

5. REDUCED PRESCHEMES; THE SEPARATION AXIOM

5.1. **Reduced preschemes.**

Proposition (5.1.1). — Let \mathcal{B} be a quasi-coherent sheaf of \mathcal{O}_X -algebras on a prescheme X . There is a unique quasi-coherent sheaf of ideals $\mathcal{N} \subseteq \mathcal{B}$ such that \mathcal{N}_x is the nilradical of \mathcal{B}_x for all $x \in X$. If $X = \text{Spec } A$ is affine, so $\mathcal{B} = \tilde{B}$ for an A -algebra B , then $\mathcal{N} = \tilde{\mathfrak{N}}$, where \mathfrak{N} is the nilradical of B .

The sheaf \mathcal{N} is called the *nilradical* of \mathcal{B} . We write \mathcal{N}_X for the nilradical of $\mathcal{B} = \mathcal{O}_X$.

Corollary (5.1.2). — The closed sub-prescheme of X defined by the ideal sheaf \mathcal{N}_X is the unique sub-prescheme which is reduced (0, 4.1.4) and has underlying space is equal to X ; it is the smallest closed sub-prescheme with underlying space X .

Definition (5.1.3). — The closed sub-prescheme in (5.1.2) is called the *associated reduced prescheme* of X , and denoted X_{red} .

Thus X is reduced iff $X = X_{\text{red}}$.

Proposition (5.1.4). — $\text{Spec}(A)$ is reduced (resp. integral) (2.1.7) iff A is a reduced ring (resp. an integral domain).

(5.1.5). A morphism $f: X \rightarrow Y$ induces a unique morphism $f_{\text{red}}: X_{\text{red}} \rightarrow Y_{\text{red}}$ such that the diagram

$$\begin{array}{ccc} X_{\text{red}} & \xrightarrow{f_{\text{red}}} & Y_{\text{red}} \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & Y \end{array}$$

commutes, where the vertical arrows are the canonical inclusions of closed sub-preschemes. This makes $X \mapsto X_{\text{red}}$ a functor from preschemes to reduced preschemes. If X is reduced, then every morphism $f: X \rightarrow Y$ factors uniquely as $X \xrightarrow{f_{\text{red}}} Y_{\text{red}} \rightarrow Y$.

[This implies that $X \mapsto X_{\text{red}}$ is right adjoint to the inclusion of the category of reduced preschemes in the category of preschemes.]

Proposition (5.1.6). — If f is surjective (resp. universally injective, an immersion, a closed immersion, an open immersion, a local immersion, a local isomorphism), then so is f_{red} . Conversely, if f_{red} is surjective (resp. universally injective), then so is f .

Proposition (5.1.7). — Let X, Y be S -preschemes. Then $X_{\text{red}} \times_{S_{\text{red}}} Y_{\text{red}} = X_{\text{red}} \times_S Y_{\text{red}}$, and it is identified canonically with a sub-prescheme of $X \times_S Y$ whose underlying space is $X \times_S Y$.

Corollary (5.1.8). — $(X \times_S Y)_{\text{red}} = (X_{\text{red}} \times_{S_{\text{red}}} Y_{\text{red}})_{\text{red}}$.

Note that even if X and Y are reduced, $X \times_S Y$ need not be.

Proposition (5.1.9). — Let X be a pre-scheme and $\mathcal{I} \subseteq \mathcal{O}_X$ a quasi-coherent sheaf of ideals such that $\mathcal{I}^n = 0$ for some n . Let $X_0 = (X, \mathcal{O}_X/\mathcal{I})$ be the closed sub-prescheme defined by \mathcal{I} . Then X is affine if and only if X_0 is.

The proof is an application of the vanishing of higher cohomology for quasi-coherent sheaves on an affine scheme.

Corollary (5.1.10). — If \mathcal{N}_X is nilpotent, then X is affine if and only if X_{red} is.

5.2. Existence of a sub-prescheme with a given underlying space.

Proposition (5.2.1). — For every locally closed subset $Y \subseteq X$ there exists a unique reduced sub-prescheme of X with underlying space Y .

Proposition (5.2.2). — Let X be reduced, $f: X \rightarrow Y$ a morphism, $Z \subseteq Y$ a closed sub-prescheme such that $f(X) \subseteq Z$. Then f factors through the inclusion $Z \rightarrow Y$.

Corollary (5.2.3). — Let X be a reduced sub-prescheme of Y , and let Z be the reduced closed sub-prescheme of Y with underlying space \overline{X} . Then X is an open sub-prescheme of Z .

