

# Math 256A Problem Set 3

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## Question 1.

(a) We will verify that a morphism of sheaves is determined by the induced morphism of sheaves on the base. We let  $\phi_1, \phi_2 : \mathcal{F} \rightarrow \mathcal{G}$  be two morphism of sheaves, and denote  $\overline{\phi_1}, \overline{\phi_2} : F \rightarrow G$  to be the induced morphism of sheaves on the base. Then the claim that we wish to verify is the same as saying that if for any given open  $U = \bigcup_i B_i \subset X$  where  $B_i$  are base elements, and suppose  $\overline{\phi_1}(B_i) = \overline{\phi_2}(B_i)$  for all  $i$ , then  $\phi_1 = \phi_2$ .

To show this, we first note that given any base element  $B'$ , the induced morphism  $\overline{\phi_1}(B')$  is defined to be  $\phi_1(B')$  (and similarly for  $\phi_2$ ). Given any open  $U = \bigcup_i B_i \subset X$  (where  $B_i$  are base elements) such that  $\overline{\phi_1}(B_i) = \overline{\phi_2}(B_i)$  for all  $i$ , we will treat these morphisms as elements of the "Sheaf Hom". First of all,  $\overline{\phi_1}(B_i) = \phi_1(B_i) \in \text{Hom}(\mathcal{F}, \mathcal{G})(B_i)$ , and as we have constructed in the last homework,  $\overline{\phi_1}(B_i) = \phi_1(B_i) = \text{res}_{U, B_i}(\phi_1(U))$  where  $\phi_1(U) \in \text{Hom}(\mathcal{F}, \mathcal{G})(U)$ . Thus as  $\overline{\phi_1}(B_i) = \text{res}_{U, B_i}(\phi_1(U))$  for all  $i$  (and similarly for  $\phi_2$ ), we have

$$\text{res}_{U, B_i}(\phi_1(U)) = \overline{\phi_1}(B_i) = \overline{\phi_2}(B_i) = \text{res}_{U, B_i}(\phi_2(U))$$

So as "Sheaf Hom" is a sheaf, by the identity axiom on the Sheaf Hom, we see that  $\phi_1(U) = \phi_2(U)$ , and as  $U$  is arbitrary, we conclude that  $\phi_1 = \phi_2$ .

(b) Given  $F, G$  two sheaves on the base, we can construct, as described in this section, sheaves  $\mathcal{F}, \mathcal{G}$  on  $X$ . Given  $\overline{\phi} : F \rightarrow G$  a morphism of sheaves on the base and any open  $U \subset X$ , we want to define a map  $\phi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ . We will do so by considering compatible stalks. By definition

$$\mathcal{F}(U) = \{(f_p \in F_p)_{p \in U} : \text{for all } p \in U, \text{ there exists } B \text{ with } p \subset B \subset U, s \in F(B), \text{ with } s_q = f_q \text{ for all } q \in B\}$$

However, given any  $p$  we see that  $\overline{\phi} : F \rightarrow G$  induces a morphism of stalks  $\overline{\phi}_p : F_p \rightarrow G_p$ . So first of all we consider a set

$$S = \{(\overline{\phi}_p(f_p) \in G_p)_{p \in U} : (f_p) \in \mathcal{F}(U)\}$$

We want to show that this is contained in  $\mathcal{G}(U)$ . To see this, given  $p \in U$  there exists  $B$  with  $p \in B \subset U, s \in F(B)$  with  $s_q = f_q$  for all  $q \in B$ . Then given any  $p \in U$ , we take the same  $p \in B \subset U$ , and consider  $\overline{\phi}(B)(s) \in G(B)$ , we see by the commutative square (as described in Section 3.4.3.1)

$$\begin{array}{ccc} F(B) & \xrightarrow{\overline{\phi}(B)} & G(B) \\ \downarrow & & \downarrow \\ \prod_{q \in B} F_q & \xrightarrow{\prod_{q \in B} \overline{\phi}_q} & \prod_{q \in B} G_q \end{array}$$

