

# Turing Degrees and Definability of the Jump

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July, 2005

# Outline

- ▶ Lecture 3
  - ▶ Effective bounds on the values of  $\pi$
  - ▶ Invariance of the double-jump
  - ▶ Arithmetic representations of automorphisms
- ▶ Lecture 4
  - ▶ Interpreting  $Aut(\mathfrak{D})$  within  $\mathfrak{D}$
  - ▶ Join theorem for the double-jump
  - ▶ Definability of the jump

# Interpreting $\text{Aut}(\mathcal{D})$ within $\mathcal{D}$

assignments

## Definition

An **assignment** of reals consists of

- ▶ A countable  $\omega$ -model  $\mathfrak{M}$  of  $T$  ( $T = \Sigma_1\text{-ZFC}$ ).
- ▶ A function  $f$  and a countable ideal  $\mathcal{I}$  in  $\mathcal{D}$  such that  $f : \mathcal{D}^{\mathfrak{M}} \rightarrow \mathcal{I}$  surjectively and for all  $x$  and  $y$  in  $\mathcal{D}^{\mathfrak{M}}$ ,  $\mathfrak{M} \models x \geq_T y$  if and only if  $f(x) \geq_T f(y)$  in  $\mathcal{I}$ .

## Definition

For assignments  $(\mathfrak{M}_0, f_0, \mathcal{I}_0)$  and  $(\mathfrak{M}_1, f_1, \mathcal{I}_1)$ ,  $(\mathfrak{M}_1, f_1, \mathcal{I}_1)$  **extends**  $(\mathfrak{M}_0, f_0, \mathcal{I}_0)$  if and only if

- ▶  $\mathcal{D}^{\mathfrak{M}_0} \subseteq \mathcal{D}^{\mathfrak{M}_1}$ ,
- ▶  $\mathcal{I}_0 \subseteq \mathcal{I}_1$ ,
- ▶ and  $f_1 \upharpoonright \mathcal{D}^{\mathfrak{M}_0} = f_0$ .

# Interpreting $\text{Aut}(\mathfrak{D})$ within $\mathfrak{D}$

extendable assignments

## Definition

An assignment  $(\mathfrak{M}_0, f_0, \mathcal{I}_0)$  is **extendable** if

$$\forall z_1 \exists (\mathfrak{M}_1, f_1, \mathcal{I}_1)$$

$$\left[ \begin{array}{l} (\mathfrak{M}_1, f_1, \mathcal{I}_1) \text{ extends } (\mathfrak{M}_0, f_0, \mathcal{I}_0), z_1 \in \mathcal{I}_1, \text{ and} \\ \forall z_2 \exists (\mathfrak{M}_2, f_2, \mathcal{I}_2) \\ \left( (\mathfrak{M}_2, f_2, \mathcal{I}_2) \text{ extends } (\mathfrak{M}_1, f_1, \mathcal{I}_1), z_2 \in \mathcal{I}_2, \text{ and} \right. \\ \left. \forall z_3 \exists (\mathfrak{M}_3, f_3, \mathcal{I}_3) \left[ \begin{array}{l} (\mathfrak{M}_3, f_3, \mathcal{I}_3) \text{ extends} \\ (\mathfrak{M}_2, f_2, \mathcal{I}_2) \text{ and } z_3 \in \mathcal{I}_3 \end{array} \right] \right) \end{array} \right]$$

# Interpreting $\text{Aut}(\mathcal{D})$ within $\mathcal{D}$

extendable assignments

## Theorem

*If  $(\mathfrak{M}, f, \mathcal{I})$  is an extendable assignment, then there is a  $\pi : \mathcal{D} \xrightarrow{\sim} \mathcal{D}$  such that for all  $x \in \mathcal{D}^{\mathfrak{M}}$ ,  $\pi(x) = f(x)$ .*

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## Proof

We chase the inclusions between the Turing degrees of the domain models and the range ideals. Sets coded in the range  $\mathcal{I}$  belong to the domain  $\mathfrak{M}$ . Sets in the range which together with  $0'$  can only code elements of  $\mathfrak{M}$  must belong to  $\mathfrak{M}$ .

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We conclude that if  $(\mathfrak{M}, f, \mathcal{I})$  is an extendable assignment, then  $f : \mathcal{D}^{\mathfrak{M}} \rightarrow \mathcal{I}$  extends to a persistent automorphism of a larger ideal. Hence, it extends to an automorphism of  $\mathcal{D}$ .  $\square$

# Defining relative to parameters

## Theorem

*If  $g$  is the Turing degree of an arithmetically definable 5-generic set, then the relation  $R(\vec{c}, d)$  given by*

$$R(\vec{c}, d) \iff \vec{c} \text{ codes a real } D \text{ and } D \text{ has degree } d$$

*is definable in  $\mathcal{D}$  from  $g$ .*

This is the internal realization of the previous result that every automorphism is determined by its action on  $g$ .



