Lecture II Rotational instability of minimul mass blow-up
and backward-in-time construction of blow up
(CSSm)
$$i\partial_{\Sigma}u - A_{\Sigma}(w) u + (\partial_{n}^{2} + \frac{1}{n}\partial_{n}) u - \frac{(m+A_{0}|_{\Sigma}u)}{r^{2}}u + iui^{2}u = 0$$

 $A_{\Sigma}^{n} = A_{0}[u] := -\frac{1}{2}\int_{0}^{r}[u]^{2}r^{i}dr^{i}$
 $A_{\Sigma}^{(n)} = A_{\Sigma}[u] := -\int_{0}^{\infty}(m+A_{0}|_{\Sigma}u) |u|^{2}\frac{du}{r^{i}}$
Solitons: $Q_{\lambda,\beta} := e^{i\gamma}\frac{1}{\lambda}Q(\frac{r}{\lambda})$
where $Q := \sqrt{3}(m+i)\frac{r^{m}}{(r+r)^{2}(m+i)}$ if $m \ge 0$
Exact pseudocutional blow up
 $S(t_{i,\lambda}) = \frac{1}{rE_{i}}Q(\frac{r}{rE_{i}})e^{-\frac{ir^{2}}{2}}u(\pm c_{0})$
Q = Dynomics near Q ? ($\leftarrow \Rightarrow$ dynamics near S by C)
First, linearized app δ_{i}

Linearization of (CSSm) near Q [Lawrie-O.- Shah shahani', Kim-Kwon]
Goal: To arrive at "super-symmetric" form. (cf. [Raphael-Rodnianski'])
(Bm)
$$D_{\mu}u = 0$$
, $D_{\mu} = \partial_r - \frac{(m + A_0 E \mu)}{r}$

Linearization of
$$(B_m)$$
 (from Lecture I)
 $D_{N+\epsilon}(N+\epsilon) = D_N N + L_N \epsilon + (...)$

$$L_{w} \in = D_{w} \in -\frac{2}{r} A_{0} [W, \in J_{w}],$$
$$A_{0} [U, w] = -\frac{1}{2} \int_{0}^{r} Re(\overline{U}w) r' dr'$$

Dual:
$$\langle L_{w}u, v \rangle = \langle u, L_{w}^{*}v \rangle$$

$$L_{W}^{*} v = D_{W}^{*} \epsilon$$
$$= (-\partial_{r} - \frac{1}{r})\epsilon - \frac{(m + A_{0} \int w)}{r} \epsilon$$
$$+ \int_{r}^{\infty} Re(\overline{w}\epsilon) w dr'$$

. . <u>.</u>

$$((SS_{m}) \quad \frac{\partial t \, u + i \, \nabla E[u] = 0}{E[u] = \frac{1}{2} \, \| D_{uu} \, \|_{L^{2}}^{2}} \quad (Hamiltenin form)$$

$$E[u] = \frac{1}{2} \, \| D_{uu} \, \|_{L^{2}}^{2}$$

$$Acte \quad \langle \nabla E[u], \, v \rangle = \frac{d}{ds} \, E[u + sv_{3}]_{s=0} = \langle D_{u}u, L_{u}v \rangle$$

$$= \langle L_{u}^{*} D_{u} \, u, v \rangle$$

$$\forall E[u] = L_{u}^{*} D_{u} \, u$$

$$Linearization \quad df \quad \nabla E$$

$$\nabla E[w + \varepsilon] = \nabla E[w] + \mathcal{L}_{w} \in + (\cdots)$$

$$\mathcal{L}_{w} \in = L_{w}^{*} L_{w} \in + (L_{N+\varepsilon}^{*} - L_{w}^{*}) D_{w}^{N}$$

For
$$w = Q$$
 solving $D_Q Q = 0$,
 $2Q E = L_Q^* L_Q E$.

