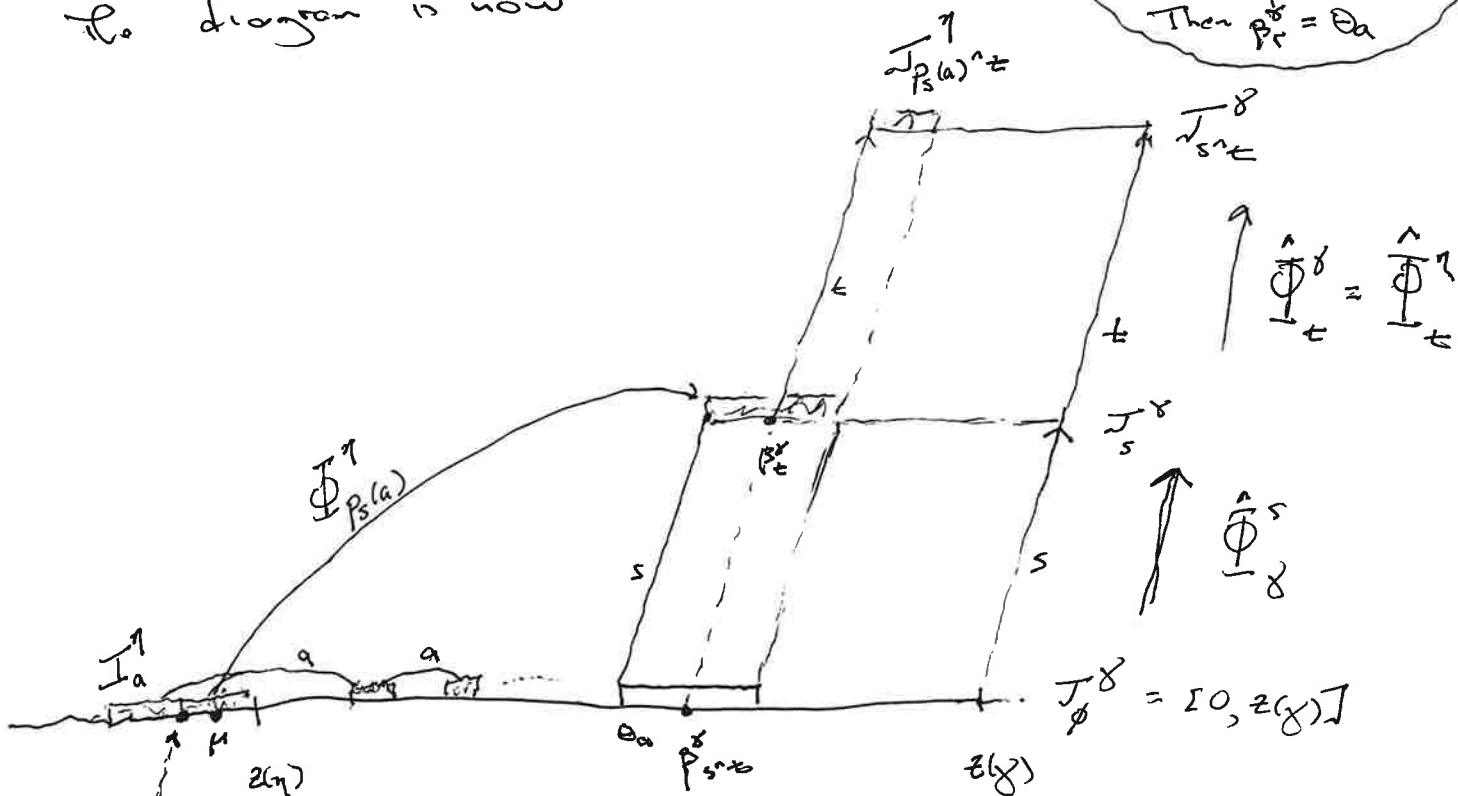
Case (b) $\Theta_a \leq \beta_r^\delta \leq \max(J_a^\eta)$

Let $s \triangleq r$ be shortest s.t. $\Theta_a \leq \beta_s^\delta$.

So all of s is 'used' below the image of a into $\beta_s^\delta(a) = \beta_r^\delta(a)$, and $r = s + t$ for some t .