that if  $s_q = f_q$  for all  $q \in B$ , then

$$\overline{\phi}(B)(s)_q = \overline{\phi}_q(s_q) = \overline{\phi}_q(f_q)$$

Thus  $\bar{\phi}(B)(s)$  satisfies  $\bar{\phi}(B)(s)_q = \bar{\phi}_q(f_q)$  for all  $q \in B$ , and hence we see that  $S$  is a subset of  $\mathcal{G}(U)$ , and thus by sending  $f_p \mapsto \bar{\phi}_p(f_p)$ , we have constructed a map  $\phi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for an arbitrary  $U$ , and hence we have a data of maps  $\phi : \mathcal{F} \rightarrow \mathcal{G}$ . The tautological restriction map commutes with the data of maps that we have just constructed, and thus we conclude that  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  as defined is a morphism of induced sheaves, and a morphism of sheaves on the base gives a morphism of the induced sheaves.

### Question 2.

Since  $X = \bigcup_i U_i$ , then we define  $B_{i,j}$ ,  $j \in J_i$  where  $J_i$  is an indexing set depending on  $i$ , be open sets contained in  $U_i$ , then  $\{B_{i,j}\}$  form a base of  $X$ . Now for any  $B_{i,j}$ , we can choose  $k$  (depending on  $i, j$ ) such that  $B_{i,j} \subset U_k$  and  $F(B_{i,j}) = \mathcal{F}_k(B_{i,j})$  (potentially using the axiom of choice?). Then given any  $B_1 \subset B_2$  with the corresponding  $k_1, k_2$ , we define the restriction map by  $\text{res}_{B_2, B_1} = \phi_{k_2 k_1} \circ \text{res}_{B_2, B_1}$  where the second restriction map is the restriction in  $\mathcal{F}_{k_2}$  (the restriction of sheaves will be italicize, while the restriction of sheaves on base will not be). We will check that this restriction map gives a presheaf on base. Suppose  $B_1 \subset B_2 \subset B_3$  with corresponding  $k_1, k_2, k_3$ , then

$$\begin{aligned} \text{res}_{B_2, B_1} \circ \text{res}_{B_3, B_2} &= \phi_{k_2 k_1} \circ \text{res}_{B_2, B_1} \circ \phi_{k_3 k_2} \circ \text{res}_{B_3, B_2} \\ &= \phi_{k_2 k_1} \circ \phi_{k_3 k_2} \circ \text{res}_{B_2, B_1} \circ \text{res}_{B_3, B_2} \\ &= \phi_{k_3 k_1} \circ \text{res}_{B_3, B_1} = \text{res}_{B_3, B_1} \end{aligned}$$

where the second equality comes from  $\phi_{k_3 k_2}$  being an isomorphism of sheaves, so it commutes with restriction maps. Thus we have a presheaf on base.

We will check that this defines a sheaf on base. We will check base identity first. Given  $B = \bigcup_i B_i$ , with corresponding  $k_i$  for  $B_i$  and  $k$  for  $B$ . Then if  $f, g \in F(B)$  are such that  $\text{res}_{B, B_i} f = \text{res}_{B, B_i} g$  for all  $i$ , then we see that  $\phi_{k k_i} \circ \text{res}_{B, B_i} f = \phi_{k k_i} \circ \text{res}_{B, B_i} g$  for all  $i$ , and thus  $\text{res}_{B, B_i} f = \text{res}_{B, B_i} g$  for all  $i$ . Thus  $f = g$  by the sheaf identity axiom on  $\mathcal{F}_k$ .

