

# The diameter of a Lascar strong type

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## Abstract

We prove that a type-definable Lascar strong type has finite diameter. We answer also some other questions from [1] on Lascar strong types. We give some applications on subgroups of type-definable groups.

In this paper  $T$  is a complete theory in language  $L$  and we work within a monster model  $\mathfrak{C}$  of  $T$ . For  $a_0, a_1 \in \mathfrak{C}$  let  $a_0 \Theta a_1$  iff  $\langle a_0, a_1 \rangle$  extends to an indiscernible sequence  $\langle a_n, n < \omega \rangle$ . We define a distance function  $d$  on  $\mathfrak{C}$ . Namely,  $d(a, b)$  is the minimal natural number  $n$  such that for some  $a_0 = a, a_1, \dots, a_{n-1}, a_n = b$  we have  $a_0 \Theta a_1 \Theta \dots \Theta a_{n-1} \Theta a_n$ . If no such  $n$  exists, we set  $d(a, b) = \infty$ .

The transitive closure  $\equiv^{Ls}$  of  $\Theta$  (denoted also by  $E_L$ ) is the finest bounded invariant equivalence relation on  $\mathfrak{C}$ , its classes are called Lascar strong types. So  $a \equiv^{Ls} b \iff d(a, b) < \infty$ .  $\equiv^{bd}$  (denoted also by  $E_{KP}$ ) is the finest bounded type-definable equivalence relation on  $\mathfrak{C}$ . For details see e.g. [1]. So  $\equiv^{bd}$  is coarser than  $\equiv^{Ls}$  and each  $\equiv^{bd}$ -class is a union of some number of Lascar strong types.

## 1

Assume  $a \in \mathfrak{C}$  and let  $X$  be the Lascar strong type of  $a$ . We define the diameter  $diam(X)$  as the supremum of  $d(a, b), b \in X$ . In [1] the authors ask whether  $X$  being type-definable implies that  $X$  has finite diameter. (Strictly speaking, this is an equivalent version of the question from [1].) Also they ask how many Lascar strong types may be contained in a given  $\equiv^{bd}$ -class. We answer both questions in Corollary 1.8. Before we approach them it is convenient to consider a more general problem. Namely, how many Lascar strong types are needed to make a type-definable set. We answer this question in the next theorem. For a type or formula  $s(x)$ ,  $[s(x)]$  denotes the set of types containing  $s(x)$ .

**Theorem 1.1** *Assume that  $p^* \in S(\emptyset)$  and  $X \subseteq p^*(\mathfrak{C})$  is a type-definable set, which is a union of some number of Lascar strong types of infinite diameter. Then*

$$|X / \equiv^{Ls}| \geq 2^{\aleph_0}.$$

In the proof of Theorem 1.1 we will need a topological lemma related to the Baire category theorem. Assume  $K$  is a compact space and  $\mathcal{A}$  is a family of subsets of  $K$  covering  $K$ . We define an increasing sequence  $Z_\alpha, \alpha \in Ord \cup \{-1\}$ , of open subsets of  $K$ . We let  $Z_{-1} = \emptyset$ , for limit  $\alpha$  we put  $Z_\alpha = \bigcup_{\beta < \alpha} Z_\beta$ , and for  $\alpha = \beta + 1$  we define

$$Z_\alpha = \bigcup_{A \in \mathcal{A}} \text{int}(Z_\beta \cup A).$$

We call  $\langle Z_\alpha \rangle_{\alpha \in Ord \cup \{-1\}}$  the open analysis of  $K$  with respect to  $\mathcal{A}$ . There is a minimal  $\beta$  such that  $Z_\beta = Z_{\beta+1}$ . We call this  $\beta$  the height of  $K$  with respect to  $\mathcal{A}$ . If  $Z_\beta = K$ , we say that  $K$  is analyzable with respect to  $\mathcal{A}$ , or  $\mathcal{A}$ -analyzable. The closed set  $K \setminus Z_\beta$  is called the core of  $K$  with respect to  $\mathcal{A}$ , or the  $\mathcal{A}$ -core of  $K$ .

The Cantor-Bendixson analysis of  $K$  is the open analysis with respect to  $\mathcal{A} = \{\{x\} : x \in K\}$ . Also Morley rank may be defined in terms of open analyses of some compact spaces.

If  $\mathcal{A}'$  is another covering of  $K$ , we say that  $\mathcal{A}'$  refines  $\mathcal{A}$ , if every member of  $\mathcal{A}'$  is contained in some member of  $\mathcal{A}$ .

**Remark 1.2** (1) If  $K$  is  $\mathcal{A}$ -analyzable and  $Z_\alpha \neq K$ , then  $Z_{\alpha+1} \setminus Z_\alpha$  is relatively open and dense in  $K \setminus Z_\alpha$  and the height of  $K$  with respect to  $\mathcal{A}$  is a successor ordinal.  
(2) If  $\mathcal{A}'$  refines  $\mathcal{A}$  and  $K$  is  $\mathcal{A}'$ -analyzable, then  $K$  is  $\mathcal{A}$ -analyzable.

**Lemma 1.3** Assume  $f : K' \rightarrow K$  is a continuous surjection of compact spaces,  $\mathcal{A}$  is a covering of  $K$  and  $\mathcal{A}'$  is a covering of  $K'$ .

(1) Assume  $\mathcal{A} = \mathcal{A}_0 \cup \mathcal{A}_1$ ,  $\bigcup \mathcal{A}_0 \cap \bigcup \mathcal{A}_1 = \emptyset$  and  $S = \bigcup \mathcal{A}_0$ . If  $K$  is  $\mathcal{A}$ -analyzable, then the set  $\bigcup_{A \in \mathcal{A}_0} \text{int}_S(A)$  is relatively open and dense in  $S$ .

(2) Assume  $\mathcal{A}' = \{f^{-1}[A] : A \in \mathcal{A}\}$ . Let  $C'$  be the  $\mathcal{A}'$ -core of  $K'$ . Then  $f[C']$  is the  $\mathcal{A}$ -core of  $K$ . In particular,  $K'$  is  $\mathcal{A}'$ -analyzable iff  $K$  is  $\mathcal{A}$ -analyzable.

(3) Assume  $\mathcal{A} = \{f[A'] : A' \in \mathcal{A}'\}$ . If  $K'$  is  $\mathcal{A}'$ -analyzable, then  $K$  is  $\mathcal{A}$ -analyzable.

If in Lemma 1.3(1)  $\mathcal{A}_0$  is a countable family of closed sets,  $S = \bigcup \mathcal{A}_0$  is a  $G_\delta$ -set and  $\mathcal{A}_1 = \{K \setminus S\}$ , then  $K$  is  $\mathcal{A}$ -analyzable. In this way Lemma 1.3 is related to the Baire category theorem.

*Proof.* Let  $\langle Z_\alpha \rangle$  be the open analysis of  $K$  with respect to  $\mathcal{A}$ .

