

Group orderings, dynamics, and rigidity

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Abstract

Let G be a countable group. We show there is a topological relationship between the space $\text{CO}(G)$ of circular orders on G and the moduli space of actions of G on the circle; and an analogous relationship for spaces of left orders and actions on the line. In particular, we give a complete characterization of *isolated* left and circular orders in terms of *strong rigidity* of their induced actions of G on S^1 and \mathbb{R} .

As an application of our techniques, we give an explicit construction of infinitely many nonconjugate isolated points in the spaces $\text{CO}(F_{2n})$ of circular orders on free groups, disproving a conjecture from [1], and infinitely many nonconjugate isolated points in the space of left orders on the pure braid group P_3 , answering a question of Navas. We also give a detailed analysis of circular orders on free groups, characterizing isolated orders.

1 Introduction

Let G be a group. A *left order* on G is a total order invariant under left multiplication, i.e. such that $a < b$ implies $ga < gb$ for all $a, b, g \in G$. It is well known that a countable group is left-orderable if and only if it embeds into the group of orientation-preserving homeomorphisms of \mathbb{R} , and each left order on a group defines a canonical embedding up to conjugacy, called the *dynamical realization*. Similarly, a *circular order* on a group G is defined by a *cyclic orientation cocycle* $c : G^3 \rightarrow \{\pm 1, 0\}$ satisfying certain conditions (see §2), and for countable groups this is equivalent to the group embedding into $\text{Homeo}_+(S^1)$. Analogous to the left order case, each circular order gives a canonical, up to conjugacy, dynamical realization $G \rightarrow \text{Homeo}_+(S^1)$. This correspondence is the starting point for a rich relationship between the algebraic constraints on G imposed by orders, and the dynamical constraints of G -actions on S^1 or \mathbb{R} . The correspondence has already proved fruitful in many contexts; one good example is the relationship between orderability of fundamental groups of 3-manifolds, and the existence of certain codimension one foliations or laminations as shown in [3].

For fixed G , we let $\text{LO}(G)$ denote the set of all left orders on G , and $\text{CO}(G)$ the set of circular orders. These spaces have a natural topology; that on $\text{CO}(G)$ comes from its identification with a subset of the infinite product $\{\pm 1, 0\}^{G \times G \times G}$, and $\text{LO}(G)$ can be viewed as a further subset of this (see §2 for details). While $\text{CO}(G)$ and $\text{LO}(G)$ have previously

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been studied with the aim of understanding the structures of orders on groups, our aim here is to relate the spaces $\text{LO}(G)$ and $\text{CO}(G)$ to the moduli spaces $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ and $\text{Hom}(G, \text{Homeo}_+(S^1))$ of actions of G on the line or circle.

In many cases these moduli spaces very poorly understood. An important case is when G is the fundamental group of a surface of genus at least 2. Here $\text{Hom}(G, \text{Homeo}_+(S^1))$ has a topological interpretation (as the space of flat circle bundles over the surface), yet it remains an open question whether $\text{Hom}(G, \text{Homeo}_+(S^1))$ has finitely or infinitely many connected components. Our work here shows that, for any group G , the combinatorial object $\text{CO}(G)$ is a viable tool for understanding the space of actions of G on S^1 .

In other cases, actions of G on the circle or line are easier to describe than circular or left orders, and thus the dynamics of actions can serve as a means for understanding the topology of $\text{LO}(G)$ and $\text{CO}(G)$. A good example to keep in mind is $G = F_2$, since $\text{Hom}(F_2, \text{Homeo}_+(S^1)) \cong \text{Homeo}_+(S^1) \times \text{Homeo}_+(S^1)$, but the topology of $\text{LO}(F_2)$ and $\text{CO}(F_2)$ are not so obvious.

For any group G , the space $\text{LO}(G)$ is compact, totally disconnected and, when G is countable, also metrizable [17]. The same result holds by the same argument for $\text{CO}(G)$. Consequently the most basic question is whether $\text{LO}(G)$ or $\text{CO}(G)$ has any isolated points – if not, it is homeomorphic to a Cantor set. That $\text{LO}(F_2)$ has no isolated points was proved by McCleary [14] (see also [15]) and generalized recently to $\text{LO}(G)$ where G is a free product of groups in [19]. That $\text{CO}(F_2)$ has no isolated points either was conjectured in [1]. Our techniques give a (perhaps surprising) easy disproof of this conjecture using the dynamics of actions of F_2 on S^1 .

Statement of results.

Given that $\text{CO}(G)$ is totally disconnected and $\text{Hom}(G, \text{Homeo}_+(S^1))$ typically has large connected components, one might expect little correlation between the two spaces. Our aim is to demonstrate that there is a strong, though somewhat subtle, relationship. A first step, and key tool is continuity:

Proposition 1.1 (Continuity of dynamical realization). Let c be a circular order on a countable group G , and ρ a dynamical realization of c . For any neighborhood U of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$, there exists a neighborhood V of c in $\text{CO}(G)$ such that each order in V has a dynamical realization in U .

An analogous result holds for left orders and actions on \mathbb{R} . With this Proposition and several other tools, we give a complete characterization of isolated left and circular orders in terms of the dynamics (namely, rigidity) of their dynamical realization.

Theorem 1.2. Let G be a countable group. A circular order on G is isolated if and only if its dynamical realization ρ is *rigid* in the following strong sense: for every action ρ' sufficiently close to ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$ there exists a continuous, degree 1 monotone map $h : S^1 \rightarrow S^1$ fixing the basepoint x_0 of the realization, and such that $h \circ \rho(g) = \rho'(g) \circ h$ for all $g \in G$.

The corresponding result for left orders is Theorem 3.11.

In the course of the proof of Theorem 1.2, we establish several other facts concerning the relationship between $\text{Hom}(G, \text{Homeo}_+(S^1))$ and $\text{CO}(G)$. When combined with standard facts about dynamics of groups acting on the circle, this gives new information about spaces of circular orders. For example, we prove the following corollary, a special case of which immediately gives a new proof of the main construction (Theorem 4.6) from [1].

Corollary 1.3. Suppose $G \subset \text{Homeo}_+(S^1)$ is a countable group acting minimally, and such that some point x_0 has trivial stabilizer. Then the order on G induced by the orbit of x_0 is not isolated in $\text{CO}(G)$.

In particular this shows that, if the dynamical realization of a circular order c on G is minimal, then c is not isolated. (See Theorem 3.18 and following remarks.)

Isolated orders on free groups and braid groups. In Section 4 we undertake a detailed study of the space of circular orders on free groups. As an application of Theorem 1.2, we show:

Theorem 1.4. The space of circular orders on the free group on $2n$ generators has infinitely many isolated points. In fact, there are infinitely many distinct *classes* of isolated points under the natural conjugation action of F_{2n} on $\text{CO}(F_{2n})$.

This disproves the conjecture about $\text{CO}(F_2)$ of [1]. An alternative, counterexample to the F_2 conjecture is given in Section 4.3, where we also give an explicit singleton neighborhood of the isolated circular order constructed therein.

We also describe explicitly the dynamics of isolated orders on free groups. To state this, let $\{a_1, a_2, \dots, a_n\}$ be a set of free generators for F_n .

Theorem 1.5. Suppose ρ is the dynamical realization of $c \in \text{CO}(F_n)$. The order c is isolated if and only if there exist disjoint domains $D(s) \subset S^1$ for every $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, each consisting a finite union of intervals, such that $\rho(s)(S^1 \setminus D(s^{-1})) \subset D(s)$ holds for all s .

Note that the conclusion of this theorem is exactly the condition in the classical ping-pong lemma.

These dynamical realizations have a particularly nice description under the additional assumption that the domains $D(s)$ are connected sets:

Theorem 1.6. Let $c \in \text{CO}(F_n)$ have dynamical realization ρ that satisfies the hypotheses of Theorem 1.5. If, additionally, the domains $D(s)$ are connected, then n is even, and the dynamical realization of c is topologically conjugate to a representation $F_n \rightarrow \text{PSL}(2, \mathbb{R}) \subset \text{Homeo}_+(S^1)$ corresponding to a hyperbolic structure on a genus $n/2$ surface with one boundary component. Moreover, each such representation $F_n \rightarrow \text{PSL}(2, \mathbb{R})$ arises as the dynamical realization of an isolated circular order.

We note that no analog of Theorem 1.4 was previously known for any group, even for left orders. In [15], Navas asked *What can be said in general about the set of isolated (left) orders on a group, up to conjugacy? For instance, is it always finite?* A corollary of Theorem 1.4 answers this in the negative:

Corollary 1.7. The pure braid group $P_3 \cong F_2 \times \mathbb{Z}$ has infinitely many distinct conjugacy classes of isolated left orders.

This is proved in § 5. We expect that the existence of isolated points is not unique to free groups and braid groups and there should be many more examples. Further questions are raised in Section 6.

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2 Background material

In this section, we recall some standard facts about left and circular orders. A reader familiar with orders may wish to skip this section, while the less comfortable reader may wish to consult [2], [21], or in the case of left orders, [6] for further details.

Definition 2.1. [Cocycle definition of circular orders] Let S be a set with 3 or more elements. We say that $c : S^3 \rightarrow \{\pm 1, 0\}$ is a circular order on S if

- i) $c^{-1}(0) = \Delta(S)$, where $\Delta(S) := \{(a_1, a_2, a_3) \in S^3 \mid a_i = a_j, \text{ for some } i \neq j\}$,
- ii) c is a cocycle, that is $c(a_2, a_3, a_4) - c(a_1, a_3, a_4) + c(a_1, a_2, a_4) - c(a_1, a_2, a_3) = 0$ for all $a_1, a_2, a_3, a_4 \in S$.

A group G is *circularly orderable* if it admits a circular order c which is left-invariant in the sense that $c(u, v, w) = 1$ implies $c(gu, gv, gw) = 1$ for all $g, u, v, w \in G$.

In other words, a circular order on G is a homogeneous 2-cocycle in the standard complex for computing the integral Eilenberg-MacLane cohomology of G , which takes the values 0 on degenerate triples, and ± 1 otherwise.

This cocycle condition is motivated by the standard *order cocycle* or *orientation cocycle* for points on the circle. Say an ordered triple (x, y, z) of distinct points in S^1 is *positively oriented* if one can read points x, y, z in order around the circle counterclockwise, and negatively oriented otherwise. Define the order cocycle $\text{ord} : S^1 \times S^1 \times S^1$ by

$$\text{ord}(x, y, z) = \begin{cases} 1 & \text{if } (x, y, z) \text{ is positively oriented} \\ -1 & \text{if } (x, y, z) \text{ is negatively oriented} \\ 0 & \text{if any two of } x, y \text{ and } z \text{ agree.} \end{cases}$$

It is easy to check that this satisfies the cocycle condition of Definition 2.1, and is invariant under left-multiplication in S^1 . In fact, it is invariant under $\text{Homeo}_+(S^1)$ in the sense that $\text{ord}(x, y, z) = \text{ord}(h(x), h(y), h(z))$ for any orientation preserving homeomorphism h .

As mentioned in the introduction, the topology on the space $\text{CO}(G)$ is that inherited from the product topology on $\{\pm 1, 0\}^{G \times G \times G}$. A neighborhood basis of a circular order c consists of the sets of the form $\{c' \in \text{CO}(G) : c'(u, v, w) = c(u, v, w) \text{ for all } u, v, w \in S\}$ where S ranges over all finite subsets of G .

Left orders as “degenerate” circular orders. Recall that a left order on a group G is a total order $<$ invariant under left multiplication. Given $(G, <)$, we can produce a circular order on G by defining $c_{<}(g_1, g_2, g_3)$ to be the sign of the (unique) permutation σ of $\{g_1, g_2, g_3\}$ such that $\sigma(g_1) < \sigma(g_2) < \sigma(g_3)$.

Observe that the left order $c_{<}$ above is a *coboundary*. Indeed, if $c'(x, y)$ equals 1 (respectively -1 or 0) when $x < y$ (respectively $y < x$ or $x = y$), then

$$c_{<}(g_1, g_2, g_3) = c'(g_2, g_3) - c'(g_1, g_3) + c'(g_1, g_2).$$

Conversely, if a circular order c on a group G is the coboundary of a left-invariant function $c' : G^2 \rightarrow \{\pm 1, 0\}$, i.e. we have $c(u, v, w) = c'(v, w) - c'(u, v) + c'(u, v)$ for all $u, v, w \in G$, then one can check that c' defines a left order on G by $x \leq y$ if and only if $c'(x, y) \geq 0$. Yet another characterization of the circular order $c_{<}$ obtained from a left order can be found in [1, Proposition 2.17].

In this sense, we can view $\text{LO}(G)$ as a subset of $\text{CO}(G)$, and give it the subset topology. It is not hard to see that this agrees with the original topology given in [17], full details of this are written in [1]. Because of this, throughout this paper we frequently take circular orders as a starting point, and treat left orders as a special case.

Dynamical realization. We now describe the procedure for realizing a circular order on a group G as an order cocycle of an action of G on S^1 . This starts with the following construction.

Construction 2.2 (Order embedding). Let G be a countable group with circular order c , and let $\{g_i\}$ be an enumeration of elements of G . Define an embedding $\iota : G \rightarrow S^1$ inductively as follows. Let $\iota(g_1)$ and $\iota(g_2)$ be arbitrary distinct points. Then, having embedded g_1, \dots, g_{n-1} , send g_n to the midpoint of the unique connected component of $S^1 \setminus \{\iota(g_1), \dots, \iota(g_{n-1})\}$ such that

$$c(g_i, g_j, g_k) = \text{ord}(\iota(g_i), \iota(g_j), \iota(g_k))$$

holds for all $i, j, k \leq n$.

Remark 2.3. One can check that taking a different enumeration of the elements of G , or a different choice of $\iota(g_1)$ and $\iota(g_2)$ gives embeddings that are conjugate by an orientation-preserving homeomorphism of S^1 .

Definition 2.4 (Dynamical realization). Let G be a countable group with circular order c . The *dynamical realization* of c is an embedding $G \rightarrow \text{Homeo}_+(S^1)$ obtained as follows. First, embed G in S^1 as in Construction 2.2. The left-action of G on itself now gives a continuous,

order preserving homeomorphism of $\iota(G) \subset S^1$, which extends to a homeomorphism of the closure of $\iota(G)$ in S^1 . Connected components of the complement of this closed set are permuted by G , so for each connected component I and $g \in G$, define g to act on I as the unique affine homeomorphism from I to $g \cdot I$.

Definition 2.5. Following the construction above, the *basepoint* of the dynamical realization is the point $\iota(\text{id})$, where id denotes the identity element of G .

An immediate consequence of Remark 2.3 is that the dynamical realization is unique up to conjugacy, in the following sense.

Corollary 2.6. If ρ_1 and $\rho_2 : G \rightarrow \text{Homeo}_+(S^1)$ are two dynamical realizations of a circular order c , then there exists $h \in \text{Homeo}_+(S^1)$ such that $\rho_2(g) = h \circ \rho_1(g) \circ h^{-1}$ for all $g \in G$.

Note that the dynamical realization of a circular order always produces an action of G such that any point in $\iota(G)$ has trivial stabilizer. Conversely, if $G \subset \text{Homeo}_+(S^1)$ is such that some point x_0 has trivial stabilizer, then

$$c(g_1, g_2, g_3) := \text{ord}(g_1(x_0), g_2(x_0), g_3(x_0))$$

defines a circular order on G . This is simply the pullback of the order cocycle on S^1 under the embedding of G via the orbit of x_0 . We say that this is the order *induced by the orbit of x_0* .