Next we check base gluability. Suppose  $B = \bigcup_i B_i$ , with corresponding  $k_i$  for  $B_i$  and  $k$  for  $B$ . Suppose we have  $f_i \in F(B_i)$  for all  $i$  such that  $\text{res}_{B_i, B_i \cap B_j} f_i = \text{res}_{B_j, B_i \cap B_j} f_j$  (here we let  $k_{ij}$  correspond to  $B_i \cap B_j$ ), then we see that  $\phi_{k_i k_{ij}} \circ \text{res}_{B_i, B_i \cap B_j} f_i = \phi_{k_j k_{ij}} \circ \text{res}_{B_j, B_i \cap B_j} f_j$ , so  $\phi_{k_i k} \circ \phi_{k_i k_{ij}} \circ \text{res}_{B_i, B_i \cap B_j} f_i = \phi_{k_j k} \circ \phi_{k_j k_{ij}} \circ \text{res}_{B_j, B_i \cap B_j} f_j$ , and hence  $\phi_{k_i k} \circ \text{res}_{B_i, B_i \cap B_j} f_i = \phi_{k_j k} \circ \text{res}_{B_j, B_i \cap B_j} f_j$ . Therefore, we see that  $\text{res}_{B_i, B_i \cap B_j} \circ \phi_{k_i k} f_i = \text{res}_{B_j, B_i \cap B_j} \circ \phi_{k_j k} f_j$  for all  $i, j$ , and hence by the gluability of the sheaf  $\mathcal{F}_k$ , we see that there is an element  $f \in \mathcal{F}_k(B) = F(B)$  such that  $\text{res}_{B, B_i} f = \phi_{k_i k} f_i$  for all  $i$ , but then  $\text{res}_{B, B_i} f = \phi_{k k_i} \circ \text{res}_{B, B_i} f = \phi_{k k_i} \circ \phi_{k_i k} f_i = f_i$  for all  $i$ , so we see that  $f \in F(B)$  is the element satisfying the base gluability. Thus  $F$  is base gluable, and we see that  $F$  is a sheaf on base.

Finally, since we are given a sheaf on base, we can construct a  $\mathcal{F}$  on  $X$  which is unique up to unique isomorphism by Theorem 3.7.1 of Vakil. It also claims that  $F(B) \cong \mathcal{F}(B)$ , and thus we see that given  $B \subset U_i$ ,  $\mathcal{F}_i(B) \cong F(B) \cong \mathcal{F}(B) = \mathcal{F}|_{U_i}(B)$ , thus  $\mathcal{F}_i \cong \mathcal{F}|_{U_i}$ .

### Question 3.

$\mathbb{A}_{\mathbb{Q}}^1 = \text{Spec } \mathbb{Q}[x]$ . Now as this is a Euclidean domain, all ideals are principal. All prime ideals are thus of the form  $(f(x))$  where  $f(x)$  is an irreducible polynomial. I was unable to classify all irreducible polynomials over  $\mathbb{Q}$ . The picture will look like  $\mathbb{C}$  where any given  $c \in \mathbb{C}$  will be identified with all its Galois conjugate.

### Question 4.

Suppose  $\mathfrak{p}$  is a prime ideal, and suppose that it is not principal. Suppose on the contrary that for all  $f, g \in \mathfrak{p}$ , there is a common factor. Let  $f(x, y)$  be a polynomial of smallest degree (of  $x$  and  $y$  combined).

Now as  $\mathfrak{p}$  is not principal, we see that there is a  $g(x, y)$  that is not a multiple of  $f(x, y)$ . But now  $f$  and  $g$  have a common factor, so  $f(x, y) = f_1(x, y)f_2(x, y)$  where  $f_1$  is a common factor of  $f$  and  $g$ . However, by primality, we see that either  $f_1$  or  $f_2$  is in  $\mathfrak{p}$ , which contradicts the fact that  $f(x, y)$  is of the smallest degree. Thus the  $f(x, y), g(x, y) \in \mathfrak{p}$  chosen here are such that  $f, g$  has no common factor.

Our choice of  $f, g$  here has no common factor in  $\mathbb{C}[x, y] = \mathbb{C}[x][y]$ . If  $f$ , when considered as a polynomial in  $\mathbb{C}(x)[y]$ , becomes a unit, then  $f = x - a$  for some complex  $a$  (as  $f$  is of minimal degree). But then if  $x - a \in \mathfrak{p}$ , then given any  $g(x, y) = r_n(x)y^n + r_{n-1}(x)y^{n-1} + \dots + r_0(x)$ , we see that  $r_n(a)y^n + r_{n-1}(a)y^{n-1} + \dots + r_0(a) \in \mathfrak{p}$ , so some  $y - b$  must be in  $\mathfrak{p}$ , and hence  $\mathfrak{p} = (x - a, y - b)$ . Otherwise, we see that  $f, g$  have no common factor in the Euclidean domain  $\mathbb{C}(x)[y]$  by Gauss lemma applied to  $f$ . Then using the Euclidean algorithm, we see that there exist  $h_1, h_2 \in \mathbb{C}(x)[y]$  such that  $fh_1 + gh_2$  is a unit in  $\mathbb{C}(x)[y]$ , which is of the form  $h(x)$ . Thus  $fh_1 + gh_2 = h(x)$ . We can clear the denominators of both sides, and we get  $fh'_1 + gh'_2 = h'(x)$  where now  $h'_1, h'_2 \in \mathbb{C}[x, y]$  and  $h'(x) \in \mathbb{C}[x]$ . This gives  $h'(x) \in (f(x, y), g(x, y)) \subset \mathfrak{p}$ , and thus by primality some  $(x - a) \in \mathfrak{p}$ , and by a similar argument some  $(y - b) \in \mathfrak{p}$ , and so  $\mathfrak{p} = (x - a, y - b)$ . This concludes the claim that the prime ideals of  $\mathbb{C}[x, y]$  are of the form  $(x - a, y - b)$  for some complex  $a, b$ , or a principal ideal  $(f(x, y))$  generated by a irreducible polynomial  $f(x, y)$ .

