# Ricci flows in higher dimensions

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# Advertisement

## Online class on Ricci flow this fall semester 14:10–15:30 (Pacific time) August 27 – December 3

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# Motivation & History

Consider a Ricci flow  $(M, (g_t)_{t \in [0,T)})$  on a compact manifold  $M^n$ :

$$\partial_t g_t = -2 \operatorname{Ric}_{g_t}$$

**Important Question:** Understand the singularity formation if  $T < \infty$  (and the long-time asymptotics if  $T = \infty$ )



**Dimension 2:** singularity model =  $(S^2, (2|t|g_{S^2})_{t<0})$  (Chow, Hamilton)

**Dimension 3:** singularity models are  $\kappa$ -solutions ... (Perelman)

**Gradient shrinking soliton** (M, g, f): Ric  $+\nabla^2 f - \frac{1}{2}g = 0$  $\Rightarrow g_t := |t|\phi_t^*g$  is RF, where  $\phi_t =$  flow of  $|t|\nabla f$ , t < 0

- $|\mathsf{Rm}| \sim C/|t|$  (Type I)
- The singularity model of  $(M, (g_t)_{t<0})$  is the flow itself.

**Type-I curvature bound (** $|\mathbf{Rm}| \le \mathbf{C}/(\mathbf{T} - \mathbf{t})$ **):** All singularity models are gradient shrinking solitons. (Sesum, Naber, Enders, Buzano, Topping)

**Type-I scalar curvature bound (R**  $\leq$  C/(T - t)): All singularity models are gradient shrinking solitons with codimension 4 singular set.

(B., Chen, Hallgren, Wang, Zhang)

#### Folklore Conjecture

For a general Ricci flow "most" singularity models are gradient shrinking solitons.

Goal of this talk: Verify this conjecture in a certain (possibly optimal) sense.

# Examples in higher dimensions

**Appleton:**  $\exists$  RFs in dimension 4 whose blow-up limts are:

Eguchi-Hanson,  $\mathbb{R}^4/\mathbb{Z}_2$ , (Bryant soliton/ $\mathbb{Z}_2$ ,  $\mathbb{R}P^3 \times \mathbb{R}$ )

Ricci flat singular

gradient shrinking soliton

**Stolarski:**  $\exists$  RFs in dimensions  $n \ge 13$  whose only gradient shrinking soliton blow-up limit is a Ricci flat cone

**Li, Tian, Zhu:**  $\exists$  Kähler-RF that has to develop a singularity, but cannot converge to a smooth gradient shrinking soliton.

Conclusion: Need to allow singular set in Folklore Conjecture + Ricci flat cones

## Recall: Einstein metrics

Consider a sequence of pointed, complete Einstein manifolds  $(M_i^n, g_i, x_i)$ , Ric =  $\lambda_i g_i$ ,  $|\lambda_i| \leq 1$ . After passing to a subsequence we have Gromov-Hausdorff convergence to a pointed metric length space:

$$(M_i^n, g_i, x_i) \xrightarrow[i \to \infty]{GH} (X, d, x_\infty).$$

Suppose that the following non-collapsing condition holds:

$$|B(x_i,r)| \geq v > 0.$$

Then there is a regular-singular decomposition

$$X = \mathcal{R} \cup \mathcal{S}$$

such that:

- $\mathcal{R}$  is an open manifold and there is a smooth Einstein metric  $g_{\infty}$  on  $\mathcal{R}$  such that  $d|_{\mathcal{R}} = d_{g_{\infty}}$ . So (X, d) is isometric to the metric completion of  $(\mathcal{R}, d_{g_{\infty}})$ .
- dim<sub>M</sub>  $S \le n-4$  (Cheeger, Colding, Tian, Naber)
- Any tangent cone at any point of X is a metric cone. (Cheeger, Colding)
- There is a filtration  $S^0 \subset \ldots \subset S^{n-4} = S$  such that  $\dim_{\mathcal{M}} S^k \leq k$  and every  $x \in S^k$  has a tangent cone that splits of an  $\mathbb{R}^k$ -factor. (Cheeger, Naber)

Similar theory for minimal surfaces, harmonic maps, mean curvature flow, harmonic map heat flow, ...

### Key points:

- There is a compactness and partial regularity theory for Ricci flow that is comparable to that of Einstein metrics.
- This theory allows us to establish the Folklore Conjecture and several other related results.
- We need new, parabolic versions of notions such as: "metric space", "Gromov-Hausdorff limit", ...