For a countable left-ordered group $(G, <)$, there is an analogous construction of a dynamical realization $G \rightarrow \text{Homeo}_+(\mathbb{R})$. One first enumerates G , then defines an embedding $\iota : G \rightarrow \mathbb{R}$ inductively by

$$\iota(g_n) = \begin{cases} \max\{\iota(g_k) : k < n\} + 1 & \text{if } g_n > g_k \text{ for all } k < n \\ \min\{\iota(g_k) : k < n\} - 1 & \text{if } g_n < g_k \text{ for all } k < n \\ \text{the midpoint of } [g_i, g_j] & \text{if } g_i < g_n < g_j \text{ are successive among } i, j < n \end{cases}$$

Alternatively, one can check that the construction given above for circular orders produces an action on S^1 with a global fixed point whenever $c = c_<$ is a left order (i.e. degenerate) cocycle. Identifying $S^1 \setminus \{*\}$ with \mathbb{R} gives the dynamical realization of $(G, <)$.

Conjugation. We conclude this section by defining the conjugation action of G on its space of orders. This is an important in the study of $\text{LO}(G)$ and $\text{CO}(G)$; indeed, a standard technique to show that an order is *not* isolated is to approximate it by its conjugates [15, 20].

Definition 2.7 (conjugate orders). Let c be a circular order on an arbitrary group G , and let $g \in G$. The *g -conjugate order* is the order c_g defined by $c_g(x, y, z) = c(xg, yg, zg)$. An order is called *conjugate* to c if it is of the form c_g for some $g \in G$.

Since orders are assumed to be left-invariant, we may equivalently define $c_g(x, y, z) = c(g^{-1}xg, g^{-1}yg, g^{-1}zg)$. This gives an action of G on $\text{CO}(G)$ by conjugation, and it is easy to check that this is an action by *homeomorphisms* of $\text{CO}(G)$. Note that a conjugate of a left order is also a left order, so conjugation also gives an action of G on $\text{LO}(G)$ by homeomorphisms. It follows directly from the definition that, given a dynamical realization of c with basepoint x_0 , a dynamical realization of c_g is given by the same action of G on S^1 , but with basepoint $g(x_0)$.

3 A dynamical portrait of left and circular orders

We turn now to our main goal of studying the relationship between spaces of actions and spaces of orders.

Let $\text{Hom}(G, \text{Homeo}_+(S^1))$ denote the set of actions of a group G on S^1 by orientation-preserving homeomorphisms, i.e. the set of group homomorphisms $G \rightarrow \text{Homeo}_+(S^1)$. This space has a natural topology; a neighborhood basis of an action ρ_0 is given by the sets

$$O_{(F, \epsilon)}(\rho) := \{\rho \in \text{Hom}(G, \text{Homeo}_+(S^1)) \mid d(\rho(g)(x), \rho_0(g)(x)) < \epsilon \text{ for all } x \in S^1, g \in F\}$$

where F ranges over all finite subsets of G , and d is the standard length metric on S^1 . If G is finitely generated, fixing a generating set S gives an identification of $\text{Hom}(G, \text{Homeo}_+(S^1))$ with a subset of $G^{|S|}$ via the images of the generators, and the subset topology on $\text{Hom}(G, \text{Homeo}_+(S^1))$ agrees with the topology defined above.

Fixing some point $p \in S^1$, the space $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ of actions of G on \mathbb{R} can be identified with the closed subset

$$\{\rho \in \text{Hom}(G, \text{Homeo}_+(S^1)) : \rho(g)(p) = p \text{ for all } g\}$$

and its usual (compact–open) topology is just the subset topology. Given this, our primary focus will be on the larger space $\text{Hom}(G, \text{Homeo}_+(S^1))$ and its relationship with $\text{CO}(G)$; as the $\text{Hom}(G, \text{Homeo}_+(\mathbb{R})) \leftrightarrow \text{LO}(G)$ relationship essentially follows by restricting to subsets.

As mentioned in the introduction, due to the relationship between circular orders on G and actions of G on S^1 given by dynamical realization, it is natural to ask about the relationship between the two spaces $\text{CO}(G)$ and $\text{Hom}(G, \text{Homeo}_+(S^1))$, hoping that the study of one may inform the other. For instance, one might (naively) propose the following.

Naive conjecture 3.1. Let G be a countable group. The space $\text{CO}(G)$ has no isolated points if (or perhaps if and only if) $\text{Hom}(G, \text{Homeo}_+(S^1))$ is connected.

A supportive example is the case $G = \mathbb{Z}^2$. It is not difficult to show both that $\text{Hom}(\mathbb{Z}^2, \text{Homeo}_+(S^1))$ is connected and that $\text{CO}(\mathbb{Z}^2)$ has no isolated points. This kind of reasoning may have motivated the conjecture that $\text{CO}(F_2)$ has no isolated points, since $\text{Hom}(F_2, \text{Homeo}_+(S^1))$ is connected. However, our disproof of this conjecture for F_2 (Theorem 1.4) shows that the naive reasoning is false.

Since dynamical realizations are faithful, one might try to improve the Conjecture 3.1 by restricting to the subspace of *faithful* actions of a group on S^1 . However, the subset of faithful representations in $\text{Hom}(F_2, \text{Homeo}_+(S^1))$ is also connected! To see this, one can first show that the subset of faithful actions in $\text{Hom}(F_2, \text{Diff}_+(S^1))$ is connected, open, and dense in $\text{Hom}(F_2, \text{Diff}_+(S^1))$ using a transversality argument, as remarked in [16]. Since $\text{Hom}(F_2, \text{Diff}_+(S^1))$ is dense in $\text{Hom}(F_2, \text{Homeo}_+(S^1))$, this implies that any faithful action by homeomorphisms can be approximated by one by diffeomorphisms, and hence the space of faithful actions in $\text{Hom}(F_2, \text{Homeo}_+(S^1))$ is also connected.

As these examples show, the relationship between $\text{Hom}(G, \text{Homeo}_+(S^1))$ and $\text{CO}(G)$ is actually rather subtle. Our next goal is to clarify this relationship.

Convention 3.2. For the remainder of this paper G will always denote a countable group.

3.1 The relationship between $\text{Hom}(G, \text{Homeo}_+(S^1))$ and $\text{CO}(G)$

This section provides the groundwork for our dynamical characterization of isolated circular orders, starting with some basic observations. Let $\text{Hom}(G, \text{Homeo}_+(S^1))/\sim$ denote the quotient of $\text{Hom}(G, \text{Homeo}_+(S^1))$ by the equivalence relation of conjugacy, and equipped with the quotient topology. Recall that the dynamical realization of a circular order on a countable group is well-defined up to conjugacy in $\text{Homeo}_+(S^1)$. This defines a natural “realization” map $R : \text{CO}(G) \rightarrow \text{Hom}(G, \text{Homeo}_+(S^1))/\sim$. Our first proposition is a weaker form of Proposition 1.1 from the introduction.

Proposition 3.3 (Dynamical realization is continuous). The realization map $R : \text{CO}(G) \rightarrow \text{Hom}(G, \text{Homeo}_+(S^1))/\sim$ is continuous.

Proof. Let $c \in \text{CO}(G)$, and let ρ be a dynamical realization of c . Given a neighborhood $O_{(F,\epsilon)}$ of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$, we need to produce a neighborhood U of c in $\text{CO}(G)$ such that every circular order $c' \in U$ has a conjugacy representative of its dynamical realization in the $O_{(F,\epsilon)}$ -neighborhood of a conjugate of ρ .

Let $S \subset G$ be a finite symmetric set with $F \subset S$ and $|S| > 1/\epsilon$. After conjugacy of ρ , we may assume that $\rho(S)(x_0)$ partitions S^1 into intervals of equal length, each of length less than ϵ . We now show that every circular order c' that agrees with c on the finite set $S \cdot S$ has a dynamical realization in the $O_{(S,\epsilon)} \subset O_{(F,\epsilon)}$ -neighborhood of ρ .

Given such a circular order c' , let ρ' be a dynamical realization of c' such that $\rho(g)(x_0) = \rho'(g)(x_0)$ for all $g \in S \cdot S$. Let $s \in S$. By construction $\rho(s)$ and $\rho'(s)$ agree on every point of $\rho(S)(x_0)$. We now compare $\rho(s)$ and $\rho'(s)$ at other points. Let I be any connected component of $S^1 \setminus S(x_0)$. Note that $\rho(s)(I) = \rho'(s)(I)$. Let $y \in I$. If $\rho(s)(I)$ has length at most ϵ , then as $\rho'(s)(y)$ and $\rho(s)(y)$ both lie in $\rho(s)(I)$, they differ by a distance of at most ϵ . If $\rho(s)(I)$ has length greater than ϵ , consider instead the partition of $\rho(s)(I)$ by $\rho(S)(x_0) \cap \rho(s)(I)$, this is a partition into intervals of length less than ϵ . As $s^{-1} \in S$ and $\rho(s^{-1})$ and $\rho'(s^{-1})$ agree on $\rho(S)(x_0)$, considering preimages shows that $\rho(s)(y)$ and $\rho'(s)(y)$ must lie in the same subinterval of the partition, and hence differ by distance at most ϵ . \square

Remark 3.4. Note that the same argument shows that dynamical realization is continuous as a map from $\text{LO}(G)$ to the quotient of $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ by conjugacy in $\text{Homeo}_+(\mathbb{R})$.

The next propositions discuss the partial “inverse” to the dynamical realization map obtained by fixing a basepoint.

Notation 3.5. Let G be a countable group, and $x_0 \in S^1$. Let $H(x_0) \subset \text{Hom}(G, \text{Homeo}_+(S^1))$ denote the subset of homomorphisms $G \rightarrow \text{Homeo}_+(S^1)$ such that x_0 has trivial stabilizer.

Each $\rho \in H(x_0)$ induces a circular order on G using the orbit of x_0 . This gives a well-defined “orbit map” $o : H(x_0) \rightarrow \text{CO}(G)$.

Proposition 3.6. The orbit map $o : H(x_0) \rightarrow \text{CO}(G)$ is continuous and surjective.

Proof. To show continuity, given a finite set $S \subset G$ and $\rho \in H(x_0)$, we need to find a neighborhood U of ρ in $H(x_0)$ such that the cyclic order of $\rho'(S)(x_0)$ agrees with that of $\rho(S)(x_0)$ for all $\rho' \in U$. But the existence of such a neighborhood follows immediately from the definition of the topology on $\text{Hom}(G, \text{Homeo}_+(S^1))$. Surjectivity of the orbit map follows from the existence of a dynamical realization with basepoint x_0 , as given in Definition 2.4 and following remarks). \square

To describe the fibers of the orbit map, we will generalize the following statement about left orders from [15, Lemma 2.8].

Lemma 3.7 (Navas, [15]). Let $<$ be a left order on G , and ρ_1 its dynamical realization with basepoint $0 \in \mathbb{R}$. Let $\rho_2 \in \text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ be an action with no global fixed point, and such that 0 has trivial stabilizer. The order induced by the ρ_2 -orbit of 0 agrees with $<$ if and only if there is a non-decreasing, surjective map $f : \mathbb{R} \rightarrow \mathbb{R}$, with $f(0) = 0$, such that $\rho_1(g)f = f\rho_2(g)$ for all $g \in G$.

The assumption that $f(0) = 0$ is omitted from the statement in [15], but it is necessary and used in the proof.

For circular orders, we replace the non-decreasing, surjective map f above with a continuous *degree 1 monotone map* of S^1 . This is defined as follows: A continuous map $f : S^1 \rightarrow S^1$ is *degree 1 monotone* if it is surjective and *weakly order-preserving*, meaning that for all triples x, y, z , we have $\text{ord}(f(x), f(y), f(z)) = \text{ord}(x, y, z)$ whenever $f(x), f(y)$ and $f(z)$ are distinct points. One can check that this condition is equivalent to the existence of a non-decreasing, surjective map $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$ that commutes with the translation $x \mapsto x + 1$, and descends to the map f on the quotient $\mathbb{R}/\mathbb{Z} = S^1 \rightarrow S^1$.

Proposition 3.8. Let c_1 be a circular order on G , and ρ_1 its dynamical realization with basepoint x_0 . Let $\rho_2 \in \text{Hom}(G, \text{Homeo}_+(S^1))$ be such that x_0 has trivial stabilizer. The circular order induced by the ρ_2 orbit of x_0 agrees with c_1 if and only if there is a continuous, degree 1 monotone map $f : S^1 \rightarrow S^1$ such that $f(x_0) = x_0$ and $\rho_1(g) \circ f = f \circ \rho_2(g)$ for all $g \in G$.

The degree 1 monotone map f is an example of a *semi-conjugacy* of S^1 . Proposition 3.8 says that the elements of $H(x_0)$ corresponding to the same circular order all differ by such a semi-conjugacy. However, it is important to note that the relationship between ρ_1 and ρ_2 given in the proposition is not symmetric. Loosely speaking, ρ_1 (the dynamical realization) can be obtained from ρ_2 by collapsing some intervals to points, but not vice-versa. This gives a characterization of dynamical realizations as the “most minimal” (i.e. those with “densest orbits”) actions among all actions with a given cyclic structure on an orbit.

Proof of Proposition 3.8. Let ρ_1 be a dynamical realization of c with basepoint x_0 , and let ρ_2 be an action of G on S^1 . Suppose first that f is a degree 1 monotone map fixing x_0 , and such that $\rho_1(g) \circ f = f \circ \rho_2(g)$ for all $g \in G$. Then the cyclic order of the orbits of x_0 under $\rho_1(G)$ and $\rho_2(G)$ agree. (Here we do not even need f to be continuous.)

For the converse, suppose that ρ_2 defines the same circular order as ρ_1 . Define a map $f : \rho_2(G)(x_0) \rightarrow \rho_1(G)(x_0)$ by $\rho_2(g)(x_0) \mapsto \rho_1(g)(x_0)$. Following the strategy of [15, Lemma 2.8], we show that f first extends continuously to the closure of the orbit $\rho_2(G)(x_0)$, and can then be further extended to a continuous degree 1 monotone map. Suppose that x is in the closure of the orbit of x_0 under $\rho_2(G)$. Then there exist g_i in G such that $\rho_2(g_i)(x_0) \rightarrow x$; moreover we can choose these such that, for each i , the triples $\rho_2(g_1)(x_0), \rho_2(g_i)(x_0), \rho_2(g_{i+1})(x_0)$ all have the same (positive or negative) orientation. Assume for concreteness that these triples are positively oriented.

Since the orbit of x_0 under ρ_1 has the same cyclic order as that of ρ_2 , the triples $\rho_1(g_1)(x_0), \rho_1(g_i)(x_0), \rho_1(g_{i+1})(x_0)$ are also all positively oriented, so the sequence $\rho_1(g_i)(x_0)$ is *monotone* (increasing) in the closed interval obtained by cutting S^1 at $\rho_1(g_1)(x_0)$. Thus the sequence $\rho_1(g_i)(x_0)$ converges to some point, say y . Define $f(x) = y$. This is well defined, since if $\rho_2(h_i)(x_0)$ is another sequence converging to x from the same side, we can find subsequences g_k and h_k such that the triples $\rho_1(g_1)(x_0), \rho_1(h_k)(x_0), \rho_1(g_{k+1})(x_0)$ are positively oriented, so the monotone sequences $\rho_1(g_k)(x_0)$ and $\rho_1(h_k)(x_0)$ converge to the same point. If instead $\rho_2(h_i)(x_0)$ approaches from the opposite side, i.e. the triples $\rho_2(h_1)(x_0), \rho_2(h_i)(x_0), \rho_2(h_{i+1})(x_0)$ are negatively oriented, then the midpoint construction from the definition of dynamical realization implies that the distance between $\rho_1(g_i)(x_0)$ and $\rho_1(h_i)(x_0)$ approaches zero, so they converge to the same point. With this definition, the function f is now weakly order preserving on triples, whenever it is defined.

