

Eigenfunctions of a discrete elliptic integrable particle model with hyperoctahedral symmetry*

Jan Felipe van Diejen

Universidad de Talca, Chile

Supported in part by Fondecyt # 1210015

2022 Workshop on Elliptic Integrable Systems, Berkeley, March 2022

*Based on joint work with **Tamás Görbe**, University of Groningen.

I. Preliminaries

Normalized Jacobi theta functions

Let

$$[z]_1 = [z; \alpha, p]_1 = \frac{\vartheta_1(\frac{\alpha}{2}z; p)}{\sin(\frac{\alpha}{2})\vartheta'_1(0; p)}, \quad [z]_r = [z; \alpha, p]_r = \frac{\vartheta_r(\frac{\alpha}{2}z; p)}{\vartheta_r(0; p)} \quad (r = 2, 3, 4),$$

with $z \in \mathbb{C}$, $0 < \alpha < 2\pi$, and $0 < |p| < 1$.

The normalization chosen is such that:

$$\lim_{p \rightarrow 0} [z; \alpha, p]_1 = \frac{\sin(\frac{\alpha}{2}z)}{\sin(\frac{\alpha}{2})} \quad \text{and} \quad \lim_{\alpha \rightarrow 0} [z; \alpha, p]_1 = z.$$

Hamiltonian (vD '94)

$$H = \sum_{1 \leq j \leq n} B_j(x) T_j + B_j(-x) T_j^{-1}$$

with

$$B_j(x) = \left(\prod_{\substack{1 \leq k \leq n \\ k \neq j}} \frac{[x_j - x_k + g]_1}{[x_j - x_k]_1} \frac{[x_j + x_k + g]_1}{[x_j + x_k]_1} \right) \prod_{1 \leq r \leq 4} \frac{[x_j + g_r]_r}{[x_j]_r}$$

and $(T_j f)(x_1, \dots, x_n) = f(x_1, \dots, x_{j-1}, x_j + 1, x_{j+1}, \dots, x_n)$

Features

- After a gauge trafo, \mathbf{H} recovers the quantization of Inozemtsev's '89 Calogero model by scaling the step size to 0:

$$\begin{aligned}\mathbf{H} \rightarrow & \sum_{1 \leq j \leq n} \partial_j^2 - g(g-1) \sum_{1 \leq j \neq k \leq n} (\varrho(x_j - x_k) + \varrho(x_j + x_k)) \\ & - \sum_{1 \leq j \leq n} (g_0(g_0-1)\varrho(x_j) + g_1(g_1-1)\varrho(x_j + \omega_1) + \\ & \quad g_2(g_2-1)\varrho(x_j + \omega_2) + g_3(g_3-1)\varrho(x_j + \omega_1 + \omega_2))\end{aligned}$$

- At $p = 0$, \mathbf{H} degenerates to the Macdonald-Koornwinder difference operator.
- \mathbf{H} is quantum integrable (Komori & Hikami '97).
- \mathbf{H} enjoys remarkable reflection symmetries in the parameter space (Ruijsenaars '04).
- \mathbf{H} arises in the study of BC_n elliptic hypergeometric integrals and biorthogonal functions, and connects to the Sklyanin algebra and the elliptic DAHA (Rains '06, '10, '20).
- The quantum integrals of \mathbf{H} are generated by a quantum Lax matrix (Chalykh '19).
- Some explicit eigenfunctions of \mathbf{H} are known (see e.g. Atai '20, Atai & Noumi '22, Ruijsenaars '15, Spiridonov '07).
- \mathbf{H} arises for $n = 1$ as a reduction of the Lax operator for Sakai's elliptic difference Painlevé equation (Noumi, Ruijsenaars, & Yamada '20).
- \mathbf{H} describes surface defects in compactifications of conformal matter theories on a punctured Riemann surface (see e.g. Nazzal, Nedelin, & Razamat '21).

