The transverse index problem for Riemannian foliations

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Introduction

- **Riemannian foliations**
- **Basic index**
- Compact Lie group actions
- **Riemannian groupoids**
- Index theorem
- Steps of the proof

Joint work with Alexander Gorokhovsky



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Foliation index theory



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Let (M, \mathcal{F}) be a compact foliated manifold.

Foliation index theory



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There are two types of foliated index theories,

- 1. Longitudinal index theory, and
- 2. Transverse index theory.

What I won't be talking about

Longitudinal index theorem (Connes-Skandalis)

Suppose that there is a differential operator D on M that is Dirac-type, except that it only differentiates in the leaf directions.

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More precisely, $Index(D) \in K_*(C_r^*(M; \mathcal{F}))$, where $C_r^*(M; \mathcal{F})$ is the reduced C^* -algebra of the foliation.

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More precisely, $Index(D) \in K_*(C_r^*(M; \mathcal{F}))$, where $C_r^*(M; \mathcal{F})$ is the reduced C^* -algebra of the foliation.

The longitudinal index theorem gives a topological equivalent of Index(D).

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What is it?

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Prototypical example : the Atiyah-Singer index theorem.

Suppose that M is foliated by points. Then D is just a Dirac-type operator on M, and

$$\operatorname{Index}(D) = \int_{M} \widehat{A}(TM) \operatorname{ch}(E).$$

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In general, we would like a formula for Index(D) in terms of the local geometry of the foliated manifold.

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Then the leaf space of (M, \mathcal{F}) is an orbifold. The operator *D* becomes an orbifold Dirac-type operator.

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Orbifold index theorem (Kawasaki 1981) :

$$\operatorname{Index}(D) = \sum_{i} \int_{\Sigma_{i}} \frac{1}{m_{i}} \widehat{A}(T\Sigma_{i}) \, \mathcal{N}_{i},$$

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$$\operatorname{Index}(D) = \sum_{i} \int_{\Sigma_{i}} \frac{1}{m_{i}} \widehat{A}(T\Sigma_{i}) \mathcal{N}_{i},$$

where

- 1. $\{\Sigma_i\}$ are the strata of the orbifold,
- 2. m_i is the multiplicity of Σ_i and
- 3. The characteristic class N_i is computed from the normal data of Σ_i and the auxiliary vector bundle *E*.

Why is this problem interesting?

1. It has been open for twenty years.

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2. It leads to questions about analysis on Riemannian groupoids.

3. Usual local index theory methods (McKean-Singer technique) don't work.

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Let M be a compact connected manifold equipped with a Riemannian foliation \mathcal{F} .

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Here

► W_{max} is the deepest stratum in the space of leaf closures.

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Theorem

Let M be a compact connected manifold equipped with a Riemannian foliation \mathcal{F} . Suppose that the Molino Lie algebra is abelian and the isotropy groups are connected. Let \mathcal{E} be a holonomy-invariant \mathbb{Z}_2 -graded normal Clifford module on M. Let D be the basic Dirac-type operator acting on holonomy-invariant sections of \mathcal{E} . Then

$$\operatorname{Index} (D) = \int_{W_{\max}} \widehat{A}(TW_{\max}) \ \mathcal{N}_{\mathcal{E}}.$$

Here

- ► W_{max} is the deepest stratum in the space of leaf closures.
- ▶ N_E is a "renormalized " characteristic class, which is computed from the normal data of W_{max}, along with E.

1. The basic Euler characteristic of (M, \mathcal{F}) equals the Euler characteristic of W_{max} .

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2. If \mathcal{F} is transversely oriented then the basic signature of (M, \mathcal{F}) equals the signature of W_{max} .

3. If \mathcal{F} has a transverse spin structure, D is the basic Dirac operator and the leaves are noncompact then Index (D) = 0.

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Steps of the proof

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What is a foliation?

A k-dimensional foliation of a manifold M is a covering of M by foliation charts

 $\phi_i: U_i \to \mathbb{R}^k \times \mathbb{R}^{n-k}$



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whose transition maps are of the form

$$\phi_{ij}(x, y) = (g_{ij}(x, y), h_{ij}(y)).$$

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What is a Riemannian foliation?

