

Lossless expansion

and

Joint work with  
Jan Grebik

Measure

Hyperfiniteness

# ① Countable Borel equivalence relations (CBER)

$X, Y$  standard Borel spaces

$E, F$  equivalence relations on  $X, Y$

$E$  is a CBER

$E \subseteq X \times X$  is Borel

All equivalence classes countable

Borel  
reducible  $\rightarrow$

$E \leq_B F \iff \exists f: X \rightarrow Y$  Borel s.t.  $x E y \Rightarrow f(x) F f(y)$

## Examples

①  $\Delta_X =$  equality on  $X$       $x \sim y \Leftrightarrow x = y$

②  $\Delta_{\mathbb{N}} \equiv_B$  any CBER with countably many classes

③  $E_0 =$  eventual equality on  $2^{\mathbb{N}}$

$f \sim g \Leftrightarrow \exists N \forall n \geq N \quad f(n) = g(n)$

# ①.1 Picture of CBERs

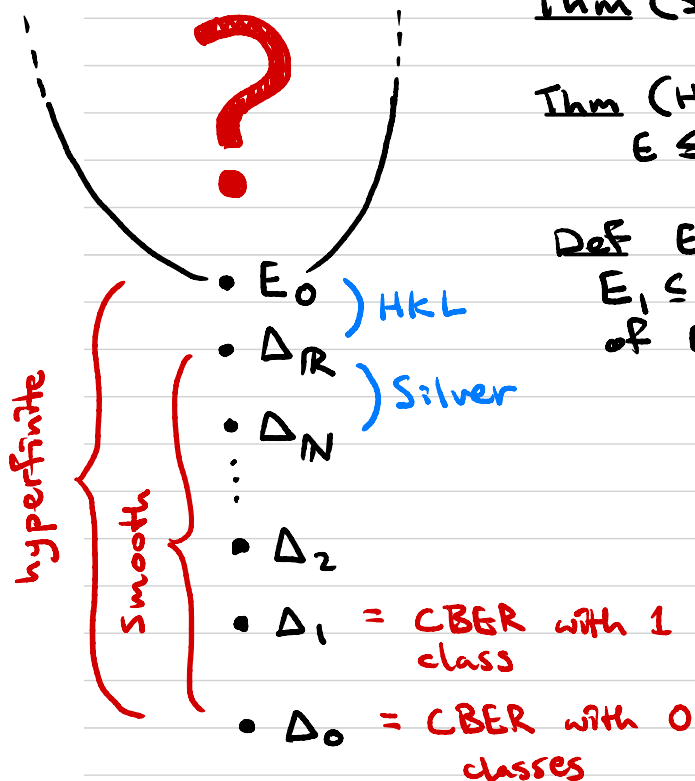
Thm (Silver) Either  $E \leq_B \Delta_N$  or  $\Delta_R \leq_B E$

Thm (Harrington-Kechris-Louveau) Either  $E \leq_B \Delta_R$  or  $E_0 \leq_B E$

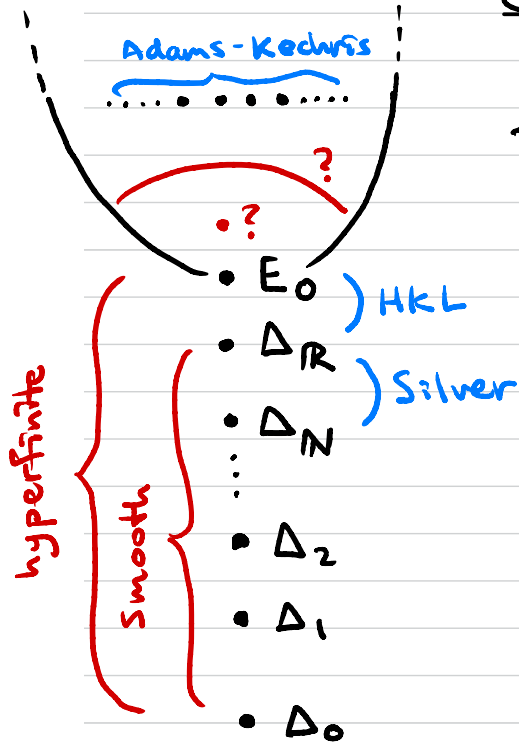
Def  $E$  is **hyperfinite** if there are  $E_1 \leq E_2 \leq \dots$  s.t. all equivalence classes of  $E_n$  are finite &  $E = \bigcup_n E_n$

Thm (Dougherty-Jackson-Kechris)  
 $E$  hyperfinite  $\Leftrightarrow E \leq_B E_0$

Question What is the structure of non-hyperfinite CBERs?



