

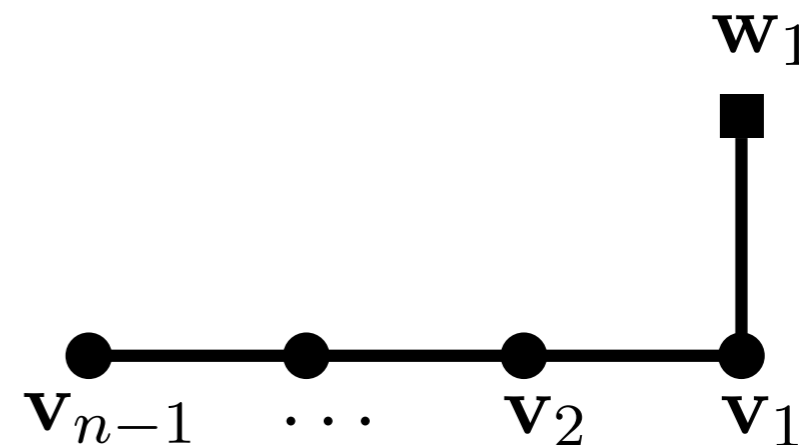
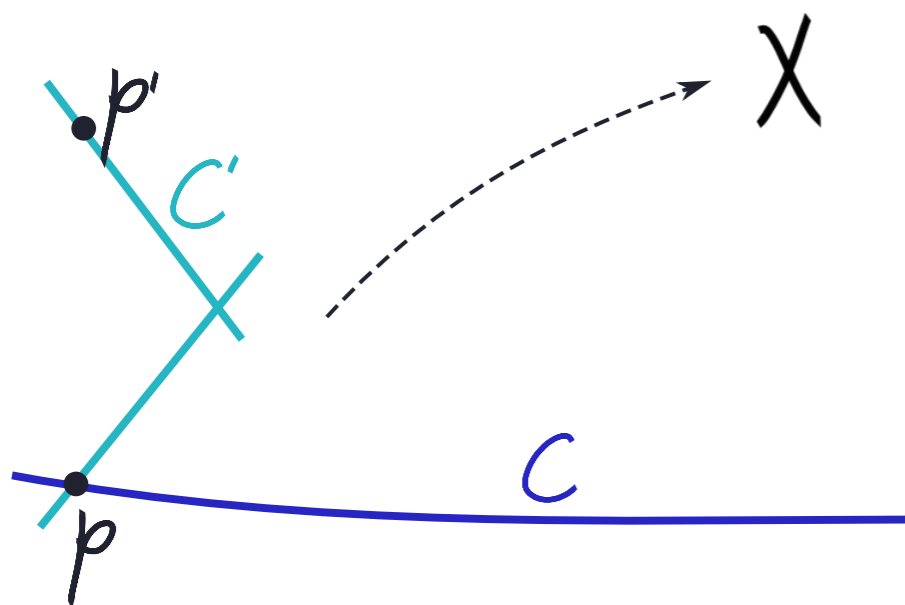
# Quantum Geometry Instantons & Elliptic Algebras

Peter Koroteev

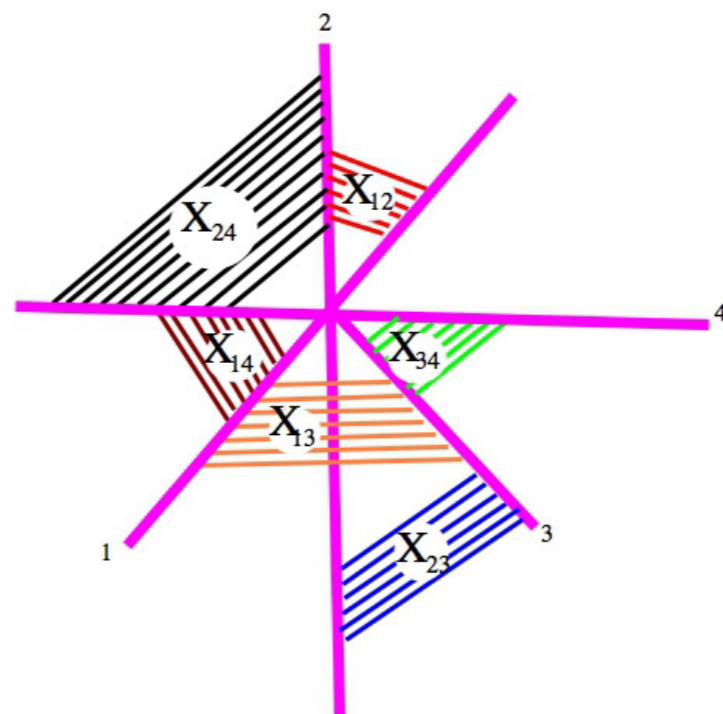


Talk at workshop 'SCFTs in 6 and Lower Dimensions'  
TSIMF, Sanya, China January 18th 2018

# Based on new ideas, collaborations and discussions with



Aganagic  
Okounkov  
Zeitlin  
Smirnov  
Pushkar  
Givental



Nekrasov  
Pestun  
Kimura  
Sciarappa

Nekrasov

Costello, Gaiotto, Soibelman, Gukov, Nawata

# Algebras from String/M-theory

In this talk we shall discuss **algebraic structures** which arise from CFTs. Often such algebras arise as quantizations of some moduli spaces.

Example — moduli spaces of SUSY vacua of gauge theories with 8 supercharges. Their quantization leads to vertex operator algebras which appear in 2d CFT (Virasoro,  $W$ -algebras, etc). This is a modern way to formulate the **BPS/CFT** correspondence:

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Example — moduli spaces of SUSY vacua of gauge theories with 8 supercharges. Their quantization leads to vertex operator algebras which appear in 2d CFT (Virasoro, W-algebras, etc). This is a modern way to formulate the **BPS/CFT** correspondence:

- Connects BPS observables of  $\mathcal{N}=2$  supersymmetric gauge theories with CFT correlators (*Mathematically*: Relates structures arising on moduli spaces of sheaves (instantons) with vertex operator algebras)
- Canonical example: [**Alday Gaiotto Tachikawa**]  
*Partition functions* vs. CFT conformal blocks  
*Symmetries of the instanton moduli spaces* vs. Vertex operator algebras

# AGT

**Class-S** theories are constructed in M-theory with M5 branes wrapping  $\mathcal{M}_4 \times \mathcal{C}$  [Gaiotto]

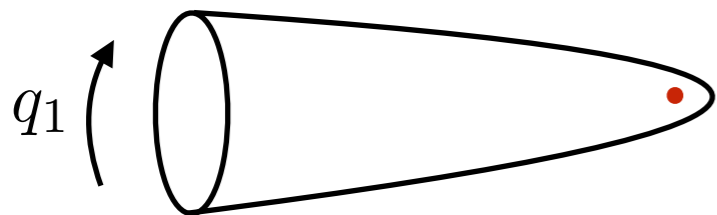
Twisted compactification of the theory on M5 branes — (2,0) 6d theory on  $\mathcal{C}$  leads to  $\mathcal{N}=2$  theory on  $\mathcal{M}_4$

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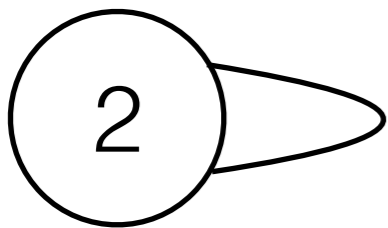
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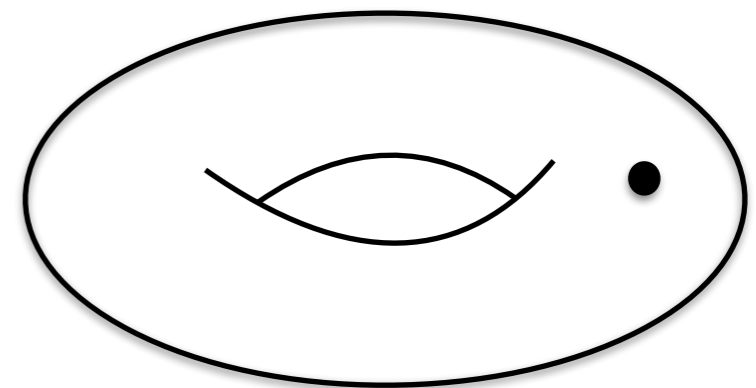
$\mathcal{N}=2^*$  SU(2) 4d gauge theory on  $\mathbb{R}_{q_1}^2 \times \mathbb{R}_{q_2}^2$



with adj hyper of mass  $m$   
gauge coupling  $\tau$



Liouville CFT on a torus with one puncture  
thin neck with sewing parameter  $q = e^{2\pi i\tau}$



$$\text{AGT: } \mathcal{Z}_{\text{Nek}} = \mathcal{F}_{\text{CFT}}$$

# Algebraic-geometric approach

Mathematicians have now several *proofs* of AGT in limiting cases (no fundamental matter), but those proofs do not use the original class-S construction [Schiffmann Vasserot] [Negut]

Physics **proof\*** by Kimura and Pestun uses direct localization computations

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One of our goals is to understand BPS/CFT **geometrically**

Namely we want describe instanton counting and vertex operator algebras in terms of **quantum geometry** (quantum cohomology or quantum K-theory) of some family of spaces

In other words we want VOAs to **emerge** from quantum geometry



# Recent Developments

## Vertex Algebras at the Corner [Gaiotto Rapcak]

VOAs at junctions of supersymmetric intersections in N=4 SYM

## Quiver W-algebras [Kimura Pestun]

4,5,6d quiver gauge theories on  $R^4 \times S$  in Omega background

## The Magnificent **Four** [Nekrasov]

D8 brane probed by D0 branes in B field

$U(1)^4 \subset Spin(8)$  + additional *nongeometric*  $U(1)$  symmetry  
 $q_1, q_2, q_3, q_4$

# Large- $n$ Limit

String theory enjoys **large- $n$**  dualities

AdS/CFT, Gopakumar-Vafa

Gauge theories are known to have effective description when the rank of the gauge group becomes large  $U(n) \quad n \rightarrow \infty$

