

A TQFT approach to
topological graph polynomials

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* Overview

My motivation: Fendley, Krushkal & Agol used tensor category techniques and the Temperley-Lieb alg. to reprove & study Tutte's "golden identity" for the flow poly.

Can universal contraction-deletion graph invariants be studied in a similar way?

A problem: identity elements

Main idea: Frobenius algebras!

Outline:

- Tutte poly (graphs)
- Bollobás - Riordan poly (ribbon graphs)
- Krushkal poly (surface graphs)

* Graph invariants

ex Chromatic poly [Birkhoff 1912; Whitney 1932]

$\chi_G(\lambda) = \#$ ways to color vertices with λ colors
s.t. adjacent vertices are colored distinct

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = \left[\begin{array}{c} \text{---} \\ \leftarrow \quad \rightarrow \\ \text{---} \end{array} \right] - \left[\begin{array}{c} \text{---} \\ \nwarrow \quad \nearrow \\ \text{---} \end{array} \right]$$

↑ non-bridge "deletion - contraction"

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = 0 \quad [G \perp \bullet] = \lambda [G]$$

ex Flow poly [Tutte 1947]

$A \neq$ abelian group of order Q

$F_G(Q) = \#$ nowhere-zero 1-cycles in $C_1(G; A)$

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = \left[\begin{array}{c} \text{---} \\ \nwarrow \quad \nearrow \\ \text{---} \end{array} \right] - \left[\begin{array}{c} \text{---} \\ \leftarrow \quad \rightarrow \\ \text{---} \end{array} \right]$$

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = (Q) \left[\begin{array}{c} \text{---} \\ \rightarrow \quad \leftarrow \\ \text{---} \end{array} \right] \quad [G \perp \bullet] = [G]$$

* Tutte-Whitney polynomial (1954)

$$T_G(x, y) = \sum_{\substack{H \subseteq G \\ \text{(spanning)}}} (x-1)^{b_0(H) - b_0(G)} (y-1)^{b_1(H)}$$

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = \left[\begin{array}{c} \text{---} \\ \leftarrow \quad \rightarrow \\ \text{---} \end{array} \right] + \left[\begin{array}{c} \text{---} \\ \nwarrow \quad \nearrow \\ \text{---} \end{array} \right]$$

↑ non-bridge

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = x \left[\begin{array}{c} \text{---} \\ \rightarrow \quad \leftarrow \\ \text{---} \end{array} \right]$$

↑ bridge

$$\left[\begin{array}{c} \text{---} \\ \nearrow \quad \nwarrow \\ \text{---} \end{array} \right] = y \left[\begin{array}{c} \text{---} \\ \rightarrow \quad \leftarrow \\ \text{---} \end{array} \right] \quad [G_1 \perp G_2] = [G_1][G_2] \quad [\bullet] = 1$$

Thm [Oxley & Welsh 1979, others] R ring,

If $f: \text{Graphs} \rightarrow R$ satisfies

$$1) f(\text{non-bridge}) = a f(\text{bridge}) + b f(\text{cross})$$

$$2) f(G_1 \amalg G_2) = f(G_1) f(G_2) = f(G_1 \vee G_2)$$

then $f(G) = a^{b_1(G)} b^{|V|-b_0(G)} T_G\left(\frac{f(\text{bridge})}{b}, \frac{f(\text{cross})}{a}\right)$

ex $\lambda^{-b_0(G)} \chi_G(\lambda) = (-1)^{|V|-b_0(G)} T_G(1-\lambda, 0)$

$$FG(Q) = (-1)^{b_1(G)} T_G(0, 1-Q)$$

* A 1-0 TQFT

Let \mathcal{G}^R be the following symmetric monoidal category (R a ring)

- Objects: finite sets of points (0-cells)
- Morphisms: $\mathcal{G}^R(A, B)$ is R -linear combinations of homeo. classes of 1-complexes G with

$$A \amalg B \subseteq G^{(0)}, \text{ modulo } \text{non-bridge} = \text{cross}$$

- Composition: $G_1 \in \mathcal{G}^R(A, B), G_2 \in \mathcal{G}^R(B, C)$,
 $G_2 \circ G_1 = G_2 \amalg_B G_1$


Let $\phi = | + \frac{1}{2} \in \mathcal{G}^R(0, 0)$

define $V: \text{Graphs} \rightarrow \mathcal{G}^R$ by

$$\text{non-bridge} \rightsquigarrow \text{cross}$$

$$\text{edge} \rightsquigarrow \phi$$

Then $V(\text{non-bridge}) = V(\text{cross}) + V(\text{edge})$

note $V(G)$ is a poly in bouquets ()

(this is Tutte's V-poly, 1947)

note $V(\text{---}) = V(\text{---})$

Consider a symm. monoidal functor

$$Z : \mathcal{G}^R \rightarrow R\text{-Mod}$$

Prop Such Z correspond to commutative Frobenius algebras over R .