# ①.1 Picture of CBERs



Question What is the structure of non-hyperfinite CBERs?

Thm (Adams-Kechris) There is an uncountable antichain of CBERs  $\rightarrow$  Actually much more

## Questions

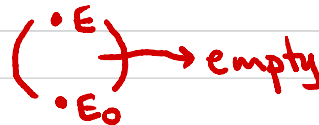
① More dichotomy theorems?

$E >_B E_0$  s.t.  $\forall F (F \leq_B E_0 \text{ or } E \leq_B F)$



② Successor of  $E_0$ ?

$E >_B E_0$  s.t.  $F <_B E \Rightarrow F \leq_B E_0$ ?





## ② Measure reducibility

Comment All known proofs of  $E \not\leq_B F$  use measure theory

for  $E, F$  non-smooth

Idea Study  $\leq_B$  up to measure zero

$\mu$  Borel probability measure on  $X$

$E \leq_\mu F \iff \exists A \subseteq X$  s.t.  $\mu(A) = 1$  and  $E|_A \leq_B F$

$E \leq_M F \iff$  For all  $\mu$ ,  $E \leq_\mu F$

$E$  is  $\mu$ -hyperfinite  $\iff \exists A \subseteq X$  s.t.  $\mu(A) = 1$  and  $E|_A$  hyperfinite

$E$  is measure hyperfinite  $\iff$  For all  $\mu$ ,  $E$  is  $\mu$ -hyperfinite

Comment  $E$  measure hyperfinite  $\iff E \leq_M E_0$



## ②.1 Conley and Miller's results

Question More dichotomy theorems?

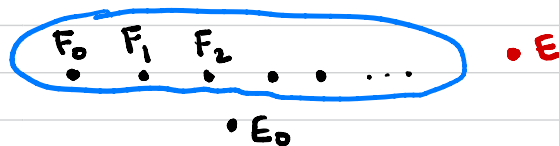
$$E \not\leq_M E_0 \text{ s.t. } \forall F (F \not\leq_M E_0 \text{ or } E \not\leq_M F)$$

Thm (Conley-Miller) No countable base for non-measure-hyperfinite CBERs under  $\leq_M$

I.e. for any  $\langle F_n \rangle_{n \in \mathbb{N}}$  non-measure-hyperfinite,  
 $\exists E$  s.t. for all  $n$ ,  $F_n \not\leq_M E$

$\Rightarrow$  No dichotomy thm

The point Any further dichotomy cannot use measure theory



Question Successor of  $E_0$ ?

$$E \not\leq_M E_0 \text{ s.t. } F \not\leq_M E \Rightarrow F \leq_M E_0?$$

This talk Probably yes.

## ②.2 Successors of $E_0$

Question Successor of  $E_0$ ?

$$E \succ_M E_0 \text{ s.t. } F \prec_M E \Rightarrow F \leq_M E_0?$$

Thm (Conley-Miller) Suppose  $\lambda$  is a measure s.t.

①  $E$  is not  $\lambda$ -hyperfinite

② For all  $\mu \perp \lambda$ ,  $E$  is  $\mu$ -hyperfinite

Then  $E$  is a successor of  $E_0$  for  $\leq_M$

$$\rightarrow \exists A \quad \begin{aligned} \mu(A) &= 1 \\ \lambda(A) &= 0 \end{aligned}$$

This talk (me + Jan Grebik):

① A combinatorial condition that implies Conley & Miller's condition  $\rightarrow$  lossless expansion

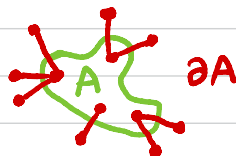
② Two plausible candidates for this combinatorial condition

### ③ Lossless expansion

$G = (V, E)$  finite  $d$ -regular graph

$A \subseteq V$

$\partial A = \{e \mid \text{one endpoint of } e \text{ in } A, \text{ one in } V-A\}$