#### Theorem (B. 2020) Compactness theory of Ricci flows

Consider a sequence of *n*-dimensional, pointed Ricci flows:

$$(M_i,(g_{i,t})_{t\in(-T_i,0]},(x_i,0)), \qquad T_\infty:=\lim_{i\to\infty}T_i>0.$$

Then a subsequence  $\mathbb{F}$ -converges to a metric flow over  $(-T_{\infty}, 0]$ :

$$(M_i,(g_{i,t})_{t\in(-\mathcal{T}_i,0]},(\nu_{x_i,0})) \xrightarrow[i \to \infty]{\mathbb{F}} (\mathcal{X},d,(\nu_{x_\infty})).$$

Suppose that the following non-collapsing condition holds:

$$\mathcal{N}_{\mathbf{x}_i,\mathbf{0}}(\tau_{\mathbf{0}}) \geq -Y_{\mathbf{0}} > -\infty.$$

Then we have a regular-singular decomposition

$$\mathcal{X} = \mathcal{R} \, \cup \, \mathcal{S}$$

such that:

- $\mathcal{X}$  restricted to  $\mathcal{R}$  is given by a smooth Ricci flow spacetime structure and  $\mathcal{X}$  is uniquely determined by this structure.
- dim<sub> $\mathcal{M}^*$ </sub>  $\mathcal{S} \leq (n+2) 4$
- All tangent flows of  $\mathcal{X}$  are gradient shrinking solitons with singularities.
- There is a filtration  $S^0 \subset \ldots \subset S^{n-2} = S$  such that  $\dim_{\mathcal{M}^*} S^k \leq k$  and every  $x \in S^k$  has a tangent flow that splits off an  $\mathbb{R}^k$ -factor or is static and splits off an  $\mathbb{R}^{k-2}$ -factor.

# Consequences + Further results

### Regarding Folklore Conjecture:

### Theorem (B. 2020)

Consider a Ricci flow  $(M, (g_t)_{t \in [0,T)})$ ,  $T < \infty$ . Then there is a metric space  $(M_T, d_T)$  "=  $\lim_{t \neq T} (M, g_t)$ " such that:

- If  $g_t \to g_T$  smoothly on  $U \subset M$ , then  $U \subset M_T$  and  $d_T|_U$  is locally isometric to  $d_{g_t}|_U$ .
- For any z ∈ M<sub>T</sub> there is a sequence "(x<sub>i</sub>, t<sub>i</sub>) → (z, T)" whose "blow-up sequence produces a singular gradient shrinking soliton". Vice versa, any such sequence has a subsequence that "corresponds to a point z ∈ M<sub>T</sub>".

In dimension 4:

### Theorem (B. 2020)

In dimension 4 all singular gradient shrinking solitons are given by (M, g, f), Ric  $+\nabla^2 f - \frac{1}{2}g = 0$ , where M is an orbifold with conical singularities. Moreover, either R > 0 or  $(M, g) \cong \mathbb{R}^4/\Gamma$ .

### Regarding long-time asymptotics:

### Theorem (B. 2020)

Suppose that  $(M, (g_t)_{t\geq 0})$  is immortal and consider  $(x_i, t_i) \in M \times [0, \infty)$ ,  $t_i \to \infty$ . Then after passing to a subsequence, one of the following holds:

- $(M, (t_i^{-1}g_{t_i t}), x_i)$  converges to a singular, Einstein Ricci flow with Ric  $= -\frac{1}{2t}g_{\infty,t}$ . If n = 4, then this flow is given by an Einstein orbifold.
- We have collapsing  $\mathcal{N}_{\mathsf{x}_i, t_i}(t_i/2) 
  ightarrow -\infty$

### Picture in dimension 4:

If  $t \gg 1$ , then

$$M = M_{ ext{thick}}(t) \quad \cup \quad M_{ ext{almost.sing.}}(t) \quad \cup \quad M_{ ext{thin}}(t)$$

where:

- Ric  $\approx -\frac{1}{2t}g_t$  on  $M_{\mathrm{thick}}(t)$
- $M_{\text{almost.sing.}}(t)$  consists of components  $\Omega$  with  $\partial \Omega \approx S^3/\Gamma$  and  $\partial \Omega \subset \partial M_{\text{thick}}(t)$  and diam  $\partial \Omega \ll \sqrt{t}$
- $M_{\text{thin}}(t)$  is locally collapsed  $\implies |B(x, t, At^{1/2})| \ll t^{n/2}$  for any  $A < \infty$ .