(supersymme factorization)

Lin-CSS m around a $l_q = L_q L_q$ $(l-CSS_m) \quad \partial_t \in + i \lambda_Q \in = 0$ Want : Invariant subspaces (generalized Kernel of 2Q. (Victe: (i2Q)*=2% (-i) 7-12m We have (p swith s.t.) N=rdr+l symm: $i \mathcal{L}_{\mathbf{Q}}(\mathbf{A}\mathbf{Q}) = 0$ $i \lambda_{a}(i \Delta) = 0$ pseudocarf. symm iQ = Ker(12a)* $c_{\lambda Q}(c_{4}^{\mu}Q) = \Lambda Q$ i 2a(p) = iQ= 1 ker (- 2ai) = $(i \Lambda Q, Q)$ and . $L_Q(\Lambda Q) = 0,$ $L_{Q}(iQ) = 0$ $L^{*}_{Q}(i \pm Q) = -i \Lambda Q$ $L_{A}^{\star}\left(\frac{1}{2(m+1)} r Q\right) = Q$ $L_{Q}(i\frac{r}{4}Q)=i\frac{r}{2}Q,$ $L_{a} \rho = \frac{1}{2(m+1)} r Q$ $\sim \mathcal{N}_{q}(i2a) = (\Lambda Q, iQ, \frac{1}{4}r^{2}Q, \rho)$ $\begin{cases} N_{1} ((i 2a)^{*}) = N_{1} (-2a^{*}i) \\ T \end{cases}$ $= (i \Lambda Q, Q, \frac{1}{4} r^2 Q, i \rho)$ under (L-CSSm) ~~ d.<E, iAQ>=0, d.<E, Q>=0 $\partial t < \epsilon, \frac{1}{4} r^2 Q$ dt< E, ip > under (L-CSSm) =-<E, (AQ) = < E, Q) Note & We obtain decomposition of L² into invariant spaces (under (e-cssm)) (when m≥2) $L^{2} = N_{q}(i2\alpha) \oplus \frac{1}{N_{q}}(i2\alpha)^{T})$ (p, -i ¥a ∉ L2 if m=0,1) Dynamics near Q via generalized Kernel

What happens if we start with

$$u_{0} = Q - i b_{0} \frac{r^{2}}{4} Q - (m+1) \gamma \rho^{2};$$
Then $\partial_{t} u \Big|_{t=0}^{t=0} - i \lambda_{Q} \left(- i b_{0} \frac{r^{2}}{4} Q - (m+1) \gamma \rho^{2} \right) + \dots$
 $= b_{0} \Lambda Q + (m+1) \gamma i Q + \dots$
 $\omega = \frac{e^{i\beta(h)}}{\lambda_{HI}}, \quad \text{where}$
 $\frac{\lambda_{t}}{\lambda} \Big|_{t=0}^{t=0} - b_{0}, \quad \delta e \Big|_{t=0}^{t=0} = (m+1) \gamma_{0}$
Q Can we construct exact soly $u = \left[P(b(s), \gamma(s)) \right] \lambda_{(i), \lambda(t)}$
with $P = Q - i b \frac{u^{2}}{4} Q - (m+1) \gamma \rho + \dots$
& ODEs for $\lambda, \delta, b, \gamma^{2}$

This will reveal an interesting nonlinear dynamics called rotational instability of blow up, which is (likely) relevant to WM, YM, SM,

Key structure: Existence of S.

$$S(t,x) = \frac{1}{1t!} Q(\frac{n}{1t!}) e^{-\frac{t}{4}\frac{n^2}{4t!}}$$

$$= \left[Qe^{-\frac{t}{6}\frac{y^2}{4}}\right]_{\lambda,\delta} \quad \text{with} \quad b = |t|, \ \eta = 0^{n}$$
Claim: Defining $P(y;b,o) = Q(y)e^{-\frac{t}{6}\frac{y^2}{4}}$, and considering
 $u(t;n) = \left[P(\cdot, b(t), o)\right]_{\lambda(t),\delta(t)}$,
we can "derive" S.