Def (Edge expansion)  $h(G) = \min_{|A| \leq |V|/2} \frac{|\partial A|}{|A|}$

$h(G)$  large  $\Rightarrow$  hard to trap a random walk in a set  $A$

Comment Random  $d$ -regular graph has high expansion  
 $h(G) \approx d/2$

Comment average degree of  $A = d - |\partial A|/|A|$

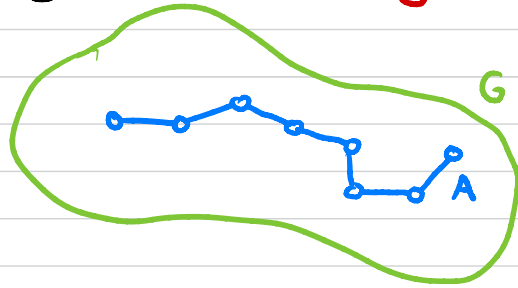
(Informal) Def  $G$  is a lossless expander if very small subsets of  $G$  have almost optimal expansion

$\rightarrow$  average degree  $\leq 2 + \epsilon$   
 $|\partial A|/|A| \geq d - 2 - \epsilon$

(Informal) Def  $G$  is a **lossless expander** if very small subsets of  $G$  have **almost optimal expansion**  $\rightarrow$  average degree  $\leq 2 + \epsilon$

Question Why  $\leq 2 + \epsilon$ ? Why not  $\leq 1 + \epsilon$ ?

Answer



average degree  
of  $A$ :  $2 - \epsilon$

(Non-standard) Def A family of  $d$ -regular graphs  $G_0, G_1, \dots$  is a **lossless expanding family** if for all  $\epsilon > 0$  there is  $\delta > 0$  and  $N$  s.t.

$$n \geq N, A \subseteq V(G_n), |A| \leq \delta |V(G_n)| \Rightarrow \text{average deg. of } A \leq 2 + \epsilon$$

(Non-standard)

Def A family of  $d$ -regular graphs  $G_0, G_1, \dots$  is a **lossless expanding family** if for all  $\epsilon > 0$  there is  $\delta > 0$  and  $N$  s.t.

$$n \geq N, \quad A \subseteq V(G_n), \quad |A| \leq \delta |V(G_n)| \quad \Rightarrow \quad \text{average deg. of } A \leq 2 + \epsilon$$

Example  $G_n =$  random  $d$ -regular graph on  $n$  vertices

w.h.p.  $G_0, G_1, \dots$  is a lossless expander

Recently: Lossless expanders used to construct good quantum error-correcting codes

#### ④ Lossless expansion in Borel graphs

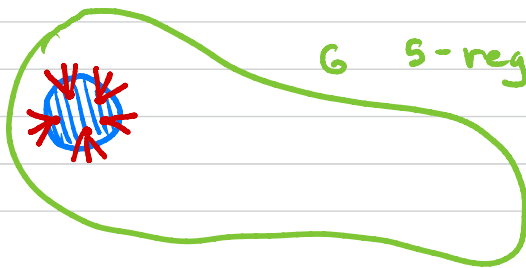
$G$   $d$ -regular Borel graph on  $X$   
 $\lambda$  Borel probability measure on  $X$

$$\deg_A(x) = |\{y \in A \mid (x,y) \in E(G)\}|$$
$$\text{avg deg}_\lambda(A) = \int_A \deg_A(x) d\lambda$$

}  $A \subseteq X$  Borel

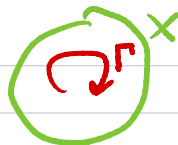
Def  $G$  is a  $\lambda$ -lossless expander if for all  $\varepsilon > 0$  there is  $\delta > 0$  such that  $\lambda(A) \leq \delta \Rightarrow \text{avg deg}_\lambda(A) \leq 2 + \varepsilon$

Comment  $N(A) = A \cup \{y \mid \exists x \in A (x,y) \in E(G)\}$   
 $\text{avg deg}_\lambda(A) \leq 2 + \varepsilon \Rightarrow \lambda(N(A)) \geq (d - 1 - \varepsilon)\lambda(A)$