II. Lattice model on bounded partitions

Discretization

We restrict H onto a lattice of **shifted partitions**:

$$\rho + \Lambda^{(n)}$$

where $\rho = (\rho_1, \dots, \rho_n)$ with $\rho_j = (n - j)g + g_1$ and

$$\Lambda^{(n)} = \{\lambda \in \mathbb{Z}^n \mid \lambda_1 \geq \dots \geq \lambda_n \geq 0\}.$$

Truncation at level m

Upon picking the real period of the form

$$\alpha = \frac{\pi}{m + (n - 1)g + g_1 + g_2} \quad \text{with } m \in \mathbb{N}$$

$(g, g_r > 0)$, we implement a (Racah type) truncation that restricts H to the finite lattice of **bounded shifted partitions**:

$$\rho + \Lambda^{(n,m)}$$

with

$$\Lambda^{(n,m)} = \{\lambda \in \mathbb{Z}^n \mid m \geq \lambda_1 \geq \dots \geq \lambda_n \geq 0\}.$$

Hilbert space

Let $\ell^2(\Lambda^{(n,m)}, \Delta)$ be the $\binom{n+m}{n}$ -dimensional space of functions $f : \Lambda^{(n,m)} \rightarrow \mathbb{C}$ endowed with the inner product:

$$\langle f, g \rangle_{\Delta} = \sum_{\lambda \in \Lambda^{(n,m)}} f_{\lambda} \overline{g_{\lambda}} \Delta_{\lambda}$$

$$\begin{aligned} \Delta_{\lambda} = & \prod_{1 \leq j \leq n} \frac{[2\rho_j + 2\lambda_j]_1}{[2\rho_j]_1} \prod_{1 \leq r \leq 4} \frac{[\rho_j + g_r]_{r,\lambda_j}}{[\rho_j + 1 - g_r]_{r,\lambda_j}} \\ & \times \prod_{1 \leq j < k \leq n} \frac{[\rho_j \pm \rho_k + \lambda_j \pm \lambda_k]_1}{[\rho_j \pm \rho_k]_1} \frac{[\rho_j \pm \rho_k + g]_{1,\lambda_j \pm \lambda_k}}{[\rho_j \pm \rho_k + 1 - g]_{1,\lambda_j \pm \lambda_k}}, \end{aligned}$$

where $[z]_{r,l} = \prod_{0 \leq k < l} [z + k]_r$ (with $[z]_{r,0} = 1$).

Self-adjointness

Proposition

The action of \mathbf{H} in $\ell^2(\Lambda^{(n,m)}, \Delta)$:

$$(\mathbf{H}f)_{\lambda} = \sum_{\substack{1 \leq j \leq n, \varepsilon = \pm 1 \\ \lambda + \varepsilon e_j \in \Lambda(n, m)}} B_{\lambda, \varepsilon j} f_{\lambda + \varepsilon e_j}$$

with

$$B_{\lambda, \varepsilon j} = \prod_{1 \leq r \leq 4} \frac{[\rho_j + \lambda_j + \varepsilon g_r]_r}{[\rho_j + \lambda_j]_r} \prod_{\substack{1 \leq k \leq n \\ k \neq j}} \frac{[\rho_j \pm \rho_k + \lambda_j \pm \lambda_k + \varepsilon g]_1}{[\rho_j \pm \rho_k + \lambda_j \pm \lambda_k]_1},$$

is self-adjoint

$$\forall f, g \in \ell^2(\Lambda^{(n,m)}, \Delta) : \quad \langle \mathbf{H}f, g \rangle_{\Delta} = \langle f, \mathbf{H}g \rangle_{\Delta}.$$

III. Diagonalization

Spectrum

Proposition (Eigenvalues)

(i) The eigenvalues of the difference operator H in $\ell^2(\Lambda^{(n,m)}, \Delta)$ are given by *real-analytic* functions E_v , $v \in \Lambda^{(n,m)}$ in $p \in (-1, 1)$ that specialize at $p = 0$ to

$$E_v|_{p=0} = 2 \sum_{1 \leq j \leq n} \cos \frac{\alpha}{2} (\hat{p}_j + v_j),$$

where $\hat{p}_j = (n - j)g + \frac{1}{2}(g_1 + g_2)$, $j = 1, \dots, n$.

(ii) For generic coupling values, the eigenvalues E_v , $v \in \Lambda^{(n,m)}$ from part (i) are **distinct** as analytic functions of $p \in (-1, 1)$.

