

10. JACOBSON PRESHEMES

10.1. Very dense subsets of a topological space.

(10.1.1). A subset  $T$  of a topological space  $X$  is *quasi-constructible* if  $T$  is a finite union of locally closed subsets.  $T$  is *locally quasi-constructible* if every  $x \in X$  has an open neighborhood  $V$  such that  $T \cap V$  is quasi-constructible in  $V$ . The two notions are equivalent if  $X$  is quasi-compact. Let  $\mathfrak{Qc}(X)$ ,  $\mathfrak{Lqc}(X)$  denote the set of (locally) quasi-constructible subsets. Then  $\mathfrak{Qc}(X)$  and  $\mathfrak{Lqc}(X)$  are closed under finite intersections, unions, and complements, and preimages via continuous maps. Let  $\mathfrak{D}(X)$  denote the set of open subsets of  $X$ ,  $\mathfrak{Cl}(X)$  the set of closed subsets.

*Proposition (10.1.2).* — *Let  $X_0$  be a subspace of  $X$ . The following are equivalent.*

- (a) *For every non-empty locally closed  $Z \subseteq X$ ,  $Z \cap X_0 \neq \emptyset$ .*
- (a') *For every closed  $Z \subseteq X$ ,  $Z = \overline{Z \cap X_0}$ .*
- (b) *For every non-empty locally quasi-constructible  $Z \subseteq X$ ,  $Z \cap X_0 \neq \emptyset$ .*
- (b') *For every locally quasi-constructible  $Z \subseteq X$ ,  $Z \subseteq \overline{Z \cap X_0}$ , that is,  $Z \cap X_0$  is dense in  $Z$ .*
- (c)  *$U \mapsto U \cap X_0$  from  $\mathfrak{D}(X)$  to  $\mathfrak{D}(X_0)$  is injective (hence bijective).*
- (c')  *$Z \mapsto Z \cap X_0$  from  $\mathfrak{Cl}(X)$  to  $\mathfrak{Cl}(X_0)$  is injective (hence bijective).*
- (c'')  *$Z \mapsto Z \cap X_0$  from  $\mathfrak{Qc}(X)$  to  $\mathfrak{Qc}(X_0)$  is injective (hence bijective).*
- (c''')  *$Z \mapsto Z \cap X_0$  from  $\mathfrak{Lqc}(X)$  to  $\mathfrak{Lqc}(X_0)$  is injective (which implies that it is bijective).*

*Definition (10.1.3).* — *When the conditions in (10.1.2) hold, we say that  $X_0$  is very dense in  $X$ .*

*Corollary (10.1.4).* — *If  $X_0$  is very dense in  $X$ , and  $U \subseteq X$  is open, then  $U \cap X_0$  is very dense in  $U$ . Conversely, if  $X = \bigcup_{\alpha} U_{\alpha}$  is an open covering such that  $U_{\alpha} \cap X_0$  is very dense in  $U_{\alpha}$  for each  $\alpha$ , then  $X_0$  is very dense in  $X$ .*

10.2. Quasi-homeomorphisms.

*Proposition (10.2.1).* — *Let  $f: X_0 \rightarrow X$  be a continuous map. The following are equivalent.*

- (a)  *$U \mapsto f^{-1}(U)$  from  $\mathfrak{D}(X)$  to  $\mathfrak{D}(X_0)$  is bijective .*
- (a')  *$Z \mapsto f^{-1}(Z)$  from  $\mathfrak{Cl}(X)$  to  $\mathfrak{Cl}(X_0)$  is bijective .*
- (b) *The topology on  $X_0$  is the inverse image of that on  $X$ , and  $f(X_0)$  is very dense in  $X$ .*
- (c) *The functor  $f^{-1}$  from sheaves on  $X$  to sheaves on  $X_0$  is an equivalence of categories (this holds both for sheaves of sets and for sheaves of abelian groups).*

*Definition (10.2.2).* — *A map  $f$  satisfying the conditions in (10.2.1) is a quasi-homeomorphism.*

*In particular, by (10.2.1, b), a subspace  $X_0 \subseteq X$  is very dense if and only if the inclusion  $X_0 \hookrightarrow X$  is a quasi-homeomorphism.*

*Corollary (10.2.3).* — *The composite of two quasi-homeomorphisms is a quasi-homeomorphism.*

*Corollary (10.2.4).* — If  $f: X \rightarrow Y$  is a quasi-homeomorphism,  $Y' \subseteq Y$  is locally quasi-constructible, and  $X' = f^{-1}(Y')$ , then the restriction  $f' = (f|_{X'}): X' \rightarrow Y'$  is a quasi-homeomorphism.