Adapted coordinates
$$(s, y, U)$$
 given λ, δ'
 $dt = \lambda^2 ds, \Gamma = \lambda y, U = \frac{e^{i\delta}}{\lambda} U$
 $(i.e., U = [U(set), i]_{\lambda, \delta'})$
Notation: $U^{\flat} = U, U^{\bigstar} = u$
equ for $u \longleftrightarrow$

$$\frac{\partial_{s} \mathcal{O} - \frac{\lambda_{s}}{\lambda} \wedge \mathcal{O} + \vartheta_{s} c \mathcal{O} + c L_{\mathcal{O}}^{*} D_{\mathcal{O}} \mathcal{O} = 0}{f_{rom} \partial_{\epsilon} u}$$

$$f_{rom} \partial_{\epsilon} u \qquad f_{rom} c L_{u}^{*} D_{u} u$$

$$Plug in \mathcal{O} \rightarrow \mathcal{P} = Q_{u} e^{-i \mathbf{b} \frac{\pi^{2}}{4}}, \quad \mathbf{b} = \mathbf{b}(s).$$

$$\frac{-i \mathcal{P}}{b_{s} \partial_{b} \mathcal{P}} - \frac{\lambda_{s}}{\lambda} \wedge \mathcal{P} + \vartheta_{s} c \mathcal{P} + i L_{\mathcal{P}}^{*} D_{\mathcal{P}} \mathcal{P} = 0$$

Let us compute
$$L_p^* D_p P = 0$$

 $D_p P = (\partial_y - \frac{m + A_0 [Qe^{ib\frac{y^2}{4}}]}{\frac{y}{4}})(Qe^{ib\frac{y^2}{4}})$
 $= (D_Q Q)e^{ib\frac{y^2}{4}} + ib\frac{y}{2}Qe^{ib\frac{y^2}{4}}$

 $L_p^* D_p P = -L_p^* (ib \neq P)$

 $\begin{pmatrix} \text{Recall: } L_p^* w = -\partial_y w - \frac{1+m+A_0 (P)}{4} w - \int_y^\infty \text{Re}(\bar{P}w) dy' P \\ \& D_p P = \partial_y w - \frac{m+A_0 (P)}{4} w \\ -L_p^* (cb\frac{4}{2}P) = +c\frac{b}{2}P - b\frac{4}{2}L_p^* (cP) \\ = +c\frac{b}{2}P - cb\frac{4}{2}(-2\partial_y - \frac{1}{4})P \\ -cb\frac{4}{2}D_p P + \int_y^\infty \text{Re}(\bar{P};P) dy' P \\ \end{pmatrix}$

 $= +ib \Lambda P - b^2 \frac{4}{4} P$

 $0 = -\frac{\lambda_s}{\lambda} \Lambda P + \delta_s i P - i b_s \frac{\mu^2}{4} P - b \Lambda P - i b^2 \frac{\mu^2}{4} P$

Exercise: $\lambda = |t|, b = |t|, 8 = 0$ is a solu

Q. How about
$$P(\cdot;b,\eta)$$
 with $\eta \neq 0$?
(Recall : $P = Q - ib \frac{\pi^2}{4} Q - (m+1) \eta p + \cdots$)
eqn for $U = P(\eta; b(s), \eta(s))$

by $\partial_L P + \eta_S d_I P - \frac{\Lambda_S}{\Lambda} \Lambda P + \delta_S iP + i L_P^* D_P P = 0.$

Q. Can we construct family $P(\cdot;b,\eta)$ is that
 $i L_P^* D_P P$ is a linear combination $d \rightarrow b_P, d_P, \Lambda P, iP$?

A. (Amazingly) YES $\frac{1}{2}$ P that solves consideration d solubility.

 $i L_P^* D_P P = i(b \Lambda P - (m+1)\eta P - (b^2 + \eta^2) \frac{\eta^2}{4} P)$

 $k \rightarrow bP = -i \frac{\eta^2}{4} P$ ($(=) P(\cdot; b, \eta) = P(\cdot; o, \eta) e^{-ib\frac{\eta^2}{4}}$)

 d . NLS for $b=0$, P is real k , note differences
 $-\Delta P + P + IPI^2 P = -\eta \frac{\eta}{4} \frac{\pi}{4} P$, $P = Q + \eta P + \cdots$
is easier to solve since λ_Q is invertible for real-valued fins.
But for (CSSm), λ_Q is not invertible even for real-valued fins.
But for (CSSm), λ_Q is not invertible even for real-valued fins.

