

# 274 Curves on Surfaces, Lecture 22

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## 24 Additive categorification of surface cluster algebras (Christof)

Surface cluster algebras can be categorified (additively) as follows. We consider certain triangulated 2-Calabi-Yau  $\mathbb{C}$ -linear categories  $C$  with a cluster tilting object  $T = T_1 \oplus \dots \oplus T_n$ . Triangulated categories generalize derived categories: their main feature is the existence of a self-equivalence  $\Sigma : C \rightarrow C$  and a collection of distinguished triangles

$$X \rightarrow Y \rightarrow Z \rightarrow \Sigma X \tag{1}$$

satisfying various axioms and generalizing exact sequences. One of these is that for every morphism  $X \xrightarrow{f} Y$  there exists a distinguished triangle

$$X \xrightarrow{f} Y \rightarrow Z \rightarrow \Sigma X. \tag{2}$$

2-Calabi-Yau means that there is a natural isomorphism

$$\text{Hom}(X, Y) \cong \text{Hom}(Y, \Sigma^2 X)^* \tag{3}$$

where  $*$  denotes the linear dual. In particular,

$$\text{Hom}(X, \Sigma Y) \cong \text{Hom}(Y, \Sigma X)^*. \tag{4}$$

Cluster tilting means that for every  $X$  we have

$$\text{Hom}(T, \Sigma X) = 0 \Leftrightarrow X \text{ is direct summand of } T. \tag{5}$$

In particular, there is a functor

$$F : C \ni X \mapsto \text{Hom}(T, \Sigma X) \in \text{End}(T)^{op}\text{-Mod} \tag{6}$$

whose kernel is given by morphisms which factor through  $T$ .

The above conditions imply that every Hom-space is finite-dimensional. In particular,  $\text{End}(T)^{op}$  is finite-dimensional. It can be written as  $\mathbb{C}[Q]/I$  where  $\mathbb{C}[Q]$  is the path algebra of a quiver  $Q$  and  $I$  is an admissible ideal. This quiver  $Q$  is canonically determined by the algebra.

The summands  $T = T_1 \oplus \dots \oplus T_n$  can be exchanged; for each  $i$  there exists  $T'_i \neq T_i$  such that  $T/T_i \oplus T'_i$  is again a cluster tilting object. The corresponding quiver  $Q$  changes according to quiver mutation.

There is a map called the cluster character sending an object  $Z$  to a certain sum

$$C^T(Z) = \underline{x}^{g(Z)} \sum_{\underline{\ell}} \chi(\text{Gr}_{\underline{\ell}}^{Q^{op}}(F(Z))) \underline{y}^{\underline{\ell}} \quad (7)$$

over Euler characteristics of quiver Grassmannians which evaluates to a Laurent polynomial in  $\mathbb{Z}[x_1^{\pm}, \dots, x_n^{\pm}]$ . Here  $g(Z)$  is defined as follows: if

$$\Sigma^{-1}Z \rightarrow T_Z^{\underline{b}} \rightarrow T_Z^{\underline{a}} \rightarrow Z \quad (8)$$

is a distinguished triangle, where  $\underline{a} = (a_1, a_2, \dots)$  and

$$T_Z^{\underline{a}} = T_1^{a_1} \oplus T_2^{a_2} \oplus \dots \quad (9)$$

then

$$g(Z) = \underline{a} - \underline{b}. \quad (10)$$

Furthermore,

$$y_k = \prod_{i=1}^n x_i^{|Q(i,k)| - |Q(k,i)|} \quad (11)$$

where  $|Q(i, k)|$  is the number of edges from  $i$  to  $k$ .

Ideally  $C^T(Z)$  is contained in the upper cluster algebra associated to  $Q$ . The indecomposable rigid objects (those satisfying  $\text{Hom}(Z, \Sigma Z) = 0$ ) give cluster variables.

Quiver Grassmannians are defined as follows. If  $M$  is a module over a quiver algebra  $\mathbb{C}[Q]$  and  $\underline{\ell}$  is a dimension vector, then  $\text{Gr}_{\underline{\ell}}^Q(M)$  is a projective variety parameterizing submodules of  $M$  with dimension vector  $\underline{\ell}$ . Any projective variety appears as some quiver Grassmannian, so they can be come arbitrarily complicated.

We can recognize the images of rigid objects in  $\text{End}(T)^{op}\text{-Mod}$  as follows. For  $M$  a module, take a minimal projective presentation

$$P_n \xrightarrow{\pi} P_0 \rightarrow M \rightarrow 0 \quad (12)$$

and consider the cokernel

$$\text{Hom}(P_0, M) \xrightarrow{\text{Hom}(\pi, M)} \text{Hom}(P_n, M) \rightarrow \mathcal{E}(M). \quad (13)$$

Then  $M = F(Z)$  with  $Z$  rigid if and only if  $\mathcal{E}(M) = 0$ . In particular, we need  $\text{Ext}^1(M, M) = 0$ .

To find categories and objects  $T$  of them satisfying the above conditions, we can start from a quiver with potential. If  $Q$  is a quiver, a potential  $W$  is a linear combination of cycles in  $Q$ . From this data one can construct a Ginzburg dg-algebra, and from this dg-algebra one can construct the required category and object. This

object  $T$  satisfies  $\text{End}(T)^{op} = \mathbb{C}[Q]/\langle \partial W \rangle$  where  $\partial W$  is the ideal generated by all cyclic derivatives of the potential  $W$ . Actually one needs to take a suitable completion of this in general.

**Exercise 24.1.** Consider the quiver



with potential  $W = cba$ . In this case the completed and uncompleted algebras are the same and  $\langle \partial W \rangle = \{ba, cb, ac\}$ .