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# Large-n Limit

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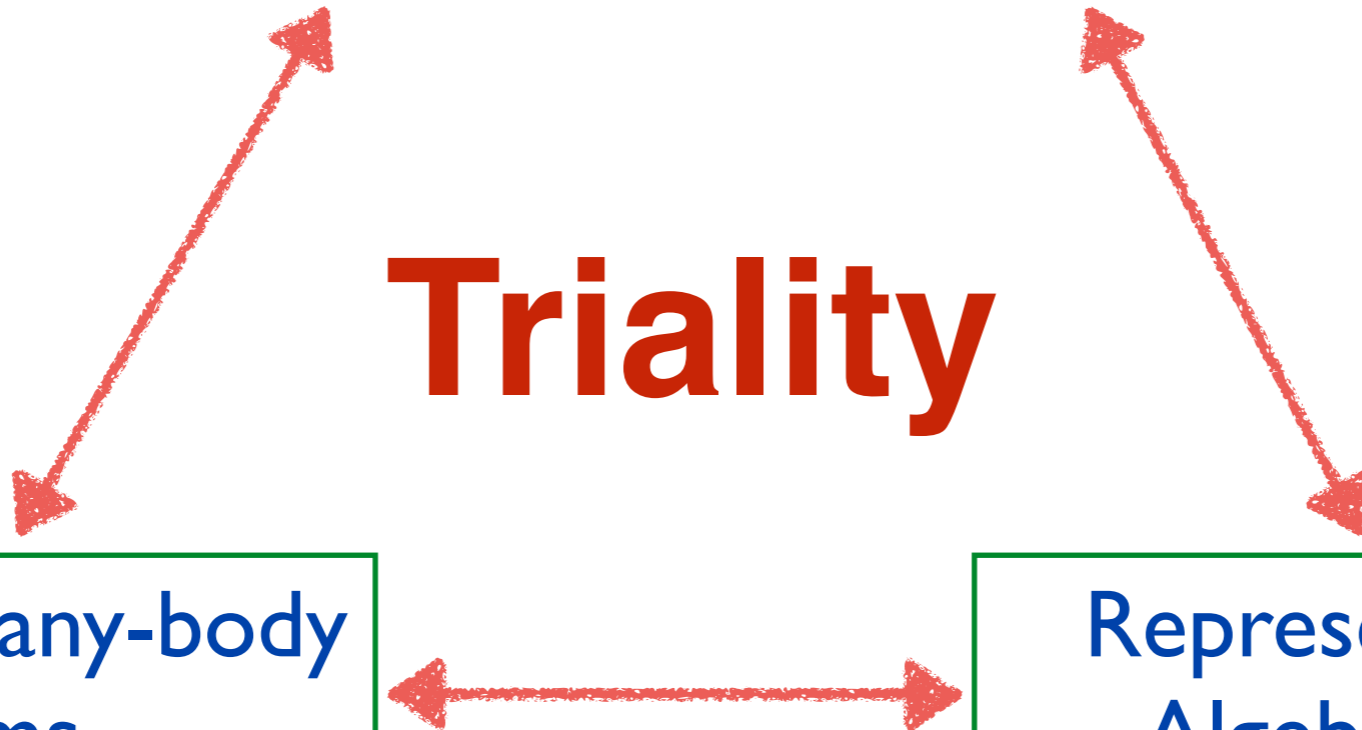
We shall see that BPS/CFT can be viewed as a large-n duality!

$\mathcal{N}=2$  gauge theories

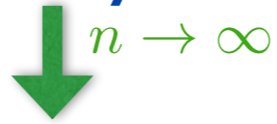
**Triality**

Integrable many-body  
systems

Representation theory  
Algebraic geometry



U(n) theory+defect

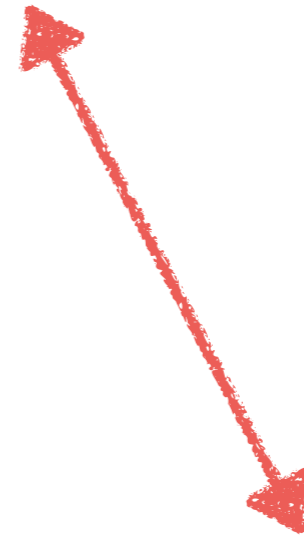
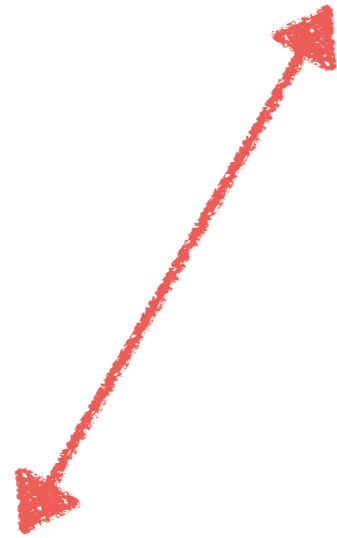


U(1) theory

[PK Sciarappa]  
[Li, Costello]

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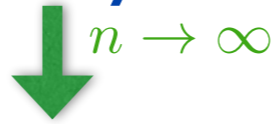
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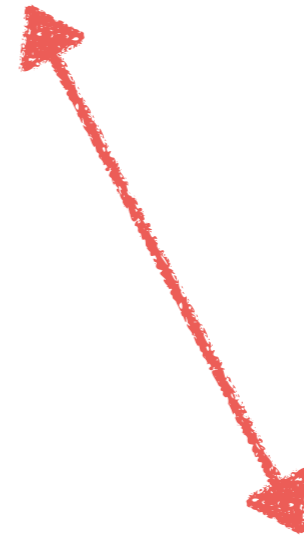
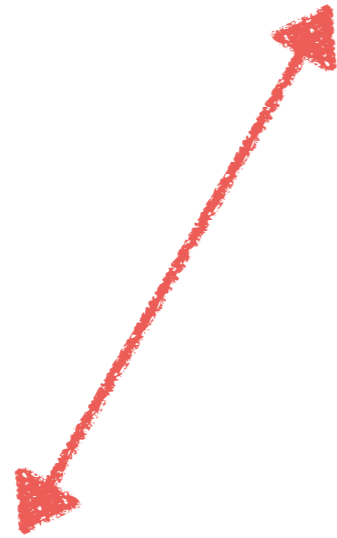


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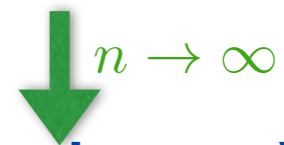
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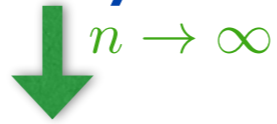
$gl(n)$  DAHA



DI, Hall algebra,  $qW$ , etc.

[Schiffmann Vaserot][Negut]

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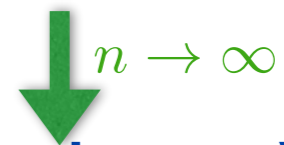
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Satoshi's talk  
about  $sl_2$  DAHA

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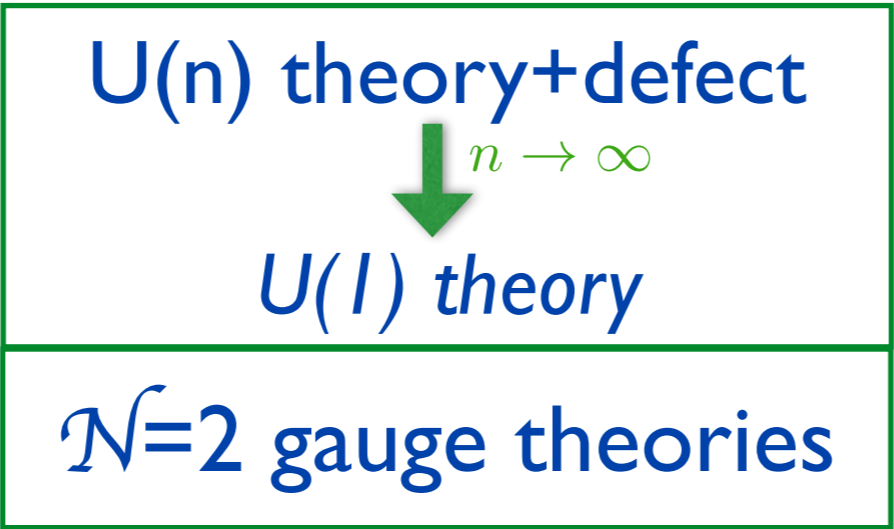
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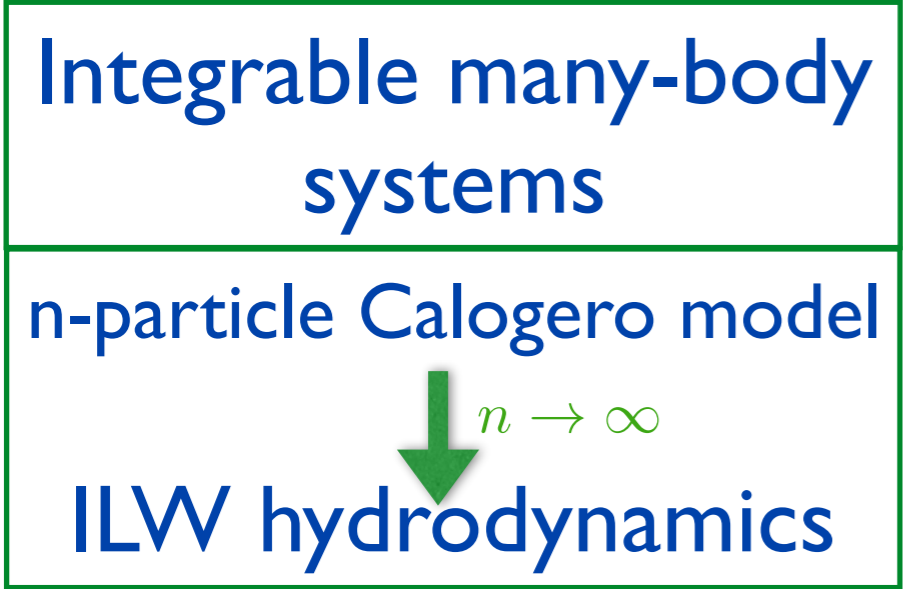
[Schiffmann Vaserot][Negut]



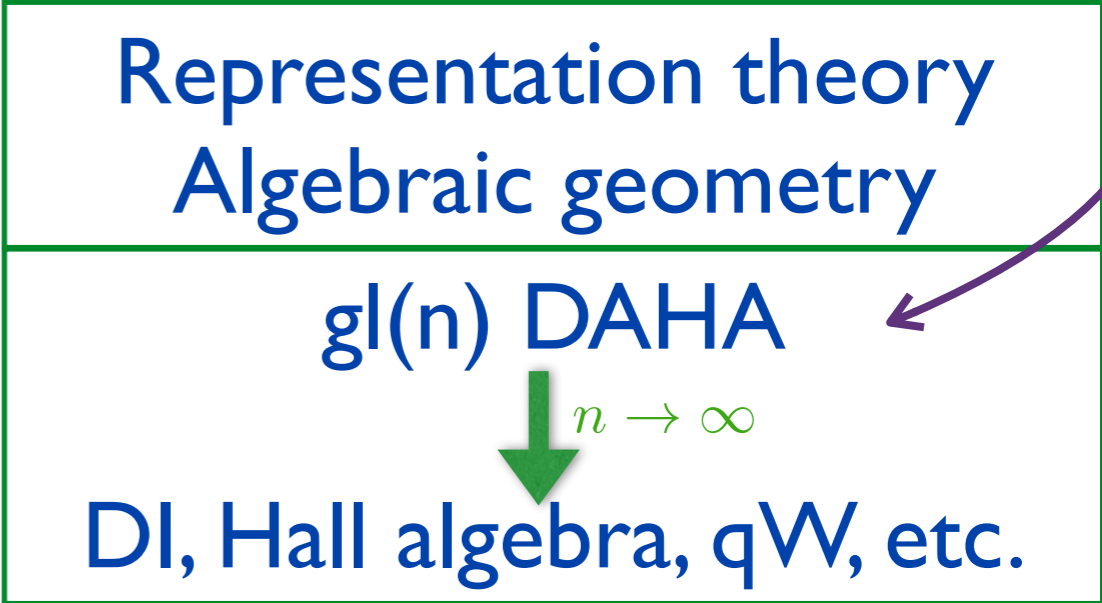
[PK Sciarappa]  
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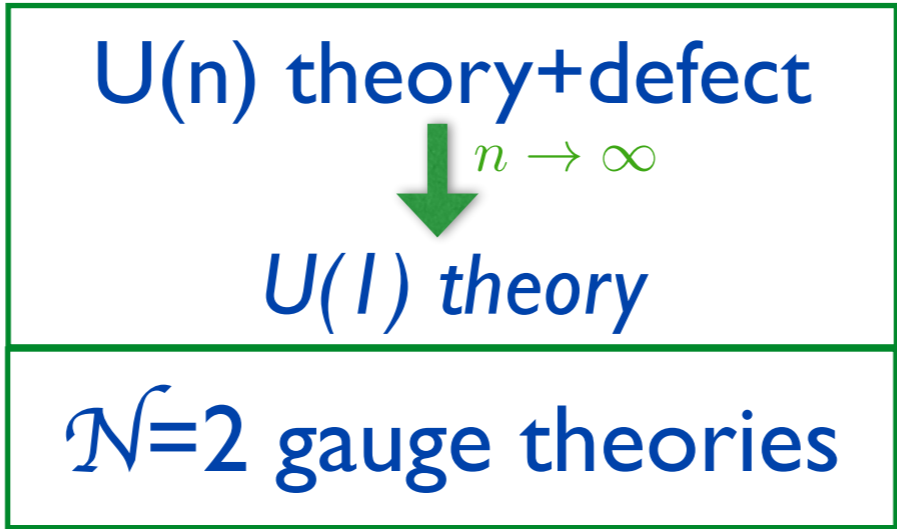
[Maulik Okounkov]  
 [PK Sciarappa]