(1) Assume  $U$  is an open subset of  $K$  meeting  $S$ . We have that  $Z_0 \cap U \cap S \subseteq \bigcup_{A \in \mathcal{A}_0} \text{int}_S(A)$ . If  $Z_0 \cap U \cap S = \emptyset$ , then  $S$  is dense in  $U \setminus Z_0$ ,  $Z_1 \cap U \cap S \neq \emptyset$  and  $Z_1 \cap U \cap S \subseteq \bigcup_{A \in \mathcal{A}_0} \text{int}_S(A)$ .

(2) Clearly the  $\mathcal{A}$ -core of  $K$  contains  $f[C']$ . We will show the reverse inclusion. So suppose  $f[C'] \neq K$ . Let  $\langle Z'_\alpha \rangle$  be the open analysis of  $K'$  with respect to  $\mathcal{A}'$ . It is enough to show that  $Z_0 \neq \emptyset$ .

Suppose for a contradiction that  $Z_0 = \emptyset$ . This means, that the sets from  $\mathcal{A}$  have empty interior. We construct recursively non-empty open subsets  $U_l$  of  $K$  and numbers  $\alpha_l \in Ord \cup \{-1\}$ ,  $l < \omega$ , such that the sequence  $\langle \alpha_l \rangle_{l < \omega}$  is strictly decreasing (hence we will reach a contradiction) and

$$(*) \quad \alpha_l \text{ is minimal such that } f^{-1}[cl(U_l)] \subseteq Z'_{\alpha_l+1}.$$

We define  $U_0$  as a non-empty open subset of  $K$  with  $cl(U_0) \cap f[C'] = \emptyset$ . Then for some  $\beta$  we have  $f^{-1}[cl(U_0)] \subseteq Z'_\beta$ . Since  $f^{-1}[cl(U_0)]$  is compact, we can choose  $\alpha_0$  as in (\*).

Suppose we have defined  $U_l$  and  $\alpha_l$ , we will define  $U_{l+1}$  and  $\alpha_{l+1}$ . Since  $f^{-1}[cl(U_l)]$  is compact, by (\*) there are finitely many sets  $A_0, \dots, A_{k-1} \in \mathcal{A}$  (for some  $k < \omega$ ) and open sets  $V_i \subseteq K', i < k$ , with  $cl(V_i) \subseteq Z'_{\alpha_l} \cup A'_i$  (where  $A'_i = f^{-1}[A_i]$ ), such that  $f^{-1}[cl(U_l)] \subseteq \bigcup_{i < k} V_i$ . Let  $V = f[\bigcup_{i < k} cl(V_i) \setminus Z'_{\alpha_l}]$ . So  $V$  is a closed subset of  $K$ . There are two cases.

**Case 1.**  $V$  has non-empty interior. In this case one of the sets  $f[cl(V_i) \setminus Z'_{\alpha_l}]$  has non-empty interior, but  $f[cl(V_i) \setminus Z'_{\alpha_l}] \subseteq A_i$ , and  $A_i$  has empty interior, a contradiction.

**Case 2.**  $V$  has empty interior. In this case choose a non-empty open set  $U_{l+1} \subseteq U_l$  with  $cl(U_{l+1}) \cap V = \emptyset$ . So  $f^{-1}[cl(U_{l+1})] \subseteq Z'_{\alpha_l}$ . Hence  $\alpha_l \geq 0$  and we may choose  $\alpha_{l+1}$  so that (\*) holds.

In this way we have finished the construction and the proof (2).

(3) Let  $\mathcal{A}'' = \{f^{-1}[A] : A \in \mathcal{A}\}$ . Then  $\mathcal{A}'$  refines  $\mathcal{A}''$ , hence by Remark 2,  $K'$  is  $\mathcal{A}''$ -analyzable. By (2),  $K$  is  $\mathcal{A}$ -analyzable.  $\square$

From now on until the end of the proof of Theorem 1.1 we assume that  $X \subseteq p^*(\mathfrak{C})$  is a type-definable union of some number of Lascar strong types of infinite diameter and  $\bar{a} = \langle a_\alpha \rangle_{\alpha < \mu}$  is a tuple of representatives of the Lascar strong types contained in  $X$ . So  $X$  is definable by a type  $\Phi_0(x)$  over some  $C \subseteq \mathfrak{C}$ . It follows, that  $X$  is also type-definable over  $\bar{a}$ .

To see this, consider the restriction map  $r : S(C\bar{a}) \rightarrow S(\bar{a})$ . Since  $r$  is continuous, the image of the compact set  $S(C\bar{a}) \cap [\Phi_0(x)]$  via  $r$  is closed in  $S(\bar{a})$ , hence  $r[S(C\bar{a}) \cap [\Phi_0(x)]] = S(\bar{a}) \cap [\Phi(x, \bar{a})]$  for some type  $\Phi(x, \bar{a})$  over  $\bar{a}$ . Since  $X$  is  $\bar{a}$ -invariant,  $\Phi(\mathfrak{C}, \bar{a}) = X$ .

Let  $Y = S(\bar{a}) \cap [\Phi(x, \bar{a})] = \{tp(b/\bar{a}) : b \in X\}$ . So  $Y$  is a closed subset of  $S(\bar{a})$ . The main part of the proof of Theorem 1 is the following proposition.

**Proposition 1.4** *There is a type-definable over  $\bar{a}$  set  $X' \subseteq X$  such that for every formula  $\varphi(x)$  over  $\bar{a}$ , if  $X' \cap \varphi(\mathfrak{C}) \neq \emptyset$ , then  $|(X' \cap \varphi(\mathfrak{C})) / \equiv^{Ls}| \geq 2$ .*

*Proof.* For  $\alpha < \mu$  and  $n < \omega$  let

$$Y_\alpha = \{tp(b/\bar{a}) : b \equiv^{Ls} a_\alpha\} \text{ and } Y_\alpha^n = \{tp(b/\bar{a}) : d(a_\alpha, b) \leq n\}.$$

So the sets  $Y_\alpha^n$  are closed in  $S(\bar{a})$ ,  $Y_\alpha = \bigcup_n Y_\alpha^n$  and  $Y = \bigcup_{\alpha, n} Y_\alpha^n$ . Let  $\langle Z_\alpha \rangle$  be the open analysis of  $Y$  with respect to  $\mathcal{Y} = \{Y_\alpha^n : \alpha < \mu, n < \omega\}$  and let  $\beta^+$  be the corresponding height of  $Y$ . There are two cases.

**Case 1.**  $Z_{\beta^+} \neq Y$ . In this case the set  $X' = \{b \in X : tp(b/\bar{a}) \in Y \setminus Z_{\beta^+}\}$  satisfies our demands.

Indeed, consider a formula  $\varphi(x)$  over  $\bar{a}$  with  $X' \cap \varphi(\mathfrak{C}) \neq \emptyset$ . Suppose for a contradiction that  $X' \cap \varphi(\mathfrak{C})$  is contained in a single Lascar strong type, say  $a_\gamma / \equiv^{Ls}$ . Then  $(Y \setminus Z_{\beta^+}) \cap [\varphi(x)] \subseteq Y_\gamma = \bigcup_n Y_\gamma^n$ , hence by the Baire category theorem one of

the sets  $Y_\gamma^n, n < \omega$ , has non-empty interior in  $Y \setminus Z_{\beta^+}$ . This means, that  $Z_{\beta^+ + 1} \neq Z_{\beta^+}$ , a contradiction.