## Proof

Use following property of  $\vec{c}$  and  $d$ . There are  $\vec{m}$ ,  $\vec{f}$ , and  $\vec{i}$  such that all of the following conditions are satisfied.

- ▶  $\vec{c}$  codes  $\mathbb{N}$  with a unary predicate for a set  $D$ ;
- ▶  $\vec{m}$  codes an  $\omega$ -model  $\mathfrak{M}$  of  $T$ ;
- ▶  $\vec{i}$  codes a countable ideal  $\mathcal{I}$  in  $\mathfrak{D}$ ;
- ▶  $\vec{f}$  codes a function  $f$  from  $\mathfrak{D}^{\mathfrak{M}}$  onto  $\mathcal{I}$ ;
- ▶  $(\mathfrak{M}, f, \mathcal{I})$  is an extendable assignment;
- ▶  $g \in \mathcal{I}$ ,  $\text{degree}(G)^{\mathfrak{M}}$  is the Turing degree of  $G$  as identified in  $\mathfrak{M}$  by  $G$ 's arithmetic definition, and  $f(\text{degree}(G)^{\mathfrak{M}}) = g$ ;
- ▶ the set  $D$  coded by  $\vec{c}$  is an element of  $\mathfrak{M}$ ,  $\text{degree}(D)^{\mathfrak{M}}$  is the Turing degree of  $D$  as defined in  $\mathfrak{M}$ , and  $f(\text{degree}(D)^{\mathfrak{M}}) = d$ .



# Invariance and Definability

## Theorem

*Suppose that  $R$  is a relation on  $\mathcal{D}$ . The following conditions are equivalent.*

- ▶  *$R$  is induced by a projective, degree invariant relation  $R_{2^\omega}$  on  $2^\omega$ .*
- ▶  *$R$  is definable in  $\mathcal{D}$  using parameters.*

## Proof

$\vec{x}$  satisfies  $R$  if and only if there is an extendible assignment such that  $f(\text{degree}(\vec{Y})) = \vec{x}$  and  $\vec{Y}$  satisfies  $R_{2^\omega}$ . □

# Definability of the double-jump

## Theorem

*The function  $x \mapsto x''$  is definable in  $\mathfrak{D}$ .*

## Proof

We have already shown that the relation  $y = x''$  is invariant under all automorphisms of  $\mathfrak{D}$ . It is clearly degree invariant and definable in second order arithmetic. Therefore, it is definable in  $\mathfrak{D}$ . □

# Biinterpretability

## Definition

$\mathfrak{D}$  is **biinterpretable with second order arithmetic** if and only if the relation on  $\vec{c}$  and  $d$  given by

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## Conjecture

$\mathfrak{D}$  is biinterpretable with second order arithmetic.

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We begin by showing that  $\mathcal{I}(\Delta_2^0)$ , the ideal of  $\Delta_2^0$  degrees, is definable in  $\mathfrak{D}$ . Our definition is based on the following Join Theorem for the Double-Jump.

## Theorem (Shore and Slaman, 1999)

*For  $A \in 2^\omega$ , the following conditions are equivalent.*

- ▶  *$A$  is not recursive in  $0'$ .*
- ▶ *There is a  $G \in 2^\omega$  such that  $A \oplus G \geq_T G''$ .*

So,  $\mathcal{I}(\Delta_2^0)$  is definable in terms of order, join, and the double jump. Consequently, it is definable in  $\mathfrak{D}$ .



# Join Theorem

The join theorem has precursors.

Theorem (Posner and Robinson, 1981)

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In order to determine  $G'$ , Posner and Robinson constructed  $G$  to be 1-generic. They arranged for  $A \oplus G$  to compute the way in which  $G$  met the relevant dense sets, and thereby compute  $G'$ .

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Jockusch and Shore generalized the proof and extended the theorem to operators in the  $n$ -r.e. hierarchy.

## Non-coding approach

Given  $A$ , we will build a functional  $\Phi$  to satisfy

$$\Phi(A) = \Phi''.$$

Note,  $\Phi$  is a collection of elements  $(x, y, \sigma)$ ; so it makes sense to take its jump.

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Our problem will be to determine whether  $n \in \Phi''$  without deciding the value of  $\Phi(n, A)$ .

# Kumabe-Slaman Forcing

The following notion of forcing is due to Kumabe and Slaman. We use it to construct a generic functional  $\Phi_G$

## Definition

Let  $P$  be the following partial order.

- ▶ The elements  $p$  of  $P$  are pairs  $(\Phi_p, \vec{X}_p)$  in which  $\Phi_p$  is a finite use-monotone Turing functional and  $\vec{X}_p$  is a finite collection of subsets of  $\omega$ .
- ▶ If  $p$  and  $q$  are elements of  $P$ , then  $p \geq q$  if and only if
  - ▶  $\Phi_p \subseteq \Phi_q$  and for all  $(x_q, y_q, \sigma_q) \in \Phi_q \setminus \Phi_p$  and all  $(x_p, y_p, \sigma_p) \in \Phi_p$ , the length of  $\sigma_q$  is greater than the length  $\sigma_p$ ,
  - ▶  $\vec{X}_p \subseteq \vec{X}_q$ ,
  - ▶ for every  $x, y$ , and  $X \in \vec{X}_p$ , if  $\Phi_q(x, X) = y$  then  $\Phi_p(x, X) = y$ .