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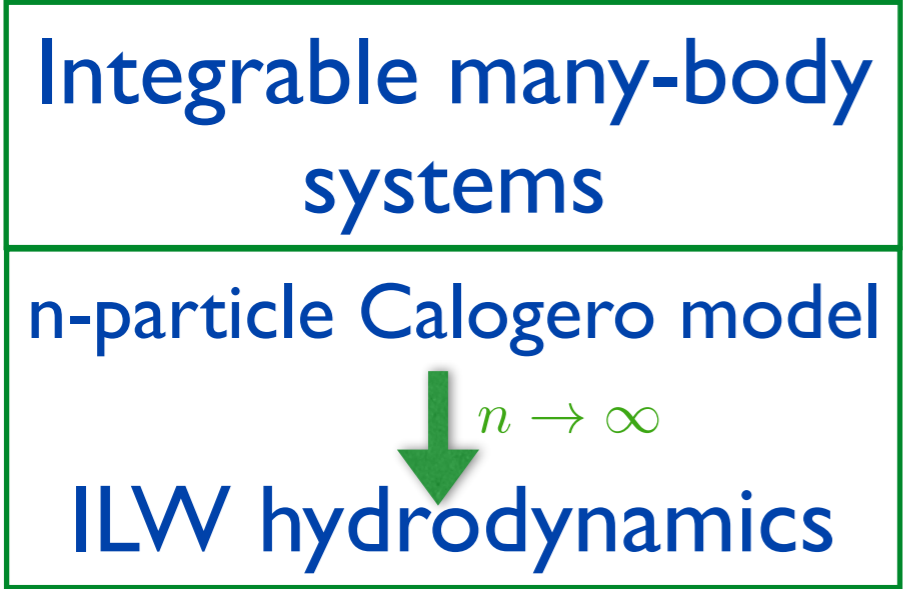




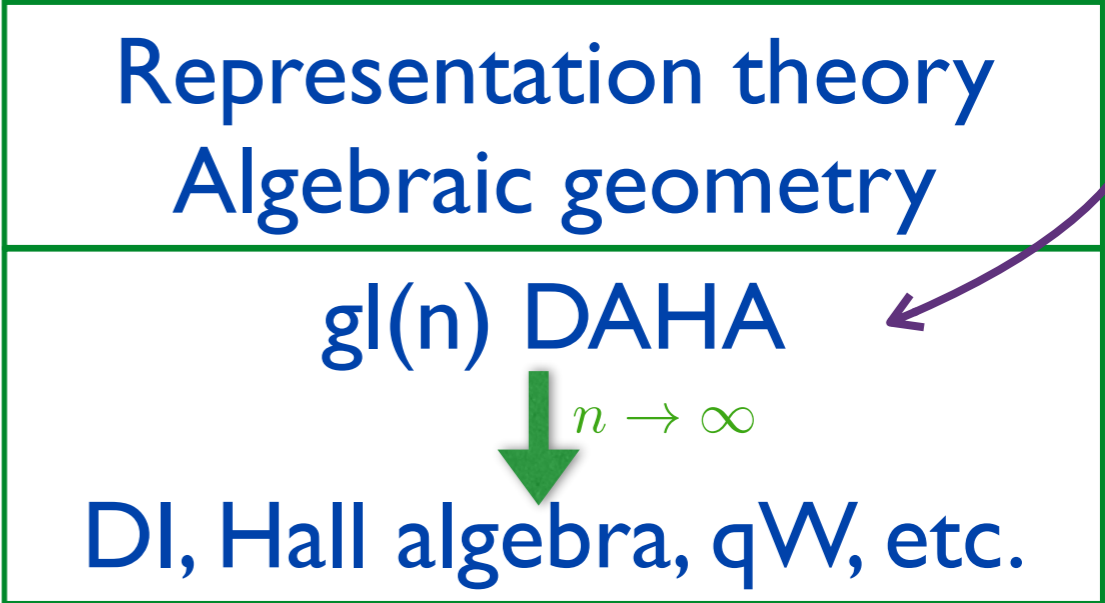
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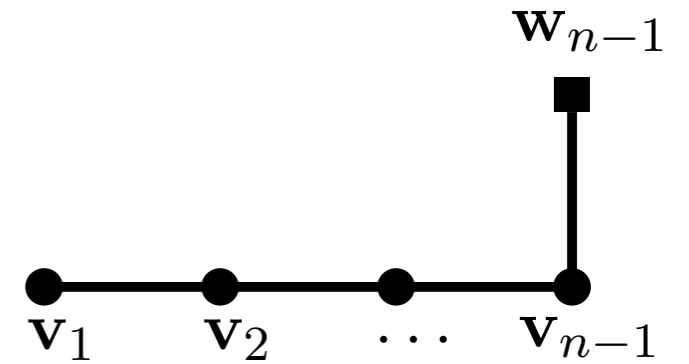
[Schiffmann Vaserot][Negut]

Large-n limits are manifest in each description!

# Classical K-theory

$\text{Rep}(\mathbf{v}, \mathbf{w})$  — linear space of quiver reps

$\mu : T^*\text{Rep}(\mathbf{v}, \mathbf{w}) \rightarrow \text{Lie}(G)^*$  moment map



Nakajima quiver variety

$$X = \mu^{-1}(0) // G$$

$$G = \prod GL(V_i)$$

Automorphism group

$$\text{Aut}(X) = \prod GL(Q_{ij}) \times \prod GL(W_i) \times \mathbb{C}_{\hbar}^{\times}$$

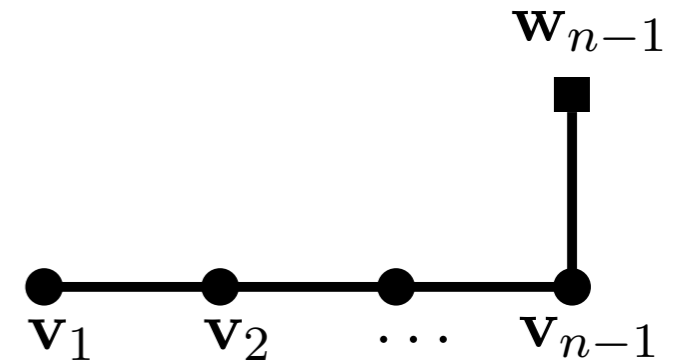
Maximal torus

$$T = \mathbb{T}(\text{Aut}(X))$$

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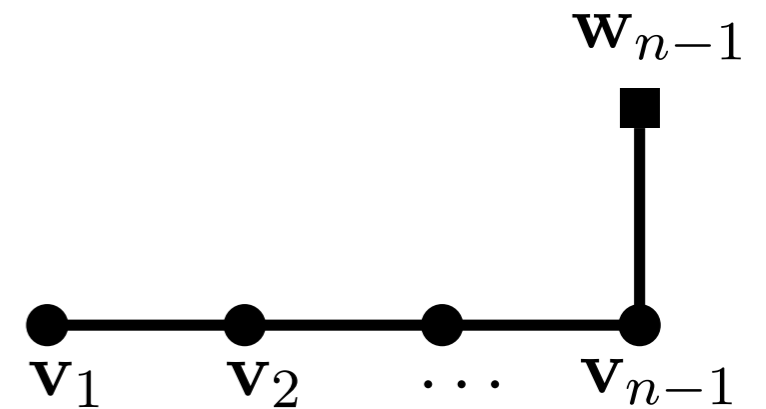
Tensorial polynomials of tautological bundles  $V_i, W_i$  and their duals generate *classical T-equivariant K-theory* ring of  $X$

# Quasimaps

Quasimap  $f : \mathcal{C} \dashrightarrow X$  is described by collection of vector bundles  $\mathcal{V}_i$  on  $\mathcal{C}$  of ranks  $\mathbf{v}_i$  with section  $f \in H^0(\mathcal{C}, \mathcal{M} \oplus \mathcal{M}^* \otimes \mathfrak{h})$  satisfying  $\mu = 0$

where  $\mathcal{M} = \sum_{i \in I} \text{Hom}(\mathcal{W}_i, \mathcal{V}_i) \oplus \sum_{i, j \in I} Q_{ij} \otimes \text{Hom}(\mathcal{V}_i, \mathcal{V}_j)$

Degree  $(\mathbf{v}_1, \dots, \mathbf{v}_{n-1})$

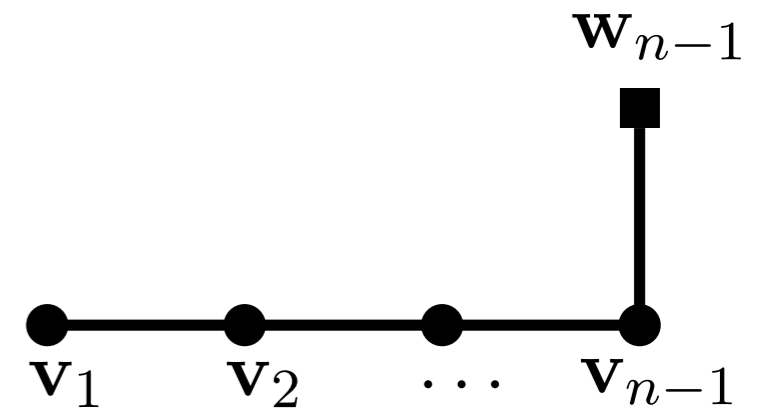


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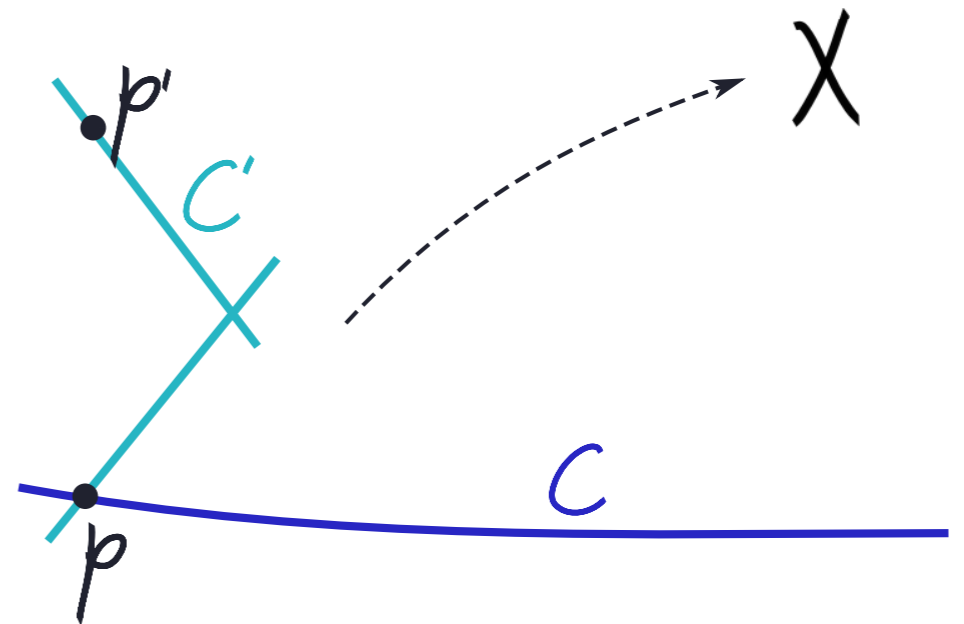
Evaluation map

$$\text{ev}_p(f) = f(p) \in [\mu^{-1}(0)/G] \supset X$$

Stable if  $f(p) \in X$

for all but finitely many singular points

Resolve to make *proper* ev map



# Vertex Function ( $g=0$ )

Spaces of quasimaps admit an action of an extra torus  $\mathbb{C}_q$  which scales the base  $\mathbb{P}^1$  keeping two fixed points