Pf Let $A = Z(\bullet)$

$$\mu = Z(\text{---}) \begin{matrix} \uparrow A \\ A \otimes A \end{matrix}$$

$$i = Z(\text{---}) \begin{matrix} \uparrow A \\ R \end{matrix}$$

$$\lambda = \lambda$$

$$d = 1 = h$$

sim for

$$\Delta = Z(\text{---}) \begin{matrix} \uparrow A \otimes A \\ A \end{matrix}$$

$$e = Z(\text{---}) \begin{matrix} \uparrow R \\ A \end{matrix}$$

and $\text{---} = \text{---} = \text{---}$

so Frob. alg.

also $\text{---} = \lambda$

\square

Suppose R a field, $\text{char} = 0$, $\bar{R} = R$.

Tutte has $[P] = \chi[L]$

$$Z(\rho) = \chi Z(\rho) \Rightarrow Z(\rho) = c Z(\rho)$$

(sps $c \neq 0$)

Note $Z(\rho) = a \mapsto \text{tr}_A(b \mapsto \mu(a \otimes b))$

so $Z(\rho) = c Z(\rho)$ is trace form

Since $\rho = \chi = |$, $Z(\rho)$ is symm.
nondegen. bilinear form.

$\Rightarrow A$ is a semisimple algebra

Commutative $\Rightarrow A = \bigoplus_{i=1}^N R$

Can calculate

$$Z(G) = Z(\underbrace{0 \circ \dots \circ 0}_{b_1(G)}) = Nc^{n-1}$$

\uparrow
comm.

Hence $Z \circ \mathcal{U} : \text{Graphs} \rightarrow R\text{-Mod}$

$$\begin{aligned} \text{is } G &\mapsto \sum_{H \subseteq G} (Nc^{-1})^{b_0(H)} c^{b_1(H)} \\ &= (Nc^{-1} + 1)^{b_0(G)} T_G(Nc^{-1} + 1, c + 1) \end{aligned}$$

ex $A = \mathbb{C}[\mathbb{Z}/n\mathbb{Z}] = \mathbb{C}[x]/(x^n - 1)$

with $\rho = x^i \mapsto [x^i = 1]$

Then $\rho = 1$ and $\phi = n \mid$

With $\phi = 1 - \rho$ (idempotent), get flow poly for $\mathbb{Z}/n\mathbb{Z}$ flows

~~ex There is pairing $\mathbb{C}^c(A, \rho) \otimes \mathbb{C}^c(\rho, A) \rightarrow \mathbb{C}$
 $\square_{G_1} \otimes \square_{G_2} \rightarrow \square_{G_1 \cup G_2}(a)$
 At $a=3$, ~~flow~~ is degenerate with $\begin{matrix} \circ \\ \circ \\ \circ \\ \circ \end{matrix}$ in ken
 so $\rho a \equiv \rho \rho + \frac{\rho}{a} - 2 \rho$
 is additional local linear relation.~~

ex $B = \bigoplus_{i=1}^m \mathbb{C} \quad \rho = e_i \mapsto 1 \quad \rho = m \ \& \ \phi = 1$
 with $\phi = \rho - 1$ gives chromatic poly

ex [Kook, Reiner, Stanton 1997]

$$\sum_{S \subseteq E(G)} (-1)^{|S|} \chi_{G/S}(m) F_{G/S}(n) = (-1)^{|V|} (-m)^{bo(G)} T_G(1-m, 1-n)$$

edges $\rightsquigarrow - \begin{matrix} \square \\ B \end{matrix} \begin{matrix} (1 - \frac{\rho}{n}) \\ A \end{matrix} + \begin{matrix} (\frac{\rho}{n} - 1) \\ B \end{matrix} \begin{matrix} \square \\ A \end{matrix}$

$$= \begin{matrix} \rho \\ \rho \end{matrix} \begin{matrix} \square \\ \rho \end{matrix} - \begin{matrix} \square \\ \rho \end{matrix}$$

$$= \sum_{H \subseteq G} (-1)^{|E(H)|} m^{bo(H)} n^{b_i(H)}$$

ex $B = \bigoplus_{i=1}^m \mathbb{C}$ has $S_m \curvearrowright B$ action 0.4.5

Frob. alg. diagrams live in ~~the~~ ^{full} subcategory of ~~the~~ $\text{Rep}(S_m)$ gen. by B .