 $(after imposing - (m+1)\eta P, -\eta^2$ in front of $\frac{\eta^2}{4} P$ is
 $hertiveted by solvability consideration.$

 $Idea df [kim-kimn]: For $b=0$, $\eta>0$, solve
 $D_P P = -(m+1)\eta \frac{\mu}{2} P$ (which is easier)
then $P(\cdot; b, \eta) = P(\cdot; o, \eta)e^{-ib\frac{\eta^2}{4}}$ solves the above.$

$$-\frac{ib_{s}\frac{y^{2}}{2}P}{iL_{p}^{2}D_{p}P} = -\frac{b}{2}AP + \frac{y_{s}}{2}P + \frac{i}{2}L_{p}^{2}D_{p}P = 0.$$

$$iL_{p}^{*}D_{p}P = -\frac{b}{2}AP - \frac{i}{2}(m+1)\eta P - \frac{i}{2}(b^{2}+\eta^{2})\frac{y^{2}}{2}P$$

$$\longrightarrow u \text{ is a solun if}$$

$$\begin{cases} \frac{h_{s}}{\lambda} + b = 0, \quad \frac{y_{s}}{2} - (m+1)\eta = 0\\ b_{s} + b^{2}\eta^{2} = 0, \quad \eta_{s} = 0 \end{cases}$$
Integration of this ODE.
$$\begin{cases} \lambda_{t} + \frac{b}{2} = 0, \quad \gamma_{t} - (m+1)\frac{y_{t}}{2} = 0\\ (b_{t} + \frac{b^{2}+\eta^{2}}{2} = 0, \quad \gamma_{t} = -(m+1)\frac{y_{t}}{2} = 0 \end{cases}$$
Observe:
$$\begin{cases} \lambda_{t} + \frac{b}{2} = 0, \quad \gamma_{t} - (m+1)\frac{y_{t}}{2} = 0\\ (b_{t} + \frac{b^{2}+\eta^{2}}{2} = 0, \quad \gamma_{t} = -(m+1)\frac{y_{t}}{2} = 0 \end{cases}$$

$$= 0.$$

$$\int \frac{b}{2} = \frac{b^{2}_{t}\eta^{2}}{\lambda^{2}_{t}} = 0 \qquad \Rightarrow b = L_{0}^{2}(T-t), \quad \gamma_{t} = \eta_{0}$$

$$\lambda = \int \frac{b^{2}_{t}}{L_{0}^{2}} = \int L_{0}^{2}(T-t)^{2} + (\frac{y_{t}}{2})^{2}$$

$$\begin{cases} \lambda_{t} = (m+1)\frac{\lambda_{0}^{2}}{b^{2}} + \frac{\eta_{0}^{2}}{2} = (m+1)\frac{L_{0}^{2}}{(L_{0}^{2}(T-t)^{2})^{2}} + \frac{\eta_{0}^{2}}{2} \\ - \int \chi(t) = (m+1) \arctan\left(\frac{\mu_{0}^{2}}{\eta_{0}}(t-T)\right) \end{cases}$$

Nonlinear Rotational Instability of S $b = l_{0}^{2}(T-t), \quad \gamma = \gamma_{0}$ $\lambda = \int \frac{b^2 + \eta^2}{l_0^2} = \int \frac{l_0^2 (T - t)^2 + (\frac{\eta_0}{l_0})^2}{l_0^2}$ $\mathcal{Y}(t) = (m+1) \arctan\left(\frac{l_0^2}{7_0}(t-T)\right)$ ~~ ≠U. **1**0 = 0 \rightarrow $\lambda = l_0 | T - t |$ t=T 8(T+1701)-8(T-1701) $\rightarrow \pm (m \pm 1) \pi$ as $\pm \gamma_0 > 0$. In fact, a similar phenomenon has been predicted for smith blow up for: harmonic map heart flow, Schrödinger maps Wave maps, Youg-Mills in the energy critical Case [Van den Berg - Williams, Merle-Raphaël - Rodnionski] for nm-smth blow up solins, the story Krigger - Mias - Schlag] different ? See

