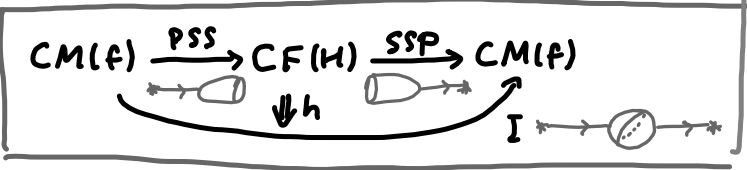


Application of abstract regularization techniques

at the example of

a "non-equivariant" proof of Arnold Conjecture

Piunikhin - Salamon - Schwarz moduli spaces | via fiber products

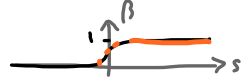


**PSS:**  $M(p, \gamma, \mathcal{J}) := \{u: \mathbb{C} \rightarrow M \mid \bar{\partial}_{\mathcal{J}} u = \Theta^*(\beta \cdot \mathcal{J} X_H)^{0,1}(u), u(0) \in W_p^u, E(u) < \infty, \lim_{s \rightarrow \infty} u|_{\partial^+(s)} = \gamma\}$

$\cong M(p, M) \times M_+(\gamma)$   
 $ev \downarrow M \leftarrow ev$

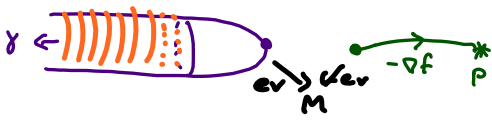


$\Theta: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times S^1$   
 $e^{2\pi i(s+it)} \mapsto (s, t)$



**SSP:**  $M(\gamma, p, \mathcal{J}) := \{u: \mathbb{C} \rightarrow M \mid \bar{\partial}_{\mathcal{J}} u = \hat{\Theta}^*((1-\beta) \cdot \mathcal{J} X_H)^{0,1}(u), u(0) \in W_p^s, E(u) < \infty, \lim_{s \rightarrow -\infty} u|_{\partial^-(s)} = \gamma\}$

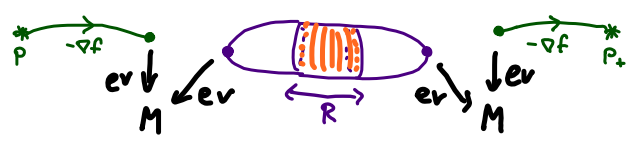
$\cong M_-(\gamma) \times M(M, p)$   
 $ev \downarrow M \leftarrow ev$



$\hat{\Theta}: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times S^1$   
 $e^{-2\pi i(s+it)} \mapsto (s, t)$



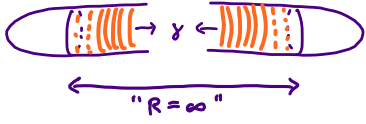
**h:**  $M(p_-, p_+, \mathcal{J}) := M(p_-, M) \times_{\mathbb{M} \text{ Re}[0, \infty]} M_{\mathbb{R}} \times M(M, p_+)$



$\Theta: \mathbb{CP}^1 \setminus \{0, \infty\} \rightarrow \mathbb{R} \times S^1$   
 $e^{2\pi i(r+it)} \mapsto (r, t)$



$M_{\mathbb{R}} := \begin{cases} \{u: \mathbb{CP}^1 \rightarrow M \mid \bar{\partial}_{\mathcal{J}} u = \Theta^*(\beta_{\mathbb{R}} \cdot \mathcal{J} X_H)(u), E(u) < \infty\} ; 0 \leq R < \infty \\ \cup_{\gamma \in \mathcal{P}_H} M_+(\gamma) \times M_-(\gamma) \end{cases}$



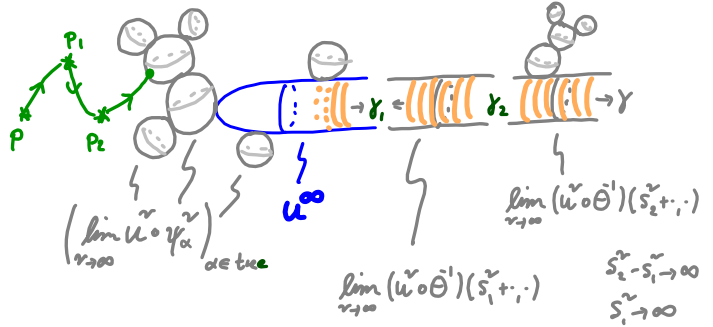
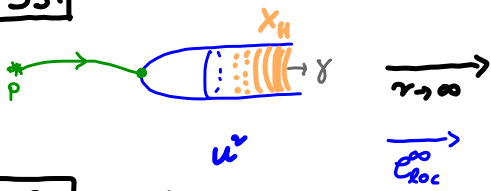
; R = \infty