### Question 5.

Let  $A$  be a ring,  $I \subset A$  an ideal, and  $\phi : A \rightarrow A/I$  be the projection map. Given any prime  $\mathfrak{p} \in \text{Spec}(A/I)$ , we will first show that the map  $\phi' : \text{Spec}(A/I) \rightarrow \{\mathfrak{p} \in \text{Spec}(A) : I \subset \mathfrak{p}\}$  defined by  $\phi'(\mathfrak{p}) = \phi^{-1}(\mathfrak{p})$  is a prime containing  $I$ . Given any  $a, b \in A$  such that  $ab \in \phi'(\mathfrak{p})$ , we see that  $ab \in \phi^{-1}(\mathfrak{p})$ , thus  $\phi(ab) = \phi(a)\phi(b) \in \mathfrak{p}$ , which means that  $\phi(a)$  or  $\phi(b)$  is in  $\mathfrak{p}$ . This shows that  $a$  or  $b$  is in  $\phi^{-1}(\mathfrak{p})$ , so  $\phi'(\mathfrak{p})$  is a prime. It contains  $I$  because  $0 \in \mathfrak{p}$  and thus  $I = \phi^{-1}(0) \subset \phi^{-1}(\mathfrak{p})$ , and hence  $I \subset \phi'(\mathfrak{p})$ .

On the other hand, given a prime  $\mathfrak{p} \subset A$  containing  $I$ , we can consider the image of  $\mathfrak{p}$  under  $\phi$ , which is  $\phi(\mathfrak{p}) = \mathfrak{p}/I$ . Now given  $(a + I), (b + I) \in A/I$  (where here I denote them by cosets), if  $(a + I)(b + I) = (ab + I) \in \mathfrak{p}/I$ , then we let  $ab + I = p + I$  for some  $p \in \mathfrak{p}$ . We see that  $ab - p \in I \subset \mathfrak{p}$ , so  $ab \in \mathfrak{p}$  and thus  $a$  or  $b$  is in  $\mathfrak{p}$ , and hence  $(a + I)$  or  $(b + I)$  is in  $\mathfrak{p}/I$ . Hence  $\mathfrak{p}/I$  is a prime ideal in  $A/I$ . Thus there is a map  $\phi'' : \{\mathfrak{p} \in \text{Spec}(A) : I \subset \mathfrak{p}\} \rightarrow \text{Spec}(A/I)$ .

Now  $\phi' \circ \phi''(\mathfrak{p}) = \phi'(\mathfrak{p}/I) = \phi^{-1}(\mathfrak{p}/I) = \mathfrak{p}$  and  $\phi'' \circ \phi'(\mathfrak{p}) = \phi''(\phi^{-1}(\mathfrak{p})) = \phi(\phi^{-1}(\mathfrak{p})) = \mathfrak{p}$  as  $\phi$  is surjective. Given  $p_1 \subset p_2 \subset A/I$ , it is clear that  $\phi'(p_1) := \phi^{-1}(p_1) \subset \phi^{-1}(p_2) = \phi'(p_2)$ . Thus  $\phi'$  is a inclusion preserving bijection, with inverse  $\phi''$ .

