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MATH 54 MIDTERM 1  
SUMMER 2011 - SECTION 5 - ALEX KRUCKMAN

Please put away everything except scratch paper and pencils/pens.  
You have 110 minutes to complete this exam, which ends at 2pm sharp.  
Write your answers, including complete justifications, in the spaces provided below.  
If you finish early or have a question, please make your way to the front of the room, taking care not to disturb the other test takers!

(1) (8 points) For which values of  $k$  does the following system of equations have

- (a) No solutions?  $k = -1$   
 (b) Exactly one solution?  $k \neq 1$  or  $-1$  ( $k^2 - 1 \neq 0$ )  
 (c) Exactly three solutions? Impossible  
 (d) Infinitely many solutions?  $k = 1$

$$\begin{cases} x - ky - 2z = 1 \\ 2x + (1 - 2k)y + (k - 4)z = 3 \\ z - x = -2 \end{cases}$$

Row reduce

$$\left( \begin{array}{ccc|c} 1 & -k & -2 & 1 \\ 2 & (1-2k) & (k-4) & 3 \\ -1 & 0 & 1 & -2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & -k & -2 & 1 \\ 0 & 1 & k & 1 \\ 0 & -k & -1 & -1 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & -k & -2 & 1 \\ 0 & 1 & k & 1 \\ 0 & 0 & k^2 - 1 & k - 1 \end{array} \right)$$

is consistent when  $k^2 - 1 = 0$  and  $k - 1 \neq 0$   
 Unique solution when  $k^2 - 1 \neq 0$   
 infinitely many solutions when  $k^2 - 1 = 0$  and  $k - 1 = 0$ .

In case (d) (if it occurs), describe in parametric form all solution vectors  $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$ .

For  $k=1$ , we have

$$\left( \begin{array}{ccc|c} 1 & -1 & -2 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 0 & -1 & 2 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{array} \right), \text{ which tells us}$$

$$\begin{aligned} x &= 2 - z \\ y &= 1 - z \end{aligned} \quad \text{Solutions have the form}$$

$$\begin{pmatrix} 2+z \\ 1-z \\ z \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} + z \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}, \quad \text{Parametric form: } \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} + z \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$$

(2) (8 points) Let  $V$  be a vector space. Suppose  $\{v_1, v_2, v_3, v_4\}$  is a linearly independent set of vectors in  $V$ .

(a) Is  $\{(\bar{v}_1 + \bar{v}_2), (\bar{v}_2 + \bar{v}_3), (\bar{v}_3 + \bar{v}_4), (\bar{v}_4 + \bar{v}_1)\}$  linearly independent? Why or why not?

$$(\bar{v}_1 + \bar{v}_2) + -(\bar{v}_2 + \bar{v}_3) + (\bar{v}_3 + \bar{v}_4) + -(\bar{v}_4 + \bar{v}_1) = \bar{0},$$

so these vectors are linearly dependent.

Another way to solve this (other than divine inspiration):

$$\text{Solve } a(\bar{v}_1 + \bar{v}_2) + b(\bar{v}_2 + \bar{v}_3) + c(\bar{v}_3 + \bar{v}_4) + d(\bar{v}_4 + \bar{v}_1) = \bar{0}$$

$$\text{which is } (a+d)\bar{v}_1 + (a+b)\bar{v}_2 + (b+c)\bar{v}_3 + (c+d)\bar{v}_4 = \bar{0}.$$

Since  $\{\bar{v}_1, \dots, \bar{v}_4\}$  are independent, this holds when  $\begin{cases} a+d=0 \\ a+b=0 \\ b+c=0 \\ c+d=0 \end{cases}$  only.

This is a system of linear equations, corresponding to the matrix

$$\begin{pmatrix} 1 & 0 & 0 & 1 & | & 0 \\ 1 & 1 & 0 & 0 & | & 0 \\ 0 & 1 & 1 & 0 & | & 0 \\ 0 & 0 & 1 & 1 & | & 0 \end{pmatrix}$$

Row reducing provides the solution above and others.

(b) Is  $\{(\bar{v}_1 + \bar{v}_2), (\bar{v}_2 + \bar{v}_3), (\bar{v}_3 + \bar{v}_1)\}$  linearly independent? Why or why not?

$$\text{Suppose } a(\bar{v}_1 + \bar{v}_2) + b(\bar{v}_2 + \bar{v}_3) + c(\bar{v}_3 + \bar{v}_1) = \bar{0}.$$

$$\text{Then } (a+c)\bar{v}_1 + (a+b)\bar{v}_2 + (b+c)\bar{v}_3 = \bar{0}.$$

Since  $\{\bar{v}_1, \bar{v}_2, \bar{v}_3, \bar{v}_4\}$  are independent,  $\{\bar{v}_1, \bar{v}_2, \bar{v}_3\}$  are independent,

so we must have  $\begin{cases} a+c=0 \\ a+b=0 \\ b+c=0 \end{cases}$ . Then  $a=-c$ , and  $a=-b$ ,  
so  $-b=-c$ , but  $b+c=0$ ,  
so  $2b=2c=0$ , and  $b$  and  $c$  are both 0. Then  $a$  is 0 also.