So in the further proof of Proposition 2 we may assume that the following Case 2 holds.

**Case 2.**  $Z_{\beta^+} = Y$  and  $Y$  is  $\mathcal{Y}$ -analyzable. In this case we will eventually reach a contradiction.

For every  $b \in X$  and  $n < \omega$  let  $U_b = \{tp(c/b) : c \in X\}$ ,  $Y_b = \{tp(c/b) : c \stackrel{Ls}{\equiv} b\}$ ,  $Y_b^n = \{tp(c/b) : d(c, b) \leq n\}$  and

$$Z_b^0 = \{r \in Y_b : \text{for some } \varphi(x) \in r \text{ and } n < \omega \text{ we have } Y_b \cap [\varphi(x)] \subseteq Y_b^n\}.$$

**Claim 1.5**  $Z_b^0$  is a relatively open and dense subset of  $Y_b$ . Moreover there is no bound on  $d(c, b)$  for  $c \stackrel{Ls}{\equiv} b$  with  $tp(c/b) \in Z_b^0$ .

*Proof.* We could have chosen  $\bar{a}$  so that  $a_0 = b$ . So we may assume  $b = a_0$ .  $U_b$  is closed as a continuous image (via the restriction map) of the closed set  $Y$ . If  $\mu$  is countable, then one can show that the set  $Y_b$  is a  $G_\delta$ -subset of  $U_b$ , and then the claim follows directly from the Baire category theorem (which holds in a  $G_\delta$ -subset of a compact space), since  $Y_b = \bigcup_n Y_b^n$ .

In general  $\mu$  may be uncountable, so we have to argue differently. Let  $f : Y \rightarrow U_b$  be the restriction map and  $Y_0^\omega = Y \setminus \bigcup_n Y_0^n$ . Then  $\mathcal{A}' = \{Y_0^n : n \leq \omega\}$  is a covering of  $Y$  such that  $\mathcal{Y}$  is finer than  $\mathcal{A}'$ . Since  $Y$  is  $\mathcal{Y}$ -analyzable, by Remark 2  $Y$  is also  $\mathcal{A}'$ -analyzable.

Let  $\mathcal{A} = \{Y_b^n : n \leq \omega\}$ , where  $Y_b^\omega = U_b \setminus \bigcup_{n < \omega} Y_b^n$ . By Lemma 1.3 (for  $K' := Y$  and  $K := U_b$ ) we get that  $U_b$  is  $\mathcal{A}$ -analyzable and  $Z_b^0$  is dense in  $Y_b$ . Let  $\langle Z_\alpha^* \rangle$  be the open analysis of  $U_b$  with respect to  $\mathcal{A}$ .

For the last clause, suppose there is a bound  $k$  on  $d(c, b)$  for  $c \stackrel{Ls}{\equiv} b$  with  $tp(c/b) \in Z_b^0$ . We will prove that  $Y_b = Z_b^0$ .

Suppose otherwise. Choose the first  $\alpha$  such that  $Z_\alpha^*$  meets  $Y_b \setminus Z_b^0$ . It follows that  $Z_\alpha^*$  contains an open subset  $W$  of  $U_b$  such that  $\emptyset \neq W \cap (Y_b \setminus Z_b^0) \subseteq Y_b^n$  for some  $n < \omega$ . But then for all  $c$  with  $tp(c/b) \in (W \cap Y_b) \cup Z_b^0$  we have  $d(c, b) \leq \max\{n, k\}$ , hence  $W \cap Y_b \subseteq Z_b^0$ , a contradiction.

Now  $Y_b = Z_b^0$  implies, that the diameter of the Lascar strong type of  $b$  is  $\leq k$ , contradicting the assumptions of Theorem 1.1.  $\square$

For any  $b \in X$  we define  $\bar{d}(\bar{a}, b)$  as  $d(a_\alpha, b)$  for the  $a_\alpha$  with  $a_\alpha \stackrel{Ls}{\equiv} b$ . We carry out an inductive analysis of  $X$ . For  $n < \omega$  let

$$X^n = \{b \in X : \bar{d}(\bar{a}, b) \leq n\} \text{ and } Y^n = \{tp(b/\bar{a}) : b \in X^n\}.$$

We see that  $X = \bigcup_n X^n$ ,  $Y = \bigcup_n Y^n$  and  $Y^n, n < \omega$ , are unions of the closed sets  $Y_\alpha^n, \alpha < \mu$ . Let  $\langle Z^\alpha \rangle$  be the open analysis of  $Y$  with respect to  $\mathcal{Y}' = \{Y^n : n < \omega\}$ . Since  $\mathcal{Y}$  refines  $\mathcal{Y}'$  and  $Y$  is  $\mathcal{Y}$ -analyzable, by Remark 1.2 we get that  $Y$  is also  $\mathcal{Y}'$ -analyzable. Let  $\beta^*$  be the height of  $Y$  with respect to  $\mathcal{Y}'$ . By Remark 1.2,  $\beta^*$  is a successor, say  $\beta^* = \alpha^* + 1$  for some  $\alpha^* \in Ord \cup \{-1\}$ .

**Lemma 1.6** (1) If there is a finite bound on  $\bar{d}(\bar{a}, b)$  for  $b \in \varphi(\mathfrak{C}, \bar{a})$  with  $tp(b/\bar{a}) \in Z^{\alpha+1} \setminus Z^\alpha$ , then  $Y \cap [\varphi(x, \bar{a})] \subseteq Z^{\alpha+1}$ .

(2) There is some  $k > 0$  such that for all  $b \in X$  with  $tp(b/\bar{a}) \in Y \setminus Z^{\alpha^*}$ , we have  $\bar{d}(\bar{a}, b) \leq k$ .

(3)  $\beta^* = 0$  iff there is a finite bound on the diameters of the Lascar strong types contained in  $X$ .

*Proof.* (1) By Remark 1.2,  $Z^{\alpha+2} \setminus Z^{\alpha+1}$  is dense in  $Y \cap [\varphi(x, \bar{a})] \setminus Z^{\alpha+1}$ . On the other hand our assumptions imply that  $Z^{\alpha+2} \cap [\varphi(x, \bar{a})] \subseteq Z^{\alpha+1}$ . So  $Y \cap [\varphi(x, \bar{a})] \subseteq Z^{\alpha+1}$ .

(2) The set  $Y \setminus Z^{\alpha^*}$  is covered by relatively open subsets of some  $Y^n$ ,  $n < \omega$ . By compactness, a finite number of these sets covers  $Y \setminus Z^{\alpha^*}$ , hence the conclusion follows.