### Question 6.

Let  $A$  be a ring and  $S$  a multiplicative set. Consider the map  $\phi : A \rightarrow S^{-1}A$  by mapping  $a \mapsto a/1$ . We see by a similar argument as the previous question that there is a map  $\phi' : \text{Spec}(S^{-1}A) \rightarrow \{\mathfrak{p} \in \text{Spec}(A) : \mathfrak{p} \cap S = \emptyset\}$  by mapping  $\mathfrak{p} \in \text{Spec}(S^{-1}A)$  to  $\phi^{-1}(\mathfrak{p}) \in \{\mathfrak{p} \in \text{Spec}(A) : \mathfrak{p} \cap S = \emptyset\}$ . We will show that  $\phi'(\mathfrak{p}) \cap S = \emptyset$ . Suppose on the contrary that there is  $s_0 \in \phi'(\mathfrak{p}) \cap S$ , then  $\phi(s_0) = s_0/1 \in \mathfrak{p}$ . Now as  $\mathfrak{p}$  is an ideal, we see that  $(1/s_0)(s_0/1) = s_0/s_0 = 1/1$  is in  $\mathfrak{p}$ , and hence  $\mathfrak{p}$  is the whole  $S^{-1}A$ , which contradicts the fact that  $\mathfrak{p}$  is prime (hence proper).

On the other hand, we define a map  $\phi'' : \{\mathfrak{p} \in \text{Spec}(A) : \mathfrak{p} \cap S = \emptyset\} \rightarrow \text{Spec}(S^{-1}A)$ . Given any prime  $\mathfrak{p} \in \text{Spec}(A)$  such that  $\mathfrak{p} \cap S = \emptyset$ , we let  $\phi''(\mathfrak{p})$  be the subset  $\mathfrak{p}'$  of  $S^{-1}A$  consisting of elements of the form  $p_0/s_0$  for some  $p_0 \in \mathfrak{p}$ . This set  $\mathfrak{p}'$  is an ideal of  $S^{-1}A$  as

$$\frac{p_0}{s_0} - \frac{p_1}{s_1} = \frac{p_0s_1 - p_1s_0}{s_0s_1} \in \mathfrak{p}' \text{ and } \frac{p_0}{s_0} * \frac{p_1}{s_1} = \frac{p_0p_1}{s_0s_1} \in \mathfrak{p}'$$

It is prime because if  $a_0/b_0$  and  $a_1/b_1$  are any two elements of  $S^{-1}A$  such that  $(a_0a_1)/(b_0b_1) \in \mathfrak{p}'$ , then

$$\frac{a_0a_1}{b_0b_1} = \frac{p_0}{s_0} \text{ for some } p_0 \in \mathfrak{p}, s_0 \in S$$

and hence there is some  $s' \in S$  such that  $s's_0a_0a_1 = s'p_0b_0b_1 \in \mathfrak{p}$ , and as  $\mathfrak{p} \cap S = \emptyset$ , by the primality of  $\mathfrak{p}$ , we see that  $a_0$  or  $a_1$  is in  $\mathfrak{p}$ , thus  $a_0/b_0$  or  $a_1/b_1$  is in  $\mathfrak{p}'$ , and hence  $\mathfrak{p}'$  is a prime ideal.

Now  $\phi' \circ \phi''(\mathfrak{p}) = \phi'(\{a/b : a \in \mathfrak{p}, b \in S\}) = \phi^{-1}(\{a/b : a \in \mathfrak{p}, b \in S\}) = \mathfrak{p}$ . On the other hand, if  $a/b \in \mathfrak{p} \in \text{Spec}(S^{-1}A)$ , then  $a/1 \in \mathfrak{p}$ , so  $a \in \phi'(p)$ , and thus  $a/b \in \phi'' \circ \phi'(\mathfrak{p})$ , while  $\phi'' \circ \phi'(\mathfrak{p}) = \phi'' \circ \phi^{-1}(\mathfrak{p}) = \mathfrak{p}$ , and thus  $\phi'$  is a bijection. Given  $p_1 \subset p_2 \subset S^{-1}A$ , it is clear that  $\phi'(p_1) := \phi^{-1}(p_1) \subset \phi^{-1}(p_2) = \phi'(p_2)$ . Thus  $\phi'$  is an inclusion preserving bijection, with inverse  $\phi''$ .