

# APPENDIX TO "SOME OBSTRUCTIONS TO POSITIVE SCALAR CURVATURE (psc) ON A NONCOMPACT MANIFOLD"

I. BACKGROUND ON SCALAR CURVATURE

II. CONJECTURE RELATING SCALAR CURV. TO  
SIMPLICIAL VOLUME

III. SOME RESULTS AND THE GLUING ISSUE

IV. HOW TO PROVE THINGS ABOUT SCALAR CURV.

$M^n$  SMOOTH MFLD. WITH A RIEMANNIAN  
METRIC  $g$ , I.E.  $T_m M$ , HAVE INNER PRODUCT  
ON  $T_m M$ .

SECTIONAL CURVATURE:  $\forall m \in M$  AND ANY  
2-PLANE  $P \subset T_m M$ , ASSIGN NUMBER  
 $K(P) \in \mathbb{R}$ .

SCALAR CURVATURE  $R \in C^\infty(M)$ ,

$R(m) = n(n-1) \cdot (\text{AVERAGE OF } K(P) \text{ AMONG  
ALL } P \subset T_m M)$ .

IF  $n=2$ ,  $R = 2 \cdot (\text{GAUSSIAN CURVATURE})$ .

OPEN QUESTION: WHICH COMPACT  $M$  ADMIT  
A METRIC WITH  $R > 0$ ?

KNOWN IF  $\pi_1(M) = \{e\}$ , GROMOV-LAWSON+STURZ  
CONJECTURE: IF  $M$  IS A SPHERICAL (I.E.  $\widetilde{M}$   
(CONTRACTIBLE)) THEN  $M$  DOES NOT ADMIT  
A PSC METRIC

GENERALIZED CONJECTURE: SAY  $\pi = \pi_1(M)$ ,

HAVE CLASSIFYING SPACE  $B\Gamma$  AND MAP

$\nu: M \rightarrow B\Gamma$ , ISOMORPHISM ON  $\pi_1$ .

(VN): SAY  $M$  ORIENTED. IF  $\nu_*(LM_3) \neq 0$   
IN  $H_n(B\Gamma; \mathbb{Q})$  THEN  $M$  DOES NOT  
ADMIT A PSD METRIC.

ALMOST NONNEGATIVE SCALAR CURVATURE:

ALLOW SOME NEGATIVE SCALAR CURV.  
RELATIVE TO, E.G., DIAMETER OR VOLUME  
CONS. (GROMOV 1986)  $\forall n \in \mathbb{Z}^+, \exists c_n > 0$  SO IF  
 $M$  IS COMPACT CONNECTED ORIENTED  
 $n$ -DIMENSIONAL RIEM. MF'D, WITH  $R \geq -\delta^2$ ,  
THEN  $\|M\| \leq c_n \delta^n \text{VOL}(M)$ ,

TWO WAYS TO THINK ABOUT THIS:

1. RESCALE SO  $\delta = 1$ . THEN  $\|M\|$  IS  
OBSTRUCTION TO VOLUME-COLLAPSING  
WITH  $R \geq -1$ .

2. RESCALE SO  $\text{VOL}(M) = 1$ . THEN  $\|M\|$   
IS AN OBSTRUCTION TO ALMOST  
NONNEGATIVE SCALAR CURVATURE,  
W.R.T. VOLUME NORMALIZATION

POSITIVE RESULTS:

1. TRUE IF SCALAR CURVATURE IS  
REPLACED BY RICCI CURVATURE (GROMOV)

2. TRUE IF SCALAR CURV. IS REPLACED BY  
"MACROSCOPIC SCALAR CURVATURE"  
(BRAUN-SAUER 2021)

ON OTHER HAND, CONJECTURE OPEN

EVEN IF  $\sigma = 0$ .

PROP. IF  $M$  IS COMPACT, SPIN,  $R \geq 0$ , AND  
 $\pi_1(M)$  SATISFIES STRONG NOVIKOV CONJECTURE (FOR  $C_{\max}^*$ ) THEN  $\|M\| = 0$ .