$G$   $d$ -regular  $\leadsto$  most vertices in  $A$  have  $\geq 3$  neighbors outside  $A$

## ④.1 Lossless expansion and successors of $E_0$



- $X$  compact Polish space with fixed metric
- $\Gamma$  finitely-generated non-amenable group acting freely on  $X$
- $G$  Schreier graph of  $\Gamma \curvearrowright X$
- $\lambda$   $\Gamma$ -invariant probability measure on  $X$  s.t.  $\text{supp}(\lambda) = X$
- $E$  orbit equivalence relation of  $\Gamma \curvearrowright X$

Thm (Grebig-L.) If  $\Gamma$  acts by isometries and  $G$  is a  $\lambda$ -lossless expander then  $E$  is a successor of  $E_0$  for  $\leq_M$

Idea  $\Gamma$  non-amenable, acts freely  $\Rightarrow$  not  $\lambda$ -hyperfinite  
 $\mu \perp \lambda$ ,  $\lambda$ -lossless expander  $\Rightarrow$   $\mu$ -hyperfinite

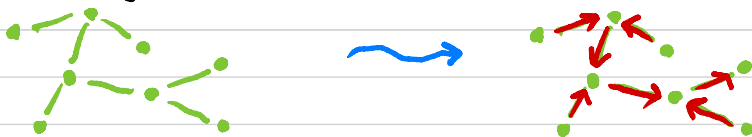
To finish, apply Conley & Milner's thm



## ④.2 Proving hyperfiniteness

Two useful ideas when proving  $\mu$ -hyperfiniteness

- ① Def An undirected Borel graph  $G$  is **orientable** if its edges can be directed such that each vertex has out degree at most 1



Thm (Dougherty-Jackson-Kechris) If  $G$  is <sup>Borel</sup> orientable then the associated equivalence relation is hyperfinite

- ② To show  $E$  is  $\mu$ -hyperfinite, it is **enough** to show that for all  $\varepsilon > 0$  there is  $A$  s.t.  $\mu(A) \geq 1 - \varepsilon$  and  $E|_A$  is hyperfinite

↳ Essentially Dye-Krieger

(Because we can assume  $\mu$  quasi-invariant)

### ④.3 Proof sketch

Thm (Grebik-L.) If  $\Gamma$  acts by isometries and  $G$  is a  $\lambda$ -lossless expander then  $E$  is a successor of  $E_0$  for  $\leq_M$

Fix  $\mu \perp \lambda$ ,  $\varepsilon > 0$

Goal: Find  $A \subseteq X$  s.t. ①  $\mu(A) \geq 1 - \varepsilon$   
②  $G|_A$  Borel orientable

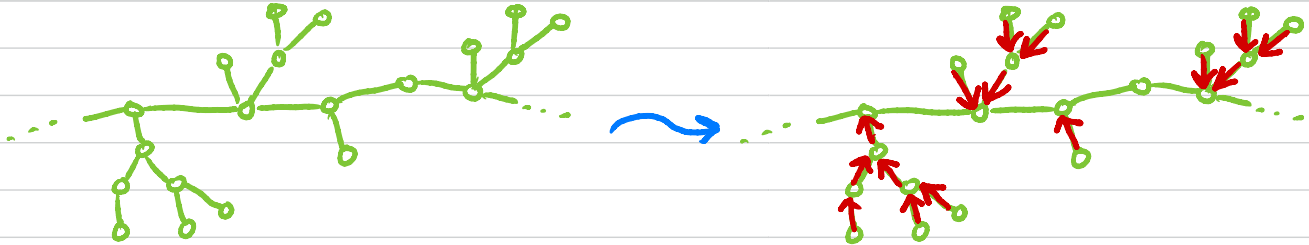
Iterative process: On each step, delete a small number of vertices & orient some edges

To ensure  $\mu(A) \geq 1 - \varepsilon$ : On each step, many more edges oriented than vertices deleted