*Corollary (10.2.5).* — Let  $f: X \rightarrow Y$  be a continuous map,  $Y = \bigcup_{\alpha} V_{\alpha}$  an open covering. If the restriction  $f^{-1}(V_{\alpha}) \rightarrow V_{\alpha}$  of  $f$  is a quasi-homeomorphism for all  $\alpha$ , then  $f$  is a quasi-homeomorphism.

*Corollary (10.2.6).* — Let  $f: X \rightarrow Y$  be a quasi-homeomorphism,  $Y' \subseteq Y$  locally quasi-constructible,  $X' = f^{-1}(Y')$ . Then  $Y'$  is quasi-compact (resp. Noetherian, retro-compact) iff  $X'$  is.

*Proposition (10.2.7).* — Let  $f: X \rightarrow Y$  be a quasi-homeomorphism. Then the map  $Z \mapsto f^{-1}(Z)$  from subsets of  $Y$  to subsets of  $X$  induces bijections between the open, closed, locally closed, quasi-constructible, locally quasi-constructible, constructible, and locally constructible subsets of  $X$  and  $Y$ .

*Remarks (10.2.8).* — (i) If  $f: X \rightarrow Y$  is a quasi-homeomorphism then for any sheaf of abelian groups  $\mathcal{F}$  on  $Y$ , the canonical functorial map

$$(10.2.8.1) \quad \Gamma(Y, \mathcal{F}) \rightarrow \Gamma(X, f^{-1}(\mathcal{F}))$$

is an isomorphism. Since  $f^{-1}$  is exact, it follows that the canonical maps in cohomology

$$H^i(Y, \mathcal{F}) \rightarrow H^i(X, f^{-1}(\mathcal{F}))$$

are isomorphisms.

(ii) If  $f$  is a quasi-homeomorphism then  $f^{-1}$  gives an equivalence between the categories of sheaves of rings on  $X$  and on  $Y$ . If  $f = (\psi, \theta): (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a morphism of ringed spaces,  $\psi$  is a quasi-homeomorphism, and  $\theta^{\#}$  is an isomorphism, then  $f^* = f^{-1}$  gives an equivalence of categories between sheaves of  $\mathcal{O}_Y$  modules and sheaves of  $\mathcal{O}_X$  modules. This extends to isomorphisms of Ext functors, and more generally to equivalences between all the usual functorial constructions involving sheaves and cohomology on the two spaces  $X$  and  $Y$ .

The 1971 revised edition of EGA I by Grothendieck and Dieudonné, which also includes material from EGA IV, §10, adds to the above the following definition and results.

*Definition.* A topological space  $X$  is *sober* if every irreducible closed subset  $Z \subseteq X$  has a unique generic point, that is, a point  $z$  such that  $Z = \overline{\{z\}}$  (0, 2.1.2).

Every prescheme  $X$  is sober (I, 2.1.5).

For any space  $X$ , let  $X^+$  denote the set of irreducible closed subsets of  $X$ . If  $V \subseteq X$  is closed, then  $V^+$  is a subset of  $X^+$ . The correspondence  $V \mapsto V^+$  preserves finite unions and arbitrary intersections, making the subsets  $\overline{V^+}$  the closed subsets of a topology on  $X^+$ .

The map  $j: X \rightarrow X^+$  defined by  $j(z) = \overline{\{z\}}$  is continuous, and  $j^{-1}(V^+) = V$ . It follows that  $V \rightarrow V^+$  is a bijection from closed subsets of  $X$  to closed subsets of  $X^+$  and  $j^{-1}$  induces its inverse. Hence  $j$  is a quasi-homeomorphism.

The space  $X^+$  is sober. Its irreducible closed subsets are exactly the sets  $Z^+$  for  $Z \in X^+$ , and we have  $Z^+ = \overline{\{Z\}}$ .

Given a continuous map  $f: X \rightarrow Y$ , there is a unique continuous map  $f^+: X^+ \rightarrow Y^+$  such that  $f^+j_X = j_Y f$ . This makes  $(-)^+$  a functor from topological spaces to sober spaces. Every continuous map  $f: X \rightarrow Y$ , where  $Y$  is sober, factors uniquely through  $j: X \rightarrow X^+$ . This implies that  $(-)^+$  is left adjoint to the inclusion of sober spaces into topological spaces.

Every quasi-homeomorphism between sober spaces is a homeomorphism. It follows that a continuous map  $f: X \rightarrow Y$  is a quasi-homeomorphism if and only if  $f^+$  is a homeomorphism. One can therefore view sober spaces as canonical representatives of topological spaces up to quasi-homeomorphism.

### 10.3. Jacobson spaces.

*Definition (10.3.1).* — A topological space  $X$  is *Jacobson* if the set of closed points  $X_0$  of  $X$  is very dense in  $X$ ; that is, if  $X_0 \hookrightarrow X$  is a quasi-homeomorphism.