The diagram is now

Remark: $t = \emptyset$ is possible.
Then $\beta_r^\delta = \Theta_a$



$$\beta_{P_s(a)}^\eta = \beta_a^\eta$$

then

$$\text{We have } J_{P_s(a)}^\eta \cap z = \mathcal{U}_r^\delta \cap [\beta_r^\delta, \max J_a^\eta]$$

$$\text{and } I_{P_s(a)}^\eta \cap z = [\mu, z(\eta)] \text{ where } \mu = (\mathcal{U}_a^\eta)^{-1}(\beta_r^\delta).$$

$$\hat{\Phi}_{P_s(a)}^\eta \cap z = \hat{\Phi}_r^\delta \circ \hat{\Phi}_a^\eta.$$

The assertions in cases (a) and (b)

above can be proved by induction on $\text{dom}(r)$, with the atomic step coming from the diagrams on p. 107. We skip detailed verification for now.

We can now see how the inflations by

$$d^\gamma = d_{z_0(\omega)}^\gamma = d_{z_0(\omega)}^\eta$$
 fit together in the tail of

$\eta < \omega$ such that $d_{z_0(\omega)}^\eta = d_{z_0(\omega)}^\gamma$. Fix $\eta < \gamma$ in

this tail. We have $\beta_{d^\delta}^\delta < z(\gamma)$ by Lemma 8.7.

Let $a \in \mathbb{N}^{\eta, \text{ext}}$ be such that

$$\beta_{d^\delta}^\delta \in J_a^\eta.$$

This identifies a uniquely, moreover $\max J_a^\eta < z(\gamma)$ by our construction. Let

$$\mu = (c_a^\eta)^{-1} (\beta_{d^\delta}^\delta).$$

We can write

$$d^\delta = r \cdot t$$

where r is the maximal initial segment of d^δ consisting of extenders inserted into or between images of η extenders in $\text{ran}(a)$; that is,

$\theta_{\hat{p}_r(a)} \leq \beta(t(0))$, and r is the shortest such initial segment of $d\delta$. (It is possible that $r = d\delta$ and $t = \phi$.) We have that

~~$\hat{p}_r(a) \wedge t$~~

$d\delta$ fits into $\hat{p}_r(a) \wedge t$

and ~~$\hat{p}_r(a) \wedge t$~~ is a ~~cut~~ branch of $W^{\uparrow, ext}$ because $\beta_{d\delta}^\delta \in J_a^\uparrow$, so $\beta_t^\delta =$

$u_r^\delta(\beta_{d\delta}^\delta) \in \hat{J}_{\hat{p}_r(a)}^\uparrow$, but $u_r^\delta(\beta_{d\delta}^\delta) = u_{\hat{p}_r(a)}^\uparrow(\mu)$,

so

$$\theta_{\hat{p}_r(a)}^\uparrow \leq \beta(t(0)) \leq \beta_t^\uparrow = \beta_t^\delta \leq \max J_{\hat{p}_r(a)}^\uparrow$$

This implies that $\hat{p}_r(a) \wedge t$ is indeed a branch of $W^{\uparrow, ext}$, and clearly its cut. Since $d\delta$ fits into it

