

## Solutions

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(1) Orthonormal basis of  
 $W = \text{Span} \left\{ \begin{bmatrix} 3 \\ 0 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ -2 \\ -2 \end{bmatrix} \right\}$

Use Gram-Schmidt,

$$\underline{u}_1 = \underline{x}_1 = \begin{bmatrix} 3 \\ 0 \\ 2 \end{bmatrix}$$

$$\begin{aligned} \underline{u}_2 &= \underline{x}_2 - \frac{\underline{x}_2 \cdot \underline{x}_1}{\underline{x}_1 \cdot \underline{x}_1} \cdot \underline{x}_1 = \begin{bmatrix} 1 \\ -2 \\ -2 \end{bmatrix} - \frac{(-1)}{13} \begin{bmatrix} 3 \\ 0 \\ 2 \end{bmatrix} \\ &= \begin{bmatrix} 16/13 \\ -2 \\ -24/13 \end{bmatrix} = \frac{1}{13} \begin{bmatrix} 16 \\ -26 \\ -24 \end{bmatrix} \end{aligned}$$

Now we need to normalize  $\underline{u}_1$  and  $\underline{u}_2$  to get an orthonormal basis.

$$\begin{aligned} \underline{v}_1 &= \frac{1}{\|\underline{u}_1\|} \underline{u}_1 = \frac{1}{\sqrt{13}} \begin{bmatrix} 3 \\ 0 \\ 2 \end{bmatrix} \\ \underline{v}_2 &= \frac{1}{\|\underline{u}_2\|} \underline{u}_2 = \frac{1}{\sqrt{1508}} \begin{bmatrix} 16 \\ -26 \\ -24 \end{bmatrix} \end{aligned}$$

(2) Compute a QR factorization  
of  $\Delta = \begin{pmatrix} 2 & 1 & 0 \\ 0 & 1 & 0 \end{pmatrix}$

$$\begin{pmatrix} \checkmark & \checkmark & \checkmark \\ 2 & 0 & 2 \end{pmatrix}$$

Use **Gram-Schmidt** on columns of  $A$  to find  $Q$ .

$$\underline{u}_1 = \underline{x}_1 = \begin{pmatrix} 2 \\ 0 \\ 2 \end{pmatrix}$$

$$\begin{aligned} \underline{u}_2 &= \underline{x}_2 - \frac{\underline{x}_2 \cdot \underline{x}_1}{\underline{x}_1 \cdot \underline{x}_1} \underline{x}_1 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} - \frac{2}{8} \begin{pmatrix} 2 \\ 0 \\ 2 \end{pmatrix} \\ &= \begin{pmatrix} 1/2 \\ 1 \\ -1/2 \end{pmatrix} \text{ fine to write as } \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \underline{u}_3 &= \underline{x}_3 - \frac{\underline{x}_3 \cdot \underline{x}_1}{\underline{x}_1 \cdot \underline{x}_1} \underline{x}_1 - \frac{\underline{x}_3 \cdot \underline{u}_2}{\underline{u}_2 \cdot \underline{u}_2} \underline{u}_2 \\ &= \begin{pmatrix} 0 \\ 0 \\ 2 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 2 \\ 0 \\ 2 \end{pmatrix} + \frac{1}{3} \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} \\ &= \begin{pmatrix} -2/3 \\ 2/3 \\ 2/3 \end{pmatrix} \text{ fine to write as } \begin{pmatrix} -2 \\ 2 \\ 2 \end{pmatrix} \end{aligned}$$

Normalize the above vectors,

$$\underline{v}_1 = \frac{1}{2\sqrt{2}} \begin{pmatrix} 2 \\ 0 \\ 2 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 0 \\ 1/\sqrt{2} \end{pmatrix} \quad \underline{v}_3 = \frac{1}{2\sqrt{3}} \begin{pmatrix} -2 \\ 2 \\ 2 \end{pmatrix} = \begin{pmatrix} -1/\sqrt{3} \\ 1/\sqrt{3} \\ 1/\sqrt{3} \end{pmatrix}$$

$$\underline{v}_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{6} \\ 2/\sqrt{6} \\ -1/\sqrt{6} \end{pmatrix}$$

$$Q = \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{6} & -1/\sqrt{3} \\ 0 & 2/\sqrt{6} & 1/\sqrt{3} \\ 1/\sqrt{2} & -1/\sqrt{6} & 1/\sqrt{3} \end{pmatrix}$$

$$R = Q^T A = \begin{pmatrix} 1/\sqrt{2} & 0 & 1/\sqrt{2} \\ 1/\sqrt{6} & 2/\sqrt{6} & -1/\sqrt{6} \\ -1/\sqrt{3} & 1/\sqrt{3} & 1/\sqrt{3} \end{pmatrix} \begin{pmatrix} 2 & 1 & 0 \\ 0 & 1 & 0 \\ 2 & 0 & 2 \end{pmatrix}$$

$$R = \begin{pmatrix} 1 & 1/\sqrt{2} & \sqrt{2} \\ 0 & 3/\sqrt{6} & -2/\sqrt{6} \\ 0 & 0 & 2/\sqrt{3} \end{pmatrix}$$

(3) (a) Is  $B = \left\{ \begin{bmatrix} 3 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right\}$  orthogonal?

No.  $\begin{bmatrix} 3 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \end{bmatrix} = 3 \neq 0$ .

(3) (b) Will the Gram-Schmidt procedure produce the same orthonormal basis if  $x_1 = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$  and if  $x_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$ .

No. Let  $B$  be the basis produced by starting with  $x_1 = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$  and let  $x_2$

by starting with  $\underline{x}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and let  $\mathcal{B}$  be the basis produced by starting with  $\underline{x}_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$ .