(3) Immediate.  $\square$

*Proof of Proposition 1.4 continued.* We will define recursively elements  $b_l \in X$ , formulas  $\varphi_l(x, \bar{a})$ ,  $\psi_l(x, b_l)$  and numbers  $\alpha_l, \beta_l \in \text{Ord} \cup \{-1\}$  for  $l < \omega$  so that  $\alpha_l < \beta_l$ , the sequences  $\langle \alpha_l \rangle_{l < \omega}$ ,  $\langle \beta_l \rangle_{l < \omega}$  are strictly decreasing (hence we will reach a contradiction) and the following hold.

(a)  $tp(b_l/\bar{a}) \in Z^{\beta_l+1} \setminus Z^{\beta_l}$ .

(b)  $\psi_l(x, b_l) \vdash \varphi_l(x, \bar{a})$ .

(c)  $\emptyset \neq Y_{b_l} \cap [\psi_l(x, b_l)] \subseteq Y_{b_l}^m$  for some  $m < \omega$ .

(d)  $\alpha_l < \alpha^*$  is minimal such that  $Y \cap [\varphi_l(x, \bar{a})] \subseteq Z^{\alpha_l} \cup Y^n$  for some  $n < \omega$ .

First we deal with the case  $l = 0$ . Choose a  $b_0 \in X$  with  $tp(b_0/\bar{a}) \in Y \setminus Z^{\alpha^*}$  and let  $\beta_0 = \alpha^*$ . Let  $k > 0$  be as in Lemma 1.6. So  $\bar{d}(\bar{a}, b_0) \leq k$ .

By Claim 1.5 choose  $c \stackrel{Ls}{\equiv} b_0$  with  $tp(c/b_0) \in Z_{b_0}^0$  and  $d(b_0, c) \geq 3k$ . By the triangle inequality it follows that  $\bar{d}(\bar{a}, c) \geq 2k$ , hence by the choice of  $k$ ,  $tp(c/\bar{a}) \in Z^{\alpha^*}$  and the same is true for any other  $c' \models tp(c/b_0)$ .

The set  $Y \setminus Z^{\alpha^*}$  is closed in  $S(\bar{a})$ , so we can regard it as a type over  $\bar{a}$ . We have that the type  $(Y \setminus Z^{\alpha^*})(x) \cup tp(c/b_0)(x)$  is inconsistent, hence there are formulas  $\psi_0(x, b_0) \in tp(c/b_0)$  and  $\varphi_0(x, \bar{a})$  satisfying (b),(c) and  $Y \cap [\varphi_0(x, \bar{a})] \subseteq Z^{\alpha^*}$ . Then we choose  $\alpha_0 < \alpha^*$  satisfying (d) by the definition of  $Z^{\alpha^*}$ .

Next suppose we have found  $b_l, \varphi_l, \psi_l, \alpha_l$  and  $\beta_l$  satisfying (a)–(d) and we will define  $b_{l+1}, \varphi_{l+1}, \psi_{l+1}, \alpha_{l+1}$  and  $\beta_{l+1}$ .

Choose a formula  $\theta(y, \bar{a}) \in tp(b_l/\bar{a})$  with  $\psi_l(x, y) \wedge \theta(y, \bar{a}) \vdash \varphi_l(x, \bar{a})$ . Since  $tp(b_l/\bar{a}) \in Z^{\beta_l+1} \setminus Z^{\beta_l}$ , by Lemma 6 for every  $\gamma < \beta_l$  there is no finite bound on  $\bar{d}(\bar{a}, b')$  for  $b' \in \theta(\mathfrak{C}, \bar{a})$  with  $tp(b'/\bar{a}) \in Z^{\gamma+1} \setminus Z^\gamma$ . If  $\beta_l$  is a successor, let  $\beta_{l+1}$  be the predecessor of  $\beta_l$ , while for limit  $\beta_l$  choose  $\beta_{l+1} < \beta_l$  with  $\alpha_l < \beta_{l+1}$ . Then choose  $b_{l+1} \in \theta(\mathfrak{C}, \bar{a})$  with  $tp(b_{l+1}/\bar{a}) \in Z^{\beta_{l+1}+1} \setminus Z^{\beta_{l+1}}$  and such that  $\bar{d}(\bar{a}, b_{l+1}) > n + m$ .

Since  $tp(b_l) = tp(b_{l+1})$ ,  $\psi_l(\mathfrak{C}, b_l) \cap Y_{b_l}$  being non-empty implies that also  $\psi_l(\mathfrak{C}, b_{l+1}) \cap Y_{b_{l+1}} \neq \emptyset$ . There are two cases.

**Case 1.** There is some  $c' \in \psi_l(\mathfrak{C}, b_{l+1})$  with  $c' \stackrel{Ls}{\equiv} b_{l+1}$  and  $tp(c'/\bar{a}) \notin Z^{\alpha_l}$ . In this case for such a  $c'$  we have  $\bar{d}(\bar{a}, c') \leq n, d(b_{l+1}, c') \leq m$  (by (c),(d)), while  $\bar{d}(\bar{a}, b_{l+1}) > n + m$ , which violates the triangle inequality.

This contradiction shows that  $\alpha_l \geq 0$  and the following Case 2 holds.

**Case 2.** For every  $c' \in \psi_l(\mathfrak{C}, b_{l+1})$  with  $c' \stackrel{Ls}{\equiv} b_{l+1}$  we have that  $tp(c'/\bar{a}) \in Z^{\alpha_l}$ . In this case choose such a  $c'$ . Again we see that the type  $tp(c'/b_{l+1})(x) \cup (Y \setminus Z^{\alpha_l})(x)$  is inconsistent, hence for some  $\psi_{l+1}(x, b_{l+1}) \in tp(c'/b_{l+1})$  implying  $\psi_l(x, b_{l+1})$  and for some  $\varphi_{l+1}(x, \bar{a})$  we have that

(b')  $\psi_{l+1}(x, b_{l+1}) \vdash \varphi_{l+1}(x, \bar{a})$  and

(d')  $Y \cap [\varphi_{l+1}(x, \bar{a})] \subseteq Z^{\alpha_{l+1}} \cup Y^n$  for some minimal  $\alpha_{l+1} \in Ord \cup \{-1\}$  with  $\alpha_{l+1} < \alpha_l$  and some  $n < \omega$ .

In this way we have completed the recursive construction and the proof of Proposition 1.4.  $\square$

**Lemma 1.7** Assume  $X'$  is as in Proposition 1.4,  $n < \omega$ ,  $a, b \in X'$  and  $d(a, b) = \infty$ . Then there are formulas  $\varphi(x) \in tp(a/\bar{a})$  and  $\psi(x) \in tp(b/\bar{a})$  such that for all  $a' \in X' \cap \varphi(\mathfrak{C})$  and  $b' \in X' \cap \psi(\mathfrak{C})$  we have  $d(a', b') > n$ .