#### Theorem (B. 2020)

If  $[t_0 - r^2, t_0] \subset I$  and

 $|B(x_0,t_0,r)| \ge \alpha r^n, \qquad |\mathsf{Rm}| \le (\alpha r)^{-2} \quad \text{on} \quad B(x_0,t_0,r),$ 

then  $|\mathsf{Rm}| \leq (\varepsilon(n,\alpha)r)^{-2}$  on  $P(x_0, t_0; \varepsilon r, -(\varepsilon r)^2)$ .

#### **Further Remarks:**

- In dimension 3, this theory essentially recovers Perelman's theory.
- Compactness theory (not assuming non-collapsing) also holds for super Ricci flows  $\partial_t g_t + 2 \operatorname{Ric} \ge 0$ .

## Heat kernels on Ricci flow backgrounds

Let  $(M, (g_t)_{t \in I})$  be a Ricci flow and  $u, v \in C^2(M \times I)$ .

Heat equation: $\Box u = (\partial_t - \triangle_{g_t})u = 0$ Conjugate heat equation: $\Box^* v = (-\partial_t - \triangle_{g_t} + R_{g_t})v = 0$ 

Heat kernel: $K(x, t; y, s), x, y \in M, s < t$ for fixed (y, s): $\Box K(\cdot, \cdot; y, s) = 0, \lim_{t \searrow s} K(\cdot, t; y, s) = \delta_y$ for fixed (x, t): $\Box^* K(x, t; \cdot, \cdot) = 0, \lim_{s \nearrow t} K(x, t; \cdot, s) = \delta_x$ 

**Representation formulas:** If  $\Box u = \Box^* v = 0$ , then  $u(x,t) = \int_M K(x,t;\cdot,s)u(\cdot,s)dg_s$   $v(y,s) = \int_M K(\cdot,t;y,s)v(\cdot,t)dg_t$ 

**Reproduction formula for heat kernel:** s < t' < t

$$K(x,t;y,s) = \int_M K(x,t;\cdot,t')K(\cdot,t';y,s)dg_{t'}$$

#### Properties of heat equation:

- $u \leq C$  and  $u \geq -C$  are preserved.
- $|\nabla u| \leq C$  are preserved
- Let  $\Phi : \mathbb{R} \times \mathbb{R}_{\geq 0}$  be the solution the the 1-dimensional heat equation  $\partial_t \Phi_t = \Phi''_t$  with initial condition  $\Phi_0 = \chi_{[0,\infty)}$ .



Improved gradient estimate (B. 2020)

If  $0 < u(\cdot, t_0) < 1$ , then for  $t > t_0$ 

$$u_t(x) = \Phi_t(x') \implies |\nabla u_t|(x) \le \Phi'_{t-t_0}(x')$$
 (\*)

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Moreover, (\*) is preserved for any fixed  $t_0$ .

### Properties of conjugate heat equation:

• 
$$v \ge 0$$
 is preserved  
•  $\int_{M} v(\cdot, s) dg_s$  is constant in  $s$  and  $\int_{M} K(x, t; \cdot, s) dg_s =$   
• Think of  $v$  as  $\mu_s = v(\cdot, s) dg_s$ .  
Bidded Boole, (IC Bodolog)

#### Conjugate heat kernel probability measure:



Integral characterization of (conjugate) heat flows:

Heat flow: 
$$\Box u = 0 \qquad \Longleftrightarrow \qquad u(x,t) = \int_M u(\cdot,s) d\nu_{x,t;s}$$

Conjugate heat flow:

$$d\mu_s = v(\cdot, s)dg_s, \quad \Box^* v = 0 \qquad \Longleftrightarrow \qquad \mu_s = \int_M \nu_{\cdot,t;s} d\mu_t$$

**Reproduction formula:** 

$$\nu_{x,t;s} = \int_{M} \nu_{\cdot,t';s} d\nu_{x,t;t'}$$

## Metric flows

Metric flow over an interval /

$$\mathcal{X} = \left(\mathcal{X}, \mathfrak{t}, (d_t)_{t \in I}, (\nu_{x;s})_{x \in \mathcal{X}, s \in I, s \leq \mathfrak{t}(x)}\right)$$