Define **vertex function** with quantum (Novikov) parameters  $z^{\mathbf{d}} = \prod_{i \in I} z_i^{d_i}$

$$V^{(\tau)}(z) = \sum_{\mathbf{d}=\vec{0}}^{\infty} z^{\mathbf{d}} \text{ev}_{p_2,*} \left( QM_{\text{nonsing } p_2}^{\mathbf{d}}, \widehat{\mathcal{O}}_{\text{vir}} \tau(\mathcal{Y}_i|_{p_1}) \right) \in K_{\mathbb{T}_q}(X)_{\text{loc}}[[z]]$$

[Okounkov]

[PK Pushkar Smirnov Zeitlin]

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[Okounkov]

[PK Pushkar Smirnov Zeitlin]

Define **quantum K-theory** as a ring with multiplication

$$A \circledast B = A \otimes B + \sum_{d=1}^{\infty} A \circledast_d B z^d$$

$$\mathcal{F} \circledast = \sum_{\mathbf{d}=\vec{0}}^{\infty} z^{\mathbf{d}} \text{ev}_{p_1,p_3,*} \left( \text{QM}_{p_1,p_2,p_3}^{\mathbf{d}}, \text{ev}_{p_2}^* (\mathbf{G}^{-1} \mathcal{F}) \hat{\mathcal{O}}_{\text{vir}} \right) \mathbf{G}^{-1} \quad \left( \overbrace{\quad}^{\mathbf{G}^{-1} \mathcal{F}} \right) \mathbf{G}^{-1}$$

gluing

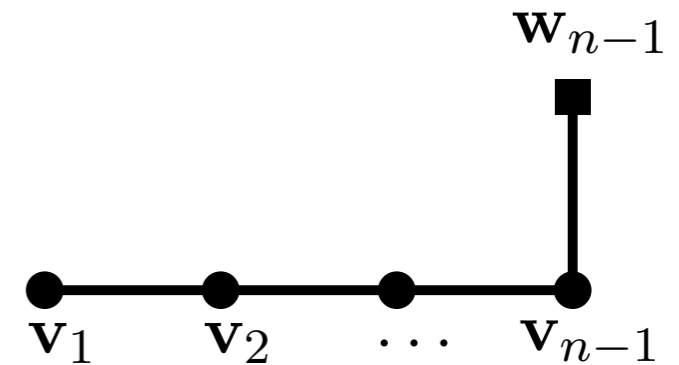
$$\mathcal{C}_0 = \mathcal{C}_{0,1} \cup_p \mathcal{C}_{0,2} \quad \text{---} = \text{---} \times \text{---} = \text{---} \rightharpoonup \mathbf{G}^{-1} \left( \text{---} \leftarrow \text{---} \right)$$

# Vertex Functions

After classifying fixed points of space of nonsingular quasimaps we can compute the vertex

$$V_{\mathbf{p}}^{(\tau)}(z) = \sum_{d_{i,j} \in C} z^{\mathbf{d}} q^{N(\mathbf{d})/2} EHG \tau(x_{i,j} q^{-d_{i,j}})$$

$$E = \prod_{i=1}^{n-1} \prod_{j,k=1}^{\mathbf{v}_i} \{x_{i,j}/x_{i,k}\}^{-1}_{d_{i,j}-d_{i,k}} \quad x_{i,j} \in \{a_1, \dots, a_{\mathbf{w}_n}\}$$



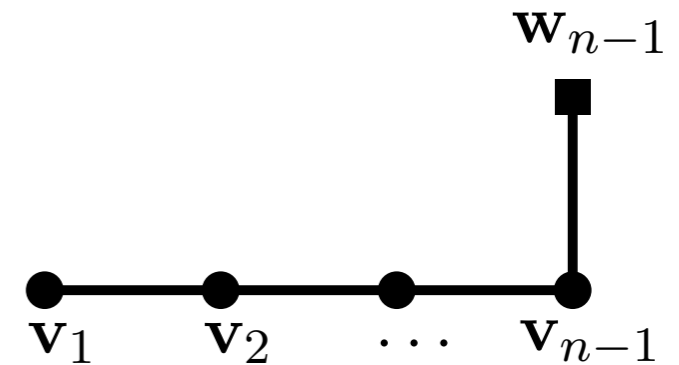


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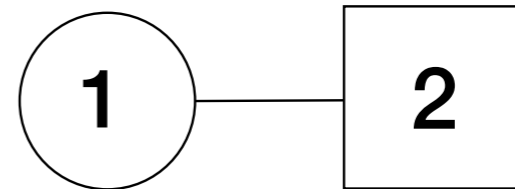
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## Vertex

$$V = {}_2\phi_1 \left( \hbar, \hbar \frac{a_1}{a_2}, q \frac{a_1}{a_2}; q; z \right)$$

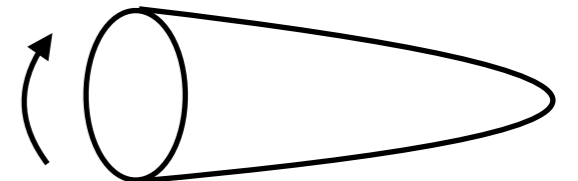
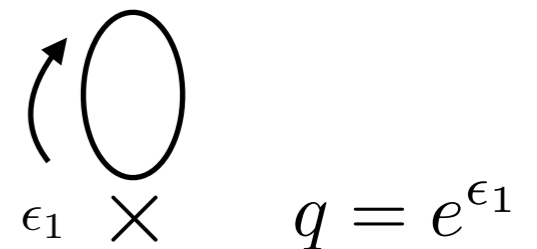


## Vortex

$\mathcal{N} = 2^*$  quiver gauge theory on  $X_3 = \mathbb{C}_{\epsilon_1} \times S^1_\gamma$

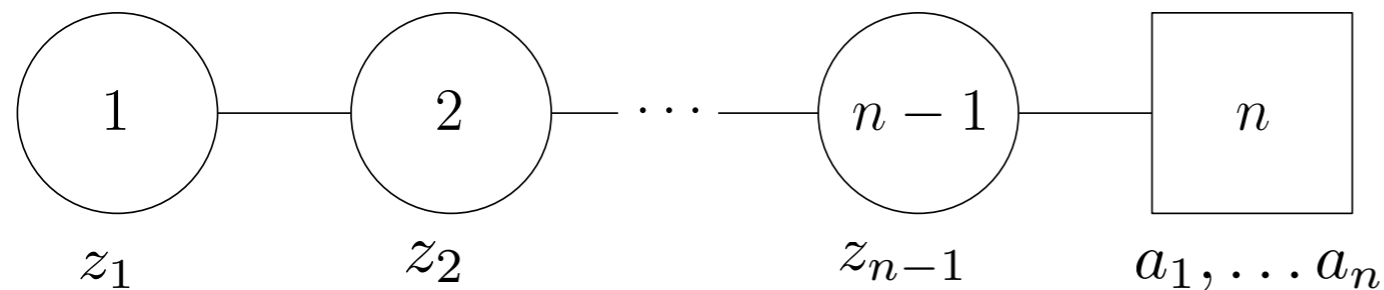
Lagrangian depends on twisted masses  $a_1, a_2$

FI parameter  $z$  and U(1) R-symmetry fugacity  $\log \hbar$



# Difference Equations

[PK Pushkar Smirnov Zeitlin]



Ring relations

$$QK_T(T^*\mathbb{F}l_n) = \frac{\mathbb{C}[z_i^{\pm 1}, a_i^{\pm 1}, \hbar, q]}{\mathcal{I}_{\text{tRS}}}$$

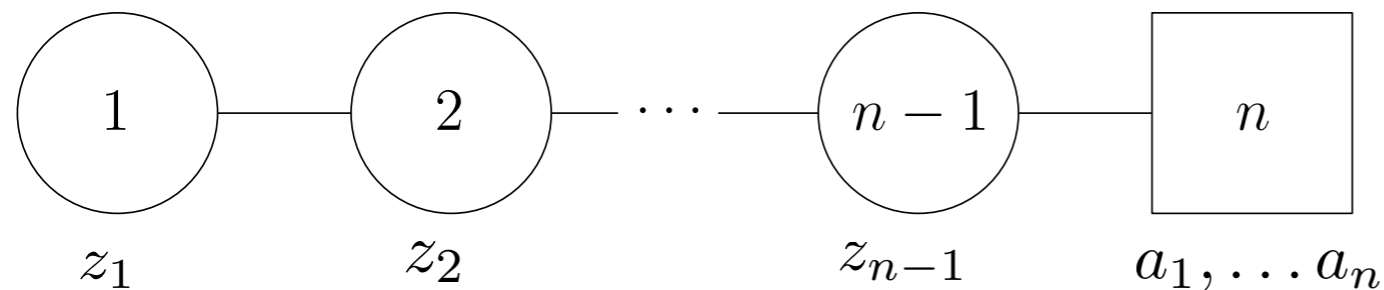
Let  $X = T^*\mathbb{F}l_n$  Then K-theory vertex function satisfies equation of motion of trigonometric Ruijsenaars-Schneider model

$$\hat{H}_d V = e_d(z_1, \dots, z_{n-1}) V$$

$$\hat{H}_d = \sum_{I \subset \{1, \dots, n\}, |I|=d} \left( \prod_{i \in I, j \notin I} \frac{a_i \hbar^{\frac{1}{2}} - a_j \hbar^{-\frac{1}{2}}}{a_i - a_j} \right) \prod_{i \in I} T_i^q$$

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3d Mirror version (a.k.a. bispectral dual)

$$\hat{H}_d^! V = e_d(a_1, \dots, a_{n-1}) V$$

$$\hat{H}_d^!(a_i, \hbar, T_a^q) = \hat{H}_d(z_i/z_{i+1}, \hbar^{-1}, T_z^q)$$

# Spherical DAHA [Satoshi's talk]

Trigonometric Ruijsenaars-Schneider Hamiltonians form a maximal commuting subalgebra inside **spherical double affine Hecke algebra for  $\mathfrak{gl}(n)$**

$$\{\hat{H}_1, \dots, \hat{H}_n\} \subset \text{DAHA}_{q, \hbar}^{\mathfrak{S}_n}(\mathfrak{gl}_n) =: \mathcal{A}_n$$