**I:**  $M_{\mathbb{R}=0}(p_-, p_+, \mathcal{J}) := M(p_-, M) \times_{\mathbb{M}} M_{\mathbb{R}=0} \times M(M, p_+)$



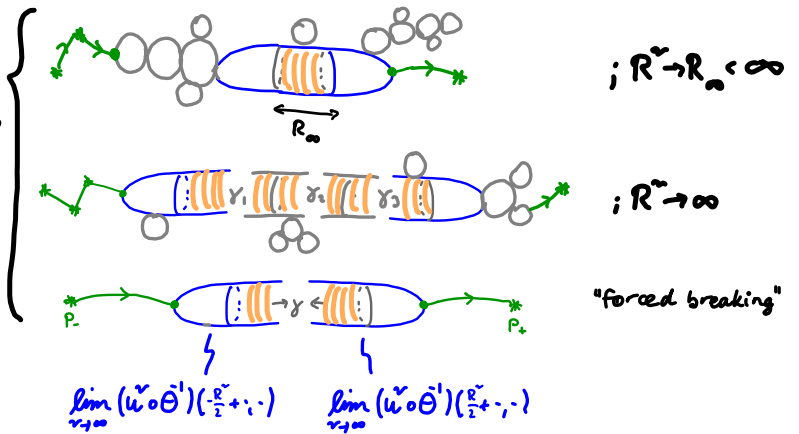
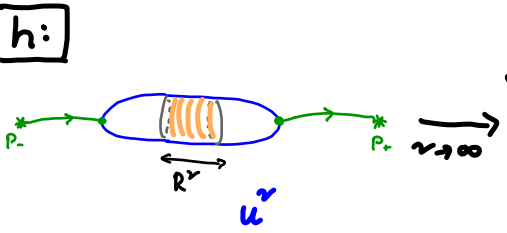
# Breaking & Bubbling

**PSS:**

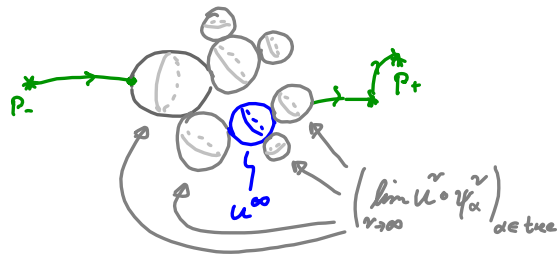
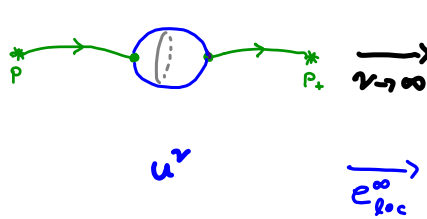


**SSP:** similar

**h:**

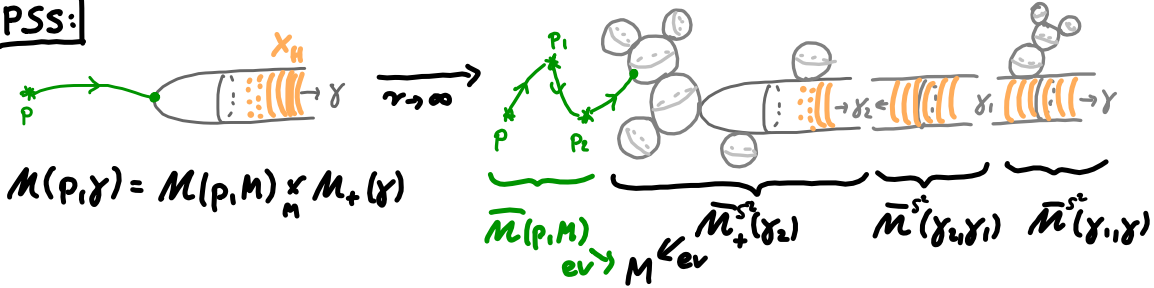


**I:**



## Breaking & Bubbling $\rightsquigarrow$ "Compact-cation"

**PSS:**



$$M(p, \gamma) = M(p, M) \times_M M_+(\gamma)$$

$$\bar{M}(p, \gamma) := \bigcup_{k=0} \bigcup_{\gamma_1 \dots \gamma_k \in \mathcal{P}_H} \bar{M}(p, M) \times_M \bar{M}_+(\gamma_k) \times \bar{M}^s(\gamma_k, \gamma_{k-1}) \dots \times \bar{M}^s(\gamma_1, \gamma)$$