- **1**  $\mathcal{X}$  is a set consisting of **points**
- **2**  $\mathfrak{t}: \mathcal{X} \to I$  is the **time-function** and its level sets  $\mathcal{X}_t := \mathfrak{t}^{-1}(t)$  are **time-slices**
- **3**  $(\mathcal{X}_t, d_t)$  is a complete and separable metric space for all  $t \in I$
- ()  $\nu_{x;s}$  are probability measures called **conjugate heat kernel** and satisfy  $\nu_{x;t(x)} = \delta_x$  and the **reproduction formula**

$$\nu_{x;s} = \int_{\mathcal{X}_t} \nu_{\cdot,t;s} d\nu_{x;t}$$

- **6** (Conjugate) heat flows are defined using the integral property as before.
- **(**) We require that the improved gradient estimate holds for heat flows: If  $u_{t_0} = \Phi_{t_0} \circ f_{t_0}$  for some 1-Lipschitz  $f_{t_0} : \mathcal{X}_{t_0} \to \mathbb{R}$ , then for all  $t \ge t_0$  we have  $u_t = \Phi_t \circ f_t$  for some 1-Lipschitz  $f_t : \mathcal{X}_t \to \mathbb{R}$ .

Ricci flow  $(M, (g_t)_{t \in I}) \longrightarrow$  Metric flow  $\mathcal{X}$ 

- $\mathcal{X} := M \times I$
- $\mathfrak{t} :=$  projection onto second factor.
- $d_t := d_{g_t}$  on  $\mathcal{X}_t = M \times \{t\}$
- $d\nu_{(x,t);s} := K(x,t;\cdot,s)dg_s$

#### Note:

- The distance between points in different time-slices is not defined!
- This construction forgets worldlines t → (x, t). Instead: For x ∈ X<sub>t</sub> there is a probability distribution v<sub>x;s</sub> of points y ∈ X<sub>s</sub> that lie in the "past" of x.



# Concentration property

Variance of probability measure  $\mu$  on a metric space (X, d):

$$\operatorname{Var}(\mu) := \int_X \int_X d^2(x,y) d\mu(x) d\mu(y)$$

Theorem (B. 2020)

On any Ricci flow

$$\operatorname{Var}(
u_{x,t;s}) \leq H_n(t-s),$$

where  $H_n := \frac{(n-1)\pi^2}{2} + 4$ .

A metric flow  $\mathcal{X}$  is called *H*-concentrated if  $(*) + \ldots$  holds for  $H_n = H$ .

"The past in 
$$\mathcal{X}_s$$
 of any point  $x \in \mathcal{X}_t$  is determined  
up to an error of  $\sim \sqrt{t-s}$ ."

(\*)

## 1-Wasserstein distance

 $\mu_1,\mu_2$  probability measures on complete, separable metric space (X,d)

$$d_{W_1}(\mu_1,\mu_2) := \inf_{\substack{q \text{ coupling} \\ \text{btw } \mu_1,\mu_2}} \int_{X \times X} d dq = \sup_{\substack{f \colon X \to \mathbb{R} \\ 1 \text{-Lipschitz}}} \int_X f d(\mu_1 - \mu_2)$$

#### Lemma

If  $x, y \in \mathcal{X}_t$ , then for  $s \leq t$  we have

$$d_{W_1}^{\mathcal{X}_s}(\nu_{x;s},\nu_{y;s}) \leq d_t(x,y).$$

Moreover,  $s \mapsto d_{W_1}^{\mathcal{X}_s}(\nu_{x;s}, \nu_{y;s})$  is non-decreasing and the same is true for any other pair of conjugate heat flows.



"Distances don't shrink on metric flows (in a probabilistic sense)"

Conventional parabolic ball in a Ricci flow:

$$P(x_0, t_0; r) := B_{g_{t_0}}(x_0, r) \times [t_0 - r^2, t_0 + r^2]$$

P\*-parabolic ball in a metric flow:

$$P^{*}(x_{0};r) := \begin{cases} x \in \mathcal{X}_{t_{0}} : \\ d_{W_{1}}^{\mathcal{X}_{t_{0}-r^{2}}}(\nu_{x_{0};t_{0}-r^{2}},\nu_{x;t_{0}-r^{2}}) < r \end{cases} r^{2} \\ r^{2} \end{cases}$$

- standard containment properties still hold for  $P^*$ -parabolic palls (e.g.  $P^*(x; r_1) \subset P^*(x; r_2)$  if  $r_1 \leq r_2$ )
- Conventional and P\*-parabolic balls are comparable if curvature bounded.
- The **natural topology** on  $\mathcal{X}$  is generated by the set of all  $P^*$ -parabolic balls.
- *P*\*-parabolic balls allow the definition of the parabolic Hausdorff and Minkowski dimension dim<sub>H\*</sub> and dim<sub>M\*</sub>.
   We count the time-direction twice!

