

1. (7 pts) Express the multinomial coefficient  $\binom{10}{4,3,2,1}$  as a product of binomial coefficients.

$$\binom{10}{4} \binom{6}{3} \binom{3}{2}. \quad \text{Other factorizations are possible.}$$

2. (8 pts ea.) (a) Show that the number of  $(n+1)$ -element multisets with elements in  $\{1, \dots, k+1\}$ , and containing each element with multiplicity at least one, is equal to  $\binom{n}{k}$ .

It's the same as the number of  $(n-k)$ -element multisets from  $[k+1]$ , given by  $\left\langle \begin{matrix} k+1 \\ n-k \end{matrix} \right\rangle = \binom{n-k+k+1-1}{n-k} = \binom{n}{n-k} = \binom{n}{k}$ .

(b) Find a closed-form expression (depending on  $k$ ) for the ordinary generating function

$$\sum_{n=0}^{\infty} \binom{n}{k} x^n.$$

Part (a) implies that  $\sum_{n=0}^{\infty} \binom{n}{k} x^{n+1} = \frac{x^{k+1}}{(1-x)^{k+1}}.$

Hence  $\sum_{n=0}^{\infty} \binom{n}{k} x^n = \frac{x^k}{(1-x)^{k+1}}.$

3. (8 pts) Prove that in every set  $X$  of 50 integers between 1 and 99, there are two elements  $x, y \in X$  such that  $x + y = 100$ .

Let  $Y = \{100 - x : x \in X\}$ . Then  $Y$  is also a set of 50 elements from  $[99]$ . Hence  $X \cap Y \neq \emptyset$ , i.e. we can find  $x \in X$  and  $z = 100 - y \in Y$  s.t.  $x = z$ , or  $x + y = 100$ .  
 $\uparrow$   
( $y \in X$ )

4. (8 pts) Prove the identity

$$k S(n, k) = \sum_{m=0}^{n-1} \binom{n}{m} S(m, k-1).$$

The left-hand side counts partitions of  $[n]$  into  $k$  blocks, with one block distinguished. On the right-hand side, we can count the same thing as follows:

- Select a size,  $n-m$ , for the distinguished block (so we have  $m$  elements in the remaining blocks)
- Choose the distinguished block, in  $\binom{n}{n-m} = \binom{n}{m}$  ways
- Partition the remaining  $m$  elements into  $k-1$  blocks, in  $S(m, k-1)$  ways.

Note that the distinguished block must not be empty, so the allowed choices for  $m$  are 0 to  $n-1$ . (Actually,  $m$  must be at least  $k-1$ , but this is taken care of by the fact that  $S(m, k-1) = 0$  for  $m < k-1$ .)

5. (8 pts ea.) (a) Let  $q_2(n)$  denote the number of partitions of  $n$  in which each part is repeated at most twice. Find a formula for the ordinary generating function

$$\sum_{n=0}^{\infty} q_2(n)x^n$$

$$Q_2(x) = \prod_{i=1}^{\infty} (1+x^i+x^{2i})$$

(b) Show that  $q_2(n)$  is equal to the number of partitions of  $n$  with no parts divisible by

$$3. \quad \prod_{i=1}^{\infty} (1+x^i+x^{2i}) = \prod_{i=1}^{\infty} \frac{(1-x^{3i})}{(1-x^i)} = \prod_{\substack{i=1 \\ i \text{ not divisible} \\ \text{by } 3}}^{\infty} \frac{1}{(1-x^i)},$$

and the last expression is the generating function for partitions with no parts divisible by 3.

6. (8 pts) Let  $c_n$  be the number of permutations  $\sigma$  of  $\{1, \dots, n\}$  such that  $\sigma^3$  is the identity permutation. Find the exponential generating function

$$\sum_{n=0}^{\infty} c_n \frac{x^n}{n!}$$

We have  $\sigma^3 = 1$  iff all cycles of  $\sigma$  are length 1 or 3.

There are two 3-cycles on a 3-element set, so the E.C.F. for 1 or 3 cycles is  $x + \frac{2x^3}{3!} = x + \frac{x^3}{3}$ .

Then the composition principle gives

$$\sum_{n=0}^{\infty} c_n \frac{x^n}{n!} = e^{(x + x^3/3)}$$

7. (8 pts) Let  $f(n, k)$  be the number of labelled rooted forests with vertex set  $\{1, \dots, n\}$  and  $k$  connected components (i.e., the forest consists of  $k$  disjoint rooted trees). Find a closed-form expression (depending on  $n$ ) for the ordinary generating function

$$\sum_k f(n, k) x^k$$

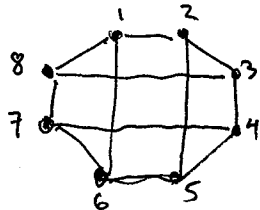
Attaching an extra vertex 0 to the roots, we see that  $f(n, k)$  is also the number of spanning trees on  $\{0, 1, \dots, n\}$  in which vertex 0 has degree  $k$ .