Corollary (5.2.4). — Let $f: X \rightarrow Y$ be a morphism, and let X' (resp. Y') be a closed sub-prescheme of X (resp. Y) defined by an ideal sheaf \mathcal{I} (resp. \mathcal{J}). If X' is reduced, and $f(X') \subseteq Y'$, then $f^*(\mathcal{J})\mathcal{O}_X \subseteq \mathcal{I}$.

5.3. Diagonal; graph of a morphism.

(5.3.1). Let X be an S -prescheme. The morphism $\Delta_{X|S} = (1_X, 1_X): X \rightarrow X \times_S X$ is called the *diagonal morphism*. We also write Δ_X or just Δ when S and/or X are understood from the context. For any two S -morphisms $f, g: T \rightarrow X$, note that $(f, g) = (f \times_S g) \circ \Delta_X$.

The definition makes sense and everything in (5.3.1) through (5.3.8) holds in any category where the relevant products exist.

Proposition (5.3.2). — Under the identification $(X \times Y) \times (X \times Y) = (X \times X) \times (Y \times Y)$, we have $\Delta_{X \times Y} = \Delta_X \times \Delta_Y$.

[The numbering in EGA skips (5.3.3).]

Corollary (5.3.4). — For any base extension $S' \rightarrow S$, we have $\Delta_{X_{(S')}} = (\Delta_X)_{(S')}$.

Proposition (5.3.5). — Let S be a T -prescheme, and let X, Y be S -preschemes (hence also T -preschemes). The diagram

$$(5.3.5.1) \quad \begin{array}{ccc} X \times_S Y & \longrightarrow & X \times_T Y \\ \downarrow & & \downarrow \\ S & \xrightarrow{\Delta_{S|T}} & S \times_T S \end{array}$$

in which all but the bottom arrow are induced by the structure morphisms identifies $X \times_S Y$ with $(X \times_T Y) \times_{(S \times_T S)} S$.

Corollary (5.3.6). — *The canonical morphism $X \times_S Y \rightarrow X \times_T Y$ can be identified with $(1_{X \times_T Y}) \times_P \Delta_S$, where $P = S \times_T S$.*

Corollary (5.3.7). — *If $f: X \rightarrow Y$ is an S -morphism, the diagram*

$$\begin{array}{ccc} X & \longrightarrow & X \times_S Y \\ \downarrow & & \downarrow \\ Y & \xrightarrow{\Delta_Y} & Y \times_S Y \end{array}$$

identifies X with $(X \times_S Y) \times_{(Y \times_S Y)} Y$.

Proposition (5.3.8). — *For $f: X \rightarrow Y$ to be a monomorphism it is necessary and sufficient that $\Delta_{X|Y}$ is an isomorphism of X with $X \times_Y X$.*

Proposition (5.3.9). — *The diagonal morphism Δ_X is an immersion of X into $X \times_S X$.*

The image of the diagonal morphism, regarded as a sub-prescheme of $X \times_S X$, is called *the diagonal* in $X \times_S X$.

Corollary (5.3.10). — *The top arrow in (5.3.5.1) is an immersion, called the canonical immersion of $X \times_T Y$ into $X \times_S Y$.*

Corollary (5.3.11). — *Let $f: X \rightarrow Y$ be an S -morphism. The graph morphism $\Gamma_f = (1_X, f)$ of f (3.3.14) is an immersion of X into $X \times_S Y$. Its image, regarded as a sub-prescheme of $X \times_S Y$, is called the graph of f .*

A sub-prescheme Z of $X \times_S Y$ is the graph of a morphism iff the projection p_1 restricts to an isomorphism $g: Z \rightarrow X$; then Z is the graph of $p_2 \circ g^{-1}$.

In particular, taking $X = S$, any S -section $S \rightarrow Y$ is equal to its graph morphism, and we also refer to its graph (a subscheme of Y) as an S -section of Y .

