

## Application of abstract regularization techniques

### Proof of Arnold Conjecture

- Morse trajectory spaces
- PSS moduli spaces
- dream proof
- "nightmare" compact-cation

**Morse trajectory spaces** ( $f: M \rightarrow \mathbb{R}$ ,  $g$  metric on  $M$ ) "Morse-Smale"

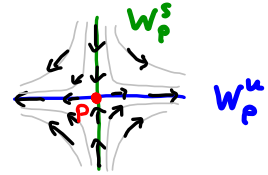
•  $\forall p \in \text{crit } f = \{p \in M \mid \nabla f(p) = 0\}$   $\nabla^2 f(p) : T_p M \rightarrow T_p^* M$  bijective

$\Rightarrow \forall v \in \mathbb{R} \setminus f(\text{crit } f) : f^{-1}(v) \subset M$  smooth submanifold "level set"

$\Rightarrow \forall p \in \text{crit } f \exists \mathcal{C}^\infty$ -chart  $\text{Nbd}(p) \simeq \mathbb{R}^k \times \mathbb{R}^{\dim M - k}$ ,  $-\nabla f \simeq (x, y) \mapsto (x, -y)$

$(f, g)$  "Euclidean"  $\Rightarrow \mathcal{C}^\infty$   
 otherwise  $\mathcal{C}^\infty$  with eigenvalues  $\neq \pm 1$

negative positive  
 eigenspace of  $\nabla^2 f(p)$



$\Rightarrow M \supset W_p^u = \{z \in M \mid (-\nabla f \text{ flow})_t(z) \xrightarrow{t \rightarrow -\infty} p\} \simeq \mathbb{R}^k \times \{0\}$  smooth Submanifolds  
 $W_p^s = \{z \in M \mid (-\nabla f \text{ flow})_t(z) \xrightarrow{t \rightarrow \infty} p\} \simeq \{0\} \times \mathbb{R}^{\dim M - k}$   $|P| := k = \dim W_p^u$

•  $\forall p, q \in \text{crit } f : W_p^u \pitchfork W_q^s \iff (W_p^u \cap f^{-1}(v)) \pitchfork (W_q^s \cap f^{-1}(v)) \subset f^{-1}(v)$   
 $\forall v \in (f(q), f(p)) \setminus f(\text{crit } f)$

For  $p \neq q \in \text{crit } f$  define manifolds of Morse trajectories

$W_p^u \cap W_q^s \cap f^{-1}(v) \xrightarrow{\cong} x(\mathbb{R}) \cap f^{-1}(v)$  has compatible smooth structures  $\forall v \in (f(p), f(q)) \setminus f(\text{crit } f)$   $\dim = |p| - |q| - 1$

$\mathcal{M}(p, q) := \{x : \mathbb{R} \rightarrow M \mid \dot{x} + \nabla f(x) = 0, x(s) \xrightarrow{s \rightarrow -\infty} p, x(t) \xrightarrow{s \rightarrow \infty} q\} / \mathbb{R}$

$\mathcal{M}(p, M) := \{x : (-\infty, 0] \rightarrow M \mid \text{---} \text{---} \text{---}, \text{---} \text{---} \text{---}\} \xrightarrow{\cong} W_p^u$   
 $x \mapsto x(0)$

$\mathcal{M}(M, q) := \{x : [0, \infty) \rightarrow M \mid \text{---} \text{---} \text{---}, \text{---} \text{---} \text{---}\} \xrightarrow{\cong} W_q^s$   
 $x \mapsto x(0)$

$\mathcal{M}(M, M) := \{x : [0, L] \rightarrow M \mid \text{---} \text{---} \text{---}, L \geq 0\} \xrightarrow{\cong} M \times [0, \infty)$   
 $\mapsto (x(0), L)$

**Morse trajectory spaces** ( $f: M \rightarrow \mathbb{R}$ ,  $g$  metric on  $M$ ) "Morse-Smale"

$$M(p, q) := \{x: \mathbb{R} \rightarrow M \mid \dot{x} + \nabla f(x) = 0, x(s) \xrightarrow{s \rightarrow -\infty} p, x(s) \xrightarrow{s \rightarrow \infty} q\} / \mathbb{R} \quad M(p, p) := \emptyset$$

$$M(p, M) := \{x: (-\infty, 0] \rightarrow M \mid \text{---} \text{"---"}, \text{---} \text{"---}\}$$

$$M(M, q) := \{x: [0, \infty) \rightarrow M \mid \text{---} \text{"---"}, \text{---} \text{"---}\}$$

$$M(M, M) := \{x: [0, L] \rightarrow M \mid \text{---} \text{"---"}, L \geq 0\}$$