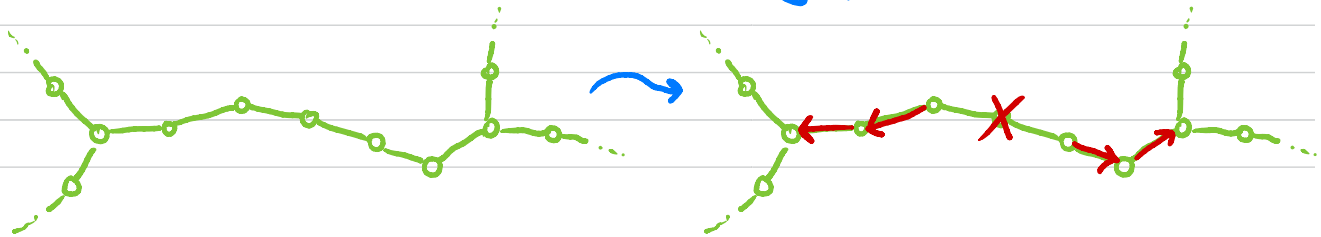
Iterative process: On each step, delete a small number of vertices & orient some edges

Each step:

Phase 1 Iteratively orient degree 1 vertices



Phase 2 Cut & orient long paths



Iterative process: On each step, delete a small number of vertices & orient some edges

Claim 1: This produces an orientation

We only orient an edge away from a vertex when the vertex has degree 1

Claim 2: We never get stuck

There is always either a deg. 1 vertex or a long path

If not, get a set with high average degree and  $\chi$ -measure 0

Contradicts lossless expansion

→ all vertices deg.  $\geq 2$ , lots of high deg. vertices

→ After taking  $\delta$ -thickening for some small enough  $\delta$

## ⑤ Candidates

Thm (Grebig-L.) If  $\Gamma$  acts by isometries and  $G$  is a  $\lambda$ -lossless expander then  $E$  is a successor of  $E_0$  for  $\leq_M$

Question Does this ever actually happen?

Two candidates:

① Random rotations of  $S^2$

② Limit of sequence of finite graphs

## ⑤.1 Random rotations of $S^2$

Pick two rotations  $\gamma_0, \gamma_1 \in SO(3)$

$$\Gamma = \langle \gamma_0, \gamma_1 \rangle$$

$$X = S^2$$

$\lambda =$  Lebesgue measure



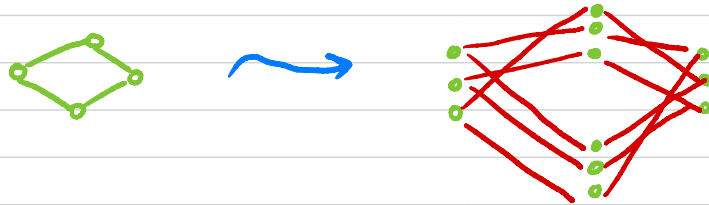
Fact If we pick two rotations of  $S^2$  at random then with probability 1, they generate a free subgroup of  $SO(3)$

Bourgain-Gamburd: Many examples of 2 rotations which generate expander graphs  $\rightsquigarrow$  but not necessarily lossless expanders

## ⑤.2 Limit of finite graphs

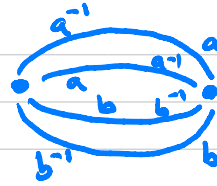
Def Given a finite graph  $G$ , a  **$k$ -lift**  $G' \rightarrow G$  is a graph formed from  $G$  by:

- ① Replace every vertex  $u$  of  $G$  by  $k$  vertices  $u_1, \dots, u_k$
- ② Replace every edge  $(u, v)$  of  $G$  by a matching of  $\{u_1, \dots, u_k\}$  &  $\{v_1, \dots, v_k\}$



If matchings are chosen randomly,  $G'$  is a **random  $k$ -lift** of  $G$

Idea ① Start with  $G_0 =$



Note:  $\mathbb{F}_2$  acts on  $G_0$

② Form  $G_0 \leftarrow G_1 \leftarrow G_2 \leftarrow \dots$   
random  $k_0$ -lift      random  $k_1$ -lift      ...

$k_0, k_1, k_2, \dots$  fast-growing sequence

③  $G = \varinjlim G_n$

Note:  $\mathbb{F}_2$  acts on  $G$ , freely w/ prob. 1

④  $\lambda =$  natural measure on  $G =$  limit of counting measures on  $G_n$

Some evidence  $G$  is a  $\lambda$ -lossless expander