If $\rho_2(G)(x_0)$ is dense in S^1 , this completes the definition of f . If not, for each interval I that is a connected component of the complement of the closure of $\rho_2(G)(x_0)$, extend f over I by defining it to be the unique affine map from $I = (a, b)$ to the interval $(f(a), f(b))$ in the complement of the closure of $\rho_1(G)(x_0)$. This gives a well defined continuous extension that preserves the relation $\rho_1(g) \circ f = f \circ \rho_2(g)$ and preserves the weak order preserving property of f on $\rho_2(G)(x_0)$. \square

We conclude these preliminaries by showing the necessity of fixing the basepoint in Propositions 3.6 and 3.8. Note that, if c is an order induced from an action of G on S^1 with basepoint x_0 , then the order induced from the basepoint $g(x_0)$ – which also has trivial stabilizer – is precisely the conjugate order c_g from Definition 2.7. However, one may also change basepoint to a point outside the orbit of x_0 . The following proposition gives a

general description of orders under change of basepoint, it will be used in the next section. We use the notation $\text{stab}(x)$ to denote the stabilizer of a point x .

Proposition 3.9 (Change of basepoint). Let $G \subset \text{Homeo}_+(S^1)$ be a countable group. Let $x \in S^1$, and let $\{x_i\}$ be a sequence of points approaching x such that $\text{stab}(x_i) = \{\text{id}\}$ for all i .

- i) If x has trivial stabilizer, then the circular orders from basepoints x_i approach the order from basepoint x . In particular, if x_i are in the orbit of x under G , then the order induced from the orbit of x can be approximated by its conjugates.
- ii) If an interval $I \subset S^1$ satisfies $\text{stab}(x) = \{\text{id}\}$ for all $x \in I$, then all choices of basepoint in I give the same circular order.

Proof. i) Assume x has trivial stabilizer. Let $S \subset G$ be any finite set. If x_j is sufficiently close to x , then the finite set $\{g(x_j) \mid g \in S\}$ will have the same circular order as that of $\{g(x) \mid g \in S\}$.

ii) Assume now that $\text{stab}(x) = \{\text{id}\}$ for all x in a connected interval I . By part i), under this assumption the map $I \rightarrow \text{CO}(G)$ given by sending a point x to the circular order induced from x is continuous. Since I is connected and $\text{CO}(G)$ totally disconnected, this map is constant. \square

Remark 3.10. Note that if x has nontrivial stabilizer, then the set of accumulation points of circular orders induced from such a sequence of basepoints need not be a singleton. For an easy example, consider an action of \mathbb{Z} on the circle such that some point x is a repelling fixed point of the generator f of \mathbb{Z} . Then for any points y and z close to x and separated by x , we will have a positive cyclic order on one and only one of the triples $(y, f(y), f^2(y))$ and $(z, f(z), f^2(z))$.

Although such an action will not arise as the dynamical realization of any order on \mathbb{Z} , this behavior does occur for \mathbb{Z} -subgroups of the dynamical realization of orders on many groups, including F_2 .

3.2 Proof of Theorem 1.2

We now prove the characterization theorem that was stated in the introduction:

Theorem 1.2. Let G be a countable group. A circular order on G is isolated if and only if its dynamical realization ρ is *rigid* in the following strong sense: for every action ρ' sufficiently close to ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$ there exists a continuous, degree 1 monotone map $h : S^1 \rightarrow S^1$ fixing the basepoint x_0 and such that $h \circ \rho(g) = \rho'(g) \circ h$ for all $g \in G$.

In particular, this implies that the dynamical realization of an isolated circular order is rigid in the more standard sense that it has a neighborhood in $\text{Hom}(G, \text{Homeo}_+(S^1))$ consisting of a single semi-conjugacy class (see e.g. Definition 3.5 in [10]). We remark, however, that this weaker form of rigidity does not entail that the ordering is isolated. This is the case, for instance, with (some) circular orders on fundamental groups of hyperbolic closed surfaces and also on (some) solvable groups, see Example 3.25 and Example 3.27 below.

By minor modifications of the proof, we obtain the same result for left orders.

Theorem 3.11. Let G be a countable group. A left order on G is isolated if and only if its dynamical realization ρ is *rigid* in the following strong sense: for every action ρ' sufficiently close to ρ in $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ there exists a continuous, surjective monotone map $h : \mathbb{R} \rightarrow \mathbb{R}$ fixing the basepoint x_0 and such that $h \circ \rho(g) = \rho'(g) \circ h$ for all $g \in G$.

We prove Theorem 1.2 first, then give the modifications for the left order case. The first step in the proof is a stronger version of the *continuity of dynamical realization* given in Proposition 3.3.

Lemma 3.12 (Continuity of dynamical realization, II). Let $c \in \text{CO}(G)$ and let $\rho : G \rightarrow \text{Homeo}_+(S^1)$ be a dynamical realization of c . Let U be any neighborhood of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$. Then, there exists a neighborhood V of c in $\text{CO}(G)$ such that each order in V has a dynamical realization in U .

Proof. We run a modification of the original argument in Proposition 3.3, which proved a weaker result about the *conjugacy* class of ρ . Let U be a neighborhood of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$. We may assume that $U = O_{(F, \epsilon)}(\rho)$ for some ϵ . If $\rho(G)(x_0)$ is dense in S^1 , then the original argument from the proposition works exactly: by taking a large enough finite subset $S \subset G$, containing F , we will have that each point of S^1 is within $\epsilon/2$ of the set $\rho(S)(x_0)$. Continuing the original proof, verbatim, shows that any circular order that agrees with c on $S \cdot S$ lies in $O_{(S, \epsilon)}(\rho) \subset O_{(F, \epsilon)}(\rho)$.

In the case where $\rho(G)(x_0)$ is not dense, we claim that x_0 (and hence all points in the orbit of x_0) are isolated points. To see this, suppose that x_0 were not isolated. Then every point of $\rho(G)(x_0)$ would be an accumulation point of the orbit $\rho(G)(x_0)$, and hence the closure of the orbit is a Cantor set. In this case the “minimality” of dynamical realizations given by Proposition 3.8 implies that $\rho(G)(x_0)$ is in fact dense. More concretely, if there is some interval I in the complement of the closure of $\rho(G)(x_0)$, then collapsing each interval $\rho(g)(I)$ to a point produces a new action of G on S^1 that is not semi-conjugate to ρ by any degree one *continuous* map f , contradicting Proposition 3.8. (A more detailed version of this kind of argument is given in Lemma 3.21 and Corollary 3.24.)

Given that x_0 is isolated, let $t \in G$ be such that the oriented interval $I := (x_0, \rho(t)(x_0))$ contains no other points from $\rho(G)(x_0)$. Note that, for each pair of distinct elements $g, h \in G$, we have $\rho(g)(I) \cap \rho(h)(I) = \emptyset$. This is because

$$\rho(g)(I) \cap \rho(h)(I) \neq \emptyset \Leftrightarrow I \cap \rho(g^{-1}h)(I) \neq \emptyset$$

and since by definition I contains no points in the orbit of x_0 , we must have $\rho(g^{-1}h)(I) \supset I$, hence $\rho(g^{-1}h)^{-1}(I) \subset I$, contradicting the definition of I .

We use this observation to modify the construction from the proof of Proposition 3.6 as follows. Given ϵ and a finite set $F \subset G$, let $S \subset G$ be a finite, symmetric set containing F and such that each interval J in the complement of the set

$$\bigcup_{g \in S \cdot S} g(I)$$

has length less than ϵ . Let c' be a circular order that agrees with c on $S \cdot S$, and let ρ' be a dynamical realization of c' that agrees with c on $S \cdot S(x_0)$. In particular, this means that $\rho(g)(I) = \rho'(g)(I)$ for all $g \in S \cdot S$ and that these intervals are pairwise disjoint.

For each $g \in S \cdot S$, let h_g be the restriction of $\rho'(g)\rho(g)^{-1}$ to $\rho(g)(I)$. Note that this is a homeomorphism of $\rho(g)(I)$ fixing each endpoint. Let $h : S^1 \rightarrow S^1$ be the homeomorphism defined by

$$h(x) = \begin{cases} h_g(x) & \text{if } x \in \rho(g)(I) \text{ for some } g \in S \cdot S \\ x & \text{otherwise} \end{cases}$$

Then $h\rho'h^{-1}$ is a dynamical realization of c' . We now show that $h\rho'h^{-1}$ is in $O_{(S,\epsilon)}(\rho)$.

Let $s \in S$. By construction, $\rho(s)$ and $h\rho'(s)h^{-1}$ agree on $S(x_0)$. Moreover, if x lies in some interval $\rho(t)(I)$ where $t \in S$, we have

$$h\rho'(s)h^{-1}(x) = \rho(st)\rho'(st)^{-1}\rho'(s)\rho'(t)\rho(t)^{-1}(x) = \rho(s)(x)$$

so again $\rho(s)$ and $h\rho'(s)h^{-1}$ agree here. Finally, if x is not in any such interval, then $\rho(s)(x)$, (and hence $h\rho'(s)h^{-1}(x)$ also) lies in the complement of the set $\bigcup_{g \in S \cdot S} g(I)$. Since

both images $\rho(s)(x)$ and $h\rho'(s)h^{-1}(x)$ lie in the same complementary interval, which by construction has length less than ϵ , they differ by a distance less than ϵ . \square

We are now in position to finish the proof of the Theorem.

End of proof of Theorem 1.2. Let $c \in \text{CO}(G)$ be isolated, and let ρ be its dynamical realization with basepoint x_0 . Since c is isolated, there exists a finite set $F \subset G$ such that any order that agrees with c on F is equal to c . Because the orbit of x_0 under $\rho(F)(x_0)$ is a finite set, there exists a neighborhood U of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$ such that, for any $\rho' \in U$, the cyclic order of the set $\rho'(F)(x_0)$ agrees with that of $\rho(F)(x_0)$. Let $\rho' \in U$. If x_0 has trivial stabilizer under ρ' , then the cyclic order on G induced by the orbit of x_0 under $\rho'(G)$ agrees with c on F , so is equal to c . By Proposition 3.8, this gives the existence of a map h as in the theorem.

If x_0 instead has non-trivial stabilizer, say $K \subset G$, then the orbit of x_0 under $\rho'(G)$ gives a circular order on the set of cosets of K . Lemma 3.13 below implies that this can be “extended” or *completed* to an order on G in at least two different ways, both of which agree with c on F . In particular, one of the order completions is not equal to c . This shows that c is not an isolated point, contradicting our initial assumption. This completes the forward direction of the proof.

For the converse, assume that c is a circular order whose dynamical realization ρ satisfies the rigidity condition in the theorem. Let U be a neighborhood of ρ so that each ρ' in U is semi-conjugate to ρ by a continuous degree one monotone map h as in the statement of the theorem. Lemma 3.12 provides a neighborhood V of c such that any $c' \in V$ has a dynamical realization in U . Proposition 3.8 now implies that the neighborhood V consists of a single circular order, so c is an isolated point. \square

Lemma 3.13 (Order completions, see Theorem 2.2.14 in [2]). Let $G \subset \text{Homeo}(S^1)$ and let x be a point with stabilizer $K \subset G$. Any left order on K can be extended to circular order on G that agrees with the partial order on cosets of K induced by the orbit of x .

We include a proof sketch for completeness.

Proof sketch. Suppose that $<_K$ is a left order on K . Define $c(g_1, g_2, g_3) = 1$ whenever $\text{ord}(g_1(x), g_2(x), g_3(x)) = 1$. When (g_1, g_2, g_3) is a non-degenerate triple but $g_1(x) = g_2(x) \neq g_3(x)$, then one can declare $c(g_1, g_2, g_3) = 1$ whenever $g_2^{-1}g_1 <_K$. This determines also the other cases where exactly two of the points $g_i(x)$ coincide. The remaining case is when $g_1(x) = g_2(x) = g_3(x)$, in which case we declare $c(g_1, g_2, g_3)$ to be the sign of the permutation σ of $\{\text{id}, g_1^{-1}g_2, g_1^{-1}g_3\}$ such that $\sigma(\text{id}) < \sigma(g_1^{-1}g_2) < \sigma(g_1^{-1}g_3)$. Checking that this gives a well-defined left-invariant circular order is easy and left to the reader. \square

We end this section with the modifications necessary for the left order version of this theorem.

Proof of Theorem 3.11. Since any linear order is in particular a circular order, the argument from Lemma 3.12 can also be used to show the following

Let G be a countable group, and $<$ a left order on G , with dynamical realization ρ . Let U be a neighborhood of ρ in $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$. Then there exists a neighborhood V of $<$ in $\text{LO}(G)$ such that each order in V has a dynamical realization in U .

Combining this with Lemma 3.7 (in place of Proposition 3.8, which was used in the circular order case) now shows that any order $<$ on G with dynamical realization ρ satisfying the rigidity assumption is an isolated left order.

For the other direction of the proof, assuming $<$ is an isolated left order, one runs the beginning of the proof of Theorem 1.2: since $<$ is isolated, there exists a finite set $F \subset G$ such that any order that agrees with $<$ on F is equal to $<$. Because the orbit of x_0 under $\rho(F)(x_0)$ is a finite set, there exists a neighborhood U of ρ in $\text{Hom}(G, \text{Homeo}_+(\mathbb{R}))$ such that, for any $\rho' \in U$, the linear order of the set $\rho'(F)(x_0)$ agrees with that of $\rho(F)(x_0)$. Let $\rho' \in U$. Order completion applied here again allows us to assume that x_0 has trivial stabilizer under ρ' (applying order completion in this case will give a left rather than circular order), and so the cyclic order on G induced by the orbit of x_0 under $\rho'(G)$ agrees with $<$ on F , so is equal to $<$. By Lemma 3.7, this gives the existence of a map h as in the theorem. \square

3.3 Convex subgroups and dynamics

Using our work above, we give some additional properties of isolated circular orders. For this, we need to describe certain subgroups associated to a circular order. Recall that a subgroup H of a left-ordered group is called *convex* if, whenever one has $h_1 < g < h_2$ with h_1, h_2 in H and $g \in G$, then $g \in H$. We extend this to circularly ordered groups as follows. (A similar definition appears in [8].)

Definition 3.14. A subgroup H of a circularly ordered group is *convex* if the restriction of c to H is a left order, and if whenever one has $c(h_1, g, h_2) = +1$ and $c(h_1, \text{id}, h_2) = +1$ with h_1, h_2 in H and $g \in G$, then $g \in H$.

In particular $\{\text{id}\}$ is (trivially) a convex subgroup.

Lemma 3.15 (The linear part of an action). Let c be a circular order on G . Then there is a unique maximal convex subgroup $H \subset G$. We call H the *linear part* of c .

Proof. Let ρ be a dynamical realization of a circular order c on G , with basepoint x_0 . Note that the definition of convex is easily seen to be equivalent to the following condition:

(*) $\rho(H)$ acts on S^1 with a fixed point, and if $g(x_0)$ lies in the connected component of $S^1 \setminus \text{fix}(\rho(H))$ containing x_0 , then $g \in H$.