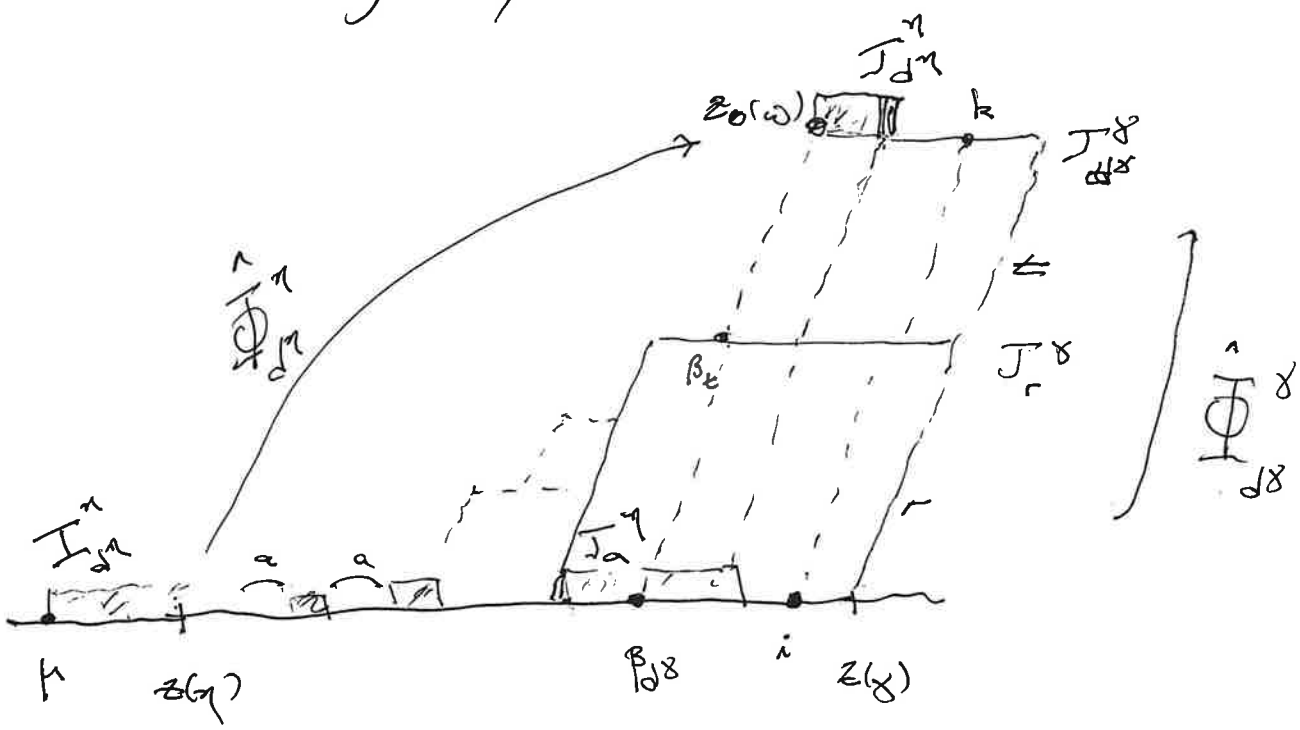
$$\hat{p}_r(a) \wedge t = d\uparrow.$$

Our commutative diagrams imply $u_{\hat{p}_r(a) \wedge t}^\uparrow(\mu) = u_{d\delta}^\delta(\beta_{d\delta}^\delta)$

$= z_0(a)$, i.e.

$$\mu = \beta_{d\uparrow}^\uparrow.$$

The earlier diagram specializes now to



We have $\hat{\Phi}_{d^\eta}^\eta = \hat{\Phi}_{d^\delta}^\delta \circ \hat{\Phi}_a^\eta$, Clearly

$J_{d^\eta}^\eta$ is a proper initial segment of $J_{d^\delta}^\delta$.

There is more to be said about the consistency of inflation, by the d^η 's. Given $i < z(\gamma)$ and letting $k = u_{d^\delta}^\delta(i)$, $\hat{\Phi}_{d^\delta}^\delta$ (and $\hat{\Psi}_{d^\delta}^\delta$)

inflates dw_i^δ to dw_k^δ , for all $\delta < \gamma$.

(All of them, not just δ in the agreeing rail where $dw_{z_0(\omega)}^\delta = dv_{z_0(\omega)}^\delta$.) Similarly, $\hat{\Phi}_{d^\delta}^\delta$ (and $\hat{\Psi}_{d^\delta}^\delta$)

inflates dv_i^δ to dv_k^δ . If $i < z(\eta)$

and d^η is defined, then $\hat{\Phi}_{d^\eta}^\eta$ and

$\hat{\Phi}_{d^\delta}^\delta$ inflate dw_i^ξ and dV_i^ξ to the same ring, and similarly for dw_i^η . So

letting

$$z_i(\omega) = \sup_{\gamma < \omega} \max_{d^\delta} J_{d^\delta}^\delta$$

we have for $k < z_i(\omega)$ and $\xi < \omega$

$$dw_k^\xi = \text{common inflation of } dw_k^\xi \text{ by } \left(\frac{u^\delta}{d^\delta}\right)^{-1}(k)$$

by $\hat{\Phi}_{d^\delta}^\delta$, for all δ s.t.

$$\left(\frac{u^\delta}{d^\delta}\right)^{-1}(k) \text{ exists.}$$

$$dV_k^\xi = \text{common inflation of } dV_k^\xi \text{ by } \left(\frac{u^\delta}{d^\delta}\right)^{-1}(k)$$

by $\hat{\Phi}_{d^\delta}^\delta$, for all δ s.t.

$$\left(\frac{u^\delta}{d^\delta}\right)^{-1}(k) \text{ exists}$$

We can write $\hat{\Psi}_{d^\delta}^\delta$ on the rhs too - it's a case of A-branches inflating A-extensions, so the two sides act the same way. Another

notation would be

$$dw_k^\xi = \hat{P}_{d^\xi}^\xi (dw_i^\xi), \text{ for all set } i$$

large ξ 's

and call dw_k^ξ the d^ξ -inflation of dw_i^ξ .