In Cayley's formula,  $\sum_{\substack{T \text{ on} \\ \{0, \dots, n\}}} x_0^{d_0} x_1^{d_1} \dots x_n^{d_n} = x_0 x_1 \dots x_n (x_0 + \dots + x_n)^{n-1}$ ,

set  $x_0 = x$ ,  $x_i = 1$  for  $i \neq 0$ , to get

$$\sum_k f(n, k) x^k = x (x+n)^{n-1}.$$

8. (8 pts) Let  $G$  be the simple graph on vertex set  $\{1, \dots, 8\}$  in which  $i$  and  $j$  are connected by an edge if and only if  $i - j$  is odd. Express the number of spanning trees in  $G$  in terms of the determinant of some matrix. Write out your matrix explicitly, but you need not evaluate the determinant.



Cross out one row and column from  $3I_8 - A_G$  to get

$$M = \begin{pmatrix} 3 & -1 & 0 & -1 & 0 & -1 & 0 & 0 \\ -1 & 3 & -1 & 0 & -1 & 0 & -1 & 0 \\ 0 & -1 & 3 & -1 & 0 & -1 & 0 & 0 \\ -1 & 0 & -1 & 3 & -1 & 0 & 0 & -1 \\ 0 & -1 & 0 & -1 & 3 & -1 & 0 & 0 \\ -1 & 0 & -1 & 0 & -1 & 3 & -1 & 0 \\ 0 & -1 & 0 & -1 & 0 & -1 & 3 & 0 \end{pmatrix}$$

Then the number of spanning trees  $\hat{=}$  in  $G$  is  $\det(M)$ , by the matrix-tree theorem.

9. (7 pts ea.) We define a *binary tree* to be an unordered rooted tree in which every vertex has either 0 or 2 children. Let  $B_n$  be the set of labelled binary trees with vertex set  $\{1, \dots, n\}$ , and let  $b_n = |B_n|$  be the number of them.

(a) Find an equation whose solution is the exponential generating function

$$B(x) = \sum_{n=0}^{\infty} b_n \frac{x^n}{n!}$$

You need not solve for  $B(x)$ .

The structure of the trees and the <sup>product and</sup> composition principles give  $B(x) = x(1 + B(x)^2/2)$ , since the E.C.F.'s for trivial one-element set and trivial 0 or 2 element set are  $x$ , and  $1 + x^2/2$ .

(b) Let  $\hat{Z}_B(p_1, p_2, \dots)$  be the reduced cycle generating function for binary trees, i.e.,

$$\hat{Z}_B(p_1, p_2, \dots) = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{\sigma \in S_n} |B_n^\sigma| p_1^{c_1(\sigma)} \dots p_k^{c_k(\sigma)},$$

where  $B_n^\sigma$  is the set of labelled binary trees fixed by the permutation  $\sigma$ , and  $c_i(\sigma)$  denotes the number of  $i$ -cycles in  $\sigma$ .

Show that

$$\hat{Z}_B(p_1, p_2, \dots) = p_1 \left( 1 + \frac{\hat{Z}_B(p_1, p_2, \dots)^2 + \hat{Z}_B(p_2, p_4, \dots)}{2} \right).$$

The reduced cycle G.F.'s are

trivial 1-element set:  $p_1$

trivial 2-element set:  $1 + \frac{1}{2}(p_1^2 + p_2)$ .

Therefore  $\hat{Z}_B = p_1 \left( \left( 1 + \frac{p_1^2 + p_2}{2} \right) * \hat{Z}_B \right)$ , which is the same as the identity above.

(c) Let  $u_n$  be the number of unlabelled binary trees with  $n$  vertices. Find an equation whose solution is the ordinary generating function

$$U(x) = \sum_{n=0}^{\infty} u_n x^n.$$

You need not solve for  $U(x)$ .

We have  $U(x) = \hat{Z}_B(x, x^2, \dots)$ . Setting  $p_k = x^k$  in (b), this

gives  $U(x) = x \left( 1 + \frac{U(x)^2 + U(x^2)}{2} \right)$ .

(Notice that part (a) also comes from (b) with  $p_1 = x$ ,  $p_k = 0$  for  $k > 0$ .)