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# Gromov- $W_1$ -distance and convergence

#### Gromov-W<sub>1</sub>-distance

If  $(X_i, d_i, \mu_i)$ , i = 1, 2, are two normalized metric measure spaces, then

$$d_{GW_1}((X_1, d_1, \mu_1), (X_2, d_2, \mu_2)) := \inf_{\varphi_1, \varphi_2, Z} d_{W_1}^Z((\varphi_1)_* \mu_1, (\varphi_2)_* \mu_2),$$

where the infimum is taken over all isometric embeddings  $\varphi_i : (X_i, d_i) \to (Z, d_Z)$ into a common metric space  $(Z, d_Z)$ .

#### **Gromov**- $W_1$ -convergence

$$(X_i, d_i, \mu_i) \xrightarrow[i \to \infty]{GW_1} (X_\infty, d_\infty, \mu_\infty)$$

Compare with pointed Gromov-Hausdorff convergence: The probability measures  $\mu_i$  take the role of the basepoint.

# $d_{\mathbb{F}}$ -distance and $\mathbb{F}$ -convergence

#### $d_{\mathbb{F}}$ -distance:

Consider metric flows  $\mathcal{X}_i$ , i = 1, 2 equipped with conjugate heat flows  $(\mu_{i,t})_{t \in I}$ . We define

$$d_{\mathbb{F}}\big((\mathcal{X}^1,(\mu^1_t)_{t\in I}),(\mathcal{X}^2,(\mu^2_t)_{t\in I})\big)$$

to be the infimum over all r > 0 such that there are isometric embeddings

$$\left(\varphi_t^i: \left(\mathcal{X}_t^i, d_t^i\right) \to \left(Z_t, d_t^Z\right)\right)_{t \in I \setminus E, i=1,2}$$

with:

1 
$$|E| \le r^2$$
  
2  $d_{W_1}^{Z_t}((\varphi_t^1)_*\mu_t^1, (\varphi_t^2)_*\mu_t^2) \le r$  for all  $t \in I \setminus E$   
3 "integral  $W_1$ -closeness of conjugate heat kernels between times  $s, t \in I \setminus E$ "

#### **F**-convergence

If  $d_{\mathbb{F}}((\mathcal{X}^i,(\mu^i_t)_{t\in I}),(\mathcal{X}^\infty,(\mu^\infty_t)_{t\in I})) \to 0$ , then we write

$$(\mathcal{X}_i, (\mu_{i,t})_{t \in I_i}) \xrightarrow{\mathbb{F}} (\mathcal{X}_{\infty}, (\mu_{\infty,t})_{t \in I_i})$$

This implies Gromov- $W_1$ -convergence at almost every time.

Let  $\mathbb{F}_I$  be the space of pairs  $(\mathcal{X}, (\mu_t)_{t \in I})$ .

Theorem (B. 2020)

 $(\mathbb{F}_I, d_{\mathbb{F}})$  is a complete metric space.

Suppose I = (-T, 0]. Fix *n*.

### Theorem (B. 2020)

 $\left\{ \begin{array}{l} (\mathcal{X}, (\mu_t)_{t \in I}) \text{ corresponding to} \\ \text{Ricci flows } (M^n, (g_t)_{t \in I}, (\nu_{x,0;t})_{t \in I}) \end{array} \right\} \subset \mathbb{F}_I \quad \text{ is precompact.}$ 

### Corollary

For any sequence of *n*-dimensional, pointed Ricci flows  $(M_i, (g_{i,t})_{t \in (-\tau,0]}, (x_i, 0))$  there is a subsequence such that:

$$(M_i,(g_{i,t})_{t\in(-T_i,0]},(\nu_{x_i,0})) \xrightarrow[i \to \infty]{\mathbb{F}} (\mathcal{X},(\nu_{x_\infty})).$$

**Remark:** There is a compact subset  $\mathbb{F}_{I}^{*}(H) \subset \mathbb{F}_{I}$ , essentially corresponding to all *H*-concentrated metric flows, that contains the subset from (\*).