# Kumabe-Slaman Forcing

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Produce a condition  $q$  stronger than  $p$  such that the following conditions hold.

- ▶  $q$  forces that  $\Phi'_G(n) = i$
- ▶  $\Phi_q(n, A) = i$  and  $n$  is the only number added to the domain of  $\Phi_p(A)$  by  $\Phi_q$
- ▶  $A \notin \vec{X}_p$



# Kumabe-Slaman Forcing

easy case

The easy case occurs when there is a  $\Phi_q$  extending  $\Phi_p$  such that

- ▶  $n \in \Phi'_G$  based on a witness verified by  $\Phi_q$ ,
- ▶ for each  $X \in \vec{X}_p$ ,  $\Phi_q(X) = \Phi_p(X)$ ,
- ▶ and  $\Phi_q(A) = \Phi_p(A)$ .

Since  $A \notin \vec{X}_p$ , we can extend  $\Phi_q$  to  $\Phi_r$  so that  $\Phi_r(n, A) = 1$  and for each  $X \in \vec{X}_p$ ,  $\Phi_r(X) = \Phi_p(X)$ . Then,  $r = (\Phi_r, \vec{X}_p)$  is the desired extension of  $p$ .

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Otherwise, for every  $\Phi_q$  extending  $\Phi_p$ , if  $n \in \Phi'_G$  based on a witness verified by  $\Phi_q$  then  $\Phi_q$  adds a computation relative to  $A$  or to an element of  $\vec{X}_p$ .

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Otherwise, for every  $\Phi_q$  extending  $\Phi_p$ , if  $n \in \Phi'_G$  based on a witness verified by  $\Phi_q$  then  $\Phi_q$  adds a computation relative to  $A$  or to an element of  $\vec{X}_p$ .

## Definition

Suppose  $n \in \omega$  and  $\Phi_p$  is a finite use-monotone Turing functional. For  $\vec{\tau} = (\tau_1, \dots, \tau_k)$  a sequence of elements of  $2^{<\omega}$  all of the same length, we say that  $\vec{\tau}$  is **essential to  $n \in \Phi'_G$  over  $\Phi_p$**  iff for all  $\Phi_q$  extending  $\Phi_p$ , if  $n \in \Phi'_G$  based on  $\Phi_q$ , then  $\Phi_q \setminus \Phi_p$  includes a triple  $(x, y, \sigma)$  such that  $\sigma$  is compatible with at least one component of  $\vec{\tau}$ .

# Kumabe-Slaman Forcing

the harder case

For each  $k$ , the set of essential sequences of length  $k$  forms a  $\Pi_1^0$ -tree  $T$ . ( $T$  can be replaced by a recursive tree.)

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But then, since  $A$  is not recursive, a theorem of Jockusch and Soare applies and we can conclude to there is another infinite path  $\vec{Y}$  in that  $T_k$  such that  $A \notin \vec{Y}$ .

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Then,  $q = (\Phi_p, \vec{X}_p \cup \vec{Y})$  forces  $n \notin \Phi'_G$ . We can then obtain the desired extension of  $p$  by extending  $q$  to  $r$  so as to define  $\Phi_r(n, A) = 0$ .

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- ▶ Show that being essential to forcing a  $\Sigma_2^0$  sentence is  $\Pi_2^0$ .

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The argument generalizes in the following way.

- ▶ Relate the forcing relation for  $\Pi_1^0$ -sentences to the unboundedness of recursive trees of essential sequences.
- ▶ Show that being essential to forcing a  $\Sigma_2^0$  sentence is  $\Pi_2^0$ .
- ▶ Apply the previous argument using the hypothesis that  $A$  is not  $\Delta_2^0$ .

# Defining the Jump

## Theorem

*The functions  $a \mapsto \mathcal{I}(\Delta_2^0(a))$  and  $a \mapsto a'$  are definable in  $\mathcal{D}$ .*

## Proof

By relativizing the previous theorem. For each degree  $a$  and each  $d$  greater than or equal to  $a$ ,  $d$  is not  $\Delta_2^0$  relative to  $a$  if and only if there is an  $x$  greater than or equal to  $a$  such that  $d + x \geq_T x''$ . Again, the double jump is definable in  $\mathcal{D}$ , and this equivalence provides first order definitions as required.  $\square$

# Defining Recursively Enumerable?

## Question

*Is the relation  $y$  recursively enumerable relative to  $x$  definable in  $\mathfrak{D}$ ?*

A positive answer would follow from a proof of the Biinterpretability Conjecture.

*Finis*