In other words, if I_H denotes the connected components of $S^1 \setminus \text{fix}(\rho(H))$ containing x_0 , then $H = \{g \in G : g(x_0) \in I_H\}$. Identifying I_H with the line, the induced linear order on H agrees with the order on the orbit of x_0 under $\rho(H)$. Now if H and K are two convex subgroups, and $h \in H \setminus K$, then (up to replacing h with its inverse) we have $h(x_0) > k(x_0)$ for all $k \in K$, and $h^{-1}(x_0) < k(x_0)$ for all $k \in K$. Thus, $H \supset K$. It follows that the union of convex subgroups is convex, and so the union of all convex subgroups is the (unique) maximal element. \square

To give a more complete dynamical description of the linear part of a circular order, we use the following general fact about groups acting on the circle.

Lemma 3.16. Let G be any group, and $\rho : G \rightarrow \text{Homeo}_+(S^1)$ any action on the circle. Then there are three mutually exclusive possibilities.

- i) There is a finite orbit. In this case, all finite orbits have the same cardinality.
- ii) The action is minimal, i.e. all orbits are dense.
- iii) There is a (unique) compact G -invariant subset $K \subset S^1$, homeomorphic to a Cantor set, and contained in the closure of any orbit. This set is called the *exceptional minimal set*.

A proof can be found in [7, Sect. 5].

Proposition 3.17 (Dynamical description of the linear part). Let ρ be the dynamical realization of a circular order c . According to the trichotomy above, the linear part of c has the following description.

- i) (finite orbit case) the linear part is the finite index subgroup of G that fixes any finite orbit pointwise.
- ii) (minimal case) the linear part is trivial.
- iii) (exceptional case) the linear part is the stabilizer of the connected component of $S^1 \setminus K$ that contains x_0 .

Proof. We use the alternative characterization (*) of the linear part of an order given in the proof of Lemma 3.15. Suppose first that $\rho(G)$ has a finite orbit, say O , and let H be the finite index subgroup fixing this orbit pointwise. Then H is a left-ordered subgroup since it acts on S^1 with a fixed point, and H is convex with I_H equal to the connected component of $S^1 \setminus O$ containing x_0 . Moreover, for any $g \notin H$, the action of $\rho(g)$ has no fixed point (it cyclically permutes the connected components of $S^1 \setminus O$ and so g is not in any convex subgroup). This shows that H is the maximal convex subgroup of G .

More generally, if H is a convex subgroup of any circular order on a group G , and $g \notin H$, then $\rho(g)(I_H) \cap I_H$ is always empty. To see this, note that the endpoints of I_H are accumulation points of $\rho(H)(x_0)$, so if $\rho(g)(I_H) \cap I_H \neq \emptyset$, then there exists some element of the form $\rho(gh)(x_0) \in I_H$. Since H is convex, this means that $g \in H$.

This argument shows that I_H is always a *wandering interval* for the action of $\rho(G)$, and in particular, that the action of G cannot be minimal. Moreover, when H is a maximal convex subgroup, I_H is the maximal (with respect to inclusion) wandering interval containing x_0 , namely a connected component of the complement of the exceptional minimal set. This completes the proof. \square

We now state and prove the main result in this section.

Theorem 3.18. If c is an isolated circular order on an infinite group G , then c has nontrivial linear part, and the induced left order on the linear part is an isolated left order.

In particular, using Proposition 3.17, this implies that the dynamical realization of any isolated circular order on any group G cannot be minimal. This was Corollary 1.3 stated in the Introduction, and it immediately gives a new proof of the main construction (Theorem 4.6) of [1]. The fact that the linear part of an isolated circular order has an isolated linear order implies also that it has a nontrivial *Conradian soul*, as defined in [15], and that the soul admits only finitely many left orders.

For the proof, we will use a consequence of the following theorem of Margulis.

Proposition 3.19 (Margulis, [11]). Let G be any group, and $\rho : G \rightarrow \text{Homeo}_+(S^1)$ any action on the circle. Either $\rho(G)$ preserves a probability measure on S^1 , or $\rho(G)$ contains a nonabelian free subgroup.

The construction of the nonabelian subgroup comes from the existence of *contracting intervals*, meaning intervals I such that there exists a sequence g_n in G such that the diameter of $g_n(I)$ approaches 0. An exposition of the proof can be found in [7]. In the case where the action of G is minimal, one can find contracting intervals containing any point. Put more precisely, we have the following corollary of the proof given in [7].

Proposition 3.20. [Ghys [7]] Let $\rho : G \rightarrow \text{Homeo}_+(S^1)$ be a *minimal* action on the circle. Either $\rho(G)$ is conjugate to a group of rotations, or the following condition holds: For any two points x and $y \in S^1$, there is a nondegenerate interval I containing x and sequence of elements g_n such that $\rho(g_n)(I)$ converges to y .

In order to prove Theorem 3.18, we also need to describe dynamical realizations with exceptional minimal set. We state this as a separate lemma; it will be used again in the next section.

Lemma 3.21 (Condition for dynamical realizations). Suppose that $\rho : G \rightarrow \text{Homeo}_+(S^1)$ is such that x_0 has trivial stabilizer, and ρ has an exceptional minimal set K . If ρ is a dynamical realization of a circular order with basepoint x_0 , then each connected component I of $S^1 \setminus K$ contains a point of the orbit $\rho(G)(x_0)$ and has nontrivial stabilizer in G .

Proof. Let $\rho : G \rightarrow \text{Homeo}_+(S^1)$ be a dynamical realization with basepoint x_0 and exceptional minimal set K . Since $\rho(G)$ permutes the connected components of $S^1 \setminus K$, it suffices to show that each interval of $S^1 \setminus K$ contains at least two points in the orbit $\rho(G)(x_0)$.

Suppose for contradiction that some connected component I of $S^1 \setminus K$ contains one or no points in the orbit $\rho(G)(x_0)$. Then, for each $g \in G$, the connected component $\rho(g)(I)$ of $S^1 \setminus K$ also contains at most one point in the orbit of x_0 . Collapsing each interval $\rho(g)(I)$ to a point gives a new circle, on which G acts by homeomorphisms. Let ρ_2 denote this new action, and note that $\rho_2(G)(x_0)$ has the same cyclic order as $\rho(G)(x_0)$. More precisely, let $h : S^1 \rightarrow S^1$ is a map such that, for each $g \in G$, the image $h(\rho(g)(I))$ is a singleton, that is injective on the complement of $\bigcup_g \rho(g)(I)$ and that fixes x_0 , and define ρ_2 by $h \circ \rho(g) = \rho_2(g) \circ h$.

Since ρ is a dynamical realization, Proposition 3.8 gives a continuous degree one monotone $f : S^1 \rightarrow S^1$ fixing x_0 and such that $f \circ \rho_2(g) = \rho(g) \circ f$. In other words, f is an inverse for h on the orbit of x_0 . However, since the endpoints of I lie in the exceptional minimal set K , they are also in the closure of the orbit $\rho(G)(x_0)$. But $h(I)$ is a point, so f cannot be continuous at this point. This gives the desired contradiction. \square

Proof of Theorem 3.18. Suppose that c is an isolated circular order on G . We use proposition 3.16 to describe its dynamical realization ρ . Let x_0 be the basepoint of ρ . First, we will show that ρ cannot be minimal. Assume for contradiction that ρ is minimal. Then Proposition 3.20 implies that either G is abelian and ρ conjugate to an infinite group of rotations, or we have an interval I containing x_0 and, for each $y \in S^1$ a sequence contracting I to $\{y\}$. The rotations case gives an order which is not isolated, this is shown in Lemma 3.22 below. (While it is relatively easy to see that such an order on an abelian group isn't isolated if, say, G has rank at least one, it is more difficult for groups like the group of rotations by $n\pi/2^k$ and deserves a separate lemma.)

Thus, we now have only to deal with the second case of a contractible interval. Using minimality, let $h_k \in G$ be a sequence such that the sequence of points $y_k := \rho(h_k)(x_0)$ approaches x_0 . By Proposition 3.9, the orders on G induced by the orbit of y_k approach c . For concreteness, we assume y_k approaches x_0 from the left, so that $y_1, y_2, y_3, \dots, x_0$ are in counterclockwise order, and let $I = [a, b]$ so that the triple a, x_0, b is in counterclockwise (positive) order. We may additionally assume that all y_k lie in the interval $[a, x_0]$.

For each k , let $g_{k,n}$ be a sequence contracting I to y_k . Then, for each k we may find $m < n$ such that

$$y_k, g_{k+1,m}(y_k), g_{k+1,n}(y_k) = h_k(x_0), g_{k+1,m}h_k(x_0), g_{k+1,n}h_k(x_0)$$

is a counterclockwise (positively) oriented triple, while

$$x_0, g_{k+1,m}(x_0), g_{k+1,n}(x_0)$$

is negatively oriented. This proves that none of the conjugate orders obtained from taking basepoints y_k are equal to c , so c is approximated by its conjugates, giving a contradiction.

Now we describe the case when ρ is not minimal. By Lemma 3.16, it has either a finite orbit or invariant Cantor set. In the first case, since G is infinite, x_0 is not an element of a finite orbit, and so c has a nontrivial linear part. In the second case, Proposition 3.21 implies that x_0 lies in the complement of the exceptional minimal set K , and that the stabilizer of the connected component of $S^1 \setminus K$ containing x_0 is nontrivial. Hence, c has nontrivial linear part.

Now let $H \subset G$ denote the linear part of c . If the left order on H were not isolated in $\text{LO}(H)$, then order completion from Lemma 3.13 allows us to approximate c in $\text{CO}(G)$ using left orders on H approaching the restriction of c to H . Thus, the left order on H must be isolated. \square

It remains only to prove the lemma on abelian groups.

Lemma 3.22 (Infinite groups of rotations are not isolated). Let G be an infinite group, and suppose $\rho(G) \subset \text{SO}(2) \cong \mathbb{R}/\mathbb{Z}$ is the dynamical realization of a circular order c . Then c is not isolated.

Proof. Identify G with its image in the additive group \mathbb{R}/\mathbb{Z} . Note that the order on $G \subset \mathbb{R}/\mathbb{Z} \cong S^1$ agrees with the usual cyclic order on points of S^1 .

Case i. G is not a torsion group. Let $\hat{G} \subset \mathbb{R}$ be the set of lifts of elements to \mathbb{R} , so we have a short exact sequence $0 \rightarrow \mathbb{Z} \rightarrow \hat{G} \rightarrow G \rightarrow 0$, and consider the vector space V over \mathbb{Q} generated by $\hat{G} \subset \mathbb{R}$. By assumption, G is not torsion, so $V \not\subset \mathbb{Q}$. Let $\lambda \in V \setminus \mathbb{Q}$. Choose $\lambda' \in \mathbb{R}$ linearly independent over \mathbb{Q} from V , and define $\phi : V \rightarrow \mathbb{R}$ by $\lambda q \mapsto \lambda' q$ for $q \in \mathbb{Q}$, and $\alpha \mapsto \alpha$ for any α in the complement of the span of λ . Then $\phi(\hat{G}) \cong \hat{G}$ as additive groups, and ϕ descends to an embedding of G in \mathbb{R}/\mathbb{Z} with a different cyclic order. This order can be made arbitrarily close to the original one by taking λ' as close as we like to λ .

Case ii. $G \subset \mathbb{Q}/\mathbb{Z}$. In this case G is an infinite abelian torsion group and we can decompose it into a direct sum of groups $G = \bigoplus G_p$, where G_p is the group of all elements who have order a power of p , for each prime p . These are simply the elements $a/p^k \in \mathbb{R}/\mathbb{Z}$. We use the following basic fact.

Fact 3.23. Let $A_p = \{a/p^k : k \in \mathbb{N}\} \subset \mathbb{R}/\mathbb{Z}$. Then, for any k , the function $x \mapsto x + p^k x$ is an automorphism of A_p .

To see this, one checks easily that any map $x \mapsto \sum_{i=0}^{\infty} a_i p^i x$ gives a well defined endomorphism. (For fixed $x \in A_p$, all but finitely many terms in the formal power series $\sum a_i p^i x$ vanish mod \mathbb{Z}). Since $1 + p^k$ is invertible in the p -adic integers, $x \mapsto x + p^k x$ has an inverse, so is a homomorphism.

Given any finite subset S of G , we can find some $G_p \subset G$ and $N > 0$ such that $G_p \cap \{a/p^k : k > N\}$ is nonempty and does not contain any element of S . Let k be the smallest integer greater than N such that G_p contains an element of the form a/p^k . Using the fact above, define a homomorphism $G \rightarrow \mathbb{R}/\mathbb{Z}$ to be the identity on G_q for $q \neq p$, and to be $x \mapsto x + p^{k-1}x$ on G_p . Note that this is well defined, injective, restricts to the identity on S , and changes the cyclic order of elements of G_p . \square

We conclude this section with a converse to Lemma 3.21 and corollary to the proof of Proposition 3.8. It will also be useful in the next section.

Corollary 3.24. Suppose that $\rho : G \rightarrow \text{Homeo}_+(S^1)$ is an action with exceptional minimal set K , that $\rho(G)$ acts transitively on the connected components of $S^1 \setminus K$, and that, for some component I , the stabilizer of I is nontrivial and acts on I as the dynamical realization of a linear order with basepoint $x_0 \in I$. Then ρ is the dynamical realization of a circular order with basepoint x_0 .

Proof. Let ρ be as in the proposition and let $x_0 \in I$. Then x_0 has trivial stabilizer. Suppose for contradiction that ρ is *not* a dynamical realization, and let ρ_2 be the dynamical realization of the circular order on G given by the orbit of x_0 under $\rho(G)$. Proposition 3.8 then gives the existence of a continuous degree one monotone map $f : S^1 \rightarrow S^1$ such that $f(x_0) = x_0$ and $\rho_2(g) \circ f = f \circ \rho(g)$. If f is a homeomorphism, then ρ is a dynamical realization. Thus, f must not be injective.

Given that f is not injective, the construction of f in the proof of Proposition 3.8 implies that there is a connected component J of the complement of the closure of $\rho_2(G)(x_0)$ such that $f(J)$ is a singleton, say y . Since the exceptional minimal set K is contained in the closure of $\rho_2(G)(x_0)$, it follows that J lies inside a connected component of $S^1 \setminus K$. After conjugacy, we may find such an interval J such that $J \subset I$. But then, since by assumption ρ restricts to a dynamical realization of the stabilizer $\text{stab}_G(I)$, Lemma 3.7 provides a continuous surjective map $\hat{f} : I \rightarrow I$ such that $\rho(g) \circ \hat{f} = \hat{f} \circ \rho_2(g)$ for every g fixing I . But \hat{f} is local inverse of f , so it cannot be continuous. This provides the desired contradiction. \square

3.4 Illustrative non-examples

We give some examples to show that Theorem 1.2 does not hold when ρ is assumed to have a related or slightly weaker form of rigidity.

Example 3.25. Let G be the fundamental group of a closed surface of genus $g \geq 2$, and $\rho : G \rightarrow \text{PSL}(2, \mathbb{R}) \subset \text{Homeo}_+(S^1)$ the homomorphism arising from a hyperbolic structure on Σ_g , this is an embedding of G into $\text{PSL}(2, \mathbb{R})$ as a cocompact lattice. Let $x_0 \in S^1$ be a point with trivial stabilizer. Such a point exists, as there are only countably many points with nontrivial stabilizer, each one an isolated fixed point of an infinite cyclic subgroup of G . Then the orbit of x_0 induces a circular order, say c_0 , on G , and since ρ is minimal Proposition 3.8 implies that ρ is a dynamical realization of c_0 with basepoint x_0 . By Corollary 1.3, this is not an isolated circular order. However, the action does have a form of rigidity, which we now describe.