*Proof.* Let  $p = tp(a/\bar{a})$  and  $q = tp(b/\bar{a})$ . The type

$$\{“d(x, y) \leq n”\} \cup p(x) \cup q(y)$$

is inconsistent. So there is a formula  $\chi(x, y)$  such that  $“d(x, y) \leq n” \vdash \chi(x, y)$ , and formulas  $\varphi(x) \in p(x)$  and  $\psi(y) \in q(y)$  such that the formula  $\chi(x, y) \wedge \varphi(x) \wedge \psi(y)$  is contradictory. Clearly the formulas  $\varphi(x)$  and  $\psi(x)$  satisfy our demands.  $\square$

*Proof of Theorem 1.1.* Choose  $X'$  as in Proposition 1.4. Using Lemma 1.7 we construct a tree  $\varphi_\eta(x), \eta \in 2^{<\omega}$ , of formulas over  $\bar{a}$  such that

(a)  $\varphi_\eta(\mathfrak{C}) \cap X' \neq \emptyset$ ,

(b)  $\varphi_{\eta \frown \langle i \rangle}(x) \vdash \varphi_\eta(x)$  for  $i = 0, 1$ , and

(c) if  $\eta \neq \nu \in 2^n$ , then for all  $a \in \varphi_\eta(\mathfrak{C}) \cap X'$  and  $b \in \varphi_\nu(\mathfrak{C}) \cap X'$  we have  $d(a, b) \geq n$ .

Since  $X'$  is type-definable over  $\bar{a}$ , for  $\eta \in 2^\omega$  we can choose  $a_\eta \in X' \cap \bigcap_{n < \omega} \varphi_{\eta \upharpoonright n}(\mathfrak{C})$ . We see that for  $\eta \neq \nu \in 2^\omega$  we have  $d(a_\eta, a_\nu) = \infty$ .  $\square$

**Corollary 1.8** (1) A type-definable Lascar strong type has finite diameter.

(2) Assume  $X$  is a  $\stackrel{bd}{\equiv}$ -class, which is not a Lascar strong type. Then  $|X/\stackrel{Ls}{\equiv}| \geq 2^{\aleph_0}$ .

*Proof.* (1) Let  $X$  be a type-definable Lascar strong type. If  $diam(X)$  is infinite, then we get a contradiction with Theorem 1.1. (2) is immediate.  $\square$

Ziegler [1] has given an example of a theory, where  $\stackrel{Ls}{\equiv}$  and  $\stackrel{bd}{\equiv}$  differ. This example is constructed from a sequence of definable Lascar strong types with growing finite diameters. Using Theorem 1.1 we can see that this is not accidental.

**Corollary 1.9** (1) Assume in  $T$  there is a sequence of type-definable Lascar strong types  $X_n, n < \omega$ , with growing finite diameters. Then in  $T$  there is a Lascar strong type, which is not type-definable. In particular,  $\overset{Ls}{\equiv}$  and  $\overset{bd}{\equiv}$  differ.

(2)  $\overset{Ls}{\equiv}$  and  $\overset{bd}{\equiv}$  agree iff there is a finite bound on the diameters of Lascar strong types.

*Proof.* (1) Let  $a_n \in X_n, a = \langle a_n \rangle_{n < \omega}$  and let  $X$  be the Lascar strong type of  $a$ .  $X$  projects onto each  $X_n$  and for  $a' = \langle a'_n \rangle_{n < \omega} \in X$ ,  $d(a, a') \geq d(a_n, a'_n)$ . So  $X$  has infinite diameter and is not type-definable.

(2) follows from (1).  $\square$

Related to  $\overset{Ls}{\equiv}$  and  $\overset{bd}{\equiv}$  are the groups  $Autf_L(\mathfrak{C})$  and  $Autf_{KP}(\mathfrak{C})$ . Namely,  $Autf_L(\mathfrak{C}) = \{f \in Aut(\mathfrak{C}) : f \text{ preserves each } \overset{Ls}{\equiv}\text{-class}\}$  and  $Autf_{KP}(\mathfrak{C}) = \{f \in Aut(\mathfrak{C}) : f \text{ preserves each } \overset{bd}{\equiv}\text{-class}\}$ . Moreover, as a subgroup of  $Aut(\mathfrak{C})$ ,  $Autf_L(\mathfrak{C})$  is generated by  $\bigcup\{Aut(\mathfrak{C}/M) : M \prec \mathfrak{C}\}$  (see [1]).

**Corollary 1.10**  $Autf_L(\mathfrak{C}) = Autf_{KP}(\mathfrak{C}) \iff Autf_L(\mathfrak{C})$  is generated by  $\bigcup\{Aut(\mathfrak{C}/M) : M \prec \mathfrak{C}\}$  in finitely many steps.

The fact, that  $\overset{Ls}{\equiv}$  and  $\overset{bd}{\equiv}$  differ is equivalent to  $Autf_L(\mathfrak{C}) \neq Autf_{KP}(\mathfrak{C})$ . Hence we get the following corollary.

**Corollary 1.11** Assume  $Autf_L(\mathfrak{C}) \neq Autf_{KP}(\mathfrak{C})$ . Then  $|Autf_{KP}(\mathfrak{C})/Autf_L(\mathfrak{C})| \geq 2^{\aleph_0}$ .

Corollary 1.11 answers another question from [1]. When  $T$  is countable, then in the above results we can replace  $\geq 2^{\aleph_0}$  by  $= 2^{\aleph_0}$ . This is because then the objects in question are Borel in nature. For example, as explained in [1], when  $X$  is a  $\overset{bd}{\equiv}$ -class, then we can interpret  $X/\overset{Ls}{\equiv}$  as the set of equivalence classes of some Borel equivalence relation on a Polish space.

More generally, in the above results  $\overset{Ls}{\equiv}$  may be replaced by any equivalence relation  $E$  defined as the reflexive and transitive closure of some 0-type-definable symmetric binary relation  $R(x, y)$  implying  $tp(x) = tp(y)$ . The corresponding distance function  $d_E$  on an  $E$ -class is given by:

$$d_E(a, b) = \text{the minimal number of steps needed to go from } a \text{ to } b \text{ via } R.$$

Let  $S$  be a 0-type-definable set (possibly of infinite tuples). Let  $R(x, y)$  be the conjunction of all formulas  $\varphi(x, y)$  such that on  $S$ ,  $x \overset{Ls}{\equiv} y$  implies  $\varphi$ . In other words,  $R$  is the closure of  $\overset{Ls}{\equiv}$  in the Stone topology on  $S \times S$ . Let  $E$  be the transitive closure of  $R$ . [1, Corollary 2.6] proves that on  $S$   $E$  equals  $\overset{bd}{\equiv}$  and the  $d_E$ -diameter of each  $E$ -class is  $\leq 2$ . This is a nice illustration of the extended version of Corollary 1.8.

Let us consider an even more general situation. We say that an equivalence relation  $E$  is  $\bigvee\bigwedge$ -definable if  $E = \bigcup_{n < \omega} \Phi_n$ , where each  $\Phi_n$  is type-definable. We can and will assume additionally, that each  $\Phi_n$  is reflexive, symmetric and  $\Phi_n(x, y) \wedge \Phi_n(y, z)$  implies  $\Phi_{n+1}(x, z)$ . In this case we say that  $\bigvee_{n < \omega} \Phi_n$  is a normal form of  $E$ .

