

# Solutions

7.4.2.a Since the matrix is given in Jordan canonical form, it's immediate that  $e_3$  generates the generalized 3-eigenspace. Therefore the rational canonical basis is given by  $e_3, Ae_3, A^2e_3$ . So,  $Q$  is the matrix with these as its columns. And the rational canonical form is

$$\begin{bmatrix} 0 & 0 & 27 \\ 1 & 0 & -27 \\ 0 & 1 & 9 \end{bmatrix}.$$

7.4.2.b  $A$  is already in rational canonical form over  $\mathbb{R}$ .

7.4.2.c Over  $\mathbb{C}$   $A$  is diagonalizable, and the rational canonical form is the matrix with eigenvalues on the diagonal, which is  $\begin{bmatrix} \frac{1}{2}(-1 + i\sqrt{3}) & 0 \\ 0 & \frac{1}{2}(-1 - i\sqrt{3}) \end{bmatrix}$ .

7.4.3.a Let's write out how  $T$  acts on the standard basis.

$$\begin{aligned} T(1) &= x, \\ T(x) &= -1, \\ T(x^2) &= -2, \\ T(x^3) &= -3 \end{aligned}.$$

From this, we can see that the dimension of the image of  $T$  is 2, hence the dimension of the nullspace is also 2, i.e. we have a 2 dimensional 0-eigenspace. You can also notice that restricted to the subspace spanned by  $\{1, x\}$ ,  $T$  is in rational canonical form (it's

rotation by 90 degrees). Thus the rational canonical form is  $\begin{bmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$ . And the

basis that put's  $T$  in such a form is  $\{1, x, n_1, n_2\}$  where  $n_i$  are the basis vectors for the nullspace. The irreducible monic factors are  $t^2 + 1$  and  $t$ .

7.4.3.b The matrix representation of this operator is  $A = \begin{bmatrix} 0 & -1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$ . The characteristic polynomial is  $(t^2 + 1)^2 = t^4 + 2t^2 + 1$ , and as  $T^2 \neq -I$ , this is also the minimal polynomial.

Therefore the rational canonical form is  $\begin{bmatrix} 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -2 \\ 0 & 0 & 1 & 0 \end{bmatrix} \cdot \beta_v$ , where  $v = x \cos x$ , puts the operator into rational canonical form.

7.4.5 If the rational canonical form  $C$  is diagonal then obviously  $T$  is diagonalizable. Now, suppose  $T$  is diagonalizable then  $V$  is the direct sum of 1-dimensional  $T$ -cyclic subspaces. By Thm. 7.17 these form a rational canonical basis. But this basis is a basis of eigenvectors.

- 7.4.8 Since  $\phi(T)$  is not one-to-one,  $\exists x$  s.t.  $\phi(T)x = 0$ . By Ex 7.3.15 the  $T$ -annihilator of  $x$  divides  $\phi(T)$ , but since  $\phi(T)$  is irreducible it must be equal to the  $T$ -annihilator. By part (c) of the same exercise  $\phi(T)$  divides the minimal polynomial of  $T$  and therefore the characteristic polynomial.
- 7.4.10 ( $\Rightarrow$ ) Suppose that  $x \in C_y$ . It follows, since  $C_y$  is  $T$ -invariant, that  $C_x \subseteq C_y$ . Now we want to show that  $y \in C_x$ . Suppose that it's not. Then we can apply the lemma on pg 531, to get that that  $\{x, Tx, \dots, T^k x\} \cup \beta_y$  is a linearly independent set. But, the hypothesis states that  $x \in \text{span} \beta_y$ , a contradiction. Thus  $y \in C_x$ . ( $\Leftarrow$ ) is immediate.
- \* Assume the minimal polynomial of  $T$ , denoted by  $\mu_T(t) = \prod \phi_k$  has distinct irreducible factors. Therefore  $N(\phi_k T) = K_{\phi_k}$ . First, for a fixed  $i$ , suppose that  $\deg(\phi_i) = 1$ . Thus,  $K_{\phi_i}$  is some generalized eigenspace  $K_\lambda$ . Now pick  $v \in K_{\phi_i}$ . Since  $\mu_T(T) = 0$ ,  $0 = \mu_T(T)v = \prod \phi_k(T)v$ . So  $\phi_j(T)v = 0$  for some  $j$ . However since  $\phi_k(T)|_{K_{\phi_i}}$  is 1-1 when  $k \neq i$ , it follows that  $j = i$  and so  $0 = \phi_i(T)v = (T - \lambda I)v$ , i.e.  $v \in E_\lambda$  and so  $K_{\phi_i} = E_\lambda$ . Therefore, as  $E_\lambda$  is the direct sum of 1-dimensional invariant subspaces (i.e. the ones spanned by the eigenvectors), it follows that  $K_{\phi_i}$  is semisimple. Now, if  $\phi_i$  has degree greater than one, we claim that  $K_{\phi_i}$  is simple. If  $W$  is a non-zero invariant subspace, then  $\mu_{T|_W}$  divides  $\phi_i$ , but as  $\phi_i$  is irreducible, we have that  $\mu_{T|_W} = \phi_i$  ( $\mu_{T|_W}$  isn't constant, as  $W$  is non-zero.) Now if  $x \in K_{\phi_i}$ , and  $x \notin W$ , we again use the lemma on pg. 351 to get another invariant subspace  $W \oplus C_x \subseteq K_{\phi_i}$ . However, the minimal polynomial of  $T$  restricted to this subspace divides  $\mu_{T|_W} = \phi_i$ , and therefore  $\mu_{T|_W} \cdot \mu_{T|_{C_x}}$  divides  $\mu_{T|_W}$ . This forces  $\mu_{T|_{C_x}}$  to be a constant, a contradiction. Thus no  $x \in K_{\phi_i}$  can lie outside of  $W$  — i.e.  $K_{\phi_i} = W$ , and is therefore simple. Now it's easy to verify that the direct sum of all these simple subspaces is  $V$ .