

Invariants of virtual spatial graphs based on topological graph polynomials

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Overview

The Yamada polynomial [Yamada 1989] is a $U_q(\mathfrak{sl}(2))$ Reshetikhin-Turaev invariant of spatial graphs.

It has been extended to virtual spatial graphs in a few ways:

- [Fleming and Mellor 2007]
- [McPhail-Snyder and M. 2018]
- [Deng, Jin, and Kauffman 2018]

Is there a unifying framework to understand these extensions?

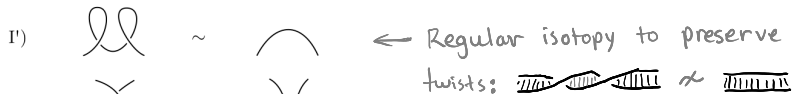
Virtual spatial graphs

A **spatial graph** is an embedding of a ribbon graph in S^3 .

Ex



Like knots & links, they have diagrams up to Reidemeister-like moves

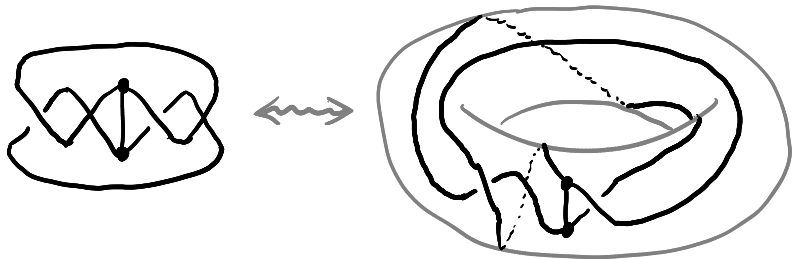


Virtual spatial graphs

A virtual spatial graph is a potentially non-planar spatial graph diagram, modulo the same moves.

"Virtual crossings" are artifacts of non-planarity:

Ex




[Kauffman 1999] - Virtual knots

[Fleming and Mellor 2007] - Virtual spatial graphs

Virtual spatial graphs

[Carter, Kamada, Saito 2002] & [Kuperberg 2003]

Thm. Virtual spatial graphs are in one-to-one correspondence with ribbon graphs in thickened closed oriented surfaces modulo **stable equivalence** (surgery on vertical annuli: ).

Furthermore, each has a unique representative in the minimal-genus such thickened surface.

Cor. Distinct ("**classical**") spatial graphs are distinct virtually, too.

The Yamada polynomial [Yamada 1989]

G - spatial graph

$R(G; A) \in \mathbb{Z}[A^{\pm 1}]$ is Yamada polynomial, defined by

$$1) R(\text{---} \bullet \text{---}) = R(\text{---} \times \text{---}) - R(\text{---} \leftarrow \bullet \text{---})$$

$$2) R(\text{---} \bullet \text{---}) = (A + 1 + A^{-1}) R(\text{---} \bullet \text{---})$$

$$3) R(G \amalg \bullet) = R(G)$$

$$4) R(\text{---} \diagdown \diagup) = AR(\text{---} \diagup \diagdown) + A^{-1}R(\text{---} \diagdown \diagup) - R(\text{---} \times \text{---})$$

Warning: this is renormalized by $(-1)^{|V|-|E|}$ from the original

Virtual Yamada polynomials

To get invariants of virtual spatial graphs, all we need is a ribbon graph invariant f satisfying

$$1) f(\text{---}\bullet\text{---}\bullet\text{---}) = f(\text{---}\bullet\text{---}\bullet\text{---}) - f(\text{---}\bullet\text{---}\bullet\text{---})$$

$$2) f(\text{---}\bullet\text{---}) = (Q-1)f(\text{---}\bullet\text{---}) \quad \text{with } Q = (-A^{1/2} - A^{-1/2})^2$$

$$3) f(G \sqcup \bullet) = f(G)$$

Then: extend by $f(\text{---}\diagup\diagdown) = Af(\text{---}\diagdown\diagup) + A^{-1}f(\text{---}\diagup\diagdown) - f(\text{---}\text{---})$

The flow polynomial

For G a graph and Γ a finite abelian group of order Q , the number of nowhere-zero Γ flows is given by

$$F_G(Q) = \sum_{H \subseteq E(G)} (-1)^{|H|} Q^{b_1(G-H)}.$$

This satisfies the recurrence, with $F(\text{graph with 3 edges meeting at a vertex}) = (Q-1)F(\text{graph with 2 edges meeting at a vertex})$.

[Fleming & Mellor 2007]

Def. Let R^F be the Yamada polynomial based on F .

The "S-polynomial"

[Fendley & Kruskkal 2010] observe the flow polynomial of planar graphs can be computed with $TL^{Q^{1/2}}$.

Planar graphs



TL diagrams



where closed loops evaluate to $Q^{1/2}$.

$$\mathbb{H} := \mathbb{1} - Q^{-1/2} U \cap$$

2nd Jones-Wenzl projector

Then normalize by $(Q^{1/2})^{|E|-|V|}$.

The "S-polynomial" [McPhail-Snyder & M. 2018]

Generalizing to non-planar ribbon graphs yields $S_G(Q)$.

$$S_G(Q) = \sum_{H \in E(G)} (-1)^{|H|} Q^{b_1(G-H) - g(G-H)}$$

This satisfies the recurrence, with

$$S(\text{crossing}) = Q S(\text{right-pointing}) - S(\text{left-pointing})$$

Def. Let R^S be the Yamada polynomial based on S .


Note For G a link, $R_G^S = R_G$ gives the 2nd colored Jones polynomial.