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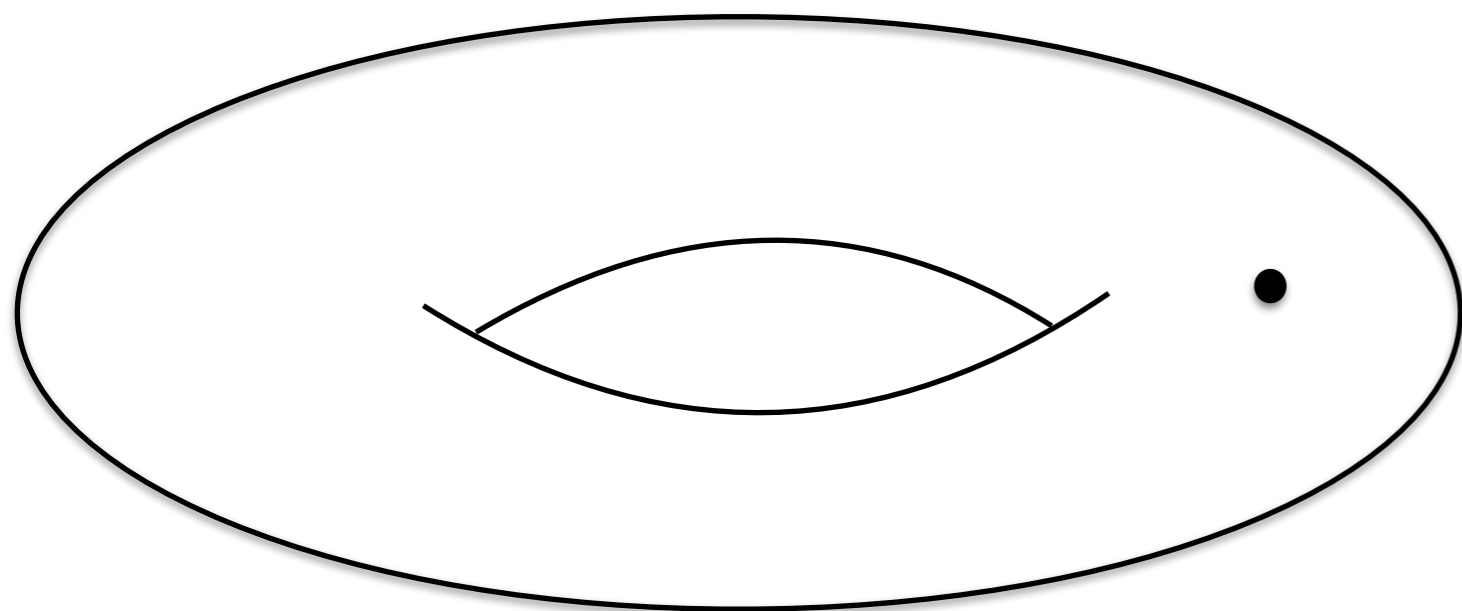
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[Oblomkov]

Spherical  $\mathfrak{gl}(n)$  DAHA is a **deformation quantization** of the moduli space of flat  $GL(n; \mathbb{C})$  connections on a torus with one simple puncture

$$\mathcal{M}_n = \{A, B, C\} / GL(n; \mathbb{C})$$



$$ABA^{-1}B^{-1} = C$$

$$C = \text{diag}(\hbar, \dots, \hbar, \hbar^{1-n})$$

$$\mathcal{A}_n = \widehat{\mathbb{C}_J[\mathcal{M}_n]}$$

# Line Operators and Branes

$\mathcal{M}_n$  is the moduli space of vacua in  $\mathcal{N}=2^*$  gauge theory on  $\mathbb{R}^3 \times S^1$  with gauge group  $U(n)$  and is described by VEVs of line operators wrapping the circle.

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**Omega background** along real 2-plane  $\mathbb{R}_q^2 \times \mathbb{R} \times S^1$

Line operators are forced to stay at the tip of the cigar and slide along the remaining line, hence **non-commutativity**

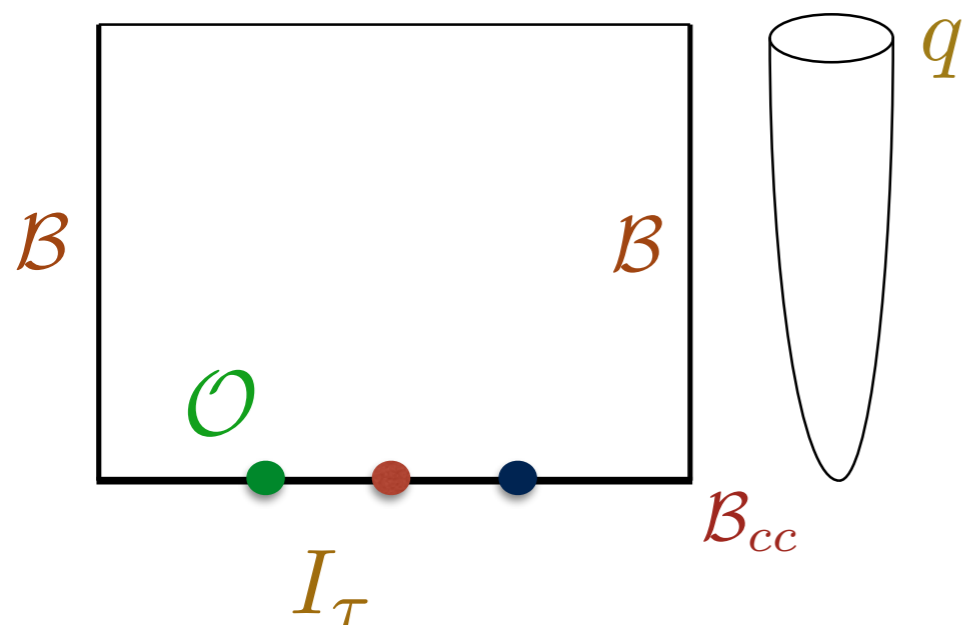
# Line Operators and Branes

$\mathcal{M}_n$  is the moduli space of vacua in  $\mathcal{N}=2^*$  gauge theory on  $\mathbb{R}^3 \times S^1$  with gauge group  $U(n)$  and is described by VEVs of line operators wrapping the circle.

$A$  and  $B$  are holonomies of *electric* and *magnetic* line operators

**Omega background** along real 2-plane  $\mathbb{R}_q^2 \times \mathbb{R} \times S^1$

Line operators are forced to stay at the tip of the cigar and slide along the remaining line, hence **non-commutativity**



algebra — open strings

$$\mathcal{A}_n = \text{Hom}(\mathcal{B}_{cc}, \mathcal{B}_{cc})$$

representations

(Hilbert space of SUSY QM)

$$\mathcal{H} = \text{Hom}(\mathcal{B}_{cc}, \mathcal{B})$$

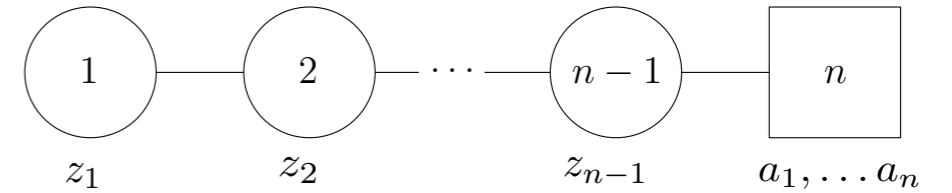
[Gukov-Witten]  
[Nekrasov-Witten]

[Satoshi's talk  
on Tuesday]



# DAHA Reps

Start with a vertex function for  $T^*F_n$



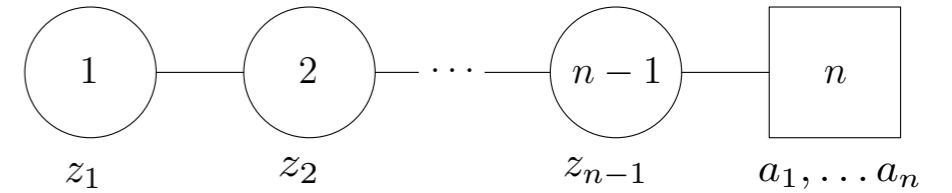
Specify equivariant parameters

$$a_k = q^{\lambda_k} \hbar^{n-k}$$

q-hypergeometric series  $\longrightarrow$  Macdonald polynomials with  $\hbar = t$

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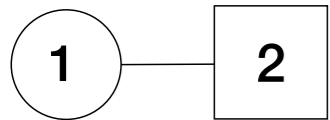


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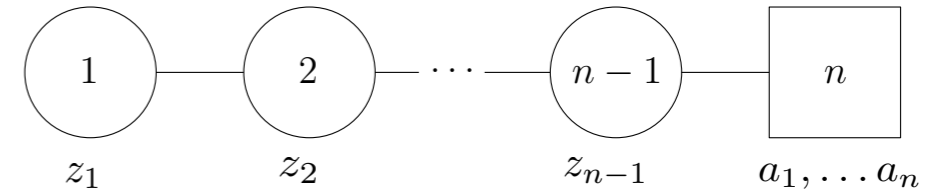


$$V(z; tq, q) = P_{(1,1)}(z|q, t)$$

$$V(z; tq^2, 1) = P_{(2,0)}(z|q, t)$$

# DAHA Reps

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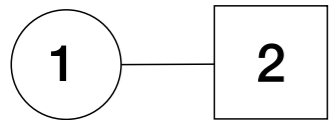


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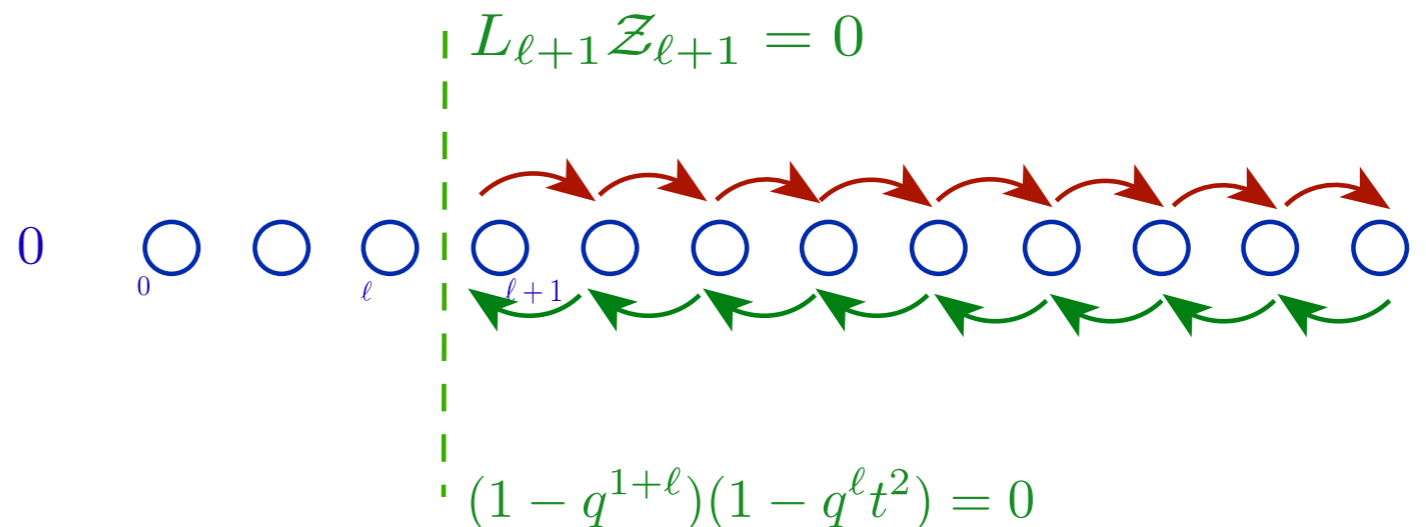
Raising and lowering operators of  $\mathfrak{sl}(2)$  DAHA

$$R_a = x + a_k^{-1} z$$

$$L_a = x + a_k z$$

$$R_a \mathcal{Z}_a = r_a \mathcal{Z}_{a+1}$$

$$L_a \mathcal{Z}_a = l_a \mathcal{Z}_{a-1}$$



# Fock Space

Change of variables

$$p_m = \sum_{l=1}^n z_l^m$$

Macdonald polynomials depend only on  $k$  and the partition

$$P_{\square\square} = \frac{1}{2}(p_1^2 - p_2), \quad P_{\begin{smallmatrix} \square \\ \square \end{smallmatrix}} = \frac{1}{2}(p_1^2 - p_2) + \frac{1 - qt}{(1 + q)(1 - t)}p_2$$

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Starting with Fock vacuum

$$|0\rangle$$

Construct Hilbert space

$$a_{-\lambda}|0\rangle \longleftrightarrow p_\lambda$$

for each partition

$$a_{-\lambda}|0\rangle = a_{-\lambda_1} \cdots a_{-\lambda_l}|0\rangle$$

Commutators

$$[a_m, a_n] = m \frac{1 - q^{|m|}}{1 - t^{|m|}} \delta_{m+n,0}$$

# DAHA Action

[PK to appear]

Vertex functions or quantum classes for  $X$  are elements of quantum K-theory of  $X$ . Equivalently we can view them as elements of equivariant K-theory of the space of quasimaps from  $\mathbb{P}^1$  to  $X$

$V \in K_T(\mathbb{P}^1 \rightarrow T^*\mathbb{F}_n)$  with maximal torus  $T = \mathbb{T}(U(n) \times U(1)_{\hbar} \times U(1)_q)$ .