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A Riemannian foliation is a foliation (M, \mathcal{F}) along with an inner product on $N\mathcal{F}$ that locally pulls back, under the submersion $U \to \mathbb{R}^{n-k}$, from a Riemannian metric on \mathbb{R}^{n-k} .

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Complete transversal



A complete transversal \mathcal{T} is a (possibly disconnected) submanifold, with dim(\mathcal{T}) = codim(\mathcal{F}), that is transverse to \mathcal{F} and hits every leaf of (M, \mathcal{F}). It always exists.

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 \mathcal{T} acquires a Riemannian metric, pulled back from the local diffeomorphisms $\mathcal{T} \cap U \to \mathbb{R}^{n-k}$.



Start at a point $p \in T$. Slide along a path in the leaf through p, until you hit a point $q \in T$. This gives a germ of a diffeomorphism sending $p \in T$ to $q \in T$, the holonomy element.

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Start at a point $p \in \mathcal{T}$. Slide along a path in the leaf through p, until you hit a point $q \in \mathcal{T}$. This gives a germ of a diffeomorphism sending $p \in \mathcal{T}$ to $q \in \mathcal{T}$, the holonomy element.

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"Basic" means holonomy-invariant on \mathcal{T} . For example, a Riemannian foliation has a basic Riemannian metric.

The transverse index problem for Riemannian foliations

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Introduction

Riemannian foliations

Basic index

Compact Lie group actions

Riemannian groupoids

Index theorem

Steps of the proof

If (M, \mathcal{F}) is a Riemannian foliation, it makes sense to talk about a basic \mathbb{Z}_2 -graded Clifford module \mathcal{E} on \mathcal{T} and a basic Dirac-type operator D, acting on the basic sections of \mathcal{E} .

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What is it?

Suppose (for simplicity) that \mathcal{T} has a basic spin structure. Putting $q = \operatorname{codim}(\mathcal{F})$, there is a principal $\operatorname{Spin}(q)$ -bundle

 $F_{\text{Spin}(q)}M \to M.$

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$$F_{\operatorname{Spin}(q)}M \to M.$$

There is a lifted foliation $\widehat{\mathcal{F}}$ of $F_{\text{Spin}(q)}M$, with $\dim(\widehat{\mathcal{F}}) = \dim(\mathcal{F})$. The closures of its leaves form the fibers of a fiber bundle $F_{\text{Spin}(q)}M \to \widehat{W}$, which is Spin(q)-equivariant.

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One can lift *D* to an operator on $F_{\text{Spin}(q)}M$,

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One can lift *D* to an operator on $F_{\text{Spin}(q)}M$, which then descends to a Spin(q)-transversally elliptic operator \widehat{D} on \widehat{W} .

Index theorem for an operator which is transversally elliptic with respect to a compact Lie group action (Atiyah, Berline-Vergne, Vergne, Paradan, ...)

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Approach of Brüning-Kamber-Richardson : do equivariant modifications of \widehat{W} to simplify the isotropy group structure. Keep track of how the index changes under the modifications. Get a semilocal formula for Index(*D*).

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Introduction

Riemannian foliations

Basic index

Compact Lie group actions

Riemannian groupoids

Index theorem

Steps of the proof

1. A discrete group Γ,

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Suspension foliations

Suppose that we are given

- 1. A discrete group Γ,
- 2. A compact Lie group G,

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- 1. A discrete group Γ,
- 2. A compact Lie group G,
- 3. An injective homomorphism $\Gamma \to {\it G}$ with dense image,

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4. A closed manifold Y with fundamental group Γ , and

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- 4. A closed manifold Y with fundamental group Γ , and
- 5. A closed Riemannian manifold *Z* on which *G* acts isometrically.

- 1. A discrete group Γ,
- 2. A compact Lie group G,
- 3. An injective homomorphism $\Gamma \rightarrow G$ with dense image,
- 4. A closed manifold Y with fundamental group Γ , and
- 5. A closed Riemannian manifold *Z* on which *G* acts isometrically.