The main theorem of Matsumoto [12], together with minimality of ρ implies that there exists a neighborhood U of ρ in $\text{Hom}(G, \text{Homeo}_+(S^1))$ such that, for all ρ' in U , there exists a continuous, degree one monotone map h such that $h \circ \rho' = \rho \circ h$. This is quite similar to our “strong rigidity”, except that here h will not generally fix the basepoint x_0 .

To see directly that c_0 is not isolated, one can change the basepoint as in Remark 3.10 to approximate c_0 by its conjugates. This corresponds to conjugating ρ by some small homeomorphism h that does not fix x_0 .

One can modify the group G in the example above to give a dynamical realization ρ of a circular order c with *nontrivial linear part* (and isolated left order on the linear part!) and so that ρ still has some form of rigidity – but where c fails again to be an isolated circular order due to basepoint considerations. Here is a brief sketch of one such construction.

Example 3.26. Let $G = \pi_1(\Sigma_g) \times \mathbb{Z}$. Define $\rho : G \rightarrow \text{Homeo}_+(S^1)$ by starting with the action of $\pi_1(\Sigma_g)$ defined above, then “blowing up” each point in the orbit of x_0 , replacing it with an interval (i.e. performing the Denjoy trick), and inserting an action of \mathbb{Z} by translations supported on these intervals, that commutes with the action of $\pi_1(\Sigma_g)$. Corollary 3.24 shows that these are dynamical realizations of the circular order on G obtained from the orbit of x_0 .

Moreover, much like in the case above, one can argue from Matsumoto’s result that any nearby action ρ' of G on S^1 is *semi-conjugate* to ρ , in the sense that both can be collapsed to a common minimal action where the \mathbb{Z} factor acts trivially. However, ρ is not an isolated circular order; one can produce arbitrarily nearby orders by performing the same construction, but blowing up the orbit of a nearby point instead of x_0 , and choosing the basepoint there.

Our last example concerns circular orders on solvable groups.

Example 3.27. Consider the Baumslag-Solitar groups $BS(1, 2) = \langle a, b \mid aba^{-1} = b^2 \rangle$ acting by $\rho(a) : x \mapsto 2x$ and $\rho(b) : x \mapsto x + 1$ for $x \in \mathbb{R} \cup \{\infty\} = S^1$. As in Example 3.25, ρ is a dynamical realization of any circular order induced from a point x_0 having trivial stabilizer. For this, one can take x_0 to be any point in $\mathbb{R} \setminus \mathbb{Q}$.

We claim that the representation ρ is rigid, in the sense that all nearby actions of $BS(1, 2)$ on the circle are semi-conjugate to it. Indeed, from the invariance under conjugation of rotation number, b always has a fixed point in S^1 . Furthermore, since the fixed points of $\rho(a)$ are hyperbolic, and therefore stable, for any representation ρ' close to ρ , the element $\rho'(a)$ has a fixed point. By iterating a fixed point of $\rho'(b)$ under $\rho'(a^{-1})$, we obtain a global fixed point of ρ' . This implies that, up to semi-conjugacy, we can view ρ' as an action of $BS(1, 2)$ on $\mathbb{R} \cup \{\infty\}$ fixing ∞ . Moreover, in this model, $\rho'(a)$ has a fixed point, say p , on \mathbb{R} and we have $\rho'(b)(p) > p$. But now, in [18] it is shown that $BS(1, 2)$ has only four semi-conjugacy classes of actions on the line. Two of them giving actions where a has no fixed points, and the other two are affine actions: one in which b is a negative translation and the other in which b is a positive translation. This implies that ρ' is semi-conjugate to ρ . However, the ordering on $BS(1, 2)$ induced from x_0 is not isolated, it is approximated by its conjugates in the same way as in Example 3.25.

Similar arguments can be applied to many orderings on (not necessarily affine) solvable groups. See [20].

4 Circular orders on free groups

In this section, we use the results of Section 3 to show that there are infinitely many nonconjugate circular orders on free groups of even rank, and characterize the dynamical

realizations of isolated circular orders on free groups generally, proving Theorems 1.4 and 1.5.

We start with a definition related to the conditions in Theorem 1.5.

Definition 4.1. Let $a_1, a_2, \dots, a_n \in \text{Homeo}_+(S^1)$. We say these elements have *ping-pong dynamics* if there exist pairwise disjoint closed sets $D(a_i)$ and $D(a_i^{-1})$ such that, for each i , we have

$$\rho(a_i)(S^1 \setminus D(a_i^{-1})) \subset D(a_i).$$

We call any such sets $D(a_i)$ and $D(a_i^{-1})$ satisfying $a_i(S^1 \setminus D(a_i^{-1})) \subset D(a_i)$ *attracting domains* for $\rho(a_i)$ and $\rho(a_i^{-1})$ respectively, and use the notation $D(a_i^{\pm 1})$ to denote $D(a_i) \cup D(a_i^{-1})$. These attracting domains need not be connected. In this case, we use the notation $D_1(s), D_2(s), \dots$ for the connected components of $D(s)$. Note that the definition of ping-pong dynamics implies that for each $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, and for each domain $D_k(t)$ with $t \neq s^{-1}$, there exists a unique j such that $s(D_k(t))$ lies in the interior of $D_j(s)$.

The terminology is motivated by the following lemma, a version of the classical ping-pong lemma.

Lemma 4.2 (Ping-pong). Let $a_1, a_2, \dots, a_n \in \text{Homeo}_0(S^1)$ have ping-pong dynamics. Then a_1, \dots, a_n generate a free group. More precisely, for any x_0 not in the interior of an attracting domain $D(a_i^{\pm 1})$, the orbit of x_0 is free and its cyclic order is completely determined by: *i*) the cyclic order of the sets $D_j(s)$ and $\{x_0\}$, for $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, and *ii*) the collection of *containment relations*

$$\begin{aligned} s(D_k(t)) &\subset D_j(s), \\ s(x_0) &\in D_j(s) \end{aligned}$$

Proof of Lemma 4.2. Let w_1, w_2 and w_3 be distinct reduced words in the letters a_i and a_i^{-1} . We need to show that the cyclic order of the triple $w_1(x_0), w_2(x_0), w_3(x_0)$ is well defined (i.e. x_0 has trivial stabilizer) and completely determined by the cyclic order of, and containment relations among, x_0 and the connected components of the attracting domains.

We proceed by induction on the maximum word length of w_i . For the base case, if each w_i is either trivial or a generator, then either $w_i(x_0) = x_0$ (trivial case), or $w_i(x_0) \in D_j(w_i)$, for some j determined by the containment relations. Since the w_i are distinct, no two of the points $w_1(x_0), w_2(x_0)$ and $w_3(x_0)$ lie in the same domain $D(w_i)$. Thus, all are distinct points, and the cyclic order of the sets $D_j(s)$ and $\{x_0\}$ determines the cyclic order of the triple.

For the inductive step, assume that for all triples of reduced words w_i of length at most k , the cyclic order of the triple $w_1(x_0), w_2(x_0), w_3(x_0)$ is determined, and assume also that the points $w_i(x_0)$ have $w_i(x_0) \in D_j(w_i)$ for some j determined by w_i . (For completeness and consistency, consider $\{x_0\}$ to be the attracting domain for the empty word). Let w_1, w_2, w_3 be reduced words of length at most $k + 1$.

Write $w_i = s_i v_i$, where $s_i \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, so v_i is a word of length at most k . (If w_i is empty, skip this step, and set v_i to be the empty word.) We have $v_i(x_0) \in D_k(t_i)$ for some k , and since w_i is a reduced word, $t_i \neq s_i$. By inductive hypothesis, the sets $D_k(t_i)$ and

cyclic order of the points $v_i(x_0)$ are known. Finally, we have $w_i(x_0) = s_i v_i(x_0) \in s_i(D_k(t_i))$, and $s_i(D_k(t_i)) \in D_j(s_i)$ for some j given by the containment relations.

If these sets $D_j(s_i)$ are distinct, then we are done since their cyclic order is known. We may also ignore the case where all w_i have the same initial first letter s , since the cyclic order of the triple $s^{-1}w_1(x_0), s^{-1}w_2(x_0), s^{-1}w_3(x_0)$ agrees with that of $w_1(x_0), w_2(x_0), w_3(x_0)$. So we are left with the case where exactly two of the three domains $D_j(s_i)$ containing $w_i(x_0)$ agree. For concreteness, assume w_1 and w_2 start with s and w_3 does not, and $w_1(x_0)$ and $w_2(x_0)$ lie in $D_j(s)$.

Consider the shorter reduced words $v_1 = s^{-1}w_1$, $v_2 = s^{-1}w_2$ and s^{-1} . By hypothesis, the cyclic order of the points $v_1(x_0), v_2(x_0), s^{-1}(x_0)$ is determined. This order agrees with the order of the triple $w_1(x_0), w_2(x_0), x_0$. As $x_0 \notin D_j(s)$ and $w_3(x_0) \notin D_j(s)$, this order is the same as that of $w_1(x_0), w_2(x_0), w_3(x_0)$. Thus, the cyclic order of the triple $w_1(x_0), w_2(x_0), w_3(x_0)$ is determined by this initial configuration. \square

The most basic and familiar example of ping-pong dynamics is as follows.

Example 4.3. Let $D(a), D(a^{-1}), D(b)$, and $D(b^{-1})$ be disjoint closed intervals in S^1 . Let a and b be orientation-preserving homeomorphisms of the circle such that

$$\begin{aligned} a(S^1 \setminus D(a^{-1})) &\subset D(a), \\ b(S^1 \setminus D(b^{-1})) &\subset D(b). \end{aligned} \tag{1}$$

By Lemma 4.2, any point x_0 in the complement of the union of these attracting domains induces a circular order on F_2 by $c(w_1, w_2, w_3) = \text{ord}(w_1(x_0), w_2(x_0), w_3(x_0))$.

Remark 4.4. Note that there are two dynamically distinct cases in the construction above: either we can take $D(b)$ and $D(b^{-1})$ to lie in different connected components of $S^1 \setminus (D(a) \cup D(a^{-1}))$, or to lie in the same connected component of $S^1 \setminus (D(a) \cup D(a^{-1}))$.

Convention 4.5. For the remainder of this section, whenever we speak of actions with ping-pong dynamics, we assume that each attracting domain $D(s)$ has *finitely many* connected components.

The next Proposition shows ping-pong dynamics come from isolated circular orders.

Proposition 4.6. Let $\rho : F_n \rightarrow \text{Homeo}_+(S^1)$ be the dynamical realization of a circular order c , with basepoint x_0 . If ρ has ping-pong dynamics and $x_0 \in S^1 \setminus \bigcup_i D(a_i^{\pm 1})$, then c is isolated in $\text{CO}(F_n)$.

Proof. Let $\rho : F_n \rightarrow \text{Homeo}_+(S^1)$ be the dynamical realization of a circular order c , with basepoint x_0 , and assume that ρ has ping-pong dynamics. Following the convention above, let $D_1(s), D_2(s), \dots$ denote the (finitely many) connected components of $D(s)$, so for each $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, each $t \neq s^{-1}$ and each connected component $D_k(t)$, there exists j and i such that we have containment relations of the form

$$\rho(s)(D_k(t)) \subset \overset{\circ}{D}_j(s) \text{ and } \rho(s)(x_0) \in \overset{\circ}{D}_i(s) \tag{2}$$

Here we use the notation $\overset{\circ}{D}_i(s)$ for the interior of $D_i(s)$, and in this proof we will use the fact that the image lies in the interior.

We now show these dynamics are stable under small perturbations. To make this precise, for each $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$ and each connected component $D_k(s)$, let $D'_k(s)$ be an ϵ -enlargement of $D_k(s)$, with ϵ chosen small enough so that $D_k(s) \subset \overset{\circ}{D}'_k(s)$, but all the domains $D'_k(s)$ remain pairwise disjoint. Now if $\rho'(s)$ is sufficiently close to $\rho(s)$, then we will have

$$\rho'(s)(S^1 \setminus D'(s^{-1})) \subset \rho'(s)(S^1 \setminus D(s^{-1})) \subset D'(s).$$

Moreover, as in (2), we will also have $\rho'(s)(D'_k(t)) \subset \overset{\circ}{D}'_j(s)$ and $\rho'(s)(x_0) \in \overset{\circ}{D}'_i(s)$ (with the same indices).

Thus, there exists a neighborhood U of ρ in $\text{Hom}(\Gamma, \text{Homeo}_+(S^1))$ such that any $\rho' \in U$ is a ping-pong action for which the sets $D'_j(s)$ may be taken as the connected components of attracting domains. Moreover, these components are in the same cyclic order as the components $D_j(s)$, the containments from equation (2) are still valid, and x_0 is in the same connected component of the complement of the domains. Fix such a neighborhood U of ρ .

By Lemma 4.2, the cyclic order of the orbit of x_0 depends only on the cyclic order of the domains $D'_j(a_i^{\pm 1})$ and x_0 , so for each $\rho' \in U$, the cyclic order of $\rho'(F_n)(x_0)$ agrees with that of $\rho(F_n)(x_0)$. Now by Lemma 3.12, there exists a neighborhood V of c in $\text{CO}(F_n)$ such that any order $c' \in V$ has a dynamical realization ρ' with basepoint x_0 such that $\rho' \in U$. As we observed above, the order of the orbit $\rho_c(F_2)(x_0)$ agrees with that of $\rho(F_2)(x_0)$, and so the two circular orders agree. \square

The converse to Proposition 4.6 is also true:

Proposition 4.7. Suppose ρ is the dynamical realization of an isolated circular order on $F_n = \langle a_1, a_2, \dots, a_n \rangle$. Then there exist disjoint closed sets $D(s) \subset S^1$ for every $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$, each consisting a finite union of intervals, such that $\rho(s)(S^1 \setminus D(s^{-1})) \subset D(s)$ holds for all s .

Together with Proposition 4.6, this proves Theorem 1.5 from the introduction.

Proof. Assume that ρ is the dynamical realization of an isolated circular order on F_n , and let x_0 be the basepoint for ρ . We start by proving the following claim. To simplify notation, here $F_n(x_0)$ denotes the orbit of x_0 under $\rho(F_n)$, and $\overline{F_n(x_0)}$ denotes its closure.

Claim. For any $y \in \overline{F_n(x_0)}$, there exists a neighborhood U of y in S^1 , and $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$ such that, for each point $g(x_0) \in U \cap F_n(x_0)$, the (reduced) word g in $\{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$ has the same initial letter.

We prove this claim by contradiction. If the claim is not true, then some set of the form

$$\{sw(x_0) : w \text{ is a reduced word in } a_1^{\pm 1}, \dots, a_n^{\pm 1}\},$$

where $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$ is fixed, has in its closure a point of the form $tv(x_0)$, for some $t \neq s$. In this case, for any $\epsilon > 0$, we can find two points $\rho(sw)(x_0)$ and $\rho(tv)(x_0)$, with

$s \neq t$, that are distance less than $\epsilon/2$ apart. Write $w = w_k w_{k-1} \dots w_1$ as a reduced word in the letters $a_1^{\pm 1}, \dots, a_n^{\pm 1}$, and similarly write $v = v_l v_{l-1} \dots v_1$, and consider all the images of x_0 under initial strings of these words, i.e. the points $\rho(w_{k'} \dots w_1)(x_0)$ and $\rho(v_{l'} \dots v_1)(x_0)$ for $k' \leq k$ and $l' \leq l$. We may assume that none of these points lie in the shorter than $\epsilon/2$ -length interval between $\rho(sw)(x_0)$ and $\rho(tv)(x_0)$ – otherwise, we may replace sw and tv with two of these initial strings, say u and u' , that still have different initial letters, so that $\rho(u)(x_0)$ and $\rho(u')(x_0)$ have distance less than $\epsilon/2$ apart, and so that the images of x_0 under initial strings of u and u' do not lie in the small interval between $\rho(u)(x_0)$ and $\rho(u')(x_0)$.