Specification  $a_k = q^{\lambda_k} t^{n-k}$  restricts us to the Fock space representation of  $(q,t)$ -Heisenberg algebra which is DAHA module

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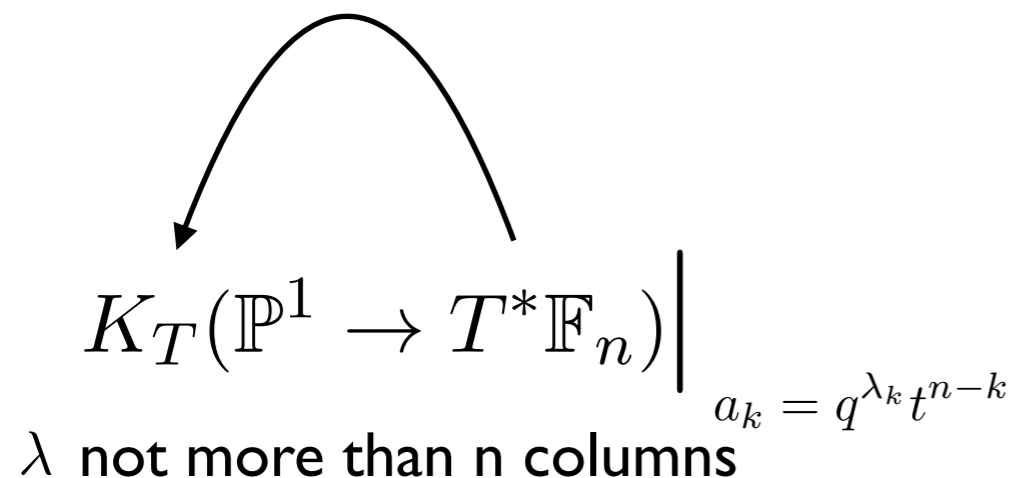
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In other words, we can define the following action

**gl(n) DAHA**



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$$\begin{array}{ccc} \begin{array}{c} \text{gl}(n) \text{ DAHA} \\ \curvearrowright \\ K_T(\mathbb{P}^1 \rightarrow T^*\mathbb{F}_n) \Big|_{\substack{\lambda \text{ not more than } n \text{ columns} \\ a_k = q^{\lambda_k} t^{n-k}}} \end{array} & \xrightarrow{n \rightarrow \infty} & \begin{array}{c} K_{q,t} \left( \bigoplus_i \mathcal{M}_{i,1}^{\text{inst}} \right) \\ \mathbb{C}[p_1, p_2, \dots] \otimes \mathbb{C}[q, t] \end{array} \end{array}$$



# DAHA Action

[PK to appear]

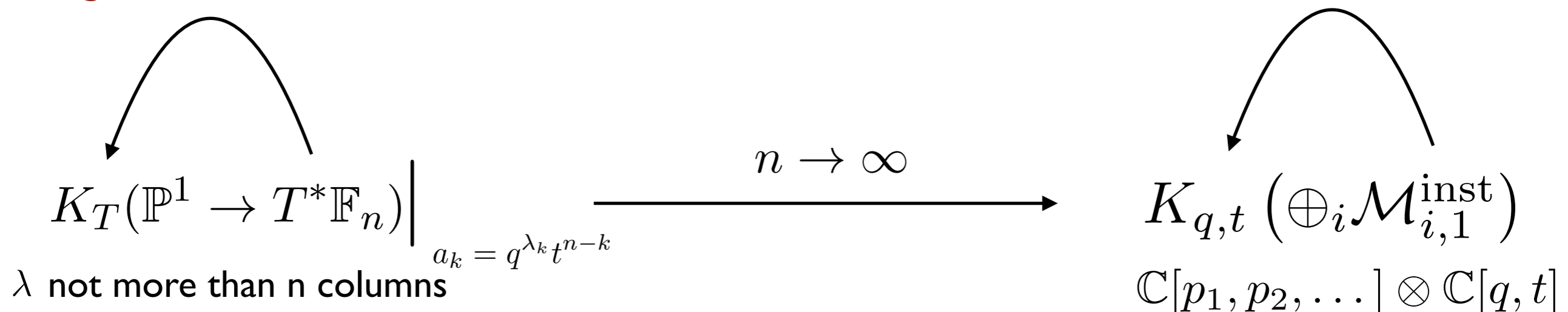
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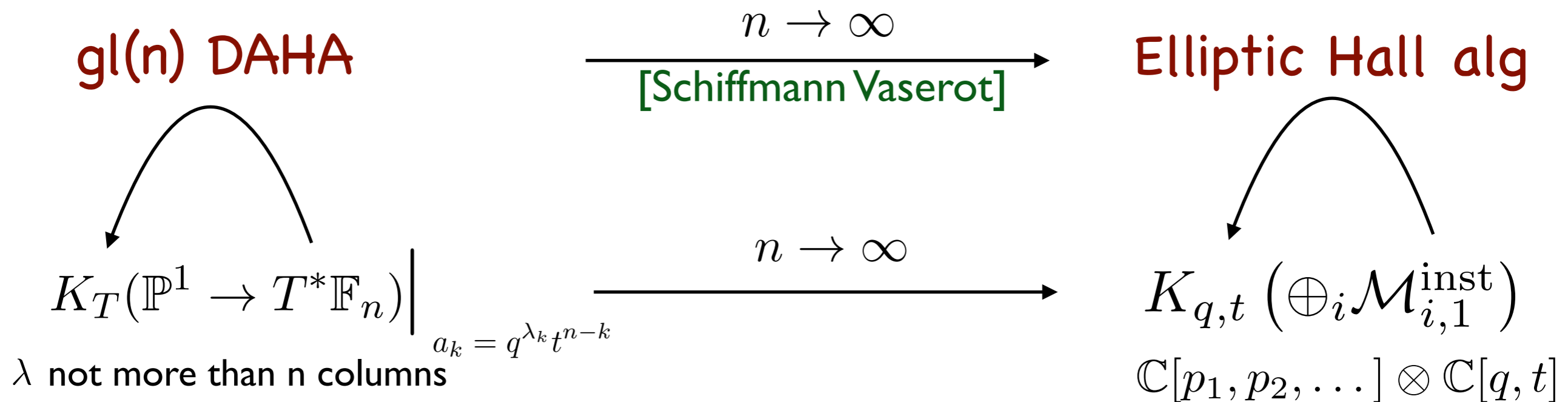
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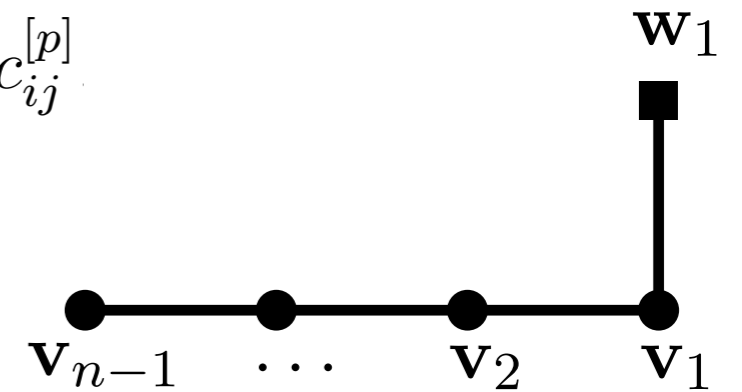


# Quiver qW-algebra

Construction of qW algebra from free-boson representation of extended Nekrasov partition function [Kimura Pestun]

$$\mathcal{Z}_{\text{Nek}} = \widehat{\mathcal{Z}}_{\text{Nek}}|0\rangle \quad [s_{i,p}, s_{j,p'}] = -\delta_{p+p',0} \frac{1}{p} \frac{1 - q_1^p}{1 - q_2^{-p}} c_{ij}^{[p]}$$

Start with quiver gauge theory on  $\mathbb{C}_{q_1} \times \mathbb{C}_{q_2} \times S^1$

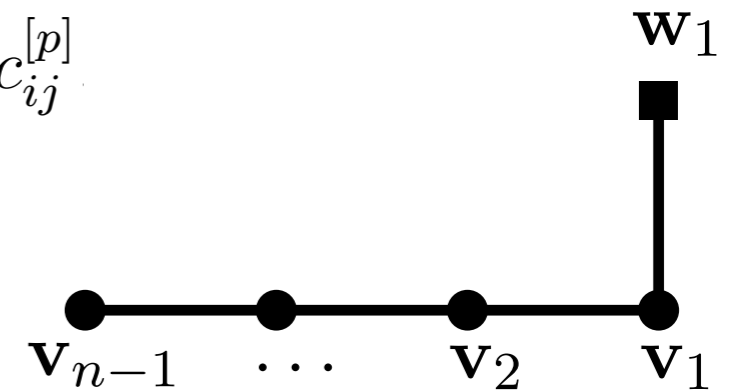


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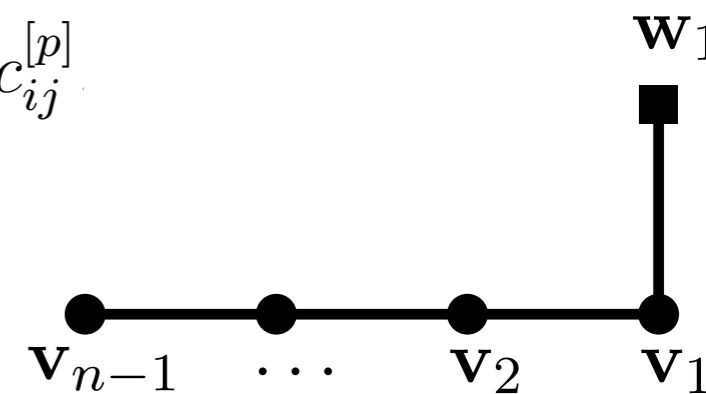
Moduli space of vacua is the space of  $A_{n-1}$  periodic monopoles with  $w_1$  Dirac singularities whose charges are given by the numbers of colors [Nekrasov Pestun Shatashvili]

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Quantization of this moduli space in carefully chosen complex structure gives qW( $q_1, q_2$ ) algebra modulo Virasoro constraints!

$$\widehat{\mathcal{C}}[\mathcal{M}_{\text{mon}}] = \frac{qW_{q_1, q_2}}{\text{Vir}(\mathbf{v}_1, \dots, \mathbf{v}_{n-1})} \quad T_{i, -k}|\psi\rangle = 0, \quad k > \mathbf{v}_i$$