*Proposition (10.3.2).* — *Let  $X$  be Jacobson,  $Z \subseteq X$  locally quasi-constructible. Then the subspace  $Z$  is Jacobson, and a point  $z \in Z$  is closed in  $Z$  iff it is closed in  $X$ .*

*Proposition (10.3.3).* — *Let  $X = \bigcup_{\alpha} U_{\alpha}$  be an open covering. Then  $X$  is Jacobson iff every  $U_{\alpha}$  is Jacobson.*

### 10.4. Jacobson preschemes and Jacobson rings.

*Definition (10.4.1).* — A prescheme  $X$  is *Jacobson* if its underlying topological space is Jacobson. A ring  $A$  is *Jacobson* if  $\text{Spec}(A)$  is Jacobson.

According to this definition,  $A$  is Jacobson if and only if every radical ideal of  $A$  is an intersection of maximal ideals; if and only if every prime ideal of  $A$  is an intersection of maximal ideals (the latter is the usual definition of a Jacobson ring).

*Proposition (10.4.2).* — *Let  $X = \bigcup_{\alpha} U_{\alpha}$  be an open affine covering of the prescheme  $X$ . Then  $X$  is Jacobson iff each ring  $\mathcal{O}_X(U_{\alpha})$  is Jacobson.*

(10.4.3). Examples: a discrete space is Jacobson, hence an Artinian ring is Jacobson. A principal ideal domain with infinitely many maximal ideals (such as  $\mathbb{Z}$ ) is Jacobson. A Noetherian local ring is Jacobson iff its maximal ideal is its only prime ideal; that is, iff it is Artinian. By (10.3.2), any sub-prescheme of a Jacobson scheme is Jacobson.

*Proposition (10.4.4).* — *Let  $B$  be an integral domain. The following are equivalent.*

- (a) *There exists  $f \neq 0$  in  $B$  such that  $B_f$  is a field.*
- (b) *The field of fractions of  $B$  is a finitely generated  $B$ -algebra.*
- (c) *There exists a field  $K$  containing  $B$ , which is a finitely generated  $B$ -algebra.*
- (d) *The generic point of  $\text{Spec}(B)$  is isolated (i.e., the set consisting of only that point is open).*

(d)  $\Leftrightarrow$  (a)  $\Leftrightarrow$  (b)  $\Rightarrow$  (c) are easy. The significant point is that (c) implies the others, which is a version of Hilbert's Nullstellensatz.

*Proposition (10.4.5).* — *Given a ring  $A$ , the following are equivalent.*

(a)  *$A$  is Jacobson.*

(b) *For every non-maximal prime ideal  $\mathfrak{p} \subseteq A$  and every  $f \neq 0$  in  $B = A/\mathfrak{p}$ ,  $B_f$  is not a field.*

(b') *Every finitely generated  $A$ -algebra  $K$  which is a field, is finite over  $A$  (i.e., finitely generated as an  $A$ -module; thus a finite algebraic extension of  $A/\mathfrak{m}$ , where  $\mathfrak{m}$  is a maximal ideal).*

*Corollary (10.4.6).* — *Every algebra  $B$  of finite type over a Jacobson ring  $A$  is Jacobson. Moreover, the preimage in  $A$  of any maximal ideal of  $B$  is maximal. In particular, any finitely generated algebra over  $\mathbb{Z}$  or a field is Jacobson.*

*Corollary (10.4.7).* — *If  $X$  is a Jacobson prescheme and  $f: Y \rightarrow X$  is a morphism locally of finite type, then  $Y$  is Jacobson, and  $f$  maps every closed point of  $X$  to a closed point of  $Y$ . [Moreover, if  $f(x) = y$ , then  $k(x)$  is a finite algebraic extension of  $k(y)$ .]*

*Corollary (10.4.8).* — *If  $X$  is locally of finite type over an algebraically closed field  $k$ , then the  $k$ -rational points of  $X$  are very dense in  $X$ .*

Indeed, the  $k$ -rational points are the closed points, by (I, 6.4.2), and  $X$  is Jacobson.

(10.4.9–11). A number of questions in algebraic geometry can be reduced to the case of a finitely generated algebra over  $\mathbb{Z}$  or a field, so the fact that such rings are Jacobson is particularly important. EGA gives two applications, of which the second is the following.

*Proposition:* *Let  $X$  be an  $S$ -prescheme of finite type. Then any universally injective  $S$ -morphism  $g: X \rightarrow X$  is bijective.*

[The morphism  $g$  is *universally injective* if it induces an injection  $X(K) \rightarrow X(K)$  for every field  $K$ .]

In fact, it is shown in (IV, 17.9.7) that under the hypotheses of the Proposition,  $g$  is an isomorphism.