Now we modify ρ by replacing $\rho(s)$ with $h\rho(s)$, where h is a homeomorphism supported on a small neighborhood of the interval between $\rho(sw)(x_0)$ and $\rho(tv)(x_0)$. Choose h such that the triple $h\rho(s)\rho(w)(x_0), \rho(tv)(x_0), x_0$ has the opposite orientation from the triple $\rho(sw)(x_0), \rho(tv)(x_0), x_0$. Additionally, we may take the interval where h is supported to be small enough to not contain any point of the form $\rho(w_{k'} \dots w_1)(x_0)$ or $\rho(v_{l'} \dots v_1)(x_0)$. We leave the images of the other generators unchanged. Call this new action ρ' .

Even though $\rho(s) \neq \rho'(s)$ and s may appear as a letter in v or w , we claim that the triple $\rho'(sw)(x_0), \rho'(tv)(x_0), x_0$ does indeed have the opposite orientation from the triple $\rho(sw)(x_0), \rho(tv)(x_0), x_0$. This is because the support of h is disjoint from all points $\rho(w_{k'} \dots w_1)(x_0)$ and $\rho(v_{l'} \dots v_1)(x_0)$, so one can see inductively that in fact $\rho'(w_{k'} \dots w_1)(x_0) = \rho(w_{k'} \dots w_1)(x_0)$ and $\rho'(v_{l'} \dots v_1)(x_0) = \rho(v_{l'} \dots v_1)(x_0)$ for all $k' \leq k$ and $l' \leq l$. It follows that $\rho'(sw)(x_0) = h\rho(sw)(x_0)$, and $\rho'(tv)(x_0) = \rho(tv)(x_0)$. (It is easy to check that this even works if $t = s^{-1}$.) Thus, by definition of h , the triples have opposite orientations.

We have just shown that, for any $\epsilon > 0$, there exists an action of F_n on S^1 such that the image of any point under any generator of F_n is at most distance ϵ from the original action ρ , and yet the cyclic order of the orbit of x_0 under the new action is different. Given any finite subset of F_n we can choose ϵ small enough so that the new order on the orbit of x_0 will agree with the previous one this finite set. Order completion now gives an arbitrarily close circular order to the original, hence could not have been isolated. This completes the proof of the claim.

Now we finish the proof of the proposition. Assume that ρ is the dynamical realization of an isolated circular order with basepoint x_0 . We construct the domains $D(s)$, in three steps.

Step 1. For each s , declare that $D(s)$ contains every point of the form $\rho(sw)(x_0)$, and every point y in the closure of $\{\rho(sw)(x_0) : sw \text{ a reduced word in } a_i^{\pm 1}\}$. The claim we just proved implies that accumulation points of $\{\rho(sw)(x_0) : sw \text{ a reduced word in } a_i^{\pm 1}\}$ are disjoint from those of $\{\rho(tw)(x_0) : tw \text{ a reduced word in } a_i^{\pm 1}\}$ for $s \neq t$.

Step 2. If I is a connected component of the complement of $\overline{F_n(x_0)}$, and the endpoints of I are both in the already constructed $D(s)$, then declare $I \subset D(s)$. Note that the sets $D(s)$ are pairwise disjoint, and so far we have $\rho(s)(D(t)) \subset D(s)$ for every $t \neq s^{-1}$.

Step 3. As defined so far, the sets $D(s)$ cover all of S^1 *except* for some intervals complementary to $\overline{F_n(x_0)}$, precisely, those intervals with boundary consisting of one point in $D(s)$ and the other point in $D(t)$ for some $s \neq t$. (There is also an exceptional case where one of the endpoints is x_0 , i.e. allowing for the empty word.) Our first claim, i.e.

that every point in $\overline{F_n(x_0)}$ has a neighborhood containing only points of $\{\rho(sw)(x_0) : sw\}$ for some fixed s , implies, since S^1 is compact, that there are only finitely many such complementary intervals. Also, since ρ is a dynamical realization, it is not possible to have a complementary interval I with both endpoints in $\overline{F_n(x_0)} \setminus F_n(x_0)$ (see Construction 2.2). Further, from Theorem 3.18, it follows that the isolated circular order associated to ρ has a non-trivial linear part which is isomorphic to \mathbb{Z} (since higher rank free groups has no isolated left orders [15]), thus there is an element $h_{min} \in F_n$, such that the (small) interval $I_{min} = (x_0, h_{min}(x_0))$ has no point of $F_n(x_0)$ in its interior. The same holds for $g(I_{min})$ for any $g \in F_n$. This implies, that any complementary interval of the sets $D(s)$ must have each endpoint inside $F_n(x_0)$. The main construction in this step is to enlarge the domains to contain parts of these complementary intervals, in order to have them satisfy the ‘‘ping-pong condition’’ $\rho(s)(S^1 \setminus D(s^{-1})) \subset D(s)$, for each s .

We do this iteratively. Start with a fixed complementary interval I of the form $(\rho(tw)(x_0), \rho(sv)(x_0))$, $s \neq t$. As is standard, by an interval $(x, y) \subset S^1$, we mean the set $\{z \in S^1 : x, z, y \text{ is positively oriented}\}$. Note that, if $u \notin \{s^{-1}, t^{-1}\}$, then $\rho(u)(I) \subset D(u)$, so points in I already satisfy the ping-pong condition for u . However, if $u \in \{s^{-1}, t^{-1}\}$, then $\rho(u)(I)$ will not be contained in $D(u)$, so we need to enlarge $D(u)$ (and also $D(u^{-1})$).

To do this, pick $p, q \in I$, such that the four points $\rho(tw)(x_0), p, q, \rho(sv)(x_0)$ are in positive cyclic order. Extend $D(t)$ to contain the interval $[\rho(tw)(x_0), p]$ and $D(s)$ to contain the interval $[q, \rho(sv)(x_0)]$. Now on the interval $\rho(t^{-1})(I)$, extend $D(t^{-1})$ to contain the interval $[\rho(t^{-1})(p), \rho(t^{-1}sv)(x_0)]$, and on the interval $\rho(s^{-1})(I)$, extend $D(s^{-1})$ to contain $[\rho(s^{-1}tw)(x_0), \rho(s^{-1})(q)]$. The result is that

$$\begin{aligned} s^{-1}(I \cap (S^1 \setminus D(s))) &\subset D(s^{-1}) \text{ and} \\ t^{-1}(I \cap (S^1 \setminus D(t))) &\subset D(t^{-1}). \end{aligned}$$

Similarly, we have

$$\begin{aligned} s(s^{-1}(I) \cap (S^1 \setminus D(s^{-1}))) &\subset D(s) \text{ and} \\ t(t^{-1}(I) \cap (S^1 \setminus D(t^{-1}))) &\subset D(t). \end{aligned}$$

In other words, the ping-pong condition holds for s and t and their inverses on I and $s^{-1}(I)$ and $t^{-1}(I)$. We remark, however, that the complementary interval $s^{-1}(I)$ might not yet satisfy $u(s^{-1}(I)) \subset D(u)$ if its other endpoint is of the form $uw'(x_0)$ for some word uw' ; and an analogous statement holds for $t^{-1}(I)$.

Iteratively, at each step choose an interval I' complimentary to $\bigcup_{s=a_i^{\pm 1}} D(s)$ such that

$$s^{-1}(I' \cap (S^1 \setminus D(s))) \subset D(s^{-1})$$

fails to hold for some s . Note that this can only occur if I' has an endpoint of the form $\rho(sv')(x_0)$, and thus holds for at most two distinct elements of $\{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$. If this is the case for two elements (i.e. both endpoints), then repeat the same procedure as above. If instead this only happens for one element, say s , and I' is of the form $(y, \rho(sv')(x_0))$, then repeat only the second half of the procedure above, extending $D(s)$ and $D(s^{-1})$ to contain

subintervals of I' and $s^{-1}(I')$ respectively. Analogously, if I' is of the form $(\rho(t'w')(x_0), z)$, where t is concern, repeat only the first half, extending $D(t)$ and $D(t^{-1})$ only. The result is again that $s^{-1}(I' \cap (S^1 \setminus D(s))) \subset D(s^{-1})$ now holds for all $s \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$.

This process terminates after finitely many steps, and results in domains $D(s)$ satisfying the properties claimed in the statement of the Proposition. \square

Fixing n , it is now relatively easy to produce infinitely many non-conjugate actions of F_n on S^1 by varying the number of connected components and cyclic orientation of domains $D(s)$ for each generator s . Moreover, these can be chosen such that taking the orbit of a point produces infinitely many nonconjugate circular orders on F_n (the reader may try this as an exercise, otherwise we will see some explicit examples shortly). However, the existence of such actions is *not* enough to prove that $\text{CO}(F_n)$ has infinitely many nonconjugate isolated points. This is because not every ping-pong action arises as the dynamical realization of a circular order; therefore Proposition 4.6 does not automatically apply.

As a concrete example, only one of the two cases in Remark 4.4 is the dynamical realization of a circular order. We will soon see that a circular order on F_2 produced by Construction 4.3 when $D(b)$ and $D(b^{-1})$ lie in different connected components of $S^1 \setminus (D(a) \cup D(a^{-1}))$ is isolated, but one produced when $D(b)$ and $D(b^{-1})$ lie in the same connected component is not isolated.

To illustrate this point, and as a warm up to the proof of Theorem 1.4, we now prove exactly which ping-pong actions come from dynamical realizations in the simple case where the domains $D(s)$ are all connected.

4.1 Schottky groups and simple ping-pong dynamics

Definition 4.8. Say that an action of F_n on S^1 has *simple* ping-pong dynamics if the generators satisfy the requirements of a ping-pong action, and there exist connected attracting domains $D(s)$ for all generators and inverses.

This short section gives a complete characterization of dynamical realizations with simple ping-pong dynamics. To do this, we will use some elementary hyperbolic geometry and results on classical Schottky subgroups of $\text{PSL}(2, \mathbb{R})$. Although our exposition aims to be self-contained, a reader looking for more background can refer to [4, Ch. 1 and 2] for a good introduction.

On ping-pong in $\text{PSL}(2, \mathbb{R})$. There is a natural action of $\text{PSL}(2, \mathbb{R})$ on $S^1 = \mathbb{R} \cup \{\infty\}$ by Möbius transformations. A finitely generated subgroup $G \subset \text{PSL}(2, \mathbb{R})$ is called *Schottky* exactly when it has ping-pong dynamics. The benefit of working in $\text{PSL}(2, \mathbb{R})$ rather than $\text{Homeo}_+(S^1)$ is that Möbius transformations of the circle extend canonically to the interior of the disc. Considering the interior of the disc as the Poincaré model of the hyperbolic plane, Schottky groups act properly discontinuously by isometries. Thus, it makes sense to describe the hyperbolic surface obtained by quotient the disc by a such a ping-pong action. We will prove the following.

Theorem 4.9. Let $c \in \text{CO}(F_n)$. If the dynamical realization ρ_c of c has simple ping-pong dynamics, then n is even, and ρ_c is topologically conjugate to a representation $\rho : F_n \rightarrow \text{PSL}(2, \mathbb{R}) \subset \text{Homeo}_+(S^1)$ corresponding to a hyperbolic structure on a genus $n/2$ surface with one boundary component.

Note that the conclusion of the theorem is (as it should be) independent of any choice of generating set for F_n , even though the definition of ping-pong dynamics is phrased in terms of a specific set of generators.

As a special case, Theorem 4.9 immediately gives many concrete examples of actions of free groups on S^1 that *do not* arise as dynamical realizations of any circular order (c.f. Lemma 4.11) and justifies the remarks at the end of the previous subsection.

The main idea of the proof of Theorem 4.9 can be summarized as follows: For $\text{PSL}(2, \mathbb{R})$ actions, the condition that the quotient has a single boundary component exactly captures the condition that F_n acts transitively on the connected components of the complement of the exceptional minimal set, i.e. the condition of Corollary 3.24. For general ping-pong actions in $\text{Homeo}_+(S^1)$, we use the “minimality” property of being a dynamical realization (Proposition 3.8) to produce a conjugacy into $\text{PSL}(2, \mathbb{R})$, then cite the $\text{PSL}(2, \mathbb{R})$ case.

As motivation and as a first step in the proof, we start with an example and a non-example.

Lemma 4.10 (Example of dynamical realization). Let $a_1, b_1, a_2, b_2, \dots, a_n, b_n$ denote generators of F_{2n} . Let $\rho : F_{2n} \rightarrow \text{PSL}(2, \mathbb{R}) \subset \text{Homeo}_+(S^1)$ have simple ping-pong dynamics. Suppose that x_0 and the attracting domains are in the cyclic (counterclockwise) order

$$x_0, D(a_1), D(b_1), D(a_1^{-1}), D(b_1^{-1}), D(a_2), D(b_2), \dots, D(a_n^{-1}), D(b_n^{-1}).$$

Then ρ is the dynamical realization of a circular order with basepoint x_0 .

Note that the $n = 1$ case is one of the cases from Remark 4.4.

Proof. By the ping-pong lemma, x_0 has trivial stabilizer under $\rho(F_{2n})$, so its orbit defines a circular order c on F_{2n} . We claim that the dynamical realization of this circular order is ρ . To see this, we first describe the action of ρ more concretely using some elementary hyperbolic geometry. Having done this, we will be able to apply Corollary 3.24 to the action.

The arrangement of the attracting domains specified in the lemma implies that the quotient of \mathbb{H}^2 by $\rho(F_{2n})$ is, topologically, the interior of a surface Σ of genus n with one boundary component. Geometrically, it is a surface of infinite volume with a single end, as illustrated in Figure 1 for the case $n = 1$. (This is elementary; see Proposition I.2.17 and discussion on page 51 of [4] for more details.)

Let $\gamma \subset \Sigma$ be a simple geodesic curve isotopic to the boundary. The complement of γ in Σ has two connected components, a compact genus n surface with boundary γ (call this surface Σ'), and an infinite volume annulus, call this A . Fixing a basepoint on Σ and considering γ as a based curve lets us think of it as an element of $\pi_1(\Sigma) = F_{2n}$, and its

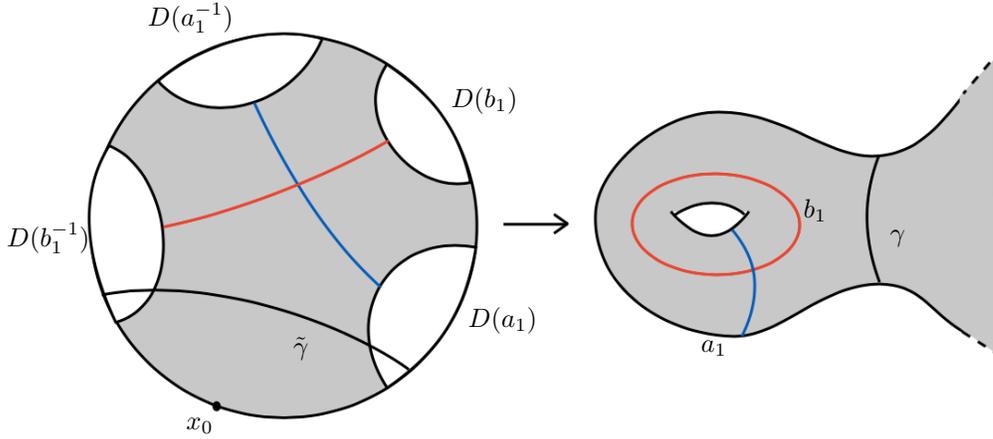


Figure 1: The open disc as universal cover $\tilde{\Sigma}$. The shaded area is a fundamental domain

image $\rho(\gamma) \in \text{PSL}(2, \mathbb{R})$ is a hyperbolic element translating along an axis, $\tilde{\gamma} \subset \mathbb{H}^2$, which projects to the curve $\gamma \subset \Sigma$.