Eigenfunctions

For generic values of the coupling parameters such that the discriminant

$$\Delta(\textcolor{red}{H}) = \prod_{\substack{\mu, \nu \in \Lambda^{(n,m)} \\ \mu \neq \nu}} (\mathbb{E}_\nu - \mathbb{E}_\mu) \neq 0$$

(as an analytic function of $p \in (-1, 1)$), let

$$\textcolor{red}{h}^{(\nu)} = \left(\prod_{\substack{\mu \in \Lambda^{(n,m)} \\ \mu \neq \nu}} \frac{\textcolor{red}{H} - \mathbb{E}_\mu}{\mathbb{E}_\nu - \mathbb{E}_\mu} \right) \chi$$

with

$$x_\lambda = \begin{cases} 1, & \text{if } \lambda = 0, \\ 0, & \text{if } \lambda \neq 0, \end{cases} \quad (\lambda \in \Lambda^{(n,m)}).$$

Theorem (Eigenfunctions)

The following statements hold for generic positive parameters subject to the level m truncation condition.

(i) H is diagonalized in the Hilbert space $\ell^2(\Lambda^{(n,m)}, \Delta)$ by an orthonormal basis of eigenfunctions $f^{(\nu)}$, $\nu \in \Lambda^{(n,m)}$ that depend analytically on $p \in (-1, 1)$ such that $Hf^{(\nu)} = E_\nu f^{(\nu)}$ (with E_ν as before).

(ii) For generic coupling parameters such that $\Delta(H) \neq 0$, one has that

$$Hh^{(\nu)} = E_\nu h^{(\nu)}$$

and

$$\langle h^{(\nu)}, h^{(\tilde{\nu})} \rangle_\Delta = \begin{cases} h_0^{(\nu)} & \text{if } \nu = \tilde{\nu}, \\ 0 & \text{if } \nu \neq \tilde{\nu}. \end{cases}$$

Moreover, the functions $h^{(\nu)} \in \ell^2(\Lambda^{(n,m)}, \Delta)$ coincide with the orthonormal eigenfunctions in part (i) up to normalization:

$$h^{(\nu)} = \overline{f_0^{(\nu)}} f^{(\nu)}.$$

Theorem (Trigonometric limit)

(iii) At $p = 0$ the eigenbasis is given explicitly by

$$h_{\lambda}^{(\nu)}|_{p=0} = \frac{c_{\lambda, q}}{n_{\nu, q}} P_{\lambda}(q^{\hat{p}+\nu}; q, t, a, b, c, d),$$

where

$$c_{\lambda, q} = \prod_{\substack{1 \leq j \leq n \\ r=1,2}} \frac{[\rho_j]_{r,q,\lambda_j}}{[\rho_j + gr]_{r,q,\lambda_j}} \prod_{1 \leq j < k \leq n} \frac{[\rho_j \pm \rho_k]_{1,q,\lambda_j \pm \lambda_k}}{[\rho_j \pm \rho_k + g]_{1,q,\lambda_j \pm \lambda_k}}$$

and P_{λ} denotes the monic Macdonald-Koornwinder polynomial with parameters

$$q = e^{i\alpha}, \quad t = q^g,$$

$$a = q^{(g_1+g_2)/2}, \quad b = -q^{(g_1+g_2)/2}, \quad c = q^{(g_1-g_2+1)/2}, \quad d = -q^{(g_1-g_2+1)/2}.$$

Here

$$n_{\nu, q} = \sum_{\lambda \in \Lambda(n, m)} c_{\lambda, q}^2 P_{\lambda}^2(q^{\hat{p}+\nu}; q, t, a, b, c, d) \Delta_{\lambda, q},$$

$$\begin{aligned} \Delta_{\lambda, q} &= \prod_{1 \leq j \leq n} \frac{[2\rho_j + 2\lambda_j]_{1,q}}{[2\rho_j]_{1,q}} \prod_{r=1,2} \frac{[\rho_j + gr]_{r,q,\lambda_j}}{[\rho_j + 1 - gr]_{r,q,\lambda_j}} \\ &\times \prod_{1 \leq j < k \leq n} \frac{[\rho_j \pm \rho_k + \lambda_j \pm \lambda_k]_{1,q}}{[\rho_j \pm \rho_k]_{1,q}} \frac{[\rho_j \pm \rho_k + g]_{1,q,\lambda_j \pm \lambda_k}}{[\rho_j \pm \rho_k + 1 - g]_{1,q,\lambda_j \pm \lambda_k}}, \end{aligned}$$