**Corollary 1.12** *Assume  $E(x, y)$  is an  $\bigvee\bigwedge$ -definable equivalence relation implying  $tp(x) = tp(y)$ , with normal form  $\bigvee_{n < \omega} \Phi_n$ . Assume  $p \in S(\emptyset)$  and  $X \subseteq p(\mathfrak{C})$  is a type-definable set, which is a union of some  $E$ -classes. Then either  $E$  is equivalent on  $X$  to some  $\Phi_n(x, y)$  (and is type-definable on  $X$ ) or  $|X/E| \geq 2^{\aleph_0}$ .*

*Proof.* For  $a, b \in X$  let  $d_E(a, b)$  be the minimal  $n$  such that  $a\Phi_n b$ .  $d_E$  satisfies the triangle inequality, hence we can repeat the proof of Theorem 1.1.  $\square$

## 2

Thus far we have not used the fact, that  $\equiv^{Ls}$  is bounded. We shall do so in the proofs of the next results.

Assume  $X$  is a Lascar strong type and  $\bar{a} = \langle a_i \rangle_{i < k}$  is a non-empty (possibly infinite) tuple of elements of  $\mathfrak{C}$  with  $a_0 \in X$ . For  $a \in X$  let  $X_a^n = \{b \in X : d(a, b) \leq n\}$ .

We define recursively relatively  $\bigvee$ -definable over  $\bar{a}$  subsets  $Z_{\bar{a}}^\alpha$  of  $X$ ,  $\alpha \in Ord \cup \{-1\}$ . We put  $Z_{\bar{a}}^{-1} = \emptyset$ , for limit  $\alpha$ ,  $Z_{\bar{a}}^\alpha = \bigcup_{\beta < \alpha} Z_{\bar{a}}^\beta$  and for  $\alpha = \beta + 1$  we define

$$Z_{\bar{a}}^\alpha = \{b \in X : \text{for some } \varphi(x) \in tp(b/\bar{a}) \text{ and } n < \omega \text{ we have } X \cap \varphi(\mathfrak{C}) \subseteq Z_{\bar{a}}^\beta \cup X_{a_0}^n\}.$$

The minimal  $\alpha$  such that  $Z_{\bar{a}}^\alpha = Z_{\bar{a}}^{\alpha+1}$  is called the height of  $X$  over  $\bar{a}$ . We say that  $X$  is analyzable (over  $\bar{a}$ ) if  $X = Z_{\bar{a}}^\alpha$  for some  $\alpha$ . By Lemma 1.3 we have that  $X$  is analyzable over  $\bar{a}$  iff  $X$  is analyzable over  $a_0$  iff  $X$  is analyzable over any  $\bar{b}$  with  $b_0 \in X$ .

**Lemma 2.1** *Assume  $X$  is an analyzable Lascar strong type. Then for some  $\bar{a} = \langle a_i \rangle_{i < k}$ , the height of  $X$  over  $\bar{a}$  is a successor  $\gamma + 1$  for some  $\gamma \in Ord \cup \{-1\}$  and there is a finite bound on  $d(a_0, b)$ ,  $b \in X \setminus Z_{\bar{a}}^\gamma$ .*

*Proof.* For  $\bar{a} = \langle a_i \rangle_{i < k}$  with  $a_0 \in X$  choose the minimal  $\beta$  such that  $X_{a_0}^1 \subseteq Z_{\bar{a}}^\beta$ . Choose  $\bar{a}$  so that  $\beta$  is minimal possible. Since  $X_{a_0}^1$  is type-definable,  $\beta$  is a successor, say  $\beta = \gamma + 1$ . Let  $\Phi(x, \bar{a})$  be the disjunction of formulas with  $\Phi(\mathfrak{C}, \bar{a}) \cap X = Z_{\bar{a}}^\gamma$ . Choose  $\varphi(x, \bar{a})$  such that  $X_{a_0}^1 \setminus Z_{\bar{a}}^\gamma \subseteq \varphi(\mathfrak{C}, \bar{a}) \cap X \subseteq Z_{\bar{a}}^\beta$ . Using the definition of  $Z_{\bar{a}}^\alpha$  we get a bound  $m < \omega$  on  $d(a_0, b)$  for  $b \in X \cap \varphi(\mathfrak{C}, \bar{a}) \setminus Z_{\bar{a}}^\gamma$ . We prove that

- (\*) there are finitely many tuples  $\bar{a}^j = \langle a_i^j \rangle_{i < k}$ ,  $j < n$ , (for some  $n$ ), realizing  $tp(\bar{a})$  and such that  $X \subseteq \bigcup_{j < n} (Z_{\bar{a}^j}^\gamma \cup \varphi(\mathfrak{C}, \bar{a}^j))$ .

Suppose not. Then we find  $\bar{a}^j$ ,  $j < \omega$ , such that  $a_0^j \in X$ ,  $tp(\bar{a}^j) = tp(\bar{a})$  and  $a_0^j \notin \bigcup_{i < j} (\Phi(\mathfrak{C}, \bar{a}^i) \cup \varphi(\mathfrak{C}, \bar{a}^i))$ . By the Ramsey theorem we may assume that the sequence  $\langle \bar{a}^j \rangle_{j < \omega}$  is indiscernible. But then  $d(a_0^0, a_0^1) = 1$ , hence  $a_0^1 \in \Phi(\mathfrak{C}, \bar{a}^0) \cup \varphi(\mathfrak{C}, \bar{a}^0)$ , a contradiction.

Choose  $\bar{a}^0, \dots, \bar{a}^{n-1}$  as in (\*) and let  $\bar{a}' = \langle a_i' \rangle_{i < kn}$  be the concatenation of  $\bar{a}^0, \dots, \bar{a}^{n-1}$ . We see that  $X \subseteq Z_{\bar{a}'}^\beta$ . By the choice of  $\bar{a}$ ,  $X_{a_0}^1 \not\subseteq Z_{\bar{a}'}^\gamma$ , hence  $\beta$  is the height of  $X$  over  $\bar{a}'$ . Also,  $\bigcup_{j < n} Z_{\bar{a}^j}^\gamma \subseteq Z_{\bar{a}'}^\gamma$ , hence  $X \setminus Z_{\bar{a}'}^\gamma \subseteq \bigcup_{j < n} \varphi(\mathfrak{C}, \bar{a}^j)$ .

Let  $l = \max\{d(a_0^0, a_0^j) : j < n\}$ . By the triangle inequality,  $m + l$  is a bound on  $d(a_0^j, b)$ ,  $b \in X \setminus Z_{\bar{a}}^\gamma$ .  $\square$

Clearly any Lascar strong type of finite diameter is analyzable and has height 0.

**Theorem 2.2** *No Lascar strong type of infinite diameter is analyzable.*

*Proof.* Suppose for a contradiction, that  $X$  is an analyzable Lascar strong type of infinite diameter. By Lemma 2.1 choose  $\bar{a}$  such that the height of  $X$  over  $\bar{a}$  is a successor ordinal  $\beta^* = \alpha^* + 1$  and there is a bound on  $d(a_0, b)$  for  $b \in X \setminus Z_{\bar{a}}^{\alpha^*}$ .

