

Question Is the Lebesgue ultrafilter on the Turing degrees Rudin-Keisler below Martin measure?

Goal of this talk: Explain what this question means and why it's interesting.

Martin's Conjecture  
and  
Ultrafilters on the  
Turing Degrees

# ① Martin's Conjecture

What operations on the Turing degrees can you think of?

$x \mapsto c$  constant functions

$x \mapsto x$  identity

$x \mapsto x'$  jump

$x \mapsto x''$  double jump

⋮

$x \mapsto x^{(\omega)}$   $\omega$ -jump

$x \mapsto \mathcal{O}^x$  hyperjump

⋮

Motivating question: Is this everything? Are we missing any fundamental operations on the Turing degrees?

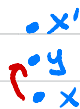
Naïve conjecture: For all functions  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$ , either  $f(x) \leq_T x$  for all  $x$  or  $f(x) \geq_T x'$  for all  $x$

↪ **Totally false!**

Naive conjecture: For all functions  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$ , either  $f(x) \leq_T x$  for all  $x$  or  $f(x) \geq_T x'$  for all  $x$

Counterexample 1: By the Kleene-Post theorem, for each  $x$  we can pick some  $y$  such that  $x <_T y <_T x'$ . Mapping each  $x$  to such a  $y$  gives a function strictly in-between the identity & the jump

↳ Requires AC



Counterexample 2: Let  $a$  be some fixed Turing degree. Define

$$f(x) = \begin{cases} x & \text{if } x \not\geq_T a \\ x' & \text{if } x \geq_T a \end{cases}$$

Sometimes equal to the identity, sometimes equal to the jump

↳ Equal to the jump once you get above  $a$ .

Martin's Conjecture: ① Replace AC with AD ← Axiom of Determinacy  
② Look at behavior of functions "in the limit"



# ①.1 What Does "In the Limit" Mean?

Def A **cone** of Turing degrees is a set of the form

$$\downarrow y \quad \{x \mid x \geq_T y\} \text{ for some fixed } y$$

$\uparrow$  Cone(y)

Def If  $f, g: \mathcal{D}_T \rightarrow \mathcal{D}_T$ :

$$\begin{array}{lll} f \leq_M g & \text{means} & f(x) \leq_T g(x) \text{ on a cone} \\ f \equiv_M g & \text{means} & f(x) \equiv_T g(x) \text{ on a cone} \end{array}$$

$\Leftrightarrow f \leq_M g \text{ and } g \leq_M f$

"Martin order" and "Martin equivalence"

" $f = g$  in the limit" = " $f \equiv_M g$ "

Example Let  $f(x) = x \oplus 0'$ .  
agree on  $\text{Cone}(0')$

Then  $f \equiv_M \text{id}$  because they



## ①.2 The Axiom of Determinacy: Why?

- AD says that in certain types of games, one player always has a winning strategy
- Contradicts AC
- Equiconsistent with a certain large cardinal principle

Implies many nice regularity properties for sets of reals

↳ Every set of reals is Lebesgue measurable, has the property of Baire, etc.

## ①.2 The Axiom of Determinacy : Why?

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**ZFC**



**ZF**



**ZF + AD**

## ①.2 The Axiom of Determinacy : Why?

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Why use it?

- ① Consistency result → Like using CH,  $V=L$ , MA, etc.
- ② Borel determinacy provable in ZF  
Determinacy for  $L(\mathbb{R})$  provable from large cardinals
- ③ It's useful!

Disclaimer: We will sometimes use  $AD_{\mathbb{R}}$ , a strengthening of AD

### ①.3 The Axiom of Determinacy: How?

Thm (Martin's cone theorem) AD implies that every set of Turing degrees either contains a cone or is disjoint from a cone.