compactified Morse trajectory space
PSS + sphere bubbles
Floor trajectories + sphere bubbles
SSP + sphere bubbles

### Floor trajectories

$$M(\gamma_-, \gamma_+) := \{u: \mathbb{R} \times S^1 \rightarrow M \mid \bar{\partial}_J u = JX_H(u), E(u) := \int |\partial_s u|^2 < \infty, \lim_{s \rightarrow \pm\infty} u(s, \cdot) = \gamma_{\pm}\} / \mathbb{R}$$

### Sphere bubble trees

$$M_k(J) := \{(u: \mathbb{P}^1 \rightarrow M, \underline{z} \in (\mathbb{P}^1)^k, \text{diag}) \mid \bar{\partial}_J u = 0, E(u) := \int |du|^2 < \infty, k \geq 3 \text{ or } E(u) > 0\} / \text{Aut}(\mathbb{P}^1)$$

$\downarrow \text{ev}$   
 $M^k$

$$\bar{M}_1(J) := \bigcup_{T \text{ tree}} M_{k_{\alpha_0}+1} \times \prod_{\alpha \in T \setminus \{\alpha_0\}} M_{k_{\alpha}} \times \Delta_M^E$$

$\downarrow \text{ev}_0$   
 $M$

$\downarrow \text{ev}_\alpha$   
 $M$

$\xrightarrow{\text{ev}} (M \times M)^{\#E}$

$(\alpha_0 \in T \text{ root})$   
 $(k_\alpha = \# \text{edges at vertex } \alpha)$

$\bar{M}_2(J) := \text{similar}$

$\downarrow \text{ev}_0$   
 $M$

$\downarrow \text{ev}_\alpha$   
 $M$

### sphere compactified moduli spaces

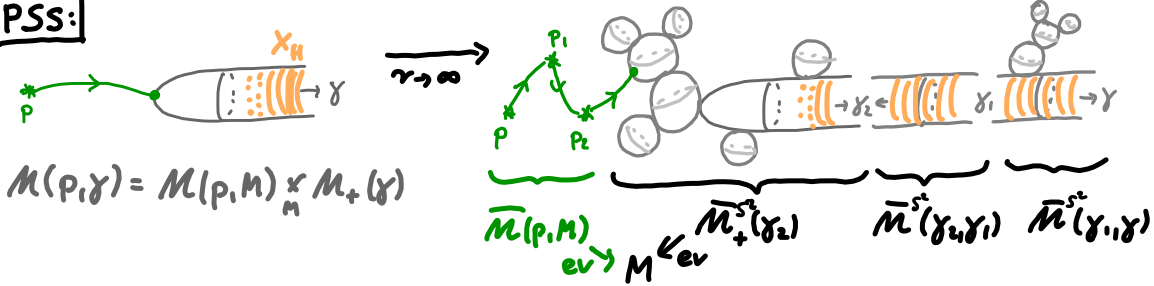
$$\bar{M}^s(\dots) := \bigcup_{n \geq 0} \{(u \in M(\dots), (\gamma_1 \dots \gamma_n) \in \text{domain}(u)) \mid \gamma_i \text{ disjoint}\} \times \bar{M}_1(J) \times \dots \times \bar{M}_1(J)$$

$\xrightarrow{\text{ev}} M^n \xleftarrow{\text{ev}}$

**⚠** If one of the fixed marked points on the domain coincides with a  $\gamma_i$  (i.e.  $\gamma_i = 0$  for PSS, SSP, I, h or  $\gamma_i = \infty$  for I, h) then one (or two)  $\bar{M}_1(J)$  are replaced by  $\bar{M}_2(J)$  which has 2 marked points - the node - the marked point of  $\text{dom}(u)$  - "bubbled" to a sphere