Put $M = (\widetilde{Y} \times Z)/\Gamma$, a fiber bundle over Y.




There is a horizontal Riemannian foliation \mathcal{F} on M.





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There is a horizontal Riemannian foliation \mathcal{F} on M.

A fiber Z of the fiber bundle is a complete transversal.



There is a horizontal Riemannian foliation \mathcal{F} on M.

A fiber Z of the fiber bundle is a complete transversal.

A transverse Dirac-type operator on (M, \mathcal{F}) amounts to a Γ -invariant Dirac-type operator D on Z,

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A fiber Z of the fiber bundle is a complete transversal.

A transverse Dirac-type operator on (M, \mathcal{F}) amounts to a Γ -invariant Dirac-type operator D on Z,

or, equivalently, a G-invariant Dirac-type operator D on Z.

Suppose that $G = T^k$.



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$$\operatorname{Index}\left(\mathcal{D}_{\operatorname{inv}}
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Get cancellations from various components of Z^{T^k} .

 $\int_{T^k} \left(\int_{Z^{T^k}} \mathcal{L}(g, z) \, dz \right) d\mu_{T^k}(g) \stackrel{?}{=} \int_{Z^{T^k}} \left(\int_{T^k} \mathcal{L}(g, z) \, d\mu_{T^k}(g) \right) dz$

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McKean-Singer-type localization, to compute $Index (D_{inv})$, doesn't work.

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But what to do for more general Riemannian foliations, which may not reduce to compact Lie group actions?

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A Riemannian foliation may not come from a Lie group action, but there is always a local action by a Lie algebra \mathfrak{g} .

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- Idea : prove a Kirillov-type delocalized index formula, in terms of $X \in \mathfrak{g}$.

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- A Riemannian foliation may not come from a Lie group action, but there is always a local action by a Lie algebra \mathfrak{g} .
- Idea : prove a Kirillov-type delocalized index formula, in terms of $X \in \mathfrak{g}$.
- If \mathfrak{g} is abelian, we can replace the nonexistent "integral over *G*" by an averaging over $X \in \mathfrak{g}$.

The transverse index problem for Riemannian foliations

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Introduction

Riemannian foliations

Basic index

Compact Lie group actions

Riemannian groupoids

Index theorem

Steps of the proof

Let \mathcal{T} be a complete transversal for the Riemannian foliation (M, \mathcal{F}) .

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The elements of G are the holonomy elements of (M, \mathcal{F}) . Source and range maps

$$\mathbf{s}, \mathbf{r} : \mathcal{G} \to \mathcal{T}.$$

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The transverse index problem becomes a question about the invariant index of an operator on a Riemannian groupoid.

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Example : suspension foliation

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However, it also has a topology in which it becomes an étale groupoid $\overline{\mathcal{G}}_{\delta}.$

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- Parallel to Cheeger-Fukaya-Gromov theory of Nil-structures in bounded curvature collapse.
- Local structure of a Riemannian groupoid (Haefliger, Molino).

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Let $\{d\mu^{p}\}_{p\in\mathcal{T}}$ be a Haar system for $\overline{\mathcal{G}}$, with μ^{p} a measure on \mathcal{G}^{p} .

Let $\phi \in \textit{C}^{\infty}_{c}(\mathcal{T})$ be a cutoff function so that

$$\int_{\overline{\mathcal{G}}^{
ho}} \phi^2(s(g)) \, d\mu^{
ho}(g) = 1.$$

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Invariant subspace

Let \mathcal{E} be a \mathcal{G} -equivariant vector bundle on \mathcal{T} .