For S: rotational instability & Q. Other blow up sol'ns with similar dynamics? (cf. [Bourgoin-Wang] [Merle-Raphaël-Szofte]] for (NLS) Often times, "backword" construction is a good first try. Thus [Kim-kwon] Let M31 = at >0 sit the following holds. 2*: dr 2*, r-(m+3) 2* EL (backword construction) $\exists u : H'_m - soluto (CSSm)$ on $(-\infty, 0)$ with $u = S(t,r) = 2^* \xrightarrow{t > 0} 0$ in H_m (3) (3 rotational instability of U) 3 1-parameter family $U^{(2)}$: H_m^{\prime} -solves to (CSSm) where $\eta \in E_0, \eta^*$], with i) $u^{(0)} = u$ ii) y -> u'j' is continuous in Hm iii) for $\eta \neq 0$, u^{cy} scatters both forward & backward in time $u^{(\gamma)} = \left[P\left(\cdot; b^{(\gamma)}; \gamma\right) \right]_{\lambda^{(\gamma)}; \beta^{(\gamma)}} + O_{H_{m}^{(\alpha^{\ast})}} \right]$ with S. $b^{(7)} = |t|,$ $\lambda^{(\eta)} = (|t|^2 + \eta^2)^{\frac{1}{2}},$ $\gamma^{(0)}(-\tau) = O(\alpha^{*}\tau),$ $\int Sup \left[\gamma^{(\gamma)}(\tau) - \gamma^{(\gamma)}(-\tau) - (m+1)\pi \right] = O(\alpha^{*}\tau).$ Taking C, we obtain s Con Let $M \ge 1$. Then $Cu^{(n)} - Q - e^{i\Delta - m - 2t}u^* \longrightarrow 0$ in L^2 but Cull scatters forward in time for 120.

Backword construction (cf. [Bourgoin-Wang], [Herle-Rephiel-Sydtel])
1. Main decomposition

$$u^{q_1} = [P(\cdot; bu;), \gamma + \epsilon]_{\lambda(q_1, q_1)} + \epsilon$$
(cf) $U^{(q)} = P(q; b_{3}q) + \epsilon + \epsilon^{b}$)
Will specify $\lambda, \chi, b, \chi, b, \chi, \delta$ dobe for ϵ from $t = 0$.
2. Construction of ϵ Need to take the underliniteration betw $P_{\lambda \chi} \neq \epsilon$ (f. Letran I)
solve $\{\partial \epsilon^{2} + \sum_{k} \overline{D}_{k} \epsilon^{2} = 0 \\ \epsilon(t=0) = \epsilon^{k} \\ \int B_{\epsilon} \int L_{\epsilon}^{k} defined with A_{\epsilon}, where \\ A_{\epsilon}(\epsilon^{2}) = -\frac{1}{2} \int_{0}^{\epsilon} |A|^{2} r_{s} h' \\ + A_{\epsilon}(\epsilon^{2}) \end{bmatrix}$
Since ϵ^{k} is unividing unpubly as $r \rightarrow 0$ k is regular, so does ϵ^{2} :
 $\sup_{\epsilon \in [1]} [10^{k} \epsilon] + 1\frac{1}{1} \epsilon \epsilon^{2}] \\ \leq \alpha^{k} \min[r^{m_{2}-\epsilon}, 1]$
3. Equation for ϵ $W = Q + \epsilon^{b}$
 $\longrightarrow \partial_{s} \epsilon + i d_{w} \epsilon + b A \epsilon + i (meti) \eta \epsilon$
 $= (\frac{A_{s}}{A} + b] A (P + \epsilon) + (b_{s} + b^{2} + q^{2}) \frac{|y|^{2}}{4} P$
 $-i (\chi_{s} + 0) = (m_{s}) \eta P - c(\chi_{s} - (m_{s})) \eta) \epsilon$
 $-i (R_{P,3}) + (better nonlinear terms)$
mainser interaction betw $P \& R_{s}$ in ($\epsilon(SS)$)