Corollary (5.3.12). — *Keep the notation of (5.3.11), let $g: S' \rightarrow S$ be a morphism, and let $f' = f_{(S')}$ be the base change of f by g . Then $\Gamma_{f'} = (\Gamma_f)_{(S')}$.*

Corollary (5.3.13). — *Given morphisms $f: X \rightarrow Y$, $g: Y \rightarrow Z$, if $g \circ f$ is an immersion (resp. local immersion), then so is f .*

Corollary (5.3.14). — *Let $j: X \rightarrow Y$, $g: X \rightarrow Z$ be S -morphisms. If j is an immersion (resp. local immersion) then so is $(j, g): X \rightarrow Y \times_S Z$.*

Proposition (5.3.15). — *Given an S -morphism $f: X \rightarrow Y$, we have a commutative diagram*

$$(5.3.15.1) \quad \begin{array}{ccc} X & \xrightarrow{\Delta_X} & X \times_S X \\ f \downarrow & & f \times_S f \downarrow \\ Y & \xrightarrow{\Delta_Y} & Y \times_S Y \end{array}$$

Corollary (5.3.16). — *If X is a sub-prescheme of Y , the diagonal $\Delta_X(X)$ is a sub-prescheme of $\Delta_Y(Y)$, with underlying space*

$$\Delta_Y(Y) \cap p_1^{-1}(X) = \Delta_Y(Y) \cap p_2^{-1}(X),$$

where p_1, p_2 are the projections $Y \times_S Y \rightarrow Y$.

Corollary (5.3.17). — *Let $f_1, f_2: Y \rightarrow X$ be S -morphisms, and $y \in Y$ a point such that $f_1(y) = f_2(y) = x$, and the associated homomorphisms $k(x) \rightarrow k(y)$ are equal. Then, setting $f = (f_1, f_2)$, the point $f(y)$ belongs to the diagonal $\Delta_X(X)$.*

5.4. Separated morphisms and preschemes.

Definition (5.4.1). — [Liu, 3.3.2] A morphism $f: X \rightarrow Y$ is *separated* if the diagonal morphism $\Delta: X \rightarrow X \times_Y X$ is a closed immersion. A prescheme X separated over Y is called a *Y -scheme*. A prescheme X is *separated* if it is separated over $\text{Spec}(\mathbb{Z})$. A separated prescheme is called a *scheme*.

By (5.3.9), f is separated if the diagonal $\Delta_X(X)$ is a closed subspace of $X \times_Y X$ [Liu, 3.3.5].

Proposition (5.4.2). — *If $S \rightarrow T$ is separated, and X, Y are S -preschemes, the canonical immersion $X \times_S Y \rightarrow X \times_T Y$ (5.3.10) is closed.*

Corollary (5.4.3). — [Liu, Ex. 3.3.10] *If Y is an S -scheme (i.e., a separated S -prescheme) and $f: X \rightarrow Y$ an S -morphism, the graph morphism Γ_f of f is a closed immersion.*

Corollary (5.4.4). — *If $g \circ f$ is a closed immersion, and g is separated, then f is a closed immersion.*

Corollary (5.4.5). — *Given $j: X \rightarrow Y, g: X \rightarrow Z$, if Z is an S -scheme, and j is a closed immersion, then so is $(j, g): X \rightarrow Y \times_S Z$.*

Corollary (5.4.6). — *If X is an S -scheme, then every S -section of X is a closed immersion.*

Corollary (5.4.7). — [Liu, 3.3.11] *Let S be an integral prescheme with generic point s . Let X be an S -scheme. If S -sections f, g satisfy $f(s) = g(s)$, then $f = g$.*

Remark (5.4.8). — *If the conclusion of (5.4.3) holds for $f = 1_Y$, or if (5.4.4) holds for $f = \Delta_{Y|S}, g = p_1$, which since $g \circ f = 1_Y$ just means that $p_1: Y \times_S Y \rightarrow Y$ is separated, or if (5.4.6) holds for the section Δ_Y of $Y \times_S Y \rightarrow Y$ [Liu, Ex. 3.3.7], it follows conversely that $Y \rightarrow S$ is separated.*

5.5. Criteria for separation.

Proposition (5.5.1). — [Liu, 3.3.9]: *(i) Every monomorphism of preschemes (in particular, every immersion) is separated.*

(ii) The composite of separated morphisms is separated.

(iii) If f and g are separated S -morphisms, then so is $f \times_S g$.

(iv) If f is a separated S -morphism, then so is every base change $f_{(S')}$.

(v) If $g \circ f$ is separated, then so is f .

(vi) f is separated if and only if f_{red} (5.1.5) is separated.

Corollary (5.5.2). — If $f: X \rightarrow Y$ is separated, so is its restriction to any subscheme of X .

Corollary (5.5.3). — If X and Y are S -preschemes and Y is separated over S , then $X \times_S Y$ is separated over X .

Proposition (5.5.4). — Let X be a prescheme whose underlying space is a finite union of closed subsets X_k . Let $f: X \rightarrow Y$ be a morphism and for each k let Y_k be a closed subset of Y containing $f(X_k)$. Regard the X_k, Y_k as subschemes of X, Y with the unique reduced pre-scheme structure (5.2.1), so $f|_{X_k}$ factors through a morphism $f_k: X_k \rightarrow Y_k$ for each k (5.2.2). Then f is separated if and only if each f_k is.