(\*)

# Digesting $\mathbb{F}$ -convergence

If we assume curvature bounds, then:  $\mathbb{F}$ -convergence  $\iff$  local smooth convergence in the sense of Cheeger, Gromov, Hamilton.

**Example:** Bryant soliton  $(M_{Bry}, (g_{Bry,t})_{t \in \mathbb{R}}, x_{Bry})$ 

- rotational symmetric
- $g_{\mathrm{Bry},t} = dr^2 + f^2(r)g_{S^2}$ , where  $f(r) \sim \sqrt{r}$
- steady gradient soliton
  - $\implies$  all time-slices are isometric

Consider blow-downs  $(M_{\text{Bry}}, (\lambda_i^2 g_{\text{Bry}, \lambda_i^{-2}t})_{t \in \mathbb{R}}, x_{\text{Bry}})$ for  $\lambda_i \to 0$ .

- $\bullet~$  Gromov-Hausdorff limit at any fixed time: [0,  $\infty)$
- F-limit:

round shrinking cylinder  $(S^2 \times \mathbb{R}, (g_t = 2|t|g_{S^2} + g_{\mathbb{R}})_{t < 0})$ this is the asymptotic soliton!



# Ricci flow spacetimes

Ricci flow spacetime over an interval /:

$$\mathcal{M} = \left(\mathcal{M}, \mathfrak{t}, \partial_{\mathfrak{t}}, g\right)$$

- 1  $\mathcal{M}$  is a smooth (n+1)-manifold, called **spacetime manifold**
- ℓ : M → I is a smooth map whose level sets M<sub>t</sub> := t<sup>-1</sup>(t) are called time-slices.
- **3**  $\partial_t$  is a smooth vector field on  $\mathcal{M}$  with  $\partial_t t = 1$ . Its trajectories are **worldlines.**
- **4** g is a metric on the horizontal distribution ker  $d\mathfrak{t} \subset T\mathcal{M}$
- **5** Ricci flow equation:  $\mathcal{L}_{\partial_t}g = -2\operatorname{Ric}_g$

## Ricci flow $(M, (g_t)_{t \in I}) \longrightarrow$ Ricci flow spacetime $\mathcal{M}$

• 
$$\mathcal{M} := \mathcal{M} \times \mathcal{I}$$

- $\mathfrak{t} :=$  projection onto second factor
- $\partial_t := std.$  vector field on I

• 
$$g := g_t$$
 on  $\mathcal{M}_t = M \times \{t\}$ 

# Structure of non-collapsed $\mathbb F\text{-limits}$

Let  $\mathcal{X}$  be a  $\mathbb{F}$ -limit of smooth Ricci flows over *I*. Assume the non-collapsing condition  $\mathcal{N}_{x_i,0}(\tau_0) \geq -Y_0 > -\infty$ .

Theorem (B. 2020)

There is a decomposition

$$\mathcal{X} = \mathcal{R} \cup \mathcal{S}$$

and a smooth Ricci flow spacetime structure  $(\mathcal{R}, \mathfrak{t}, \partial_{\mathfrak{t}}, g)$  on  $\mathcal{R}$  such that:

- $\mathcal{R} \subset \mathcal{X}$  is open and dense.
- For any  $t \in I$  the time-slice  $(\mathcal{X}_t, d_t)$  is the metric completion of  $(\mathcal{R}_t, d_{g_t})$ .
- (Conjugate) heat flows restricted to *R* are uniquely characterized by □*u* = 0 and □\**v* = 0 on *R*.
- $\dim_{\mathcal{M}^*} \mathcal{S} \leq (n+2) 4$
- Tangent flows at any  $x \in \mathcal{X}$  (=  $\mathbb{F}$ -limits of blow-ups of  $(\mathcal{X}, (\nu_{x,t}))$ ) are singular gradient shrinking solitons.
- There is a filtration  $S^0 \subset \ldots \subset S^{n-2} = S$  such that  $\dim_{\mathcal{M}^*} S^k \leq k$  and every  $x \in S^k$  has a tangent flow that splits off an  $\mathbb{R}^k$ -factor or is static and splits off an  $\mathbb{R}^{k-2}$ -factor.