We now describe the exceptional minimal set for ρ in terms of the geometry of this surface. It is a standard fact that the exceptional minimal set is precisely the limit points of the universal cover of the compact part $\tilde{\Sigma}'$ (see [4, Sec. 3.1, Prop 3.6]). This can also be described by looking at images of $\tilde{\gamma}$ under the action of $\rho(F_{2n})$. These images are disjoint curves, each bounding on one side a fundamental domain for the compact surface Σ' , and on the other a half-plane that contains a fundamental domain for the annulus A . The intersection of the half-planes that cover A with the S^1 boundary of \mathbb{H}^2 make up the complement of the exceptional minimal set for $\rho(F_{2n})$.

What is important to take from this discussion is that there is a *single orbit* for the permutation action of $\rho(F_{2n})$ on the complementary intervals to its exceptional minimal set, and this corresponds to the fact that Σ has a single boundary component, in our case bounded by γ . As the exceptional minimal set is contained in the interior of the union of the attracting domains $D(a_i^{\pm 1})$ and $D(b_i^{\pm 1})$, the basepoint x_0 for the dynamical realization lies in a complementary interval I . In our case, taking γ to be the based curve represented by the commutator $[a, b]$,¹ our specification of the cyclic order of x_0 and the attracting domains were chosen so that x_0 lies in the interval bounded by the lift $\tilde{\gamma}$ that is the axis of this commutator. The configuration is summarized in Figure 1. Moreover, $\rho(\gamma)$ preserves I , acts on it by translations, and generates the stabilizer of I in $\rho(F_{2n})$.

In summary, S^1 is the union of an exceptional minimal set contained in the closure of $\rho(G)(x_0)$ and the orbit of the open interval I . As the action of $\langle \gamma \rangle$ on I is the dynamical realization of a left order, Corollary 3.24 implies that ρ is the dynamical realization of c . \square

By contrast, geometric representations from surfaces with more boundary components do not arise as dynamical realizations.

¹here we use the convention for the action that $[a, b](x) = b^{-1}a^{-1}ba(x)$

Lemma 4.11 (Non-example). Let $\rho : F_n \rightarrow \mathrm{PSL}(2, \mathbb{R}) \subset \mathrm{Homeo}_+(S^1)$ be such that $\mathbb{H}^2/\rho(F_n)$ is a surface with more than one infinite volume (i.e. non-cusped) end. Then ρ is not the dynamical realization of a circular order on F_n .

A particular case of the lemma is the ping-pong action of $F_2 = \langle a, b \rangle$ with attracting domains in cyclic order $D(a), D(a^{-1}), D(b), D(b^{-1})$. There the quotient $\mathbb{H}^2/\rho(F_2)$ is homeomorphic to a sphere minus three closed discs.

Proof. Let ρ be as in the Lemma, and let $\Sigma = \mathbb{H}^2/\rho(F_n)$. Let $\gamma_1, \gamma_2, \dots$ be geodesic simple closed curves homotopic to the boundary components of Σ . As in the proof of Lemma 4.10, $\rho(F_n)$ has an exceptional minimal set, and the connected components of the complement of this set are the boundaries of disjoint half-planes, bounded by lifts of the curves γ_i to geodesics in \mathbb{H}^2 . For fixed i , the union of these half-planes bounded by lifts of γ_i is a $\rho(F_n)$ -invariant set.

Since we are assuming that there is more than one boundary component, for any candidate for a basepoint x_0 , there is some i such that x_0 is *not* contained in an interval bounded by a lift of γ_i . Equivalently, there is a connected component of the complement of the exceptional minimal set that does not contain a point in the orbit of x_0 . By Lemma 3.21, this implies that ρ cannot be a dynamical realization of a circular order with basepoint x_0 . \square

Remark 4.12. Given ρ as in Lemma 4.11, and a point $x \in S^1$ with trivial stabilizer, we get a circular order on F_n from the orbit of x . The lemma simply says that ρ is *not* its dynamical realization. But it is not hard to give a positive description of what the dynamical realization actually is: it is the action obtained from ρ by collapsing every interval in the complement of the exceptional minimal set to a point, *except* those that contain a point in the orbit of x . The resulting action is conjugate to a representation $\rho' : F_n \rightarrow \mathrm{PSL}(2, \mathbb{R})$ such that $\mathbb{H}^2/\rho'(F_n)$ is a surface with either all (or all but one) infinite-volume end of the surface $\mathbb{H}^2/\rho(F_n)$ replaced by a finite-volume *cusps*. Put otherwise, either for all i or all but one i , we have that $\rho'(\gamma_i)$ is parabolic rather than hyperbolic. As we do not use this fact later, we leave the proof to the reader – it is again a consequence of the “minimality” of dynamical realizations from Proposition 3.8.

One can also show that such an action ρ' is not rigid, by finding an arbitrarily small deformation of ρ' so that a parabolic element $\rho'(\gamma_i)$ becomes an infinite order elliptic. This new action is no longer semi-conjugate to the original – in fact, even the circular order of the orbit of x under the subgroup generated by γ_i will change.

Now we can prove Theorem 4.9; the goal in the proof is to reduce an arbitrary action to the examples from the $\mathrm{PSL}(2, \mathbb{R})$ case considered in the previous two lemmas.

Proof of Theorem 4.9. Let $\rho : F_n \rightarrow \mathrm{Homeo}_+(S^1)$ be the dynamical realization of a circular order, with basepoint x_0 . Assume that ρ has simple ping-pong dynamics. Let $D(a_i^{\pm 1})$ be the attracting domains for the generators a_i and inverses a_i^{-1} .

Define a representation $\rho' : F_n \rightarrow \mathrm{PSL}(2, \mathbb{R}) \subset \mathrm{Homeo}_+(S^1)$ by setting $\rho'(a_i)$ to be the unique hyperbolic element such that $\rho'(a_i)(D(a_i)) = \rho(a_i)(D(a_i))$. Then ρ' is a simple

ping-pong action, and we can take $D'(a_i^{\pm 1}) = D(a_i^{\pm 1})$ to be the attracting domains for ρ' . We will now show that

- i) there exists $f \in \text{Homeo}_+(S^1)$ such that $f \circ \rho'(g) \circ f^{-1} = \rho(g)$ for all $g \in F_n$, and
- ii) the quotient of \mathbb{H}^2 by ρ' is a genus $n/2$ surface with one boundary component, in particular, n is even.

This will suffice to prove the theorem.

By Lemma 4.2, the cyclic order of $\rho(F_n)(x_0)$ and $\rho'(F_n)(x_0)$ agree, so the map $f : \rho(F_n)(x_0) \rightarrow \rho'(F_n)(x_0)$ given by $f(\rho(g)(x_0)) = \rho'(g)(x_0)$ is cyclic order preserving. We claim that, similarly to Proposition 3.8, f extends continuously to the closure of $\rho(F_n)(x_0)$. To see this, we look at successive images of attracting domains, following [4, Prop. 1.11].

If $w = s_1 s_2 \dots s_k$ is a reduced word with $s_i \in \{a_1^{\pm 1}, \dots, a_n^{\pm 1}\}$ define the set $D(s_1, s_2, \dots, s_k)$ by $D(s_1, \dots, s_k) := \rho(s_1 \dots s_{k-1})D(s_k)$. Make the analogous definition for $D'(s_1, \dots, s_{k-1}) := \rho'(s_1, \dots, s_{k-1})D'(s_k)$. Note that $D(s_1, s_2, \dots, s_k) \subset \overset{\circ}{D}(s_1, s_2, \dots, s_{k-1})$.

Let $g_k \in F_n$ be a sequence of distinct elements such that $\lim_{i \rightarrow \infty} \rho(g_k)(x_0) = y$. Write each g_k as a reduced word in the generators and their inverses. Since the set of generators and inverses is finite, after passing to a subsequence g_{k_i} , we may assume that there exist s_1, s_2, \dots with $s_{i+1} \neq s_i^{-1}$ and such that $g_{k_i} = s_1 s_2 \dots s_i$. Thus,

$$\rho(g_{k_i})(x_0) \in D(s_1, s_2, \dots, s_i) \text{ and}$$

$$y \in \bigcap_{i=1}^{\infty} D(s_1, s_2, \dots, s_i).$$

Returning to our original sequence, as $D(s_1, s_2, \dots, s_i) \subset \overset{\circ}{D}(s_1, s_2, \dots, s_{i-1})$, and $\rho(g_k)(x_0) \rightarrow y$, for any fixed i , we will have $\rho(g_k)(x_0) \in D(s_1, s_2, \dots, s_i)$ for all sufficiently large k .

Since the containment relations among the domains $\rho(s_j)(D(s_i))$ and $\rho'(s_j)(D'(s_i))$ agree, it follows that $\rho'(g_k)(x_0) \in D'(s_1, s_2, \dots, s_i)$ whenever $\rho(g_k)(x_0) \in D(s_1, s_2, \dots, s_i)$. Since ρ' is a ping-pong action with image in $\text{PSL}(2, \mathbb{R})$, it follows from hyperbolic geometry (see Lemma 1.10 in [4]) that the intersection $\bigcap_i D'(s_1, s_2, \dots, s_i)$ is a single point, say y' . Thus, $\rho'(g_k)(x_0)$ converges to y' , and we may define $f(y) = y'$, giving a continuous extension of f .

Since ρ is a dynamical realization, as in the proof of Lemma 3.21, Proposition 3.8 implies that f has a continuous inverse and so must be a homeomorphism onto its image. We may then extend f over each complementary interval to the orbit of x_0 , to produce a homeomorphism of S^1 such that $f\rho'(g)f^{-1} = \rho(g)$ for all $g \in F_n$.

Topologically, the quotient of \mathbb{H}^2 by $\rho'(F_n)$ is the interior of an orientable surface Σ with $\pi_1(\Sigma) = F_n$, and therefore genus g and $b = n - 2g + 1$ boundary components, for some g . Because ρ' has simple ping-pong dynamics, it has at least one boundary component. We showed in Lemma 4.11 that, if ρ' is a dynamical realization, then there is at most one boundary component. Since we are assuming that ρ , and hence its conjugate ρ' is a dynamical realization, there is exactly one boundary component, and so $n = 2g$ is even. This proves assertion ii. \square

4.2 Infinitely many nonconjugate circular orders

Finally, we give the proof that $\text{CO}(F_{2n})$ has infinitely many nonconjugate isolated points. These will come from ping-pong actions with disconnected domains that are “lifts” of the Schottky actions described in the previous section.

Proof of Theorem 1.4. Let $G = F_{2n}$, and let $\rho : G \rightarrow \text{PSL}(2, \mathbb{R})$ be a representation such that $\mathbb{H}^2/\rho(G)$ is an infinite volume genus n surface with one end. Fix a generating set $a_1, b_1, \dots, a_n, b_n$ for G .

Definition 4.13. Fix $k > 1$. The *standard k -lift* $\hat{\rho}$ of ρ is a representation defined as follows: for each generator s let $\hat{\rho}(s)$ be the unique lift of $\rho(s)$ to the k -fold cyclic cover of S^1 such that $\hat{\rho}(s)$ has fixed points. This determines a homomorphism $\hat{\rho} : G \rightarrow \text{Homeo}_+(S^1)$ whose image commutes with the order k rigid rotation.

Let $D(a_i^{\pm 1}), D(b_i^{\pm 1})$ be the attracting domains for the generators and their inverses. For each generator or inverse s , let $\hat{D}(s)$ be the pre-image of an attracting $D(s)$ under the (k -fold) covering map $\pi : S^1 \rightarrow S^1$. Then $\hat{D}(s)$ is a (disconnected) attracting domain for $\hat{\rho}(s)$, and $\hat{\rho}$ has ping-pong dynamics. Let \hat{x}_0 be a lift of x_0 . The next lemma shows that, for infinitely many choices of k , this lift $\hat{\rho}$ is the dynamical realization of a circular order with basepoint \hat{x}_0 . Having done this, Proposition 4.6 implies that this circular order is isolated in $\text{CO}(F_{2n})$.

Lemma 4.14. If k and $2n - 1$ are relatively prime, then the standard k -lift $\hat{\rho}$ constructed above is the dynamical realization of a circular order.

Proof of Lemma. Again, we begin by describing the dynamics of $\hat{\rho}$. All of the facts stated here follow easily from the construction of $\hat{\rho}$ as a lift of ρ . Further explanation and a more detailed description of such lifts can be found in Section 2 of [9].

Let K be the exceptional minimal set for ρ . Then $\hat{K} := \pi^{-1}(K)$ is the exceptional minimal set for $\hat{\rho}$, and $\hat{x}_0 \in S^1 \setminus \hat{K}$. Let I denote the connected component of $S^1 \setminus K$ containing x_0 , and \hat{I} the connected component of $S^1 \setminus \hat{K}$ containing \hat{x}_0 . Using Corollary 3.24, it suffices to show that $\hat{\rho}(G)$ acts transitively on the connected components of $S^1 \setminus \hat{K}$ and that the stabilizer of \hat{I} acts on \hat{I} as a dynamical realization of a linear order.

Let $\hat{I} = I_0$, and let I_1, I_2, \dots, I_{k-1} denote the other connected components of $\pi^{-1}(I)$, in cyclic (counterclockwise) order.

Let $g \in F_{2n}$ be the product of commutators $g = [a_1, b_1] \dots [a_n, b_n]$, so $\rho(g)$ is the stabilizer of I in $\rho(G)$. For the lifted action, we have $\hat{\rho}(g)(I_i) = I_j$, where $j = i + 2n - 1 \pmod{k}$. (This follows from the fact that the *rotation number* of $\hat{\rho}(g)$ is $(2n - 1)/k$, see [9].) Hence, the stabilizer of \hat{I} is the infinite cyclic subgroup generated by g^k . Moreover, $\hat{\rho}(g^k)$ acts on \hat{I} without fixed points, as it is a lift of $\rho(g^k)$ which acts on I without fixed points. Thus, the action of the subgroup generated by g^k acts on \hat{I} as the dynamical realization of a left order on \mathbb{Z} .