Not disjoint from a cone =  $\forall x \exists y \geq_T x \quad y \in A$   
↳ "cofinal"

Thm restated:  $A \subseteq \mathcal{D}_T$  cofinal  $\Rightarrow A$  contains a cone

Example (Jump inversion via Nuclear flyswatter) Every large enough degree is the jump of something

pf: Want to show

$$A = \{x \mid \exists y \quad y' = x\}$$

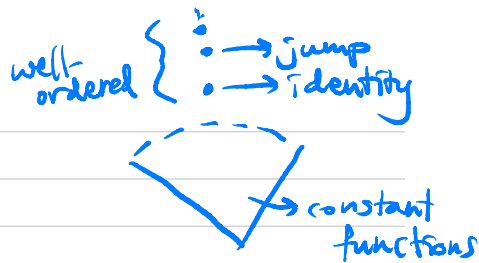
contains a cone. By determinacy, enough to show it's cofinal.

Let  $x \in \mathcal{D}_T$ . Then  $x'$  is above  $x$  and in  $A$ .  $\square$

↳ Kind of silly. Friedberg jump inversion shows  $A = \text{Cone}(0')$



# ①.4 Statement of Martin's Conjecture



Conjecture (Martin) Assume  $ZF + AD$

① Every function  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$  is either

- Martin equivalent to a constant function  
 $f(x)$  constant on a cone
- Martin above the identity  
 $f(x) \geq_T x$  on a cone

② Functions which are above the identity are prewellordered by  $\leq_M$  and the successor in this order is given by the jump  
Successor of  $f$  is  $x \mapsto f(x)'$

Disclaimer: Not the usual statement. Equivalent under  $AD_{\mathbb{R}}$

## ② Some Results on Part 1 of Martin's Conjecture

Thm (Slaman-Steel) Part 1 of Martin's conjecture holds for all **regressive functions** on the Turing degrees

Thm (L.-Siskind) Part 1 of Martin's conjecture holds for all **measure preserving functions** on the Turing degrees

Def A function  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$  is **regressive** if  $f(x) \leq_T x$  for all  $x$  on a cone  
"f is computable"

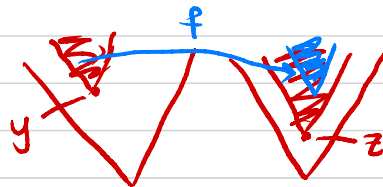
under AD<sub>R</sub>



Def A function  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$  is **measure preserving** if for all  $z$ , there is some  $y$  such that

$$x \geq_T y \Rightarrow f(x) \geq_T z$$

"f eventually gets above z"  
"f is going to infinity in the limit"



### ③ Martin measure

Def Martin measure, denoted  $U_M$ , is the collection of subsets of  $\mathcal{D}_T$  such that

$$A \in U_M \iff A \text{ contains a cone}$$

"A is big if A contains a cone"

Thm (Martin's cone thm, restated)  $U_M$  is an ultrafilter

Actually, a countably complete ultrafilter

$$\begin{aligned} \text{Cone}(x) \cap \text{Cone}(y) &= \text{Cone}(x \oplus y) \\ \bigcap \text{Cone}(x_i) &\supseteq \text{Cone}(\bigoplus x_i) \end{aligned}$$