#### Theorem (B. 2020)

If  ${\mathcal X}$  is a gradient shrinking soliton, then there is an identification

 $\mathcal{X} = X \times I$ 

for a metric space (X, d) with regular part  $\mathcal{R}_X \subset X$  such that:

- $(X_t, d_t) = (X, |t|^{1/2}d)$
- $(\mathcal{R}_t, g_t) = (\mathcal{R}_X, |t|g_{\mathcal{R}_X})$
- The soliton equation holds on  $\mathcal{R}_X$ .
- If n = 4, then (X, d) is the length space of a smooth orbifold.

# Outstanding promise: Non-collapsing condition

**Pointed Nash entropy:** (Perelman, Topping, Hein, Naber) Fix  $(x_0, t_0) \in M \times I$  and write  $\tau := t_0 - t$ ,  $K(x_0, t_0; \cdot, \cdot) =: (4\pi\tau)^{-n/2}e^{-f}$ 

$$\mathcal{N}_{x_0,t_0}(\tau) := \int_M f(\cdot,t_0-\tau) d\nu_{x_0,t_0;t_0-\tau} - \frac{n}{2}$$

#### **Basic properties:**

- $\mathcal{N}_{x_0,t_0}( au) \leq 0$
- $\frac{d}{d\tau}\mathcal{N}_{x_0,t_0}(\tau) \leq 0$
- There is a relation between  $\mathcal N$  and Perelman's  $\mu$ -entropy that implies: If I = [0, T), then

$$\mathcal{N}_{x_0,t_0}(\tau) \geq \mu[M,g_0,T] > -\infty.$$

So a non-collapsing condition always holds on a fixed flow with  $T < \infty$ .

**Guiding principle:** On a manifold with  $Ric \geq -g$ :

$$\frac{|B(x,r)|}{r^n}\approx e^{\mathcal{N}_x(r^2)}$$

#### Theorem (B. 2020)

Suppose that  $R \ge R_{\min}$ . Set  $\mathcal{N}^*_s(x,t) := \mathcal{N}_{x,t}(t-s)$ .

$$|\nabla \mathcal{N}_s^*| \le \sqrt{\frac{n}{2(t-s)}} - R_{\min}$$

$$2 - \frac{n}{2(t-s)} \le \Box \mathcal{N}_s^* \le 0$$

(1)+(2) imply a bound on  $\operatorname{osc} \mathcal{N}_s^*$  over  $P^*$ -parabolic neighborhoods.

(d) For any (x, t), s < t, there is a point z near the "center" of  $\nu_{x,t;s}$  such that

$$\mathcal{K}(x,t;y,s) \leq \frac{\mathcal{C}(\varepsilon)}{(t-s)^{n/2}} \exp\left(-\frac{d_s^2(y,z)}{(8+\varepsilon)(t-s)}\right)$$

 $|B(x,t,r)| \leq C(R_{\min}) \exp(\mathcal{N}_{x,t}(r^2))$ 

**6** Reverse lower volume bound holds near concentration centers of conjugate heat kernels and under scalar curvature bounds.

1 . . .

# The picture at the first singular time

Suppose that  $(M, (g_t)_{t \in [0, T)})$  develops a singularity at time  $T < \infty$ .

### Singular time-slice $(M_T, d_T)$ :

$$\begin{split} M_{\mathcal{T}} &:= \left\{ \text{conjugate heat flows}(\mu_t)_{t \in [0, \mathcal{T})} \quad : \quad \text{Var}(\mu_t) \leq H_n(\mathcal{T} - t) \right\} \\ & d_{\mathcal{T}}((\mu_t^1), (\mu_t^2)) := \lim_{t \not \to \mathcal{T}} d_{W_1}^{g_t}(\mu_t^1, \mu_t^2) \end{split}$$

#### Theorem

- $(M_T, d_T)$  is a complete metric space.
- If  $g_t \to g_T$  on U as  $t \nearrow T$ , then  $U \leftrightarrow U' \subset M_T$  and  $d_{g_T} \cong d_T$  locally.
- For any p := (μ<sub>t</sub>) any blow-ups of (M, (g<sub>t</sub>)<sub>t∈[0,T)</sub>, (μ<sub>t</sub>)<sub>t∈[0,T)</sub>) subsequentially F-converge to a singular gradient shrinking soliton.