$$[z]_{1,q} = \frac{\sin(\frac{\alpha}{2}z)}{\sin(\frac{\alpha}{2})} = \frac{q^{\frac{z}{2}} - q^{-\frac{z}{2}}}{q^{\frac{1}{2}} - q^{-\frac{1}{2}}}, \quad [z]_{2,q} = \cos(\frac{\alpha}{2}z) = \frac{q^{\frac{z}{2}} + q^{-\frac{z}{2}}}{2}.$$

IV. One particle case: $n = 1$

Level m truncated difference Heun equation

For $\lambda = 0, \dots, m$:

$$f_{\lambda+1} \prod_{1 \leq r \leq 4} \frac{[\lambda+g_1+g_r]_r}{[\lambda+g_1]_r} + f_{\lambda-1} \prod_{1 \leq r \leq 4} \frac{[\lambda+g_1-g_r]_r}{[\lambda+g_1]_r} = E f_\lambda$$

(with $\alpha = \frac{\pi}{m+g_1+g_2}$ and $g_r > 0$).

Orthogonality weights

$$\Delta_\lambda = \prod_{1 \leq j \leq n} \frac{[2g_1+2\lambda]_1}{[2g_1]_1} \prod_{1 \leq r \leq 4} \frac{[g_1+g_r]_{r,\lambda}}{[g_1+1-g_r]_{r,\lambda}}$$

Elliptic Racah polynomials

For $k = 0, 1, 2, \dots$ we define the **elliptic Racah polynomials**:

$$P_k(E) = \det \begin{bmatrix} E & -b_0^+ & 0 & \cdots & 0 \\ -b_1^- & E & \ddots & & \vdots \\ 0 & -b_2^- & \ddots & -b_{k-3}^+ & 0 \\ \vdots & & \ddots & E & -b_{k-2}^+ \\ 0 & \cdots & 0 & -b_{k-1}^- & E \end{bmatrix}$$

(so $P_0(E) = 1$) with

$$b_k^+ = \prod_{1 \leq r \leq 4} \frac{[g_1 + g_r + k]_r}{[g_1 + k]_r} \quad \text{and} \quad b_k^- = \prod_{1 \leq r \leq 4} \frac{[g_1 - g_r + k]_r}{[g_1 + k]_r}.$$

Eigenvalues

The eigenvalues of **H** are given by the roots

$$E_0 > E_1 > \cdots > E_m$$

of $P_{m+1}(E)$.

Eigenfunctions

$$h_\lambda^{(v)} = \frac{c_\lambda}{N_v} P_\lambda(E_v) \quad (0 \leq \lambda, v \leq m)$$

with

$$C_\lambda = \prod_{1 \leq r \leq 4} \frac{[g_1]_{r,\lambda}}{[g_1 + g_r]_{r,\lambda}}$$

and

$$N_v = \sum_{0 \leq \lambda \leq m} C_\lambda^2 P_\lambda^2(E_v) \Delta_\lambda = C_m^2 \Delta_m P_m(E_v) \prod_{\substack{0 \leq j \leq m \\ j \neq v}} (E_v - E_j).$$

V. The case $g=1$: free fermions

For $g=1$ the gauge transformation

$$H \rightarrow \tilde{H} = V_\lambda H V_\lambda^{-1} \quad \text{with} \quad V_\lambda = \prod_{1 \leq j < k \leq n} \frac{[\rho_j \pm \rho_k + \lambda_j \pm \lambda_k]_1}{[\rho_j \pm \rho_k]_1}$$

transforms the particle model to n free fermions on the open lattice $\{0, 1, 2, \dots, m+n-1\}$ placed in an external field of elliptic Racah type:

$$(\tilde{H}\tilde{f})_\lambda = \sum_{\substack{1 \leq j \leq n, \\ \lambda + e_j \in \Lambda^{(n,m)}}} b_{n-j+\lambda_j}^+ \tilde{f}_{\lambda+e_j} + \sum_{\substack{1 \leq j \leq n, \\ \lambda - e_j \in \Lambda^{(n,m)}}} b_{n-j+\lambda_j}^- \tilde{f}_{\lambda-e_j}$$

(where $\tilde{f}_\lambda = V_\lambda f_\lambda$).