Now essentially we may repeat the proof of Proposition 1.4, reaching a contradiction. For example, for  $b \in X$  let  $Y_b = \{tp(c/b) : c \in X\}$ . By analyzability, the set

$$Z_b^0 = \{r \in Y_b : \text{for some } \varphi(x) \in r \text{ and } n < \omega, \text{ we have } \varphi(\mathfrak{C}) \cap X \subseteq X_b^n\}$$

is open and dense in  $Y_b$ . We leave the details to the reader.  $\square$

We say that a countable theory  $T$  is small, if  $S(A)$  is countable for every finite  $A \subseteq \mathfrak{C}$ .

**Corollary 2.3** *Assume  $T$  is small. Then  $\equiv^{Ls}$  and  $\equiv^{bd}$  agree on finite tuples and  $\text{Aut}_{L(\mathfrak{C})}$  is dense in  $\text{Aut}_{KP(\mathfrak{C})}$ .*

*Proof.* The first clause is equivalent to the second one. Choose a Lascar strong type  $X$  of a finite tuple  $a$ . Let  $Y = \{tp(b/a) : b \in X\}$  and  $Y^n = \{tp(b/a) : b \in X_a^n\}$ . Then  $Y = \bigcup_n Y^n$  is an  $F_\sigma$ -subset of  $S(a)$ . But since  $S(a)$  is countable, every subset of  $S(a)$  is also a  $G_\delta$ -set. Hence as noticed after Lemma 1.3,  $S(a)$  is analyzable with respect to  $\{Y^n : n \leq \omega\}$ , where  $Y^\omega = U \setminus Y$ . It follows that  $X$  is analyzable, hence has finite diameter and is the  $\equiv^{bd}$ -class of  $a$ .  $\square$

In [1] there is an example of a small theory, where  $\equiv^{Ls}$  and  $\equiv^{bd}$  differ (on infinite tuples, we mentioned it before Corollary 1.9), so Corollary 2.3 is sharp. In this example the height of the Lascar strong type with infinite diameter equals  $-1$ . Corollary 2.3 should be compared with a result of Kim [2], who proves that in a small theory  $\equiv^{bd}$  equals  $\equiv$  (the equality of types, another proof is given in [3]). Still no  $\aleph_0$ -categorical theory is known, where  $\equiv^{Ls}$  and  $\equiv^{bd}$  differ.

In [1] the authors conjecture that if  $\equiv^{bd}$  and  $\equiv^{Ls}$  differ, then  $\equiv^{Ls}$  should be complicated from the Borel point of view. Theorem 2.2 supports this conjecture. For example, assume  $X$  is a Lascar strong type with infinite diameter. Then by the proof of Corollary 15,  $S(a)$  is not analyzable with respect to  $\{Y^n : n \leq \omega\}$ , where  $a \in X$ . In particular,  $Y$  is not a  $G_\delta$ -subset of  $S(a)$ .

More generally, let  $M$  be any model of  $T$  and let  $g : \mathfrak{C} \rightarrow S(M)$  be the function defined by  $g(a) = tp(a/M)$ . If  $tp(a/M) = tp(b/M)$ , then  $d(a, b) \leq 1$ , hence each Lascar strong type is type-definable over  $M$ . For  $p, q \in S(M)$  let  $d(p, q) = \inf\{d(a, b) : a \models p, b \models q\}$ . Define  $\equiv^{Ls}$  on  $S(M)$  by  $p \equiv^{Ls} q \iff d(p, q) < \infty$ . For

each  $p \in S(M)$ , the set  $Y_p^n = \{q \in S(M) : d(p, q) \leq n\}$  is closed (and equals  $g(X_a^n)$  for every  $a \models p$ ), hence  $\equiv^{Ls}$  is an  $F_\sigma$ -equivalence relation on  $S(M)$  and for every  $a, b \in \mathfrak{C}$ ,  $a \equiv^{Ls} b \iff tp(a/M) \equiv^{Ls} tp(b/M)$ .

Let  $Y = \{tp(a/M) : a \in X\}$  and let  $p \in Y$ . Then by Lemma 1.3 (using  $g$ ),  $S(M)$  is not analyzable with respect to  $\{Y_p^n : n \leq \omega\}$ , where  $Y_p^\omega = S(M) \setminus \bigcup_{n < \omega} Y_p^n$ . In particular,  $Y$  is not a  $G_\delta$ -subset of  $S(M)$ .

The last results may be generalized to an arbitrary bounded  $\forall\wedge$ -definable equivalence relation  $E$  refining  $\equiv$ , however the assumption of boundedness is essential. For example in an algebraically closed field  $K$  consider the relation  $x \sim y \iff x$  and  $y$  are interalgebraic. The equivalence classes of  $\sim$  are analyzable and of infinite diameter.

### 3

The methods developed in this paper apply to yet another context. Assume  $G \subseteq \mathfrak{C}$  is a 0-type-definable group and  $H$  is a subgroup of  $G$ , generated (as a group) by countably many 0-type-definable sets  $V_n, n < \omega$ . For  $x, y \in G$  let  $x \equiv_H y \iff xH = yH$ . So  $\equiv_H$  is an equivalence relation on  $G$ , whose classes are the right cosets of  $H$ .

When  $G$  is definable, our methods apply to  $\equiv_H$  almost directly. Namely, let  $G^*$  be an auxiliary copy of  $G$ , on which  $G$  acts by right translation, denoted by  $*$ . Consider the 2-sorted structure  $\mathfrak{C}^* = (G, G^*, *)$ , where  $G$  is equipped with the structure induced from  $\mathfrak{C}$  and there is no structure on  $G^*$ , except for the action  $*$ . Then in  $\mathfrak{C}^*$ ,  $G^*$  is the set of realizations of a complete isolated type  $p^*$ , and the orbit relation on  $G^*$  defined by  $x E y \iff (\exists g \in H)x * g = y$  is an  $\forall\wedge$ -relation. So our previous results apply.

In general we can not associate with  $G$  its affine copy so smoothly. Still  $G$  acts transitively on itself by right translation, and this makes it similar to the set of realizations of a complete type (on which  $Aut(\mathfrak{C})$  acts transitively). So we have the following result.

**Theorem 3.1** *Assume  $G$  is a 0-type-definable group and  $H$  is a subgroup of  $G$ , generated by countably many 0-type-definable sets  $V_n, n < \omega$ .*

- (1) *If  $H$  is type-definable, then  $H$  is generated by finitely many of the sets  $V_n$ , in finitely many steps.*
- (2) *If  $H$  is not type-definable, then  $[G : H] \geq 2^{\aleph_0}$ . If moreover  $T$  is small and  $G$  consists of finite tuples, then  $[G : H]$  is unbounded.*

*Proof.* Let  $W_n, n < \omega$ , be an increasing sequence of 0-type-definable subsets of  $G$  such that  $H = \bigcup_n W_n$ ,  $W_0 = \{e\}$ ,  $W_n = W_n^{-1}$  and  $W_n \cdot W_n \subseteq W_{n+1}$ . For  $x, y \in G$  define  $d(x, y)$  as the minimal  $n$  such that  $x^{-1}y \in W_n$ . If no such  $n$  exists, we put  $d(x, y) = \infty$ . So  $d$  is a distance function on  $G$ , which is invariant under left translation. The theorem may be restated as follows.