$$"f \leq_M g" = f(x) \leq_T g(x) \text{ } U_M\text{-almost everywhere}$$

$$"f \equiv_M g" = f(x) = g(x) \text{ } U_M\text{-almost everywhere}$$



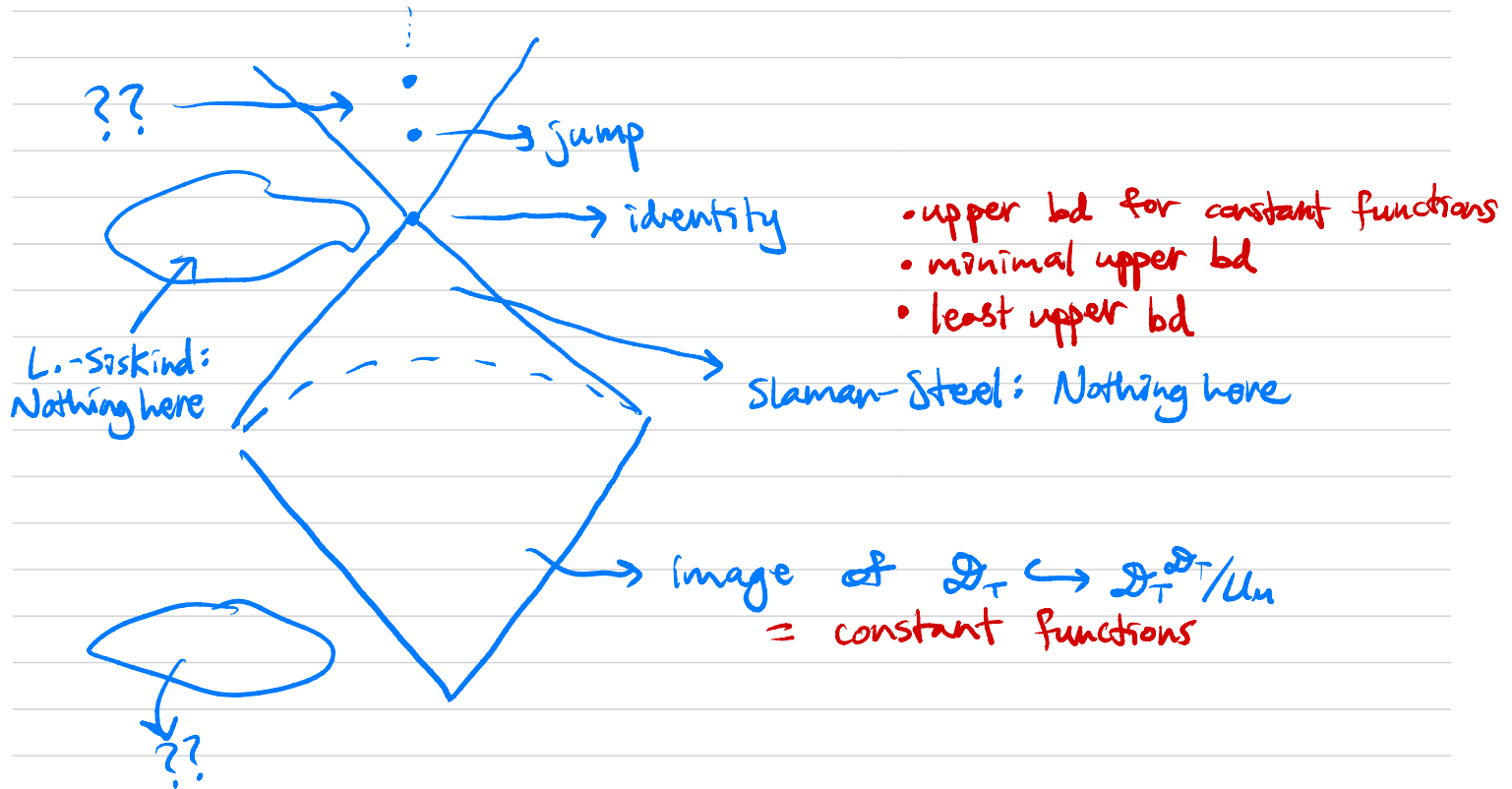
## ④ Ultrapower by the Martin Measure

If we have an ultrafilter, we can take ultrapowers

Ultrapower of  $\mathcal{D}_T$  by  $U_M$ :

- ①  $f : \mathcal{D}_T \rightarrow \mathcal{D}_T$  = representative of an element of  $\mathcal{D}_T^{\mathcal{D}_T} / U_M$
- ②  $f \equiv_M g$  =  $[f]_{U_M} = [g]_{U_M}$
- ③  $f \leq_M g$  =  $[f]_{U_M} \leq_T [g]_{U_M}$
- ④  $f$  measure preserving =  $[f]_{U_M}$  is above the image of  $\mathcal{D}_T \hookrightarrow \mathcal{D}_T^{\mathcal{D}_T} / U_M$