Let $\mathbf{g=1}$, $\alpha = \frac{\pi}{m+n-1+g_1+g_2}$ ($g_r > 0$) and let

$$E_0 > E_1 > \dots > E_{n+m-1}$$

denote the roots of $P_{n+m}(E)$.

Theorem (Diagonalization for $\mathbf{g=1}$)

(i) The eigenvalues of H become in terms of the elliptic Racah roots:

$$E_v = \sum_{1 \leq j \leq n} E_{n-j+v_j} \quad (v \in \Lambda^{(n,m)}).$$

(ii) The eigenfunctions are given by *Schur polynomials of elliptic Racah type*:

$$h_\lambda^{(v)} = \frac{c_\lambda}{N_v} s_\lambda^{(v)} \quad (\lambda, v \in \Lambda^{(n,m)})$$

with

$$s_\lambda^{(v)} = a_\lambda^{(v)} / a_0^{(v)}, \quad a_\lambda^{(v)} = \det [P_{n-i+\lambda_i}(E_{n-j+v_j})]_{1 \leq i,j \leq n}.$$

Here the normalizations are governed by

$$c_\lambda = \frac{1}{V_\lambda} \prod_{\substack{1 \leq j \leq n \\ 1 \leq r \leq 4}} \frac{[\rho_j]_{r,\lambda_j}}{[\rho_j + g_r]_{r,\lambda_j}}$$

and

$$N_v = \sum_{\lambda \in \Lambda^{(n,m)}} c_\lambda^2 (s_\lambda^{(v)})^2 \Delta_\lambda = \frac{1}{(a_0^{(v)})^2} \prod_{1 \leq j \leq n} \frac{N_{n-j+v_j}}{\Delta_{n-j} c_{n-j}^2}.$$

VI. The level $m = 1$ case: one-column partitions

The lattice $\Lambda^{(n,1)}$ consists of one-column partitions

$$(1^k) = (\underbrace{1, \dots, 1}_k, \underbrace{0, \dots, 0}_{n-k}) \quad (0 \leq k \leq n).$$

The level $m = 1$ eigenvalue equation for H becomes of **triangular** form:

$$B_{-k} f_{(1^k-1)} + B_{k+1} f_{(1^{k+1})} = E f_{(1^k)} \quad (0 \leq k \leq n),$$

with

$$B_{-k} = B_{(1^k), -k} = \frac{[2\rho_{k+1}+2, \rho_0-\rho_k, \rho_k+\rho_{n+1}+1, 1]_1}{[\rho_1+\rho_k+2, \rho_k+\rho_{k+1}+1, \rho_k-\rho_{n+1}, g]_1} \prod_{1 \leq r \leq 4} \frac{[\rho_{k+1}-gr]_r}{[\rho_{k+1}]_r}$$

and

$$B_k = B_{(1^k), k+1} = \frac{[\rho_0+\rho_{k+1}+1, 2\rho_{k+1}, \rho_{k+1}-\rho_{n+1}, 1]_1}{[\rho_k+\rho_{k+1}+1, \rho_1-\rho_{k+1}+1, \rho_{k+1}+\rho_n, g]_1} \prod_{1 \leq r \leq 4} \frac{[\rho_{k+1}+gr]_r}{[\rho_{k+1}]_r}.$$

Upshot: at level $m = 1$ the diagonalization can again be performed by means of polynomials

$$P_{(1^k)}(E) = \det \begin{bmatrix} E & -B_1 & 0 & \cdots & 0 \\ -B_{-1} & E & \ddots & & \vdots \\ 0 & -B_{-2} & \ddots & -B_{k-2} & 0 \\ \vdots & & \ddots & E & -B_{k-1} \\ 0 & \cdots & 0 & -B_{-k+1} & E \end{bmatrix},$$

$$k = 0, \dots, n + 1.$$

Theorem (Diagonalization at level $m=1$)