Compactification:

$$\bar{M}(\ast, \ast) = M(\ast, \ast) \cup \bigcup_p M(\ast, p) \times M(p, \ast) \cup \bigcup_{p_1, p_2} M(\ast, p_1) \times M(p_1, p_2) \times M(p_2, \ast)$$

with Gromov-Hausdorff metric  
on images  $\subset M$  (+length difference for  $M(M, M)$ )  
are compact metric spaces.

$$\cup \bigcup_{k \geq 3} \bigcup_{p_1, \dots, p_k} M(\ast, p_1) \times \dots \times M(p_k, \ast)$$

⊕ canonical for  $(f, g)$  Euclidean

Folk Thm:  $\bar{M}(\cdot, \cdot)$  can be given smooth manifold structure with

[... w'12] "associative gluing maps"  $\Rightarrow \partial \bar{M} \setminus \text{corners} = \bigcup_p M(\cdot, p) \times M(p, \cdot)$   
 $\Rightarrow$  "corner degeneracy" =  $k$

s.t.  $\text{ev}: \bar{M}(M, p_+) \rightarrow M$ ,  $\text{ev}: \bar{M}(p_-, M) \rightarrow M$  are smooth.  
 $(x_0, x_1, \dots, x_k) \mapsto x_0(0)$   $(x_0, x_1, \dots, x_k) \mapsto x_k(0)$

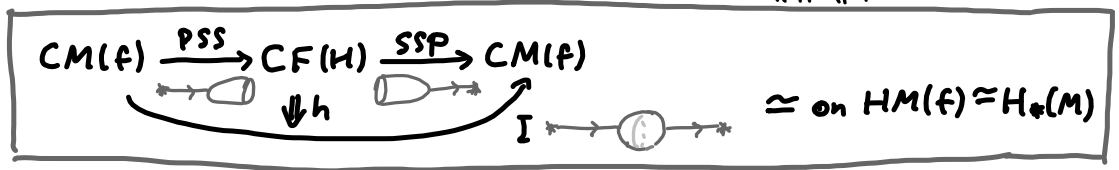
**"minimized" PSS proof of Arnold Conjecture**  $(M, \omega)$  closed

$(f, g)$  Morse-Smale,  $H: S^1 \times M \rightarrow \mathbb{R}$  nondegenerate

Thm:  $\# \mathcal{P}_H = \{ \gamma: S^1 \rightarrow M \mid \dot{\gamma} = X_H(\gamma) \} \geq \sum_{i=0}^{dim M} H_i(M; \mathbb{Q})$

Proof:

①  $CM(f) := \bigoplus_{p \in \text{crit } f} \Lambda \langle p \rangle \hookrightarrow \mathcal{D} : \langle p_i \rangle \mapsto \sum_{\substack{p_+ \in \text{crit } f \\ |p_+| = |p_i| - 1}} \#M(p_-, p_+) \langle p_+ \rangle$



②  $PSS, SSP, I, h : \langle * \rangle \mapsto \sum_{u \in M(*, *, *)_0} \sum_{E(u)} a_u q^{E(u)} \langle \cdot \rangle$   
for  $J \in \mathcal{J}(M, \omega)$

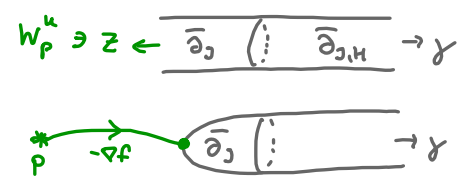
**PSS:**  $M(p, \gamma, J) := \{ u: \mathbb{C} \rightarrow M \mid \bar{\partial}_J u = \hat{\theta}^*(\hat{\beta} \cdot J X_H)^{0,1}(u), u(0) \in W_p^u, u \circ \hat{\theta}(s, t) \rightarrow \gamma \}$   
**SSP**  $\gamma, p$

$\hat{\theta}: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times S^1$   
 $e^{2\pi i(s+it)} \mapsto (s, t)$

$\hat{\beta}: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times \mathbb{R}$   
 $e^{2\pi i(s+it)} \mapsto (s, t)$