PF. RUN RICCI FLOW ON  $(M, g)$ . EITHER

$\text{Ric}(m) = 0$ , OR IT ACQUIRES  $R > 0$ .

IF  $\text{Ric}(m) = 0$ ,  $\pi_1(m)$  IS VIRTUALLY ABELIAN, SO  $\|M\| = 0$ . IF  $R > 0$ , SAY  $v: M \rightarrow B\pi$  IS CLASSIFYING MAP.

SNC  $\Rightarrow v_*(\pi_1(M)) = 0$  IN  $H_1(B\pi; \mathbb{Q})$   
IN PARTICULAR,  $v_*(\pm 1) = v_*[M] = 0$  IN  $H_1(B\pi; \mathbb{Q})$ . THEN  $\|M\| = 0$ .

ADDITIONAL GEOMETRIC ASSUMPTIONS:

QUANTITATIVE BOUND ON  $\|\text{SECT. CURV.}\|$

PROP. If  $n \in \mathbb{Z}^+$ ,  $D, \lambda < \infty$ ,  $\exists \epsilon = \epsilon(n, D, \lambda) > 0$  SO FOLLOWING HOLDS. SAY  $(M^n, g)$  IS COMPACT CONNECTED SPIN MF'D WITH  $\pi_1(M)$  SATISFYING SNC. SUPPOSE

1.  $\text{diam}(M, g) \leq D$

$\lambda \nmid$

2.  $|\text{sect. curv.}| \leq \lambda$

$\epsilon \leftarrow R \geq -\epsilon$

3.  $R \geq -\epsilon$ .

THEN  $\|M\| = 0$ .

$-\lambda +$

PF. SUPPOSE NOT.  $\exists$  SEQUENCE  $(M_i, g_i)$

WITH  $\text{diam}(M_i) \leq D$ ,  $|\text{sect. curv.}| \leq \lambda$ ,

$R \geq -\frac{\epsilon}{i}$ , BUT  $\|M_i\| \neq 0$ .

IF  $\exists$  SUBSEQUENCE SO  $\text{Vol}(M_i, g_i) \xrightarrow{i \to \infty} 0$ ,

THEN FOR LARGE  $i$ ,  $M_i$  HAS AN AMENABLE COVER OF MULTIPLICITY  $\leq n$ .

THEN  $\|M_i\|=0$ , CONTRADICTION.

HENCE  $\exists$  LOWER BOUND  $\text{vol}(M_i, g_i) \geq V_0 > 0$

THEN CONVERGENT SUBSEQ.  $(M_i, g_i) \rightarrow (M_\omega^n, g_\omega)$ . THEN  $R(M_\omega, g_\omega) \geq 0$ , SO  $\|M_\omega\|=0$ . BUT FOR LARGE  $i$ ,  $M_i$  IS DIFFEOMORPHIC TO  $M_\omega$ , SO  $\|M_i\|=0$ , CONTRADICTION.

RMK: CANNOT REMOVE SECT. CURV. BOUND.

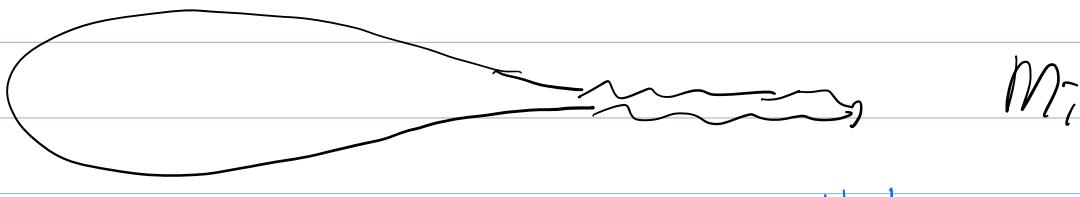
ANY  $M^n$ ,  $n \geq 3$ , HAS A SEQUENCE OF RIEM. METRICS SO  $\|R(g_i)\| \cdot \text{diam}(M_i, g_i)^2 \rightarrow 0$ . (LOHKAMP 1999).