A picture of  $\mathcal{D}_T^{\mathcal{D}_T}/U_M$ :



- upper bd for constant functions
- minimal upper bd
- least upper bd

## ⑤ The Pushforward of an Ultrafilter

Def If  $\mathcal{U}$  is an ultrafilter on a set  $X$  and  $f: X \rightarrow Y$  then the **pushforward of  $\mathcal{U}$  along  $f$** , written  $f_*(\mathcal{U})$ , is the ultrafilter on  $Y$  defined by

$$A \in f_*(\mathcal{U}) \iff f^{-1}(A) \in \mathcal{U}$$

$$\begin{array}{ccc} \mathcal{U} & \rightsquigarrow & f_*(\mathcal{U}) \\ X & \xrightarrow{f} & Y \end{array}$$

Example If  $f: X \rightarrow Y$  is constant on a set in  $\mathcal{U}$  then  $f_*(\mathcal{U})$  is principal

Example The pushforward of Martin measure along  $x \mapsto \omega_1^x$  gives a countably complete ultrafilter on  $\omega_1$   
Hence AD  $\Rightarrow \omega_1$  is a measurable cardinal!

Note:  $f: X \rightarrow Y$  induces an embedding  $M^Y / f_*(\mathcal{U}) \hookrightarrow M^X / \mathcal{U}$   
 $[g]_{f_*(\mathcal{U})} \mapsto [g \circ f]_{\mathcal{U}}$

Def A function  $f: X \rightarrow X$  is **measure preserving** for an ultrafilter  $\mathcal{U}$  on  $X$  if

$$f_*(\mathcal{U}) = \mathcal{U} \Leftrightarrow f(A) \in \mathcal{U} \text{ for all } A \in \mathcal{U}$$

Fact  $f: \mathcal{D}_T \rightarrow \mathcal{D}_T$  is measure preserving if and only if it is measure preserving for Martin measure

## ⑥ The Rudin - Keisler Order

Def If  $U$  and  $V$  are ultrafilters on a set  $X$

$U \leq_{RK} V$  means there is  $f: X \rightarrow X$  s.t.  $f_* (V) = U$   
*seems backwards. But remember  $f_* (V) = U \Rightarrow M^X/U \hookrightarrow M^X/V$*

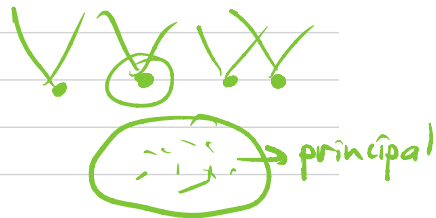
$U \equiv_{RK} V$  means  $U \leq_{RK} V$  and  $V \leq_{RK} U$   
*↳ not the usual definition*

$\leq_{RK}$  is a quasi order on the set of ultrafilters on  $X$

Example If  $U$  is a principal ultrafilter, it is  $\leq_{RK}$  all other ultrafilters

Example  $\leq_{RK}$ -minimal nonprincipal ultrafilters

- On  $\omega$ : the Ramsey ultrafilters
- On  $K$ : the normal ultrafilters



Thm (Part 1 of Martin's conjecture for measure preserving functions, restated)

Part 1 of  
Martin's conjecture



IF  $U$  is a nonprincipal ultrafilter on  $\mathcal{D}_T$  s.t.  
 $U \leq_{RK} U_M$  then  $U = U_M$

$\hookrightarrow U_M$  is  $\leq_{RK}$ -minimal among nonprincipal ultrafilters on  $\mathcal{D}_T$   
AND nothing else is  $\leq_{RK}$  to  $U_M$

proof 3 cases

①  $f_*(U_M)$  principal  $\Leftrightarrow f$  constant on a cone

②  $f_*(U_M) = U_M \Leftrightarrow f$  measure preserving  $\Leftrightarrow f \geq_M \text{id}$