We let (Remark The objects below were already defined on p. 105.)

$$\hat{W}_{w,\xi}^\circ = \langle W_i \mid k \leq \max(J_{d^\xi}^\xi) \rangle,$$

with the structure induced by
the $F_{d^\xi}^\xi(i) = P_{d^\xi}^\xi(F_i)$ and

$$dw_k^\xi \text{ for } \xi < \gamma.$$

We have

$$\hat{\Phi}_{d^\xi}^\xi : \hat{W}_\xi \rightarrow \hat{W}_{w,\xi}^\circ$$

is structure-preserving. Similarly, we have $\hat{V}_{w,\xi}^\circ$ and

$$\hat{\Psi}_{d^\xi}^\xi : \hat{V}_\xi \rightarrow \hat{V}_{w,\xi}^\circ.$$

The new (W_i, V_i) are slow comparisons, and the F_i 's are the A -extenders and the same ones in both $\hat{W}_{w,\xi}^\circ$ and $\hat{V}_{w,\xi}^\circ$.

Finally, we complete our first round

by setting

$$z_0(\omega, \gamma) = \max_{d \leq \gamma} J_d^\omega$$

and

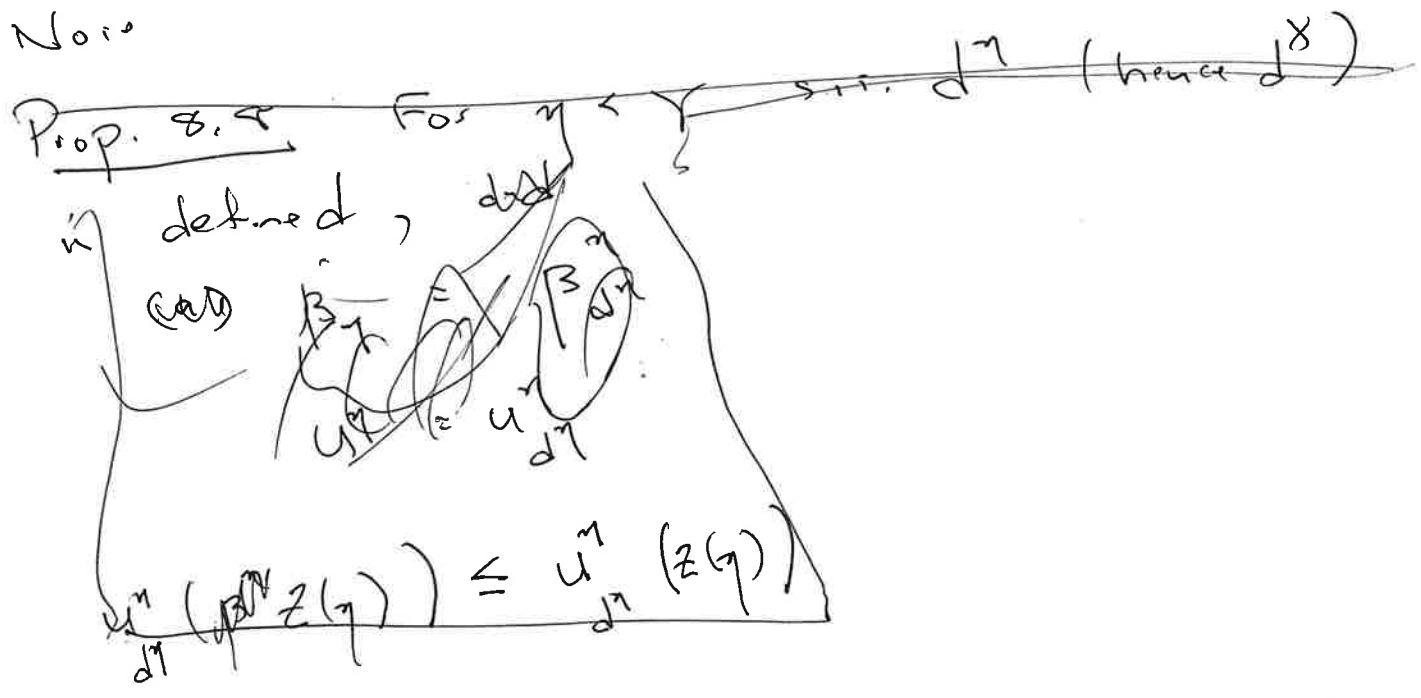
$$z_1(\omega) = \sup_{\gamma < \omega} z_0(\omega, \gamma),$$