- (a) If the diameter of  $H$  is infinite, then  $H$  is not type-definable and  $[G : H] \geq 2^{\aleph_0}$ .
- (b) If moreover  $T$  is small, then  $[G : H]$  is unbounded.

(a) corresponds to Theorem 1.1 and Proposition 1.4, while (b) corresponds to Theorem 2.2 and Corollary 2.3. We will sketch the proof.

To prove (a), we may assume  $[G : H]$  is bounded (because if  $H$  is type-definable, then we can assume  $G = H$ ). Let  $\bar{a} = \langle a_\alpha \rangle_{\alpha < \mu}$  be a tuple of representatives of the right cosets of  $H$  in  $G$  such that  $a_0 = e$ , the neutral element of  $G$  (notice that  $e \in dcl(\emptyset)$ ). We proceed as in the proof of Proposition 1.4, with  $X = G$ . Claim 1.5 is still true in our present setting: when  $b = e$ , the proof is the same, and this case implies the general case of an arbitrary  $b \in X$  (since left translation by  $b$  maps  $Z_e^0$  into a subset of  $Z_b^0$ ).

To prove (b), suppose for a contradiction that  $[G : H]$  is bounded. It follows that every infinite indiscernible sequence of elements of  $G$  is contained in a single coset of  $H$ . So we may assume that if  $a, b \in G$  and  $\langle b, ba \rangle$  extends to an infinite indiscernible sequence, then  $a \in W_1$ .

We proceed as in the proofs of Lemma 2.1, Theorem 2.2 and Corollary 2.3, with the following modifications. Let  $X = H$ . We define subsets  $X_a^n$  and  $Z_a^\alpha$  of  $X$  for  $a \in X$  and finite non-empty tuples  $\bar{a} \subset X$  as in Section 2. Notice however the new meaning of  $d$ . Also we have:

- (c) If  $\bar{a} \subseteq dcl(\bar{a}')$ , then  $Z_{\bar{a}}^\alpha \subseteq Z_{\bar{a}'}^\alpha$ .
- (d) For  $b \in X$ ,  $b \cdot Z_{\bar{a}}^\alpha \subseteq Z_{\bar{a} \frown \langle b \rangle}^\alpha$ .

We define the height and analyzability of  $X$  over  $\bar{a}$  as before. The following lemma corresponds to Lemma 2.1. The proof is also similar.

**Lemma 3.2** *Assume  $X$  is analyzable. Then for some  $\bar{a} \subset X$ , the height of  $X$  over  $\bar{a}$  is a successor  $\gamma + 1$  for some  $\gamma \in \text{Ord} \cup \{-1\}$  and there is a finite bound on  $d(a_0, b), b \in X \setminus Z_{\bar{a}}^\gamma$ .*

*Proof* For  $\bar{a} = \langle a_i \rangle_{i < k} \subset X$  choose the minimal  $\beta$  such that  $X_e^1 \subseteq Z_{\bar{a}}^\beta$ . Choose  $\bar{a}$  so that  $\beta$  is minimal possible.  $\beta$  is a successor, say  $\beta = \gamma + 1$ . Choose  $\Phi(x, \bar{a})$  and  $\varphi(x, \bar{a})$  such that  $\Phi(G, \bar{a}) \cap X = Z_{\bar{a}}^\gamma$  and  $X_e^1 \setminus Z_{\bar{a}}^\gamma \subseteq \varphi(G, \bar{a}) \cap X \subseteq Z_{\bar{a}}^\beta$  (as in Lemma 2.1). Using the definition of  $Z_{\bar{a}}^\alpha$ , we get a bound  $m < \omega$  on  $d(a_0, b)$  for  $b \in X \cap \varphi(G, \bar{a}) \setminus Z_{\bar{a}}^\gamma$ . Notice that if  $b \in X$ , then by (d) we have

$$b \cdot Z_{\bar{a}}^\gamma = b \cdot \Phi(G, \bar{a}) \cap X \subseteq Z_{\bar{a} \frown \langle b \rangle}^\gamma,$$

and by the left invariance of  $d$ ,

$$X_b^1 = b \cdot X_e^1 \subseteq b \cdot (\Phi(G, \bar{a}) \cup \varphi(G, \bar{a})) \cap X \subseteq Z_{\bar{a} \frown \langle b \rangle}^\beta.$$

We prove that

(\*) there are finitely many elements  $b_j \in X, j < n$ , (for some  $n$ ), such that  

$$X \subseteq \bigcup_{j < n} \left( Z_{\bar{a} \frown \langle b_j \rangle}^\gamma \cup b_j \cdot \varphi(G, \bar{a}) \right).$$

Suppose not. Then we find  $b_j \in X, j < \omega$ , such that

(e)  $b_j \notin \bigcup_{i < j} b_i \cdot (\Phi(G, \bar{a}) \cup \varphi(G, \bar{a}))$ .

By the Ramsey theorem we may assume (allowing  $b_j \in G$ ) that, in addition to (e), the sequence  $\langle b_j \rangle_{j < \omega}$  is indiscernible. But then by the choice of  $W_1$ ,  $d(b_0, b_1) = 1$ , hence

$$b_1 \in X_{b_0}^1 \subseteq b_0 \cdot (\Phi(G, \bar{a}) \cup \varphi(G, \bar{a})),$$

a contradiction.

Choose  $b_0, \dots, b_{n-1}$  as in (\*) and let  $\bar{a}' = \bar{a} \frown \langle b_i \rangle_{i < n}$ . We see that  $X \subseteq Z_{\bar{a}'}^\beta$ . By the choice of  $\bar{a}$ ,  $X_e^1 \not\subseteq Z_{\bar{a}'}^\gamma$ , hence  $\beta$  is the height of  $X$  over  $\bar{a}'$ . Also,  $\bigcup_{j < n} Z_{\bar{a} \frown \langle b_j \rangle}^\gamma \subseteq Z_{\bar{a}'}^\gamma$ , hence  $X \setminus Z_{\bar{a}'}^\gamma \subseteq \bigcup_{j < n} b_j \cdot \varphi(G, \bar{a})$ . The rest is as in the proof of Lemma 2.1.  $\square$

Using Lemma 3.2 we conclude the proof of (b) as in Theorem 2.2 and Corollary 2.3.  $\square$

Similarly as in Theorem 1.1, in Theorem 3.1 we have that if  $X \subseteq G$  is a type-definable union of some number of right cosets of  $H$ , and  $H$  is not type-definable, then  $|X/H| \geq 2^{\aleph_0}$ .

There is a topological counterpart of Theorem 3.1(1). Assume  $G$  is a compact topological group and  $H$  is a closed subgroup of  $G$ , generated by closed sets  $V_n, n < \omega$ . Then by the Baire category theorem  $H$  is generated by finitely many of the sets  $V_n$ , in finitely many steps.

Theorem 3.1 suggests a possibility of defining a “generic type” in an arbitrary type-definable group.

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