If  $\mathcal{B} = \mathcal{B}'$ , then  $\mathcal{B} \ni \begin{bmatrix} 1 \\ 2 \end{bmatrix}$  but  $\begin{bmatrix} 1 \\ 2 \end{bmatrix}$  is not orthogonal to  $\begin{bmatrix} 3 \\ 0 \end{bmatrix}$ , and thus a contradiction to  $\mathcal{B} = \mathcal{B}'$ .

Compute  $\mathcal{B}$ :

$$\underline{u}_1 = \underline{x}_1 = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$$

$$\underline{u}_2 = \underline{x}_2 - \frac{\underline{x}_2 \cdot \underline{x}_1}{\underline{x}_1 \cdot \underline{x}_1} \underline{x}_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix} - \frac{1}{3} \begin{bmatrix} 3 \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 \\ 2 \end{bmatrix}$$

Normalize  $\underline{u}_1$  and  $\underline{u}_2$ :

$$\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$$

Compute  $\mathcal{B}'$ :

$$\underline{u}_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$$

$$\underline{u}_2 = \underline{x}_1 - \frac{\underline{x}_1 \cdot \underline{x}_2}{\underline{x}_1 \cdot \underline{x}_1} \underline{x}_1 = \begin{bmatrix} 3 \\ 0 \end{bmatrix} - \frac{3}{5} \begin{bmatrix} 1 \\ 2 \end{bmatrix}$$

$$= \begin{bmatrix} 12/5 \\ -6/5 \end{bmatrix} \sim \begin{bmatrix} 2 \\ -1 \end{bmatrix}$$

Normalize  $\underline{u}_1$  and  $\underline{u}_2$ :

$$\left\{ \frac{1}{\sqrt{5}} \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \frac{1}{\sqrt{5}} \begin{bmatrix} 2 \\ -1 \end{bmatrix} \right\}$$

(4) Diagonalize the following if possible.

$$A = \begin{pmatrix} 1 & 0 & 0 \\ 2 & 3 & 0 \\ 0 & 1 & 2 \end{pmatrix}$$

Since  $A$  is lower triangular the eigenvalues are  $\lambda = 1, 3, 2$ .

$$D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

↑ Note we know it's diagonalizable because the eigenvalues are distinct.

Now let's compute the eigenvectors.

$\lambda = 1$ :

$$A - I = \begin{pmatrix} 0 & 0 & 0 \\ 2 & 2 & 0 \\ 0 & 1 & 1 \end{pmatrix} \quad \underline{v} = \begin{pmatrix} -1 \\ 1 \\ -1 \end{pmatrix}$$

$\lambda = 3$ :

$$A - 3I = \begin{pmatrix} -2 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 1 & -1 \end{pmatrix} \quad \underline{v} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

$\lambda = 2$ :

$$A - 2I = \begin{pmatrix} -1 & 0 & 0 \\ 2 & 1 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad \underline{v} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$P = \begin{pmatrix} -1 & 0 & 0 \\ 1 & 1 & 0 \\ -1 & 1 & 1 \end{pmatrix}$$



(5) Let  $T: \mathbb{P}_2 \rightarrow \mathbb{P}_2$  be a transformation that sends  $at^2 + bt + c \mapsto ct^2 + at + b$ .

(a) Show that  $T$  is linear.

$$\text{Let } p_1 = at^2 + bt + c$$

$$p_2 = et^2 + ft + g$$

$$p_1 + p_2 = (a+e)t^2 + (b+f)t + (c+g)$$

$$\begin{aligned} T(p_1 + p_2) &= (c+g)t^2 + (a+e)t + (b+f) \\ &= ct^2 + at + b + gt^2 + et + f \\ &= T(p_1) + T(p_2). \end{aligned}$$

$$\begin{aligned} T(\alpha p_1) &= \alpha ct^2 + \alpha at + \alpha b \\ &= \alpha(ct^2 + at + b) = \alpha T(p_1) \end{aligned}$$

And so  $T$  is a linear transformation.

(5)(b) Consider the standard basis of  $\mathbb{P}_2$ ,  $B = \{1, t, t^2\}$ , compute the matrix  $T_B$ .

$$T_B = \left[ [T([1]_B)]_B \quad [T([t]_B)]_B \quad [T([t^2]_B)]_B \right]$$

$$T_B = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

(5)(c) Compute the eigenvalues and eigenvectors of  $T_B$ .

$$\begin{aligned} \det(T_B - \lambda I) &= \begin{vmatrix} -\lambda & 1 & 0 \\ 0 & -\lambda & 1 \\ 1 & 0 & -\lambda \end{vmatrix} \\ &= -\lambda(\lambda^2) - 1(-1) \\ &= 1 - \lambda^3 \end{aligned}$$

There is only one real eigenvalue, the other two are complex (and we won't worry about them).

$$\lambda = 1:$$

$$A - I = \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 0 & -1 \end{pmatrix}, \quad \underline{v} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

In terms of the transformation, this tells us  $T$  has a "fixed point" for polynomials of the form,  $p(t) = \lambda t^2 + \lambda t + \lambda$ .

1b) Find examples of the following

(a) A matrix that is diagonalizable but not invertible

$$\begin{pmatrix} 0 & 0 \\ 0 & 2 \end{pmatrix} = A$$

(b) A matrix with rank=1

$$A = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$$

(c) An orthonormal basis of  $\mathbb{R}^3$  that's not the standard basis.

$$B = \left\{ \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \right\}$$

(d) A square matrix with a different row space and column space.

$$A = \begin{pmatrix} 2 & 1 \\ 0 & 0 \end{pmatrix}$$