Virasoro constraints can be removed by taking  $\mathbf{v}_i \rightarrow \infty$

# Gauge Origami

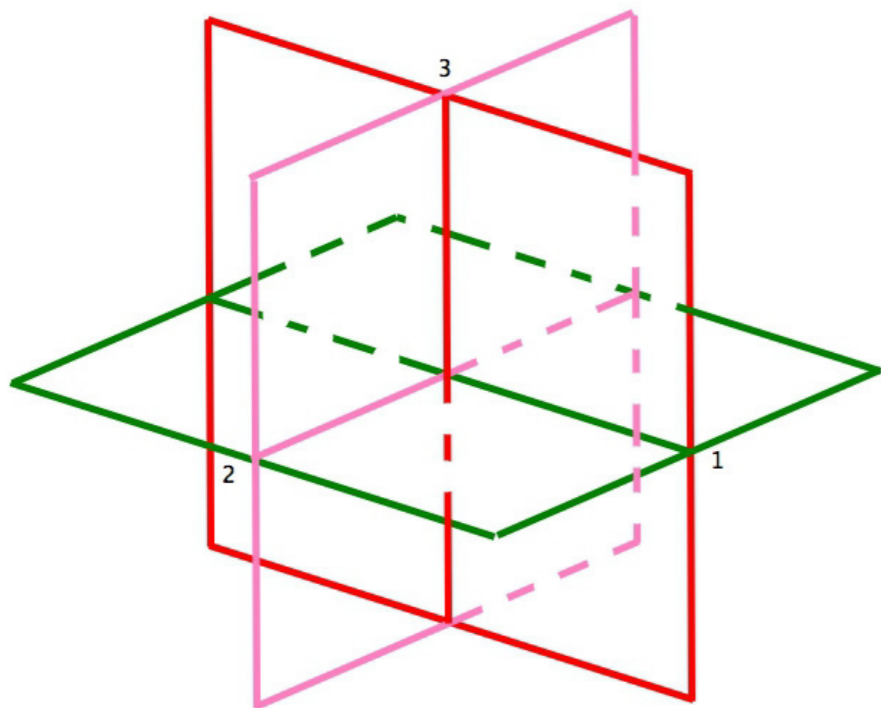
[Nekrasov]

Type IIB on Calabi-Yau 4  $\mathcal{X}_4 \times \Sigma$

singular hypersurface  $Z_2 \subset \mathcal{X}_4$

**Local model:**  $\cup_{a < b} \mathbb{C}_{ab}^2 \subset \mathbb{C}^4$

For example, when  $1 \leq a, b \leq 3$



$$\mathcal{X}_4 = \mathbb{C}_{\epsilon_1} \times \mathbb{C}_{\epsilon_2} \times \mathbb{C}_{\epsilon_3} \times \mathbb{C}_{\epsilon_4} \quad \sum_a \epsilon_a = 0$$

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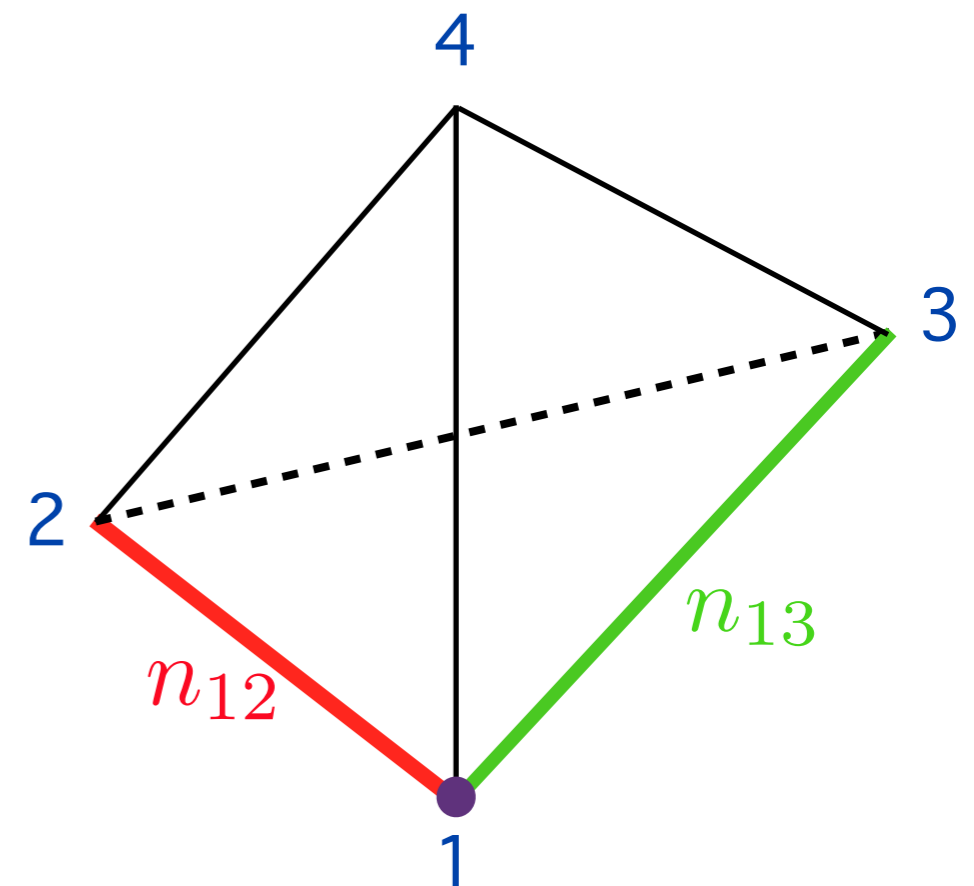
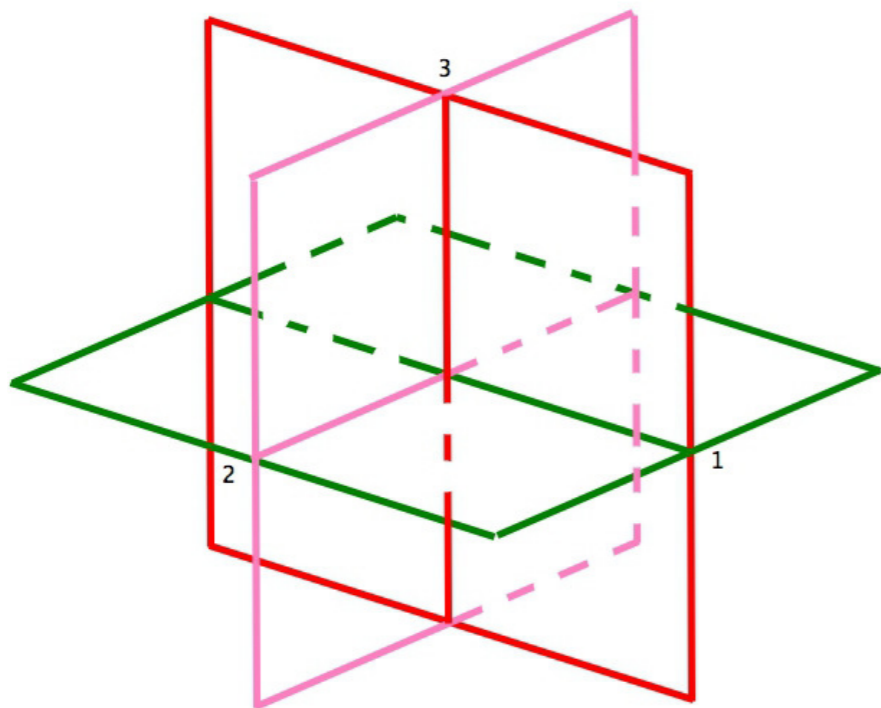
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Wrap D3 branes on  
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# Folded Instantons

Take  $n_{12} = n$ ,  $n_{13} = 2$

In the presence of  
Abelian orbifold

$$\Gamma = \text{diag}(1 \ \omega \ 1 \ \omega^{-1}) \\ \epsilon_1 \ \epsilon_2 \ \epsilon_3 \ \epsilon_4$$