It remains only to show that $\hat{\rho}(G)$ acts transitively on the connected components of $S^1 \setminus \hat{K}$ so that we can apply Corollary 3.24. This is where we use the hypothesis that k and $2n - 1$ are relatively prime. Assuming that they are relatively prime, the fact that

$\hat{\rho}(g)(I_i) = I_j$, where $j = i + 2n - 1 \pmod k$ will now imply that $\hat{\rho}$ acts transitively on the lifts of I . In detail, if \hat{J} is any other connected component of $S^1 \setminus K$, with $\pi(\hat{J}) = J$, then we may find $f \in F_{2n}$ such that $\rho(f)(I) = J$. It follows that $\hat{\rho}(g^m f)(\hat{I}) = \hat{J}$ for some m , so the action is transitive. This completes the proof of the lemma. \square

To finish the proof of the theorem, we need to show that different choices of k give infinitely many distinct conjugacy classes of circular orders. This follows from the fact we mentioned above that the rotation number of $\hat{\rho}(g)$ is $(2n - 1)/k$. Rotation number is a (semi)-conjugacy invariant of homeomorphisms of S^1 . Hence, the dynamical realizations of conjugate orders cannot assign different rotation numbers to the same element. \square

4.3 Explicit singleton neighborhoods of isolated orders

Recall that a neighborhood basis of an order $c \in \text{CO}(G)$ is given by sets of the form $O_S(c) := \{c' \in \text{CO}(G) : c'(u, v, w) = c(u, v, w) \text{ for all } u, v, w \in S\}$, where S ranges over all finite subsets of G . Given an isolated circular order c , it is therefore very natural to ask: *what is the minimum cardinality of S such that $O_S(c)$ is a singleton?*

In this section, we take the isolated circular order on $F_2 = \langle a, b \rangle$ whose dynamical realization has simple ping-pong dynamics (from Lemma 4.10) and give an upper bound on the cardinality of such a set S , by exhibiting a specific set with 5 elements. (We expect the bound $|S| \leq 5$ given here is sharp, but have not pursued this point.) The proof of the Proposition below also gives an independent and very short proof that $\text{CO}(F_2)$ has isolated points; the only previous tool that we use here is the ping-pong lemma.

Fixing notation, let c be the isolated circular from Lemma 4.10 in the case $n = 1$. We show the following:

Proposition 4.15. *If c' agrees with c on the set $\{\text{id}, a, ba, a^{-1}ba, b^{-1}a^{-1}ba\}^3$, then $c = c_0$.*

Proof. Let $\rho : F_2 \rightarrow \text{Homeo}_+(S^1)$ be the dynamical realization of c with basepoint x_0 . We need to show that for any action ρ' of F_2 on S^1 such that the cyclic order of $\rho'(S)(x_0)$ agrees with that of $\rho(S)(x_0)$, the cyclic order of $\rho'(G)(x_0)$ then agrees with that of $\rho(G)(x_0)$. Consider an action of F_2 on S^1 with the points

$$x_0, ab^{-1}a^{-1}b(x_0), b(x_0), a^{-1}b(x_0), b^{-1}a^{-1}b(x_0)$$

in this cyclic (counterclockwise) order. Here, and in the remainder of the proof, we drop the notation ρ for the action.

We begin by choosing some additional points in S^1 in a careful way, so as to arrive at the configuration illustrated in Figure 2 below. This will let us define attracting domains for the action.

Let $p_1 \in S^1$ be a point such that the triple $x_0, p_1, ab^{-1}a^{-1}b(x_0)$ is positively oriented. Choose x'_0 very close to x_0 , and such that x'_0, x_0, p_1 is positively oriented. If x'_0 is chosen sufficiently close to x_0 , then

$$x'_0, p_1, ab^{-1}a^{-1}b(x'_0), b(x'_0), a^{-1}b(x'_0), b^{-1}a^{-1}b(x'_0)$$

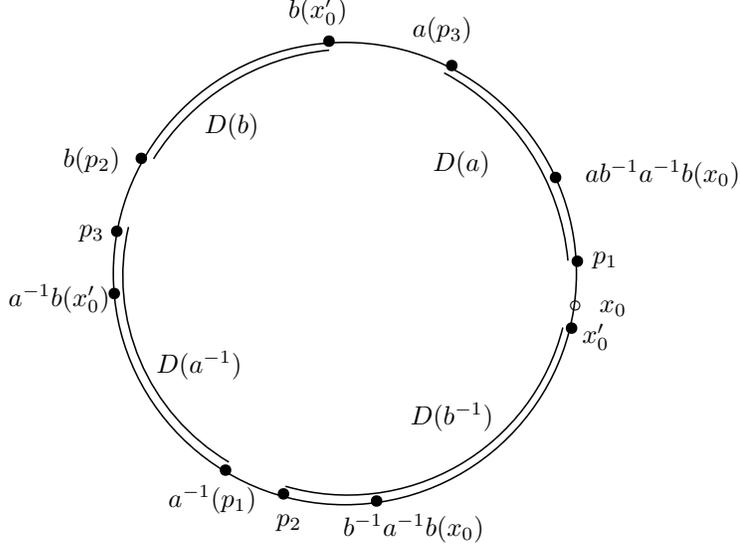


Figure 2: Configuration of points giving ping-pong domains on S^1

will also be in cyclic order. Let X denote this set of 6 points.

The ordering of X implies that $a^{-1}(p_1)$ lies in the connected component of $S^1 \setminus X$ bounded by $a^{-1}b(x'_0)$ and $b^{-1}a^{-1}b(x'_0)$. Let p_2 be a point in this component such that the triple $b(p_1), p_2, b^{-1}a^{-1}b(x'_0)$ is positively oriented. Similarly, our assumption on order implies that $b(p_2)$ lies in the component of $S^1 \setminus X$ bounded by $b(x'_0)$ and $a^{-1}b(x'_0)$, and we let p_3 be a point in this component such that $b(p_2), p_3, a^{-1}b(x'_0)$ is positively oriented. This configuration is summarized in Figure 2.

Now let $D(a)$, $D(b)$, $D(a^{-1})$ and $D(b^{-1})$ be the disjoint intervals $[p_1, a(p_3)]$, $[b(x'_0), b(p_2)]$, $[p_3, a^{-1}(p_1)]$ and $[p_2, x'_0]$ as shown in Figure 2. Our choice of configuration of points implies that $b(S^1 \setminus D(b^{-1})) \subset D(b)$. Similarly, $a(S^1 \setminus D(a^{-1})) \subset D(a)$. We also have that x_0 is in the complement of the union of domains.

Since these are the same cyclic order and containment relations as c_0 , Lemma 4.2 implies that the cyclic order on G induced by the orbit of x_0 coincides with c_0 . \square

Remark 4.16. A similar strategy can be used to produce neighborhoods of the lifts of these orders on F_2 , however, many more points are needed. Compare also the framework of [13], especially Lemma 4.8.

5 Applications to linear orders

Suppose $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ is a short exact sequence of groups. If A left-ordered, and C circularly ordered, then it is well known that there is a natural circular order on B such that both maps $A \rightarrow B$ and $B \rightarrow C$ are monotone. (See [2, Lemma 2.2.12] for a proof.) Here, we discuss a different method of constructing orders on certain central extensions.

Recall that a subgroup $H \subset G$ is cofinal if, for all $g \in G$ there exists $h_1, h_2 \in H$ such that $h_1 < g < h_2$. If $H \subset G$, we let $\text{LO}_H(G) \subset \text{LO}(G)$ denote the subspace of $\text{LO}(G)$ consisting of orders where H is cofinal.

Proposition 5.1 (see also [22]). Let G be a group, and let $\mathbb{Z} \rightarrow \hat{G} \xrightarrow{\pi} G$ be a central extension. There is a continuous map $\pi^* : \text{LO}_{\mathbb{Z}}(\hat{G}) \rightarrow \text{CO}(G)$. Moreover, each circular order on G is in the image of one such map π^* .

This theorem is essentially proved in [22], although there is no comment on continuity there.

Proof. Let $\mathbb{Z} \rightarrow \hat{G} \xrightarrow{\pi} G$ be a central extension. Given $<$ in $\text{LO}_{\mathbb{Z}}(\hat{G})$ define $\pi^*(<)$ as follows. Let z be the generator of \mathbb{Z} such that $z > \text{id}$. Since \mathbb{Z} is cofinal, for each $g \in G$, there exists a unique $\hat{g} \in \hat{G}$ such that $\text{id} \leq \hat{g} < z$. Given distinct elements $g_1, g_2, g_3 \in G$, let σ be the permutation such that $\text{id} \leq \hat{g}_{\sigma(1)} < \hat{g}_{\sigma(2)} < \hat{g}_{\sigma(3)} < z$. Define $\pi^*(<)(g_1, g_2, g_3) := \text{sign}(\sigma)$. One checks that this is a well defined circular order on G .

To show continuity, given a finite set $S \subset G$, let $\hat{S} := \{\hat{g} \in \hat{G} : g \in S\}$. If $<_1$ and $<_2$ are two left orders that agree on $\hat{S} \cup \{\text{id}, z\}$, then the definition of π^* ensures that $\pi^*(<_1)$ and $\pi^*(<_2)$ agree as circular orders on S .

For the last remark, we give a proof for countable groups that highlights the relationship between the dynamical realization of c and $\pi^*(c)$. The general case is given in [22], and uses essentially the same idea. Let $\text{Homeo}_{\mathbb{Z}}(\mathbb{R})$ denote the group of orientation-preserving homeomorphisms of \mathbb{R} that commute with integer translations. This group is the universal central extension of $\text{Homeo}_+(S^1)$. Given $c \in \text{CO}(G)$, let ρ be a dynamical realization of c with basepoint x , and let $\hat{x} \in \mathbb{R}$ be a lift of x . Let \hat{G} be the pullback of the central extension $0 \rightarrow \mathbb{Z} \rightarrow \text{Homeo}_{\mathbb{Z}}(\mathbb{R}) \rightarrow \text{Homeo}_+(S^1) \rightarrow 1$ using ρ . It is easily checked that \hat{x} has a free orbit under $\hat{G} \subset \text{Homeo}(\mathbb{R})$ so induces a left order $<$ on \hat{G} , and in this left order \mathbb{Z} is cofinal. By construction, $\pi^*(<) = c$. \square

We note that, as remarked to the authors by S. Matsumoto, π^* is not necessarily one-to-one, although the last step in the proof does give a partial inverse.

Lemma 5.2. Let G be a finitely generated group, and $z \in G$ a central element. The set of left invariant orders on G where z is cofinal is open in $\text{LO}(G)$.

Proof. Suppose that $<$ is a left order where z is cofinal. Then for each generator g_i in a finite generating set, there exists $k_i \in \mathbb{Z}$ such that $z^{k_i} \leq g_i < z^{k_i+1}$. We claim that this finite collection of inequalities also implies that z is cofinal. Indeed, that z is central implies that $z^{k_i+k_j} \leq g_i g_j < z^{k_i+k_j+2}$ for all i, j , and inductively, that each word in the generators is bounded above and below by powers of z . \square

Remark 5.3. This can fail when G is not finitely generated. Indeed, let $G = \mathbb{Z}[x]$ under addition, and let $z = 1 \in \mathbb{Z}[x]$. We can order $\mathbb{Z}[x]$ by letting $0 <_{\pi} p(x)$ if and only if $p(\pi)$ is a non-negative real number. On the other hand any finite set S of G lies inside a subgroup H that admits a complement, say $H \oplus H' = G$. On H we can put again $<_{\pi}$, and extend this ordering lexicographically to G using any ordering on $H' \simeq G$, so that H is a proper convex subgroup. The resulting ordering $<'$ on G agrees with $<_{\pi}$ on S , so by choosing S large it can be made arbitrarily close to $<_{\pi}$. However, if on H' we put the lexicographic ordering coming from the natural identification of $\mathbb{Z}[x]$ with a direct sum of infinitely many copies of \mathbb{Z} , then every element of G fixes a point in the dynamical realization of $<'$.

As an easy consequence of Lemma 5.2 and Proposition 5.1, we can produce isolated left orders from isolated circular orders on finitely generated groups.

Proposition 5.4. Assume that G is finitely generated and c is an isolated circular order on G . If $\mathbb{Z} \rightarrow \hat{G} \xrightarrow{\pi} G$ is a central extension and $\langle \in \text{LO}_{\mathbb{Z}}(G)$ a left order such that $\pi^*(\langle) = c$, then \langle is isolated in $\text{LO}(\hat{G})$.

Proof. By Proposition 5.1, $\pi^* : \text{LO}(\hat{G}) \rightarrow \text{CO}(G)$ is continuous. Since $\pi^*(\langle)$ is isolated, this implies that \langle was isolated in $\text{LO}_{\mathbb{Z}}(\hat{G})$. Lemma 5.2 says that $\text{LO}_{\mathbb{Z}}(\hat{G})$ is an open neighborhood of \langle in $\text{LO}(\hat{G})$, so \langle is isolated in $\text{LO}(\hat{G})$. \square

Proposition 5.1, 5.4, and Theorem 1.4 together imply the following.

Corollary 5.5. The space of left orders of $F_2 \times \mathbb{Z}$ has infinitely many nonconjugate isolated points.

Proof. The existence of infinitely many isolated points is an immediate consequence of 5.1, 5.4 and Theorem 1.4, together with the fact that all central extensions of F_2 by \mathbb{Z} are trivial, i.e. direct products. The argument that the examples obtained by pulling back the nonconjugate “standard k -lift” orders on F_2 to $F_2 \times \mathbb{Z}$ are nonconjugate can be done similarly to the argument that the original orders on F_2 were non-conjugate. Since these dynamical realizations have image in $\text{Homeo}_{\mathbb{Z}}(\mathbb{R})$, elements have a well defined, conjugation-invariant, *translation number*, and the translation number of the commutator $[a, b]$ in the k -fold lift is $1/k$ (again, this is standard and more background can be found in [9]). Thus, varying k gives non-conjugate dynamical realizations, and hence nonconjugate orders.

For a more naive approach, one can also check directly that, taking z to be the positive generator for the \mathbb{Z} subgroup, we have we have

$$\text{id} <_k [a, b] <_k \dots <_k [a, b]^{k-1} <_k z <_k [a, b]^k$$

and any conjugate order \langle'_k either agrees on these elements, or satisfies

$$\text{id} <'_k [a, b] <'_k \dots <'_k [a, b]^k <'_k z <'_k [a, b]^{k+1}.$$

Thus, varying k gives infinitely many distinct examples. \square

This example is interesting for three reasons. First, $F_2 \times \mathbb{Z}$ is isomorphic to P_3 , the pure braid group on three strands. It is known that any the braid group B_n admits isolated orderings. Could they always come from isolated ordering on P_n ? Related work in an extensive study of orderings on braid groups can be found in [5]. Secondly, $F_2 \times \mathbb{Z}$ is an example of a right-angled Artin group. Perhaps it is possible to give a complete characterization of which RAAGs have isolated left orders. Finally, this example also shows that direct products behave quite differently than free products – in [19], it is shown that the free product of any two left orderable groups has no isolated left orders.

6 Further questions

Since we have found infinitely many isolated points in the compact space $\text{CO}(F_{2n})$, they must accumulate somewhere. It is not hard to show the following, we leave the proof as an exercise.

Proposition 6.1 (Accumulation points of lifts in $\text{CO}(F)$). Let $A \subset \text{CO}(F_2)$ denote the set of all lifts of the Fuchsian circular order. Then the accumulation points of A are left orders on F_2 . These left orders have dynamical realizations conjugate into the universal covering group of $\text{PSL}(2, \mathbb{R})$, which acts by homeomorphisms on the line commuting with integer translations.

Since $\text{LO}(F_2)$ has no isolated points, the accumulation points of A belong to a Cantor set embedded in $\text{CO}(G)$. Remarkably, we do not know a single example of any group G such that $\text{CO}(G)$ – or even $\text{LO}(G)$ – has accumulation points that do not belong to a Cantor set.

Question 6.2. Does there exist a countable group G such that the derived set of $\text{CO}(G)$ is neither empty nor a Cantor set?

Another question that remains open is the following:

Question 6.3. Does there exist an isolated circular order on F_n , for n odd?

Theorem 1.5 reduces this to an essentially combinatorial problem of checking whether an arrangement of attracting domains gives an action which permutes transitively the complementary intervals to the minimal set. Remarkably, at this point we do not know a single example of an isolated circular order, and suspect the answer to Question 6.3 may well be negative.

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