## Breaking & Bubbling $\rightsquigarrow$ "Compact-cation"

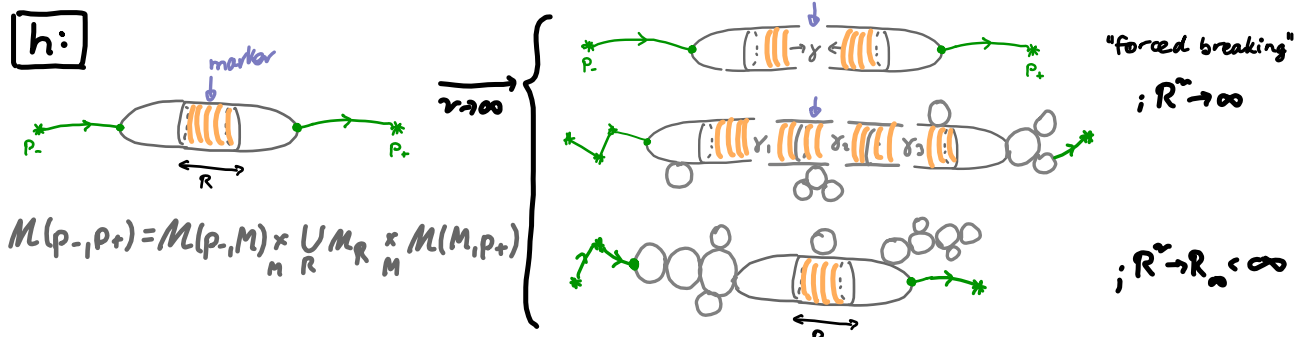
**PSS:**



$$M(p, \gamma) = M(p, M) \times_M M_+(\gamma)$$

$$\bar{M}(p, \gamma) := \bigcup_{k=0} \bigcup_{\gamma_1 \dots \gamma_k \in \mathcal{P}_H} \bar{M}(p, M) \times_M \bar{M}_+^s(\gamma_k) \times \bar{M}^s(\gamma_k, \gamma_{k-1}) \dots \times \bar{M}^s(\gamma_1, \gamma)$$

**h:**



$$M(p_-, p_+) = M(p_-, M) \times_M \bigcup_R M_R \times_M M(M, p_+)$$

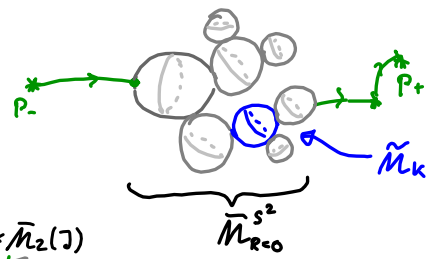
$$\bar{M}(p_-, p_+) = \bigcup_{0 \leq R < \infty} \bar{M}(p_-, M) \times_M \bar{M}_R^s \times_M \bar{M}(M, p_+)$$

$$\cup \bigcup_{k=0} \bigcup_{\gamma_1 \dots \gamma_k \in \mathcal{P}_H} \underbrace{\bar{M}(p_-, M) \times_M \bar{M}_+^s(\gamma_1) \times \bar{M}^s(\gamma_1, \gamma_2) \dots \times \bar{M}^s(\gamma_{k-1}, \gamma_k)}_{\in \bar{M}(p_-, \gamma_k)} \times \underbrace{\bar{M}_-^s(\gamma_k) \times_M \bar{M}(M, p_+)}_{\in \bar{M}(\gamma_k, p_+)}$$

**I:**

$$\bar{M}^I(p_-, p_+) = \bar{M}(p_-, p_+) \cap [R=0]$$

$$= \bar{M}(p_-, M) \times_M \bar{M}_{R=0}^s \times_M \bar{M}(M, p_+)$$



$$\bar{M}_{R=0}^s = \{ (u, 0, \infty) \mid \partial_j u = 0 \} \cup \bigcup_{n \geq 1} \tilde{M}_n \times \bar{M}_2(\mathbb{D}) \times \bar{M}_1(\mathbb{D}) \times \dots \times \bar{M}_1(\mathbb{D}) \times \bar{M}_2(\mathbb{D})$$

$$\cup \bigcup_{n \geq 1} \tilde{M}_{1+n} \times \bar{M}_1(\mathbb{D}) \times \dots \times \bar{M}_1(\mathbb{D}) \times \bar{M}_2(\mathbb{D}) \cup \bigcup_{n \geq 1} \tilde{M}_{1+n} \times \bar{M}_2(\mathbb{D}) \times \dots \times \bar{M}_1(\mathbb{D}) \times \bar{M}_1(\mathbb{D}) \cup \bigcup_{n \geq 1} \tilde{M}_{2+n} \times \bar{M}_1(\mathbb{D}) \times \dots \times \bar{M}_1(\mathbb{D}) \times \bar{M}_1(\mathbb{D})$$