③  $f_*(U_M) \neq U_M$  & nonprincipal  $\Rightarrow f_*(U_M) \leq_{RK} U_M$

This suggests: Try to prove part 1 of Martin's conjecture by looking at  $\leq_{RK}$  on the Turing degrees

- Use ideas from set theory

- Just prove  $UM$  is  $\leq_{RK}$  minimal or just prove nothing is  $\equiv_{RK}$  to it

- Look at specific ultrafilters on  $\mathcal{D}_T$  and try to show they are not below  $UM$

## ⑦ The Lebesgue Ultrafilter

Def  $x, y \in 2^\omega$  are called **tail-equivalent** if they agree on all but finitely many positions

Example

$$\begin{aligned} x &= 00000000\dots \\ y &= 10100000\dots \end{aligned}$$

→ Note: if  $x$  &  $y$  tail equivalent then  $x \equiv_{\tau} y$

Thm (Kolmogorov 0-1 Law) If  $A \subseteq 2^\omega$  is Lebesgue measurable & closed under tail equivalence then either  $A$  has measure 0 or measure 1

Thm AD  $\Rightarrow$  Every subset of  $2^\omega$  is Lebesgue measurable

Cor Lebesgue measure induces an ultrafilter on  $\mathcal{D}_T$ !

$$A \in \mathcal{U}_L \Leftrightarrow \{x \mid \text{deg}_T(x) \in A\} \text{ has measure 1}$$

Question: Is  $\mathcal{U}_L \leq_{RK} \mathcal{U}_M$ ?

What we can show:  $\mathcal{U}_M \not\leq_{RK} \mathcal{U}_L$  ( $\Rightarrow \mathcal{U}_M \not\leq_{RK} \mathcal{U}_L$ )



Thm  $\mathcal{U}_M \neq \mathcal{R}_K \mathcal{U}_L$

Lemma (ZF+AD) Any  $f: 2^\omega \rightarrow \omega_1$  is constant on a set of positive measure

proof Suppose not.

$$\Rightarrow \forall \alpha \mu(f^{-1}(\alpha)) = 0$$

$$\Rightarrow \forall \alpha \mu(\{x \mid f(x) \leq \alpha\}) = \sum_{\beta \leq \alpha} \mu(f^{-1}(\beta)) = 0$$

$$B = \{(x, y) \mid f(x) \leq f(y)\}$$

By Fubini,

$$\mu(B) = \int \mu(\{x \mid (x, y) \in B\}) dy \stackrel{=0}{=} \int \mu(\{y \mid (x, y) \in B\}) dx \stackrel{=1}{=} \int \mu(\{y \mid f(x) < f(y)\}) dx$$

$\downarrow$   
 $\{x \mid f(x) < f(y)\}$   
measure 0

$\parallel$   
 $\{y \mid f(x) < f(y)\}$   
measure 1

Thm  $U_M \neq_{RK} U_L$

Lemma (ZF+AD) Any  $f: 2^\omega \rightarrow \omega_1$  is constant on a set of positive measure

proof of thm Suppose  $f_*(U_L) = U_M$

Consider  $2^\omega \rightarrow \omega_1$   
 $x \mapsto \omega_1^{f(\text{deg}_T(x))}$

Constant on a set of positive measure

$\Rightarrow$  Constant on a set of measure 1

$\Rightarrow \exists A \in U_L$  s.t.  $\forall x \in A \ \omega_1^{f(x)} = \alpha$

$\Rightarrow f(A)$  disjoint from a cone

$\Rightarrow f_*(U_L) \neq U_M$

## ⑧ Questions

Question: Is  $U_L$  strictly below  $U_M$ ?

Thm (Marks)  $U_L <_{RK} U_M \Leftrightarrow \exists f: 2^\omega \rightarrow 2^\omega$  Turing invariant  
Assuming AD<sub>R</sub>  $f(x)$  is  $x$ -random for all  $x$

Question: Is  $U_M$  SRK-maximal among ultrafilters  
on  $\mathcal{D}_T$ ?