Can define this category for generic m :
partition cat. P_t is category of ~~the~~ diagrams with $\# = t$, and $P_m(a,b) \rightarrow \text{Hom}_{S_m}(B^{\otimes a}, B^{\otimes b})$.

Its pseudo-abelian envelope is Deligne's partition category $\text{Rep}(S_t)$, semisimple for $t \in \mathbb{C} - \mathbb{Z}_{\geq 0}$

Else ~~can~~ get additional local linear relations

ex Pairings $P_t(n,0) \otimes P_t(0,n) \rightarrow \mathbb{C}$, $t \in \mathbb{C}$

$$\boxed{D_1} \circ \boxed{D_2} \mapsto \boxed{D_1} \boxed{D_2}$$

@ $t=3$, degeneracy gives Flow poly relation

$$[X] = [\text{hook}] + [\text{cup}] - 2[X]$$

def A ribbon graph is a compact ori. sfc Σ with $\partial\Sigma \neq \emptyset$ along with a ~~set~~ set of disj. properly emb. arcs $\alpha_1, \dots, \alpha_n$ st. $\Sigma - \cup \alpha_i$ is a disj. union of disks

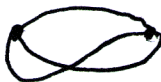
ex



vs



note not emb. in S^3 !



def (B&R 2001) G a ribbon graph

$$BR_G(x, y, z) = \sum_{H \subseteq G} (x-1)^{b_0(H)-b_0(G)} y^{b_1(H)} z^{g(H)}$$

note $T_G(x, y) = BR_G(x, y-1, 1)$

Has same rules for non-loop edges. $[\nearrow] = (y+1) [\rightarrow]$
 \rightsquigarrow reduce to wedges of interlaced circles


Def R -ring R^R is symm. monoidal category where

- Objects: disj. unions of ori. closed intervals
- Morphisms: $R^R(I, J)$ is R -lin. combs of homeot⁺ classes of compact ori. sfc Σ with $\partial\Sigma \neq \emptyset$ and $I \sqcup \bar{J} \subseteq \partial\Sigma$,
 with corners
- Composition is gluing along shared ∂

"open strings" (See also [Lauda, Pfeiffer 2008])

Prop Symm. monoidal functors $Z: \mathcal{R}^R \rightarrow R\text{-Mod}$
 corr. to symmetric Frobenius algebras

Pf $\mu = Z(\text{cup}) \quad i = Z(\cap)$
 $\Delta = Z(\text{cup}) \quad \varepsilon = Z(\cap)$

now $\text{cup} = \text{cup}$ has $\text{cup} = \text{cup}$. 

Let $\square = \square + \square$ and define $\mathcal{V}: \text{Ribbon graphs} \rightarrow \mathcal{R}^R$.

Again, $Z(\text{cup}) = c Z(\text{cup})$

$\Rightarrow A$ is semisimple if $R = \bar{R}$ field char=0

Artin-Wedderburn $\Rightarrow A \cong \bigoplus_{i=1}^N \text{Mat}_{n_i}(R)$

and $Z(\mathbb{1}) = c^{-1} \sum_{i=1}^N n_i \text{tr}_i$

Can calculate

$Z(G)_{\text{conn.}} = Z(\text{graph})$
 $= c^{b_1(G)-1} \sum_{i=1}^N n_i^{2-2g(G)}$

$Z \circ \mathcal{V}: \text{Ribbon graphs} \rightarrow R\text{-Mod}$

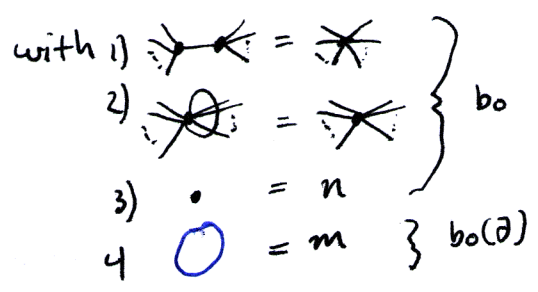
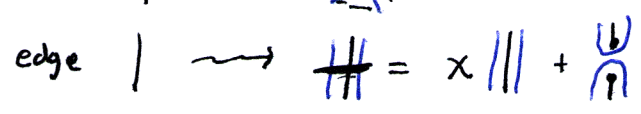
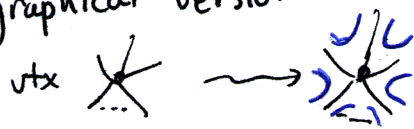
has $G \mapsto \sum_{H \subseteq G} c^{b_1(H)-b_0(H)} \prod_{F \in \pi_0(H)} X_g(F)$