A criterion for classicality

[McPhail-Snyder & M. 2018] (extends [Miyazawa 2006] from virtual links)

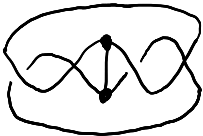
Thm. For G a virtual spatial graph,

$R_G^F(A) \neq R_G^S(A) \Rightarrow G$ is not equivalent to a classical spatial graph.

Ex $G =$ 

$$R_G^F = (A + A^{-1})(A + 1 + A^{-1})$$

$$R_G^S = -2(A + 1 + A^{-1})$$

$H =$ 

$$R_H^F = \frac{-A^{-2}(A-1)(A+1)^2(A+A^{-1})}{(A-1+A^{-1})(A+1+A^{-1})}$$

$$R_H^S = \frac{-A^{-2}(A-1)(A+1)^2(A+1+A^{-1})}{(A^2 - 2A + 4 - 2A^{-1} + A^{-2})}$$

Interpolation?

[Oeng, Jin & Kauffman 2018] define a 2-variable Yamada polynomial for virtual spatial graphs by solving for skein relation coefficients.

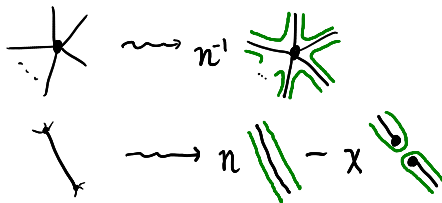
It turns out a renormalization of their polynomial interpolates between R^F and R^S — but how?

The Bollobás-Riordan polynomial [Bollobás, Riordan 2001-2002]

BR_G is a 3-variable ribbon graph invariant generalizing the Tutte polynomial.

[M. unpublished]

The following graphical substitution gives (a version of) $BR_G(n, m, x)$:



where **green loops** evaluate to n and black graphs are evaluated according to the $([\mathbb{Z}/m\mathbb{Z}])$ Frobenius algebra:

$$1. \text{ (loop with } n \text{ edges)} = m \text{ (loop with } 2 \text{ edges)}$$

$$2. \text{ (two vertices connected by } n \text{ edges)} = \text{ (two vertices connected by } 2 \text{ edges)}$$

$$3. G \perp \bullet = G$$

The Bollobás-Riordan polynomial

Ex $BR(\text{diagram}) = n^{-1}nx \text{ (diagram)} - n^{-1}nx \text{ (diagram)}$
 $- n^{-1}xn \text{ (diagram)} + n^{-1}xx \text{ (diagram)}$
 $= n \cdot nm^2 - x \cdot n^2m$
 $- x \cdot n^2m + n^{-1}x^2 \cdot n$
 $= m^2n^2 - 2mn^2x + x^2$

$$BR_G(n, m, 1)$$

$$1. \text{ BR} \left(\begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right) = n^{-1} \left(\begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right) - n^{-1} \begin{array}{c} \rightarrow \\ \leftarrow \end{array} \left(\begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right) \right)$$

$$= \text{BR} \left(\begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right) - \text{BR} \left(\begin{array}{c} \rightarrow \\ \leftarrow \end{array} \right)$$

$$2. \text{ BR} \left(\begin{array}{c} \rightarrow \\ \rightarrow \end{array} \right) = \begin{array}{c} \rightarrow \\ \rightarrow \end{array} - n^{-1} \begin{array}{c} \rightarrow \\ \rightarrow \end{array}$$

$$= (n^2 m - 1) \text{BR} \left(\begin{array}{c} \rightarrow \\ \rightarrow \end{array} \right)$$

$$3. \text{ BR}(\bullet) = n^{-1} \odot = 1$$

Thus $BR_G(n, m, 1)$ extends to a Yamada polynomial, $Q = n^2 m$.

Generalized Yamada polynomial

For G a virtual spatial graph, $R^{BR}(G; A, n)$ is

1) If G has no crossings, $R^{BR}(G; A, n) = BR_G(n, m, 1)$
 where $m = \frac{A + 2 + A^{-1}}{n^2}$

2) $R^{BR} \left(\begin{array}{c} \diagup \\ \diagdown \end{array} \right) = A R^{BR} \left(\begin{array}{c} | \\ | \end{array} \right) + A^{-1} R^{BR} \left(\begin{array}{c} \cup \\ \cap \end{array} \right) - R^{BR} \left(\begin{array}{c} \times \end{array} \right)$

Specializations:

- $R^F(G; A) = R^{BR}(G; A, 1)$ ($n=1$: only black graph)
- $R^S(G; A) = R^{BR}(G; A, -A^{1/2} - A^{-1/2})$ ($m=1$: only green curves)

Results and questions

arXiv: 1805.00575

Thm. If G is a classical spatial graph, [M. unpublished]

$$R^{BR}(G; A, n) = R(G; A) \in \mathbb{Z}[A^{\pm 1}]$$

But not sufficient for being classical!



Thm. $R_G^F(-1) = R_G^S(-1) = F_G(0)$. If $\bar{0}(G; \mathbb{Z}/2\mathbb{Z}) = 0$, $R_G^F(1) = F_G(4)$.

Q: There are special local relations at certain (A, n) . Do more give R^{BR} relations?

Thm. Each symmetric Frobenius algebra yields a Yamada invariant.
(R^{BR} is from $\mathbb{C}[\mathbb{Z}/m\mathbb{Z}] \otimes \text{End}(\mathbb{C}^n)$.)

Q: Do these invariants come from, say, the Las Vergnas polynomial?

Q: Are there other "R-matrices" beyond $\smile = A \smile + A^{-1} \smile - \times$?