Invariant subspace

Let \mathcal{E} be a \mathcal{G} -equivariant vector bundle on \mathcal{T} . Define an injection $\alpha : L^2(\mathcal{T}; \mathcal{E})^{\overline{\mathcal{G}}} \to L^2(\mathcal{T}; \mathcal{E})$ by

$$\alpha(\xi) = \phi\xi,$$

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and a surjection $\beta: L^2(\mathcal{T}; \mathcal{E}) \to L^2(\mathcal{T}; \mathcal{E})^{\overline{\mathcal{G}}}$ by

$$(eta(\eta))_{\mathcal{P}} = \int_{\overline{\mathcal{G}}^{\mathcal{P}}} \left(\eta_{s(g)} \cdot g^{-1} \right) \, \phi(s(g)) \, d\mu^{\mathcal{P}}(g).$$

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Then

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Hence $P = \alpha \circ \beta$ is an orthogonal projection on $L^2(\mathcal{T}; \mathcal{E})$, with image isomorphic to $L^2(\mathcal{T}; \mathcal{E})^{\overline{\mathcal{G}}}$. Get inner product on $L^2(\mathcal{T}; \mathcal{E})^{\overline{\mathcal{G}}}$.

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Proposition $(C_c^{\infty}(\overline{\mathcal{G}}), L^2(\mathcal{T}; \mathcal{E}), D_0)$ is a spectral triple of dimension q.

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Put $D_{inv} = \beta \circ D_0 \circ \alpha$, an operator on $L^2(\mathcal{T}; \mathcal{E})^{\overline{\mathcal{G}}}$. It is unitarily equivalent to $P \circ D_0 \circ P$ on Im(*P*).

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Corollary

 D_{inv} is Fredholm. In fact, $e^{-tD_{\text{inv}}^2}$ is trace-class for all t > 0.

The transverse index problem for Riemannian foliations

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- Introduction
- **Riemannian foliations**
- **Basic index**
- Compact Lie group actions
- Riemannian groupoids
- Index theorem
- Steps of the proof



A neighborhood of $w \in W$ is homeomorphic to V_w/K_w , where V_w is a vector space and K_w is a compact Lie group, whose Lie algebra lies in \mathfrak{g} .

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Assumptions :

1. The Molino Lie algebra \mathfrak{g} is an abelian Lie algebra \mathbb{R}^k ,

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Put
$$W_{\max} = \{ w \in W : K_w \simeq T^k \}.$$

Theorem

Under the assumptions of the previous slide, let \mathcal{E} be a \mathbb{Z}_2 -graded basic Clifford module on \mathcal{T} . Let D_{inv} be the basic Dirac-type operator on $L^2(\mathcal{T};\mathcal{E})^{\overline{\mathcal{G}}}$. Then

$$\operatorname{Index}(D_{\operatorname{inv}}) = \int_{W_{\max}} \widehat{A}(TW_{\max}) \mathcal{N}_{\mathcal{E}}.$$

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Here $\mathcal{N}_{\mathcal{E}}$ is a characteristic class on W_{max} , which is computed from the normal data of W_{max} , along with \mathcal{E} .

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The transverse index problem for Riemannian foliations

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- $\blacktriangleright \ U_{\alpha} \subset U'_{\alpha},$
- $U'_{\alpha} = B(V_{\alpha})/K_{\alpha}$, with $B(V_{\alpha})$ a ball in $V_{\alpha} \simeq \mathbb{R}^{q}$ and $K_{\alpha} = T^{k_{\alpha}}$, and
- $B(V_{\alpha})$ extends to a closed Riemannian manifold Y_{α} with a $T^{k_{\alpha}}$ -action.

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Let D_{α} be the Dirac-type operator on Y_{α} . Let $D_{\text{inv},\alpha}$ be its restriction to $T^{k_{\alpha}}$ -invariant sections.
Parametrix

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 $\sum_{\alpha} \rho_{\alpha} Q_{\text{inv},\alpha} \eta_{\alpha}$ is a parametrix for D_{inv} . Also, for all t > 0,

$$\mathsf{Index}(D_{\mathsf{inv}}) = \sum_{\alpha} \mathsf{Tr}_{s} \left(e^{-tD_{\mathsf{inv},\alpha}^{2}} \eta_{\alpha} \right) + \frac{1}{2} \sum_{\alpha} \mathsf{Tr}_{s} \left(Q_{\mathsf{inv},\alpha}[D_{\mathsf{inv},\alpha},\eta_{\alpha}] \right)$$