with $X_g = \sum_{i=1}^N n_i^{2-2g}$.
 Newton polys

Like [Goodall et al 2016]

ex $A = \mathbb{C}[\mathbb{Z}/n\mathbb{Z}] \otimes \text{Mat}_m \mathbb{C} \simeq \bigoplus_{i=1}^n \text{Mat}_m \mathbb{C}$ 0.7
 has $X_g = nm^{2-2g}$
 gives $(m^2nc^{-1})^{\text{bo}(G)} \text{BR}_G(m^2nc^{-1}+1, c, m^{-1})$

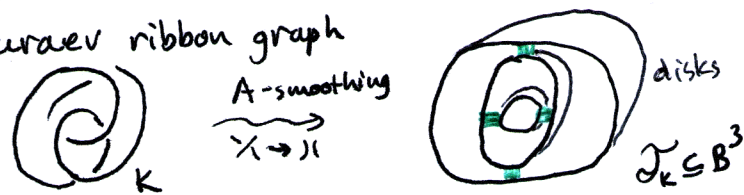
* A graphical version



Gives $\text{BR}_G^1(x, n, m) = \sum_{H \subseteq E(G)} x^{|E(G)|} n^{\text{bo}(H)} m^{\text{bo}(\partial H)}$
 $= x^{|V|} (x^{-1}nm)^{\text{bo}(G)} \text{BR}_G(x^{-1}nm+1, xm, m^{-2})$

ex [Dasbach et al. 2008] Jones poly

Turaev ribbon graph



If $n=1, m=-A^2-A^{-2}, x=A^{-2}$

A = A^{-1} + A = $\langle \chi \rangle_A$ Kauffman bracket

Hence $A^{\#\text{crossings}} \text{BR}_{\mathcal{J}_K}^1(A^{-2}, 1, -A^2-A^{-2})$
 $= (-A^2-A^{-2}) \langle K \rangle_A$

ex G ribbon graph, has dual G^*



$$x \left| \rightsquigarrow x \left(\left| + \right. \right) = x \left(\left| + x^{-1} \left. \right| \right) \leftarrow x \left. \right|$$

hence ~~$BR'_G(x, 1, m) = x^{|E|} BR_{G^*}(x^{-1}, 1, m)$~~

$$BR'_G(x, 1, m) = x^{|E|} BR_{G^*}(x^{-1}, 1, m)$$

* Kruskal polynomial

$G \hookrightarrow \Sigma$ a graph emb. in closed ori. sfc

$$P_G \hookrightarrow \Sigma(x, y, a, b) = \sum_{H \subseteq G} x^{b_0(H) - b_0(G)} y^{k(H)} a^{g(H)} b^{g^\perp(H)}$$

with $k(H) = \text{rank}(\ker(H_1(H) \rightarrow H_1(\Sigma)))$

~~theorem~~

Turns out $k(H) = b_0^\perp(H) - b_0(\Sigma)$

$$\text{def } P'_G \hookrightarrow \Sigma(t, l, w, c) = \sum_{H \subseteq G} t^{|\mathcal{E}(H)|} l^{b_0(H)} w^{b_0^\perp(H)} c^{b_0(\partial H)}$$

$$BR'_G(x, n, m) = P'_G(x, n, 1, c)$$

Imagine G as a ribbon graph in Σ :



Then

$$\text{[Diagram of a torus with a ribbon graph]} = t \cdot \text{[Diagram of a torus with a ribbon graph]} + \text{[Diagram of a torus with a ribbon graph]}$$

Let 2Cob be cobordism category of B & w -colored sfc cobordisms.

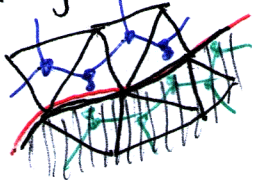
Can define $Z: 2\text{Cob} \rightarrow \mathbb{C}[l, w, c]$

$$\text{s.t. } Z((\Sigma, B)) = l^{b_0(B)} w^{b_0(\Sigma - B)} c^{b_0(\partial B)}$$

\uparrow
 black region

* TQFT

Triangulate:

← use $\bigoplus_{i=1}^w \mathbb{C}$ alg← use \mathbb{C}^c (loops = c)← use $\bigoplus_{i=1}^l \mathbb{C}$ alg

More generally, seems part of an extended 2D TQFT of "nonplanar algebras"

* Another approach