## ② Construction of PSS, SSP, J, h

$$\langle * \rangle \mapsto \sum_{\cdot} \sum_{u \in \mathcal{M}(*, \cdot)_0} a_u q^{E(u)} \langle \cdot \rangle = \sum_{\cdot} \sum_{i=0}^{\infty} m_{E_i}(*, \cdot) q^{E_i} \langle \cdot \rangle$$

$\# \{u \in \mathcal{M}(*, \cdot) \mid \text{ind } D_u = 0, E(u) = E_i\}$

### Gromov topology on $\bar{\mathcal{M}}(\dots)$

$\hookrightarrow \text{ind } \underline{u} = \sum_{u \in \underline{u}} (\text{ind } D_u - \dim \text{Aut } u)$ ,  $E(\underline{u}) = \sum_u E(u)$  locally constant

$\hookrightarrow \bar{\mathcal{M}}(\dots) \cap \{E(\underline{u}) \leq C\}$  compact

$\Rightarrow \bar{\mathcal{M}}(\dots) \cap \{\text{ind } \underline{u} = k\} = \bigcup_{i=0}^{\infty} \underbrace{\bar{\mathcal{M}}(\dots)_{k, E_i}}_{\text{compact}} ; E_0 < E_1 < \dots < E_i \xrightarrow{i \rightarrow \infty} \infty$

Claim:  $m_{E_i}(*, \cdot) := \langle [\bar{\mathcal{M}}(*, \cdot)_{0, E_i}], 1 \rangle \in \mathbb{Q}$  is well defined  $\xrightarrow{\text{td}}$

Proof:

unique up to  $\square$ -cobordism

$\bar{\mathcal{M}}(*, \cdot)_{0, E_i} = \bar{\sigma}^{-1}(0)$  is the (compact) zero set of a  $\square$ -section of index 0 (with boundary & corners)

$\square$ -sections of index 0 with compact zero set have regularizations

as - Čech homology class  $\in \check{H}_0(\bar{\sigma}^{-1}(0), \mathbb{Q})$

- branched weighted 0-manifold  $(\bar{\sigma} + \gamma)^{-1}(0)$

quotable abstract theory

that are unique up to "algebra induced by boundary strata" and invariant under  $\square$ -cobordism

E.g.

Siebert:  $\square$  = "section of Banach orbifold with Fredholm structure and global stabilization"  $\begin{matrix} E \\ \downarrow \uparrow s \\ \mathcal{B} \end{matrix}$

(!no good notion of boundary & corners on topological orbifold  $\mathcal{B}$ !)

FO, ..., MW:  $\square$  = "Kuranishi-section"

$$\bar{\sigma}^{-1}(0) = \bigcup_{\Gamma} \frac{S_{\Gamma}^{-1}(0)}{r_{\Gamma}} \quad \text{transition data}$$

$$\begin{array}{c} \bigsqcup_{\Gamma} U_{\Gamma} \times E_{\Gamma} \\ \downarrow \uparrow s_{\Gamma} \quad \curvearrowright \Gamma_{\Gamma} \\ \bigsqcup_{\Gamma} U_{\Gamma} \end{array}$$

$U_{\Gamma}$  manifolds with boundary & corners

HWZ:  $\square$  = "polyfold Fredholm section"

$$\begin{array}{c} \widehat{\Sigma} \\ \downarrow \uparrow \sigma \\ \widehat{\mathcal{B}} \end{array}$$

- polyfold with boundary & corners

"non-equivariant" proof of Arnold Conjecture

① Morse complex with Novikov coefficients

②  $\bar{M}(\dots)_{0, \epsilon_i} = \mathcal{G}^{-1}(0)$  for "proper" index 0  $\square$ -sections unique up to  $\square$  cobordism