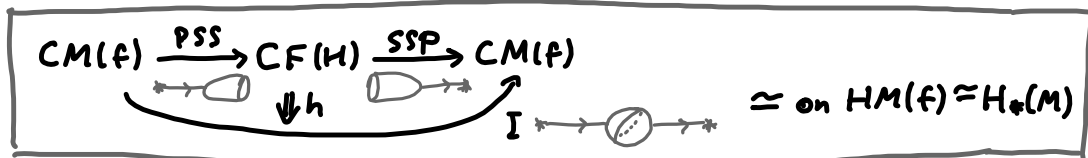
$\uparrow v = u \circ \hat{\theta}^{-1}$   
 $\partial_s v + J(v)(\partial_t v - \hat{\beta} \cdot X_H(v)) = 0$

$E(u) := \int u^* \omega + \int_{S^1} H \circ \gamma$   
 $= \int |\partial_s v|^2 + \int \partial_s \hat{\beta} \cdot H \circ v$   
*uniformly bounded*



$E(u) < \infty \Leftrightarrow \int |\partial_s v|^2 < \infty$   
 $E(u) \geq E_0$  fixed constant  $< 0$

$\hookrightarrow \Lambda := \{ \sum_{i=1}^{\infty} a_i q^{E_i} \mid a_i \in \mathbb{Q}, E_1 < E_2 < \dots, E_i \rightarrow \infty \}$   
Novikov field

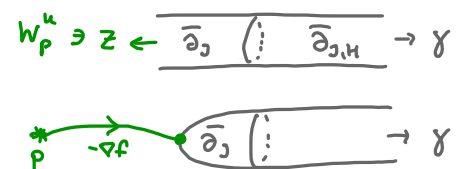


**PSS:**  $M(p, \gamma, \mathcal{J}) := \{u: \mathbb{C} \rightarrow M \mid \bar{\partial}_\mathcal{J} u = \theta^*(\beta \cdot \mathcal{J} X_H)^{0,1}(u), u(0) \in W_p^u, E(u) < \infty, u \circ \theta^{-1} \rightarrow \gamma\}$

$\theta: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times S^1$   
 $e^{2\pi i(s+it)} \mapsto (s, t)$   
 $\Downarrow v = u \circ \theta^{-1}$   
 $\partial_s v + \mathcal{J}(v)(\partial_t v - \beta \cdot X_H(v)) = 0$

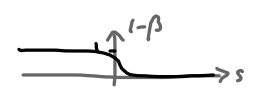


$E(u) := \int u^* \omega - \int_{S^1} H \circ \gamma$   
 $= \int |\partial_s v|^2 + \int \partial_s \beta \cdot H \circ v$   
*uniformly bounded*

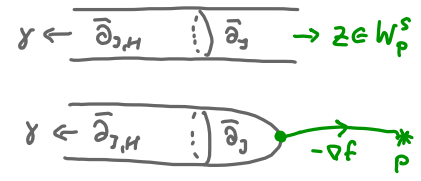


**SSP:**  $M(\gamma, p, \mathcal{J}) := \{u: \mathbb{C} \rightarrow M \mid \bar{\partial}_\mathcal{J} u = \hat{\theta}^*((1-\beta) \cdot \mathcal{J} X_H)^{0,1}(u), u(0) \in W_p^s, E(u) < \infty, u \circ \hat{\theta}^{-1} \rightarrow \gamma\}$

$\hat{\theta}: \mathbb{C} \setminus \{0\} \rightarrow \mathbb{R} \times S^1$   
 $e^{-2\pi i(s+it)} \mapsto (s, t)$   
 $\Downarrow v = u \circ \hat{\theta}^{-1}$   
 $\partial_s v + \mathcal{J}(v)(\partial_t v - (1-\beta) X_H(v)) = 0$



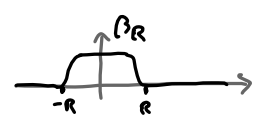
$E(u) := \int u^* \omega + \int_{S^1} H \circ \gamma$   
 $= \int |\partial_s v|^2 - \int \partial_s \beta \cdot H \circ v$   
*uniformly bounded*