and

$$\hat{W}_\omega^1 = \bigcup_{\gamma < \omega} \hat{W}_{\omega, \gamma}^0$$

$$\hat{V}_\omega^1 = \bigcup_{\gamma < \omega} \hat{V}_{\omega, \gamma}^0.$$

Now



Lemma 8.8 For $\eta < \gamma$, $z_0(\omega, \eta) < z_0(\omega, \gamma)$.

So $z_1(\omega)$ is a limit ordinal.

P.f. See the diagrams above.



We are now ready for round 2.

Let Δ_i^w be the common part tree,
i.e.

$$T \trianglelefteq \Delta_i^w \text{ iff for all sufficiently large } i < z(i), T \trianglelefteq W_i^*$$

(Remark: $W_i = W_i^*$ for $i \in [z_0(w), z_1(w)]$. The completion process doesn't involve ~~the~~ normal extension by D-extensions, it just inflates earlier work.)

Also $T \trianglelefteq \Delta_i^v$ iff for all sufficiently large $i < z(i), T \trianglelefteq V_i^*$

Prop 7.9 (a) $W_{z_0(w)}^0 \trianglelefteq \Delta_i^w$ and $V_{z_0(w)}^0 \trianglelefteq \Delta_i^v$

(b) $lh(\Delta_i^w) = lh(\Delta_i^v)$ is a limit ordinal,
and ~~(Δ_i^w, Δ_i^v) is a slow comparison~~

$$\sup \{lh F_{\frac{\delta}{\eta}}^{\Delta_i^w} \mid \eta < lh(\Delta_i^w)\} = \lim_{i \rightarrow z(i)} \inf lh(F_i)$$

(c) Letting $b = \Sigma(\Delta_i^w)$ and $c = \Lambda(\Delta_i^v)$,
 $(\Delta_i^w \upharpoonright b, \Delta_i^v \upharpoonright c)$ is a slow comparison.

Proof Routine.



Let $b = Z(\Delta_1^w)$ and $c = \Lambda(\Delta_1^v)$.

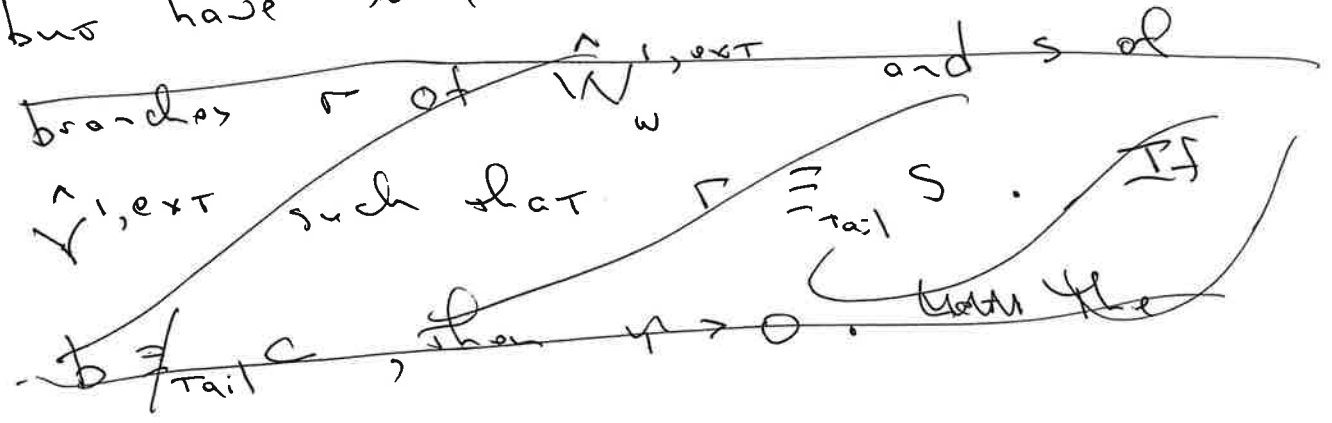
In round 1, we asked whether $b \equiv_{\text{tail}} c$, and ended the completion process with