We can also look at this as a system of linear equations

corresponding to the matrix  $\begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}$ . This matrix is invertible

(det 2) so the homogeneous system has only the trivial solution  $a=b=c=0$ .

Hence the vectors are linearly independent.

- (3) (8 points) Let  $S: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be the function which sums the entries of a vector and returns a vector consisting of three copies of that sum. So

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} S \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x+y \\ x+y \\ x+y \end{pmatrix}$$

Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be the function which assigns to a vector the vector consisting of the average of the first two entries and the average of the last two entries. So

$$T \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} \frac{x+y}{2} \\ \frac{y+z}{2} \end{pmatrix}$$

- (a) Show that  $S$  is a linear transformation, and find its standard matrix.

$$\text{Let } \vec{u} = \begin{pmatrix} x \\ y \end{pmatrix} \text{ and } \vec{v} = \begin{pmatrix} x' \\ y' \end{pmatrix}. \text{ Then } S(\vec{u} + \vec{v}) = S \begin{pmatrix} x+x' \\ y+y' \end{pmatrix} = \begin{pmatrix} x+x'+y+y' \\ x+x'+y+y' \\ x+x'+y+y' \end{pmatrix}$$

$$= \begin{pmatrix} x+y \\ x+y \\ x+y \end{pmatrix} + \begin{pmatrix} x'+y' \\ x'+y' \\ x'+y' \end{pmatrix} = S \begin{pmatrix} x \\ y \end{pmatrix} + S \begin{pmatrix} x' \\ y' \end{pmatrix} = S(\vec{u}) + S(\vec{v}).$$

$$S(c\vec{u}) = S \begin{pmatrix} cx \\ cy \end{pmatrix} = \begin{pmatrix} cx+cy \\ cx+cy \\ cx+cy \end{pmatrix} = c \begin{pmatrix} x+y \\ x+y \\ x+y \end{pmatrix} = c S(\vec{u}). \text{ So } S \text{ is a linear transformation.}$$

$$S(\vec{e}_1) = \begin{pmatrix} 1+0 \\ 1+0 \\ 1+0 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, S(\vec{e}_2) = \begin{pmatrix} 0+1 \\ 0+1 \\ 0+1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}. \text{ So } S \text{ is } \begin{pmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix}$$

- (b) A similar argument shows that  $T$  is a linear transformation - you do not need to show it here! Find the standard matrix for  $T$ .

$$T(\vec{e}_1) = \begin{pmatrix} \frac{1+0}{2} \\ \frac{0+0}{2} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ 0 \end{pmatrix}, T(\vec{e}_2) = \begin{pmatrix} \frac{0+1}{2} \\ \frac{1+0}{2} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}, T(\vec{e}_3) = \begin{pmatrix} \frac{0+0}{2} \\ \frac{0+1}{2} \end{pmatrix} = \begin{pmatrix} 0 \\ \frac{1}{2} \end{pmatrix}$$

$$\text{So } T \text{ is } \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix}.$$

- (c) Find the standard matrix for  $T \circ S: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ .

$$T \circ S \text{ is } \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$$

- (d) Find the standard matrix for  $S \circ T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ .

$$S \circ T \text{ is } \begin{pmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & 1 & \frac{1}{2} \\ \frac{1}{2} & 1 & \frac{1}{2} \\ \frac{1}{2} & 1 & \frac{1}{2} \end{pmatrix}$$

(4) (9 points) Consider the following matrices:

$$A = \begin{pmatrix} 1 & 2 & 5 & \frac{1}{3} & 0 & 2 \\ 0 & \frac{1}{4} & -1 & 0 & 5 & 0 \\ 0 & 0 & 6 & -\frac{1}{2} & 0 & 2 \\ 0 & 0 & 0 & 3 & 8 & -1 \\ 0 & 0 & 0 & 0 & \frac{1}{9} & 3 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 1 & 2 & 0 & 0 & 9 \\ 0 & 0 & 0 & 0 & \frac{1}{2} & 0 \\ 4 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & \frac{1}{2} & 0 & 0 \end{pmatrix}.$$

Compute the determinants:

- (a)  $|A|$   
 (b)  $|B|$   
 (c)  $|AABA^{-1}BABBBAB^{-1}|$

$$a) 1 \cdot \frac{1}{4} \cdot 6 \cdot 3 \cdot \frac{1}{9} \cdot 1 = \frac{1}{2}$$

$$b) -1 \cdot \begin{vmatrix} 0 & 2 & 0 & 0 & 0 \\ 0 & 1 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{2} \\ 4 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & \frac{1}{2} & 0 \end{vmatrix} = -1 \cdot -2 \cdot \begin{vmatrix} 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2} \\ 4 & 0 & 1 & 0 \\ 1 & 0 & \frac{1}{2} & 0 \end{vmatrix} = -1 \cdot -2 \cdot -2 \cdot \begin{vmatrix} 0 & 0 & \frac{1}{2} \\ 4 & 1 & 0 \\ 1 & \frac{1}{2} & 0 \end{vmatrix}$$

$$= -1 \cdot -2 \cdot -2 \cdot \frac{1}{2} \cdot \begin{vmatrix} 4 & 1 \\ 1 & \frac{1}{2} \end{vmatrix} = -1 \cdot -2 \cdot -2 \cdot \frac{1}{2} \cdot 1 = -2$$

$$c) \frac{1}{2} \cdot \frac{1}{2} \cdot -2 \cdot 2 \cdot -2 \cdot \frac{1}{2} \cdot -2 \cdot -2 \cdot -2 \cdot \frac{1}{2} \cdot -\frac{1}{2} = 2$$

A A B A<sup>-1</sup> B A<sup>-1</sup> B B B A B<sup>-1</sup>

- (5) (9 points) Let  $C^\infty[0, 1]$  be the vector space of analytic functions (i.e. functions which have derivatives of all orders)  $[0, 1] \rightarrow \mathbb{R}$ . For each the subsets of  $C^\infty[0, 1]$  specified below, determine whether it is or is not a subspace and explain.

- (a)  $\{f : f(x) \geq 0 \text{ for all } x \text{ in } [0, 1]\}$ , that is, nonnegative functions

No. Not closed under scalar multiplication.

If  $f$  is a nonnegative function ( $f(x) \geq 0$  for all  $x$ ),

$-f$  is a nonpositive function ( $f(x) \leq 0$  for all  $x$ ).

- (b)  $\{f : f' = 1\}$ , that is, functions which differentiate to the constant function 1

No.  $0$  is not in the subset.

$$0' = 0 \neq 1.$$

$$\left\{ \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ 1 \\ 1 \end{pmatrix} \right\}$$

- (c)  $\{f : f'' - f = 0\}$ , that is, functions which are equal to their second derivative

Let  $W$  be the subset of  $C^\infty[0, 1]$  in question.

Yes.  $\bullet$   $0'' - 0 = 0 - 0 = 0$ , so  $0$  is in  $W$ .

$\bullet$  If  $f$  and  $g$  are in  $W$ ,  $(f+g)'' - (f+g) = f'' + g'' - f - g = (f'' - f) + (g'' - g) = 0$ , so  $f+g$  is in  $W$ .

$\bullet$  If  $f$  is in  $W$  and  $c$  is a scalar,  $(cf)'' - cf = c(f'' - f) = c \cdot 0 = 0$ , so  $cf$  is in  $W$ .

(6) (8 points) Consider the matrix

$$A = \begin{pmatrix} -1 & 0 & 2 \\ 2 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}.$$

(a) Compute  $A^{-1}$ .

Row reduce

$$\begin{aligned} & \left( \begin{array}{ccc|ccc} -1 & 0 & 2 & 1 & 0 & 0 \\ 2 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 \end{array} \right) \rightarrow \left( \begin{array}{ccc|ccc} 1 & 0 & -2 & -1 & 0 & 0 \\ 0 & 1 & 5 & 2 & 1 & 0 \\ 0 & 1 & -3 & 1 & 0 & 1 \end{array} \right) \\ & \rightarrow \left( \begin{array}{ccc|ccc} 1 & 0 & -2 & -1 & 0 & 0 \\ 0 & 1 & 5 & 2 & 1 & 0 \\ 0 & 0 & -2 & -1 & -1 & 1 \end{array} \right) \rightarrow \left( \begin{array}{ccc|ccc} 1 & 0 & -2 & -1 & 0 & 0 \\ 0 & 1 & 5 & 2 & 1 & 0 \\ 0 & 0 & 1 & 1/2 & 1/2 & -1/2 \end{array} \right) \\ & \rightarrow \left( \begin{array}{ccc|ccc} 1 & 0 & -2 & -1 & 0 & 0 \\ 0 & 1 & 0 & -1/2 & -3/2 & 5/2 \\ 0 & 0 & 1 & 1/2 & 1/2 & -1/2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|ccc} 1 & 0 & 0 & 0 & 1 & -1 \\ 0 & 1 & 0 & -1/2 & -3/2 & 5/2 \\ 0 & 0 & 1 & 1/2 & 1/2 & -1/2 \end{array} \right) \\ & \hspace{15em} A^{-1} \end{aligned}$$

(b) Explain why the following set of vectors is a basis for  $\mathbb{R}^3$ :

$$\left\{ \begin{pmatrix} -1 \\ 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \\ 1 \end{pmatrix} \right\}$$

By the invertible matrix theorem, the columns of  $A$  are linearly independent and span  $\mathbb{R}^3$ .

That is, they are a basis for  $\mathbb{R}^3$ .