In particular, if the X_k are the irreducible components of X , we can assume that each Y_k is an irreducible component of Y (0, 2.1.5). The proposition then reduces the question of whether a morphism is separated to the case of integral preschemes (2.1.7).

Proposition (5.5.5). — Let $Y = \bigcup_{\alpha} U_{\alpha}$ be an open covering. Then $f: X \rightarrow Y$ is separated if and only if all its restrictions $f^{-1}(U_{\alpha}) \rightarrow U_{\alpha}$ are separated.

This reduces the question of whether f is separated to the case that Y is affine.

Proposition (5.5.6). — [Liu, 3.3.6] Let Y be an affine scheme, $X = \bigcup_{\alpha} U_{\alpha}$ an open affine covering. A morphism $f: X \rightarrow Y$ is separated if and only if for all α, β (i) $U_{\alpha} \cap U_{\beta}$ is affine, and (ii) the images of the restriction maps $\Gamma(U_{\alpha}, \mathcal{O}_X) \rightarrow \Gamma(U_{\alpha} \cap U_{\beta}, \mathcal{O}_X)$ and $\Gamma(U_{\beta}, \mathcal{O}_X) \rightarrow \Gamma(U_{\alpha} \cap U_{\beta}, \mathcal{O}_X)$ generate the ring $\Gamma(U_{\alpha} \cap U_{\beta}, \mathcal{O}_X)$.

Corollary (5.5.7). — [Liu, 3.3.4] Every affine scheme is separated.

Hence the definition of *scheme* (5.4.1) is consistent with the terminology ‘affine scheme.’

Corollary (5.5.8). — [Liu, Ex. 3.3.2] Let Y be an affine scheme. Then a morphism $f: X \rightarrow Y$ is separated if and only if X is separated (i.e., X is a scheme).

Corollary (5.5.9). — [Liu, Ex. 3.3.8] A morphism $f: X \rightarrow Y$ is separated if and only if for every separated open sub-prescheme $U \subseteq Y$, the sub-prescheme $f^{-1}(U) \subseteq X$ is separated. It suffices that this hold for open affines $U \subseteq Y$.

Proposition (5.5.10). — Let Y be a scheme, $f: X \rightarrow Y$ a morphism. For all open affines $U \subseteq X, V \subseteq Y, U \cap f^{-1}(V)$ is affine.

Examples (5.5.11). — The projective line over a field K (2.3.2) is separated by (5.5.6), since $K[x, x^{-1}]$ is generated by its subrings $K[x]$ and $K[x^{-1}]$. The gluing of two copies of $\mathbb{A}_K^1 = \text{Spec}(K[x])$ along the identity map on the open set $U = D(x)$ is not separated, since in this case, both subrings in (5.5.6) (ii) are equal to $K[x]$, and they do not generate $K[x, x^{-1}]$.

Remark (5.5.12). — Given a property \mathbf{P} of morphisms of preschemes, consider the following assertions:

(i) Every closed immersion satisfies \mathbf{P} .

- (ii) The composite of two morphisms satisfying \mathbf{P} satisfies \mathbf{P} .
- (iii) If f, g are S -morphisms satisfying \mathbf{P} , then $f \times_S g$ satisfies \mathbf{P} .
- (iv) If f satisfies \mathbf{P} , then so does every base extension $f_{(S')}$.
- (v) If $g \circ f$ satisfies \mathbf{P} , and g is separated, then f satisfies \mathbf{P} .
- (vi) If f satisfies \mathbf{P} , then so does f_{red} .

If (i) and (ii) hold, then (iii) and (iv) are equivalent, and (i)–(iii) imply (v) and (vi). [These implications are used in the proof of (5.5.1) and again later, *e.g.*, in (6.3.4), (6.6.4), (6.6.6).]

Consider also:

- (i') Every immersion satisfies \mathbf{P} .
- (v') If $g \circ f$ satisfies \mathbf{P} , then so does f .

Then (i'), (ii), and (iii) imply (v').

(5.5.13). One also finds that (v) and (vi) follow from (i), (iii) and

- (ii') If j is a closed immersion and g satisfies \mathbf{P} , then $g \circ j$ satisfies \mathbf{P} .

Likewise, (v') follows from (i'), (iii) and

- (ii'') If j is an immersion and g satisfies \mathbf{P} , then $g \circ j$ satisfies \mathbf{P} .