$Z(w) = z_0(w)$ and $W_{Z(w)} = W_w^0 \cdot b$ and

$v_{Z(w)} = v_{z_0(w)}^0 \cdot c$ if $b \not\equiv_{\text{tail}} c$. Thus

was ok because the termination argument at the end will show that $b \equiv_{\text{tail}} c$ if w is replaced by w_1 . It doesn't seem that we can be so lazy about completion in rounds $\alpha > 1$.

Namely, we might have $b \not\equiv_{\text{tail}} c$, but have some $r < w$ and cotinal



branches r of $\hat{W}_w^{1, \tau, \text{ext}}$ and S of (122)

$\bigcup_w^{1, \tau, \text{ext}}$ (ie each r is of the form $r_0 \triangleleft \tau$,
 $d r_i^\tau$ for some $i \in \mathbb{Z}(i)$, and those i 's are
cofinal in $\mathbb{Z}(i)$, and similarly for S)

such that

- (1) some tail of r fits into e_b^Δ ,
- (2) some tail of S fits into e_c^Δ ,

and

- (3) $r \equiv_{\text{tail}} S$.

If $e_b^\Delta \not\equiv_{\text{tail}} e_c^\Delta$, then $\tau > 0$, but it
may nevertheless exist. If r has the
property, then all $\gamma \in \mathbb{Z}(\tau, w)$ have the property.

(Since e.g. ~~there is~~ exactly one cofinal branch
of $\hat{W}_w^{1, \tau, \text{ext}}$ can have a tail that fits
into r .)

By (1), r is a good branch of
 $\hat{W}_w^{1, \tau, \text{ext}}$ from the point of view of \mathcal{E}
(it's by a meta-strategy what \mathcal{E} determines),

and by (2), s is good according to Λ . The same is true of the unique cofinal branches $\hat{W}_{s, \gamma}^{\text{ext}}$ and $\hat{V}_{s, \gamma}^{\text{ext}}$ that fit into them. Passing to tails, we can pick for all $\gamma \geq \tau$ ~~tails~~ common tails d^γ s.t.

~~d^γ~~ d^γ is a tail of r_γ and s_γ , for all $\gamma \geq \tau$.

We then use the d^γ for $\gamma \geq \tau$ to inflate, producing $\hat{W}_{w, \gamma}^1$ and $\hat{V}_{w, \gamma}^1$ for $\gamma \in \{\tau, \omega\}$, of length $z_1(w, \gamma)$, and set

$$\hat{W}_w^2 = \bigcup_{\gamma \in \{\tau, \omega\}} \hat{W}_{w, \gamma}^1$$

$$\hat{V}_w^2 = \bigcup_{\gamma \in \{\tau, \omega\}} \hat{V}_{w, \gamma}^1$$

These systems have length $z(z) = \sup_{\gamma < \omega} z(1, \gamma)$.

$\epsilon(\epsilon)$ is a limit ordinal, so we can go on to form $\mathcal{S}_\epsilon^w, \mathcal{A}_\epsilon^w$, and so on.

Rounds
At limit stage λ in the completion

process we have $\hat{W}_\omega^\lambda = \bigcup_{\alpha < \lambda} \hat{W}_\omega^\alpha$ and $\hat{V}_\omega^\lambda = \bigcup_{\alpha < \lambda} \hat{V}_\omega^\alpha$. We complete from \hat{W}_ω^λ to $\hat{W}_\omega^{\lambda+1}$ and $\hat{V}_\omega^{\lambda+1}$ of length $\epsilon_{\lambda+1}(\omega)$ in the same way.

This process has to stop at some countable stage ξ because it is producing effectively countings of the $\epsilon_\xi(\omega)$.

(At some stage $< \omega_1$, $[L, T_\epsilon, T_\omega, P, Q]$ in fact.)

That is the sketch again, but let's give some more details on how round two goes.

Remark We could have been more aggressive about completion in round one, proceeding in the same way we do in rounds $\alpha \geq 1$.

References

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