When
$$y=0$$
,
 $\partial_{s} \epsilon + i d_{w} \epsilon + b \Lambda \epsilon + i (mmi) \eta \epsilon$
 $= (-2(\frac{\lambda}{\lambda}+b)b + (b_{s}+b^{2}+\eta^{2}))\frac{|y|^{2}}{4}Qe^{-ib\frac{y}{4}}$
 $+ (\frac{\lambda}{\lambda}+b)(\Lambda Qe^{-ib\frac{y}{4}}+\epsilon)$
 $-i(\vartheta_{s}+\Theta_{s})=(mmi) \eta Qe^{ib\frac{y}{4}}-\epsilon(\vartheta_{s}-(mmi)\eta)\epsilon$
 $-i\widetilde{R}_{P,2}$
4. Equations for $\lambda, \gamma, b \& energy-virial fluick.$
We can specify three equas (use implicit fluich thum).
Use $\delta \quad \langle \epsilon_{1,2} \rangle = \langle \epsilon_{2,2} \rangle = 0$ (Lecture I)
 $\&$ the coefficient in fluit of least decaying terms on the RHS
(which is important when $\eta = 0$)
 $-2(\frac{\lambda}{\lambda}+b)b+(b_{s}+b^{2}+\eta^{2})=0$
Test against $\mathcal{Z}_{i}, \mathcal{Z}_{2}$ to derive equas for $\lambda_{s}, \vartheta_{s}$ (Lecture I)
For ϵ : energy method starts with
 $\langle i \partial_{s} \epsilon + d_{w} \epsilon - ib\Lambda \epsilon + (mmi) \eta \epsilon, \partial_{s} \epsilon \rangle$
 $= \frac{\lambda}{2}\partial_{s} [\lambda^{2}(\frac{\epsilon_{1}}{\mu_{w}}) E(\epsilon) + b\frac{\pi}{2} \Gamma(\epsilon) + (\frac{mmi}{2}) \eta \Lambda(\epsilon))] + (better)$
 $E[hume]-E[w]-(geb)], \epsilon > \frac{b}{2} \int m(\epsilon_{1}, b)$
In reality, $\tilde{\Xi}$ is not well-defined for $\epsilon \epsilon$ th, $(\frac{\Gamma}{Raphael}-Szeftel]$
so perform truncation ~ 2 Ξ

Then $\overline{J}(s) = \chi^2 (E_W^{(qd)}[E] + b \overline{\Phi}[E] + \frac{(M+1)}{2} \eta M[E]$ $2 \| E \|_{H_{m}}$ if $\langle E, Z_1 \rangle = \langle E, Z_2 \rangle = 0$ & b small & y > 0. & - x ds I(s) = IRP, 2 II H + (acceptable) Now bootstrap so Thanks to $\|\widetilde{R}_{P,2}\|_{H_{m}^{1}} \lesssim \lambda^{M}$ for M large & (expect) λ is smallest of t=0& we are integrating backwards, we can establish deary of I& close.

$$M = 0? \quad z^{*} \quad exerts \quad nantrivial \quad effect \quad an \quad the \quad ODEs.$$

$$The Ekim - kman - 0, \quad forth coming \quad J \begin{pmatrix} x & WH \\ YH : [krieger - Schlag - Extand] \\ SH & [Perelinan] \\ WH & [Perelinan] \\ WH & [Jendrej - Lawrie - Redrigues] \end{pmatrix}$$

$$z^{*} = q \Gamma^{\nu} \chi_{z1}(r), \quad \nu \in C, \quad Re \nu > 0. \quad (Note: z^{*} \in H^{1+\nu-})$$

$$Then \quad \exists u: H_{n}^{i} - sol'n \quad ta \quad (CSS_{m}) \quad with \quad Tt = 0 \quad sit.$$

$$u - Q_{\lambda,8} - z^{*} \quad \frac{t > Tt}{2} \quad O$$

$$where \qquad \lambda(t) e \quad iV(t) = -\frac{\sqrt{2}}{4} \frac{\Gamma(\frac{\nu}{2})}{Re\nu + 1} \quad q \quad \frac{(4it)^{\frac{\nu}{2} + 1}}{1 \text{ by } |t|}$$

Run backward construction but $\widehat{R}_{P,2^{b}}$ does NOT vanish very fast, ([Jendrej-Lawrie] instead forces modulation equs. Idea :