SUPPOSE INSTEAD  $\text{vol}(m)=1$ ,  $|\text{sect. curv}| \leq 1$   
IS THERE SOME  $\epsilon(n, 1) > 0$  SO  $R^2 - \epsilon \Rightarrow \|M\|=0$ ?

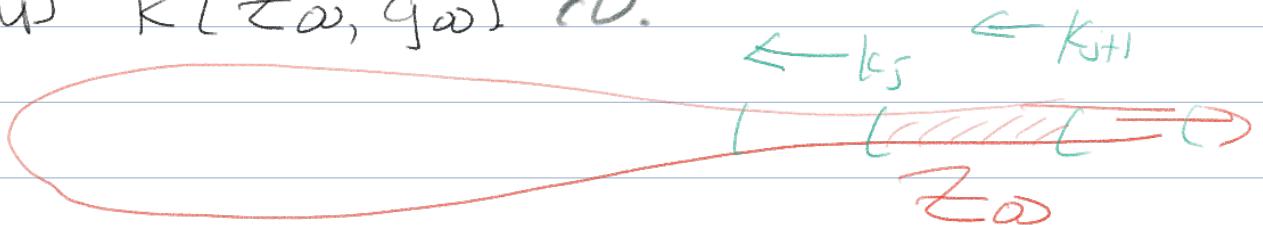
SUPPOSE NOT.  $\exists$  SEQUENCE  $(M_i, g_i)$  WITH  $\text{vol}(M_i, g_i)=1$ ,  $|\text{sect. curv}| \leq 1$ ,  $R(M_i, g_i) \geq -\frac{1}{i}$ , BUT  $\|M_i\| \neq 0$ .

CAN ASSUME  $\text{diam}(M_i, g_i) \rightarrow \infty$ .

AFTER PASSING TO A SUBSEQUENCE,  
FOR LARGE  $i$ , GET THICK-THIN DECOMPOSITION  
OF  $M_i$ , I.E.  $M_i = M_i^{\text{thick}} \cup M_i^{\text{thin}}$



WHERE  $M_i^{\text{thick}}$  IS DIFFEO TO A LARGE REGION IN SOME  $(Z_\omega^n, g_\omega)$  AND  $M_i^{\text{thin}}$  HAS AMENABLE COVER WITH MULTIPLICITY  $\leq n$ . HERE  $Z_\omega$  IS A COMPLETE NONCOMPACT  $n$ -MFID WITH  $\text{vol}(Z_\omega, g_\omega) < \infty$  AND  $R(Z_\omega, g_\omega) > 0$ .



HYPOTHESIS: EXHAUSTION  $k_1, k_2, \dots$

OF  $Z_\omega$  BY COMPACT MFIDS WITH BDRY  $S_d$

$\forall_{\epsilon} [k_{j+1}, k_{j+1} - \text{int}(k_j)]$  VANISHES

IN  $H_n(B\pi_1(k_{j+1}), B\pi_1(k_{j+1} - \text{int}(k_j)))$ ; (Q)

SAY  $R_{i,j} \subset M_i$  IS DIFFEO TO  $k_j$   
TAKE  $M_i^{\text{thick}} = R_{i,j+1}$  AND  $M_i^{\text{thin}} =$

$M_i - \text{int}(R_{i,j})$ .

THEN  $\|M_i^{\text{thick}}, M_i^{\text{thick}} \cap M_i^{\text{thin}}\| = 0$ .

WANT TO SAY  $\|M_i\| = 0$ ?

### SPECIAL FEATURES:

1.  $\|M_i^{\text{thick}}, M_i^{\text{thick}} \cap M_i^{\text{thin}}\| = 0$  FOR A

REASON:  $\forall_{\epsilon} (M_i^{\text{thick}}, M_i^{\text{thick}} \cap M_i^{\text{thin}}) = 0$

IN  $H_n(B\pi_1(M_i^{\text{thick}}), B\pi_1(M_i^{\text{thick}} \cap M_i^{\text{thin}}))$ .

2.  $M_i^{\text{thick}} \cap M_i^{\text{thin}}$  HAS AMENABLE COVER WITH MULTIPLICITY  $\leq n$ .