$\Rightarrow$  PSS, SSP,  $h, I : \langle * \rangle \mapsto \sum_{i \in \mathbb{Z}} \langle [\bar{M}(*, \cdot)_{0, \epsilon_i}], 1 \rangle q^{\epsilon_i} \langle \cdot \rangle$  well defined

④  $\bar{M}^I(p_-, p_+)_{1, \epsilon_i} = \mathcal{G}^{-1}(0)$  for "proper" index 1  $\square$ -sections

$$\bar{M}(p_-, M) \times_M \bar{M}_{R=0}^{S^2} \times_M \bar{M}(M, p_+)$$



with

PREVIEW

$$\mathcal{G}_{\text{boundary}}^{-1}(0) = \underbrace{\partial \bar{M}(p_-, M) \times_M \bar{M}_{R=0}^{S^2} \times_M M(M, p_+)}_{\bigcup_p M(p_-, p) \times \bar{M}(p, M)} \cup M(p_-, M) \times_M \bar{M}_{R=0}^{S^2} \times_M \underbrace{\partial \bar{M}(M, p_+)}_{\bigcup_p \bar{M}(M, p) \times M(p, p_+)}$$

$$= \bigcup_p M(p_-, p) \times \bar{M}^I(p, p_+)_{\epsilon_i} \cup \bigcup_p \bar{M}^I(p_-, p)_{\epsilon_i} \times M(p, p_+)$$

$$\text{index } 1 = |p| - |p_-| + k \qquad k + |p_+| - |p|$$

$$\emptyset \text{ unless } \underbrace{\geq 1} \qquad \underbrace{\geq 1}$$

$$\text{regularized } \emptyset \text{ unless } \geq 0 \qquad \geq 0$$

$\Rightarrow \bar{M}^I(p_-, p_+)_{1, \epsilon_i}$  is regularized to compact weighted branched 1-manifold

$$\text{with boundary } \bigcup_{|p|=|p_-|+1} M(p_-, p) \times \bar{M}^I(p, p_+)_{0, \epsilon_i}^{\text{reg}} \cup \bigcup_{|p|=|p_+|-1} \bar{M}^I(p_-, p)_{0, \epsilon_i}^{\text{reg}} \times M(p, p_+)$$

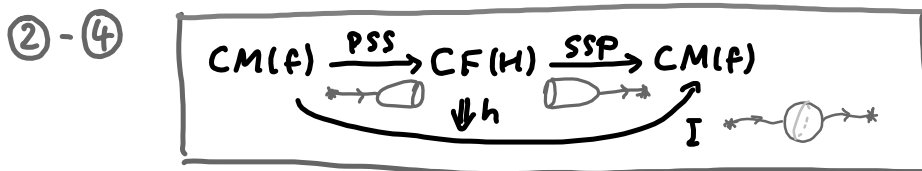
$$\Rightarrow \sigma = I \circ \partial + \partial \circ I$$

③  $I \circ \text{SSP} \circ \text{PSS} = \partial \circ h - h \circ \partial$  from boundary of regularized  $\bar{M}^h(p_-, p_+)_{1, \epsilon_i}$

③ & ④  $\Rightarrow I = \text{SSP} \circ \text{PSS}$  on  $\text{ker } \partial / \text{im } \partial = \text{HM}(f)$

"non-equivariant" proof of Arnold Conjecture

①  $CM(f) := \bigoplus_{\text{pct} \in \mathcal{P}} \Lambda \langle p \rangle \xrightarrow{\cong} \mathcal{D} \xrightarrow{\text{ker } \partial / \text{im } \partial} HM(f) \cong H_*(M; \Lambda)$



⑤  $I: HM_* \rightarrow HM_*$  isomorphism because

$$\begin{aligned} \bar{M}^I(p_-, p_+)_{0, E} &= \emptyset \text{ for } E < 0 \\ &= \{u \equiv p_- = p_+\} \text{ for } E = 0 \end{aligned} \quad \left\| \begin{array}{l} \text{are regular and don't} \\ \text{need to be perturbed} \\ \text{for coherence with } E > 0 \end{array} \right.$$

$$\Rightarrow I = \text{id}_{CM(f)} + \sum_{i=0}^{\infty} q^{E_i} I_i \quad 0 < E_0 < E_1 < \dots < E_i \xrightarrow{i \rightarrow \infty} \infty$$

$$\Rightarrow \exists I^{-1} = \text{id}_{CM(f)} + (\text{determined iteratively})$$