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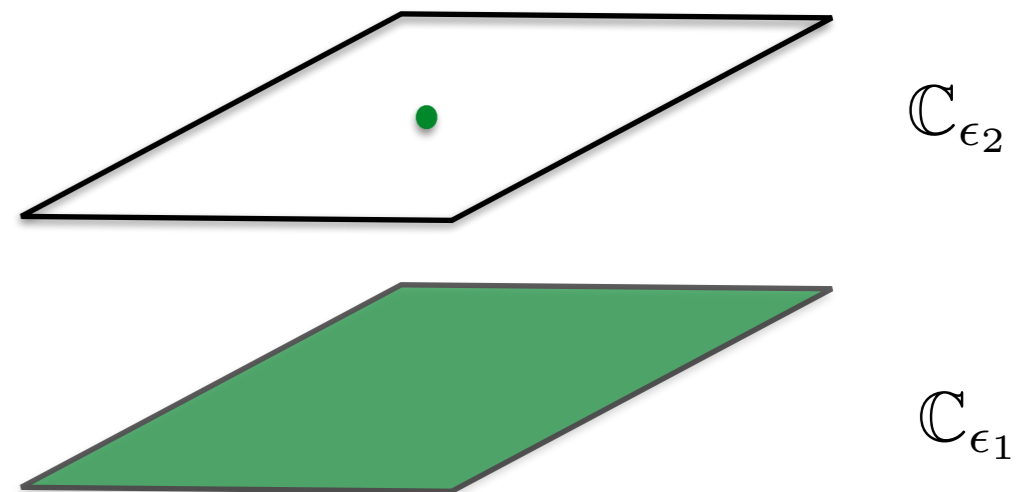
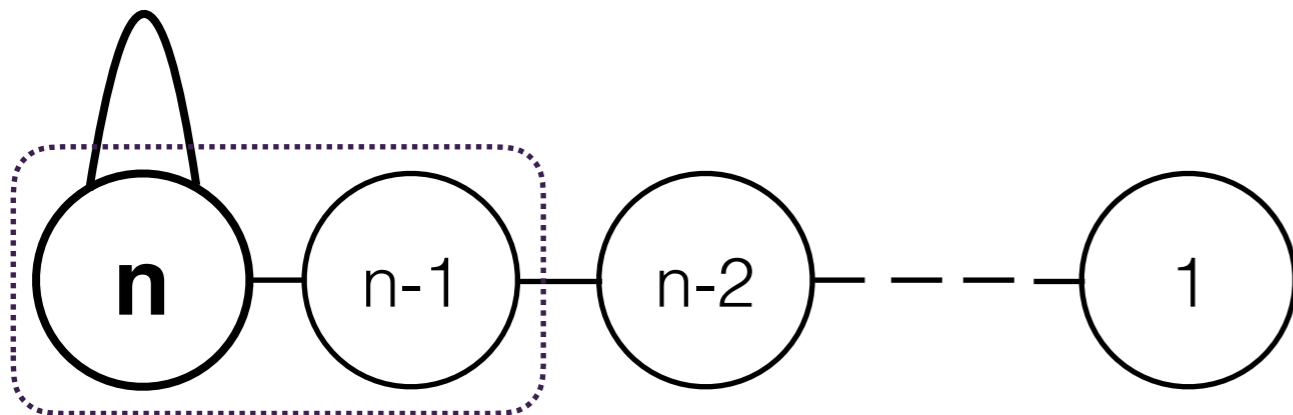
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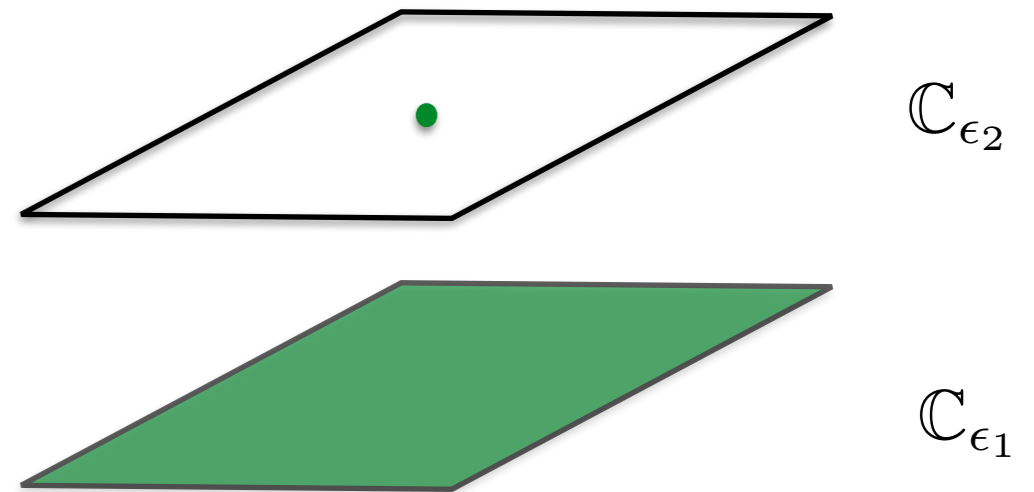
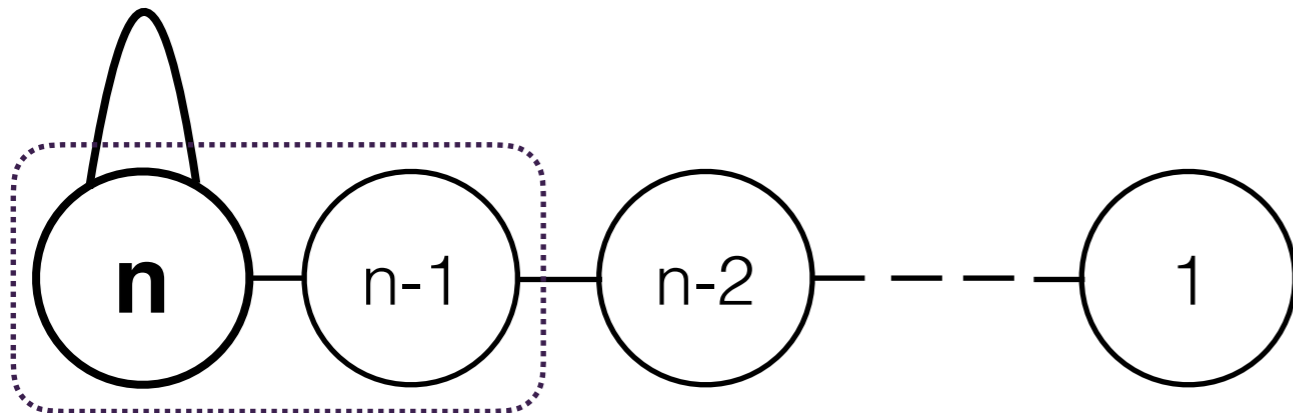
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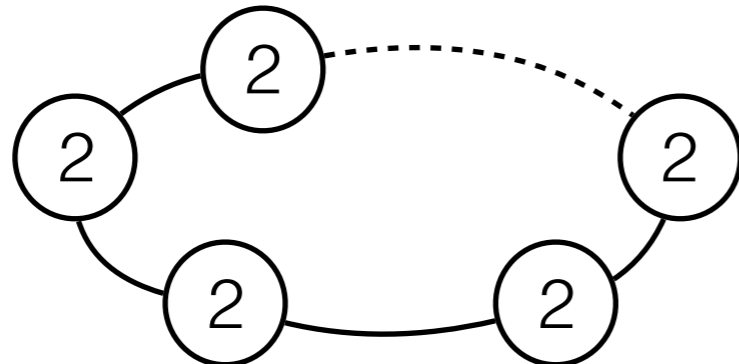
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Together with necklace quiver with  $n$   $U(2)$  gauge groups on  $\mathbb{C}_{\epsilon_1} \times \mathbb{C}_{\epsilon_3}$



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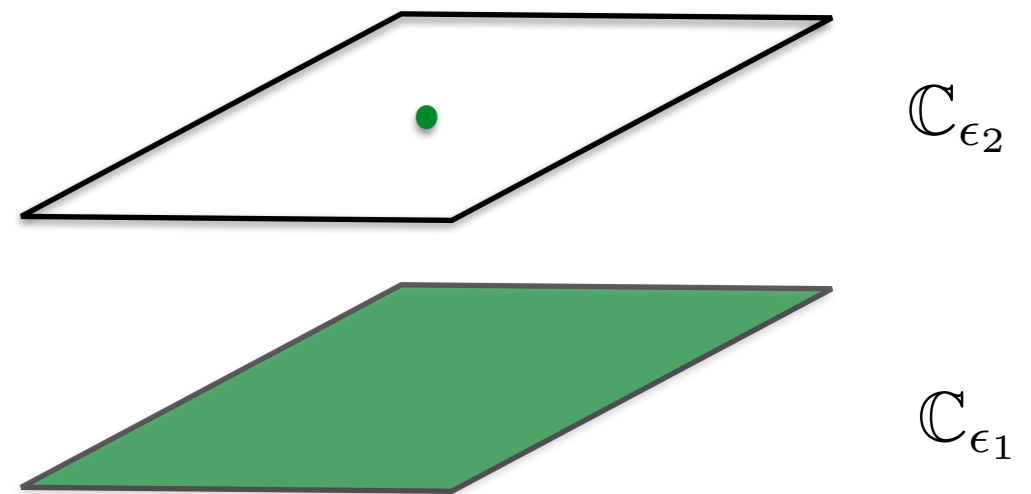
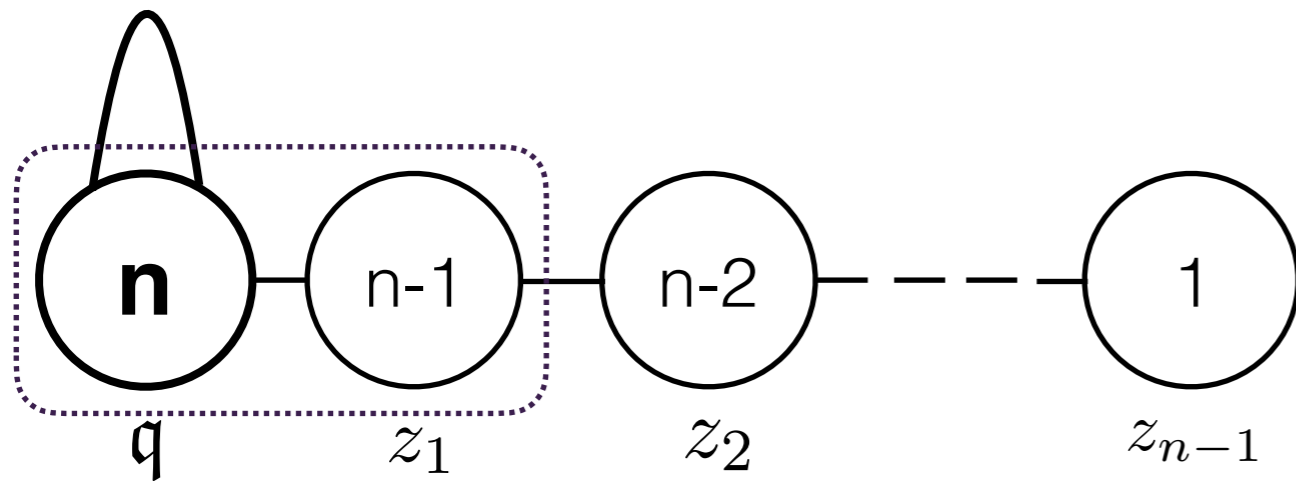
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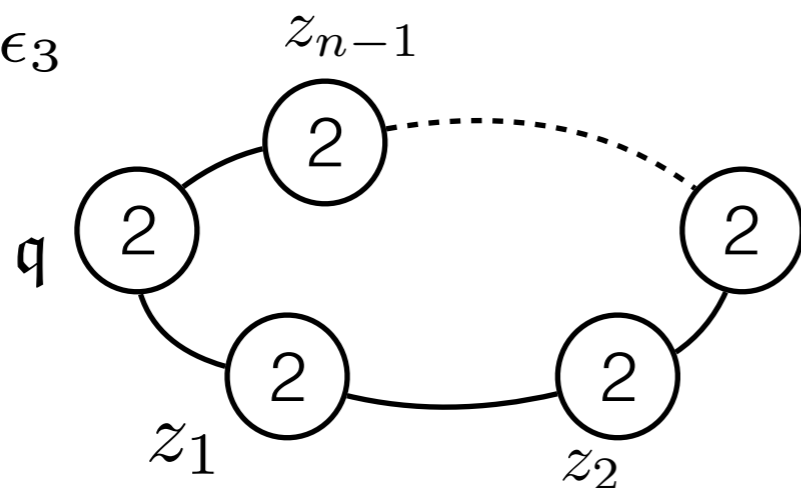
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Gauge coupling constants

# qW-algebra as large-n limit

Origami partition function combines instanton and perturbative data of both theories

$$z^\Gamma = z^{\text{pert}} \cdot \sum_\lambda \left[ \prod_{\omega \in \Gamma^\vee} q_\omega^{k_\omega} \right] \varepsilon \left[ -\tilde{T}_\lambda^\Gamma \right]$$

Taking limits  $q \rightarrow 0$ ,  $\epsilon_2 \rightarrow 0$

we get 3d quiver defect gauge theory  $T^*\text{Fl}_n$  on  $\mathbb{C}_{\epsilon_1} \times S^1$

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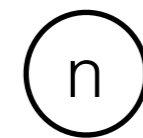
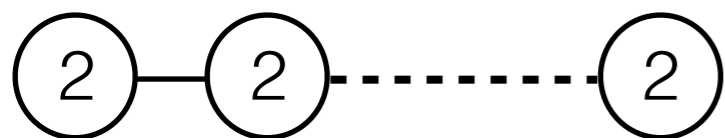
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Locus  $a_k = q_1^{\lambda_k} q_3^{n-k}$  truncates vortex functions to polynomials and simultaneously Higgses the 5d theory (truncates instanton series)

Fourier transform



$$[a_i, a_j] = \frac{1}{j} \delta_{i+j,0} \frac{1 - q_1^{|j|}}{1 - q_2^{|j|}}$$

# Elliptic Deformation

[PK Sciarappa]

If we don't take the limit  $q \rightarrow 0$  trigonometric integrable system is promoted to elliptic RS model

*eRS Hamiltonian eigenvalues coincide with eigenvalues of the quantum multiplication operator in quantum K-theory ring of the instanton moduli space (Hilbert Scheme of points).*

$$\left\langle W_{\square}^{U(n)} \right\rangle \Big|_{\lambda} \sim \mathcal{E}_1^{(\lambda)} = 1 - (1 - q)(1 - t^{-1}) \sum_s \sigma_s \Big|_{\lambda}$$

sigmas are determined by Bethe Ansatz equations for ADHM quiver

*Elliptic deformation — Quantization*

# What's next?

## **Add more equivariant parameters**

From 4 to 5 to 6 dimensions

From *cohomology* to *K-theory* to *elliptic* cohomology

What is the maximal number of parameters? 5?

## **Connection to Higgs branch approach by Beem and Rastelli**

The VOA is recovered by passing to cohomology of a BRST-like operators which respects Higgs branch

## **Higher dimensional CFTs and Higher Spin Theories by Vasiliev**

[Gopakumar Gaberdiel]

$q$ W-algebra structure was recently found in HS theories