(i) The eigenvalues of \mathbf{H} are given by the simple roots of $P_{(1^n+1)}(\mathbb{E})$:

$$\mathbb{E}_{(1^0)} > \mathbb{E}_{(1^1)} > \cdots > \mathbb{E}_{(1^n)}.$$

(ii) The eigenfunctions $h^{(1^l)}$, $0 \leq l \leq n$ of \mathbf{H} are given by

$$h_{(1^k)}^{(1^l)} = \frac{c_{(1^k)}}{n_{(1^l)}} P_{(1^k)}(\mathbb{E}_{(1^l)}) \quad (0 \leq k, l \leq n)$$

with

$$\begin{aligned} n_{(1^l)} &= \sum_{0 \leq k \leq n} c_{(1^k)}^2 P_{(1^k)}^2(\mathbb{E}_{(1^l)}) \Delta_{(1^k)} \\ &= c_{(1^n)}^2 \Delta_{(1^n)} P_{(1^n)}(\mathbb{E}_{(1^l)}) \prod_{\substack{0 \leq j \leq n \\ j \neq l}} (\mathbb{E}_{(1^l)} - \mathbb{E}_{(1^j)}), \end{aligned}$$

where

$$c_{(1^k)} = \prod_{1 \leq j \leq k} B_j^{-1} \quad \text{and} \quad \Delta_{(1^k)} = \prod_{1 \leq j \leq k} B_j B_{-j}^{-1}.$$

VII. References

- JFvD & T. Görbe, Eigenfunctions of a discrete elliptic integrable particle model with hyperoctahedral symmetry, Comm. Math. Phys. (to appear).
- JFvD & T. Görbe, Elliptic Racah polynomials, arXiv:2106.07394 [math.CA]
- F. Atai, Source identities and kernel functions for the deformed Koornwinder-van Diejen models, Comm. Math. Phys. **377** (2020), 2191–2216.
- F. Atai & M. Noumi, Eigenfunctions of the van Diejen model generated by gauge and integral transformations, arXiv:2203.00498 [nlin.SI]
- O. Chalykh, Quantum Lax pairs via Dunkl and Cherednik operators, Comm. Math. Phys. **369** (2019), 261–316.
- JFvD, Integrability of difference Calogero-Moser systems, J. Math. Phys. **35** (1994), 2983–3004.
- V. Inozemtsev, Lax representation with spectral parameter on a torus for integrable particle systems, Lett. Math. Phys. **17** (1989), 11–17.
- Y. Komori & K. Hikami, Quantum integrability of the generalized elliptic Ruijsenaars models, J. Phys. A **30** (1997), 4341–4364.
- B. Nazzal, A. Nedelin, & S. Razamat, Minimal (D,D) conformal matter and generalizations of the van Diejen model, arXiv:2106.08335 [hep-th]
- E. Rains, BC_n-symmetric Abelian functions, Duke Math. J. **135** (2006), 99–180.
- E. Rains, Transformations of elliptic hypergeometric integrals, Ann. of Math. (2) **171** (2010), 169–243.
- E. Rains, Elliptic double affine Hecke algebras., SIGMA Symmetry Integrability Geom. Methods Appl. **16** (2020), Paper No. 111.
- S. Ruijsenaars, Integrable BC_N analytic difference operators: hidden parameter symmetries and eigenfunctions. In: *New Trends in Integrability and Partial Solvability*, NATO Sci. Ser. II Math. Phys. Chem. **132**, Kluwer Acad. Publ., Dordrecht, 2004, pp. 217–261.
- S. Ruijsenaars, Hilbert-Schmidt operators vs. integrable systems of elliptic Calogero-Moser type IV. The relativistic Heun (van Diejen) case, SIGMA Symmetry Integrability Geom. Methods Appl. **11** (2015), Paper 004, 78 pp.
- M. Noumi, S. Ruijsenaars, & Y. Yamada, The elliptic Painlevé Lax equation vs. van Diejen’s 8-coupling elliptic Hamiltonian, SIGMA Symmetry Integrability Geom. Methods Appl. **16** (2020), Paper No. 063, 16 pp.
- V. Spiridonov, Elliptic hypergeometric functions and models of Calogero-Sutherland type, Teoret. Mat. Fiz. **150** (2007), 311–324.

Thank You!