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$$=\sum_{\alpha}\mathrm{Tr}_{s}\left(e^{-t\mathcal{D}_{\mathrm{inv},\alpha}^{2}}\eta_{\alpha}\right)+\frac{1}{2}\sum_{\alpha,\beta}\mathrm{Tr}_{s}\left(\rho_{\alpha}(\mathcal{Q}_{\mathrm{inv},\alpha}-\mathcal{Q}_{\mathrm{inv},\beta})\eta_{\beta}[\mathcal{D}_{\mathrm{inv},\alpha},\eta_{\alpha}]\right)$$

Fix a Haar measure $d\mu_{\mathfrak{g}}$ on $\mathfrak{g} \simeq \mathbb{R}^k$. If $F \in C^{\infty}(\mathbb{R}^k)$ is a finite sum of periodic functions, put

$$AV_XF = \lim_{R \to \infty} rac{\int_{B(0,R)} F(X) \ d\mu_\mathfrak{g}(X)}{\int_{B(0,R)} 1 \ d\mu_\mathfrak{g}(X)}.$$

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Proposition

$$\operatorname{Index}(D_{\operatorname{inv}}) = AV_X \left(\sum_{\alpha} \operatorname{Tr}_s \left(e^{-(tD_{\alpha}^2 + \mathcal{L}_X)} \eta_{\alpha} \right) + \frac{1}{2} \sum_{\alpha} \int_0^t \operatorname{Tr}_s \left(e^{-(sD_{\alpha}^2 + \mathcal{L}_X)} D_{\alpha}[D_{\alpha}, \eta_{\alpha}] \, ds \right) \right).$$



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From before,

$$\operatorname{Index}(D_{\operatorname{inv}}) = AV_XF_{t,0}.$$

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Proposition $F_{t,0}(X)$ is independent of t.



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Proposition

 $F_{t,2}(X)$ has a holomorphic extension to $X \in \mathbb{C}^k$.

Proposition If $X \in \mathbb{R}^k$ then

$$\lim_{t\to 0} F_{t,1}(iX) = \sum_{\alpha} \int_{Y_{\alpha}} \widehat{A}(iX, Y_{\alpha}) \operatorname{ch}(iX, \mathcal{E}_{\alpha}/S) \eta_{\alpha}.$$

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$$Index(D_{inv}) = AV_XF_{t,0} = AV_XF_{t.1}$$

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$$(D_{inv}) = AV_X F_{t,0} = AV_X F_{t,1}$$

= $AV_X \sum \int \widehat{A}(X, Y_2) \operatorname{ch}(X, \mathcal{E}_2/S) \eta_2$

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Proposition

For any $Q \in \mathbb{C}^k$,

$$AV_X\sum_lpha \int_{Y_lpha} \widehat{A}(X,Y_lpha) \, \operatorname{ch}(X,\mathcal{E}_lpha/\mathcal{S}) \, \eta_lpha =$$

$$AV_X \int_{W_{\max}} \widehat{A}(TW_{\max}) \frac{\operatorname{ch}_{\mathcal{W}}(e^{-X+Q})}{\operatorname{ch}_{\mathcal{S}_N}(e^{-X+Q})}.$$



$$\mathcal{N}_{\mathcal{E},Q} = \mathcal{A}V_X rac{\mathrm{ch}_{\mathcal{W}}(e^{-X+Q})}{\mathrm{ch}_{\mathcal{S}_N}(e^{-X+Q})}.$$

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As long as Im(Q) remains outside certain hyperplanes in \mathbb{R}^k , what's being averaged is smooth in *X*.

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 $\mathcal{N}_{\mathcal{E}, \mathcal{Q}}$ can be computed using contour integrals.

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 $\mathcal{N}_{\mathcal{E}, \mathcal{Q}}$ can be computed using contour integrals. Corollary

Index
$$(D_{inv}) = \int_{W_{max}} \widehat{A}(TW_{max}) \mathcal{N}_{\mathcal{E},Q}$$