**h:**  $M(p_-, p_+, \mathcal{J}) = \bigcup_{R \in [0, \infty]} M_R(p_-, p_+, \mathcal{J})$   
 $\| R < \infty$

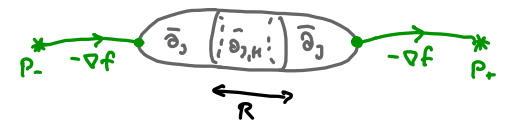
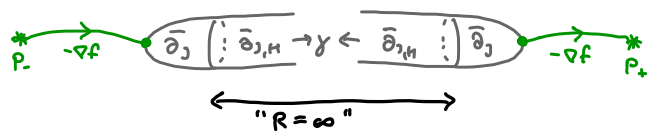
$\{u: \mathbb{C}P^1 \rightarrow M \mid \bar{\partial}_\mathcal{J} u = \theta^*(\beta_R \cdot \mathcal{J} X_H)(u), u(0) \in W_{p_-}^u, u(\infty) \in W_{p_+}^s, E(u) < \infty\}$

$\theta: \mathbb{C}P^1 \setminus \{0, \infty\} \rightarrow \mathbb{R} \times S^1$   
 $e^{2\pi i(r+it)} \mapsto (s, t)$



$M_\infty(p_-, p_+, \mathcal{J}) = \bigcup_{\gamma} M(p_-, \gamma, \mathcal{J}) * M(\gamma, p_+, \mathcal{J})$

$W_{p_-}^u \ni z \leftarrow \bar{\partial}_\mathcal{J} \left( \begin{smallmatrix} \vdots \\ \bar{\partial}_{\mathcal{J}, H} \\ \vdots \end{smallmatrix} \right) \bar{\partial}_\mathcal{J} \rightarrow z \in W_{p_+}^s$



$E(u) = \int u^* \omega = \int |\partial_s v|^2 - \int \partial_s \beta_R \cdot H \circ v$

$E(u_-, u_+) = \int u_-^* \omega + \int u_+^* \omega = E^{PSS}(u_-) + E^{SSP}(u_+)$

**I:**  $M_{R=0}(p_-, p_+, \mathcal{J}) = \{u: \mathbb{C}P^1 \rightarrow M \mid \bar{\partial}_\mathcal{J} u = 0, u(0) \in W_{p_-}^u, u(\infty) \in W_{p_+}^s, E(u) < \infty\}$

$E(u) = \int u^* \omega$



$$\textcircled{2} \text{ PSS, SSP, } I, h : \langle * \rangle \mapsto \sum_{\bullet} \sum_{u \in M(*, \gamma)_0} a_u q^{E(u)} \langle \bullet \rangle$$

$M(\dots) = \text{zero set of Fredholm section } \sigma : u \mapsto \bar{\partial}_J u - 0^{\text{th order}}$   
 (e.g.  $\Theta^*(\beta \cdot J X_H)^{0,1}(u)$ )  
 $M(\dots)_k := \{u \in M(\dots) \mid \text{ind } D_u \sigma = k\}$

$$h : M(\dots) = \{(R, u) \mid \sigma_R(u) = 0\} \cup_{\text{gluing}} M_{P_H}^{\text{PSS}} \times M^{\text{SP}}$$

$$M(\dots)_0 = \{(R, u) \in M \mid \text{ind } D_u \sigma_R = -1\} \quad \text{i.e. } \text{ind } D_{(R, u)}(1R, u) \rightarrow \sigma_R(u) = 0$$

$$M(\dots)_1 = \{(R, u) \in M \mid \text{ind } D_u \sigma_R = 0\} \cup_{\text{gluing}} M_0^{\text{PSS}} \times M_0^{\text{SP}} \quad \left( \begin{array}{l} \text{will need to be replaced} \\ \text{by abstract regularization} \\ \text{in general} \end{array} \right)$$

### Dream Proof

Find  $J$  s.t. all  $M(\dots)_{\leq 1}$  are cut out transversely (+ gluing)  
 and sphere bubbling is excluded (e.g. by "transversality + gluing"  $\Rightarrow \text{codim } 2$ ).

• Then  $M(\dots)_0$  are 0-manifolds with  $M(\dots)_0 \cap \{E(u) < E\}$  compact  $\forall E > 0$ .

$$\Rightarrow \bigcup_{i=0}^{\infty} \{E(u) = E_i\} \quad E_0 < E_1 < \dots < E_i \xrightarrow{i \rightarrow \infty} \infty$$

$$\Rightarrow \sum_{u \in M(\dots)_0} a_u q^{E(u)} = \sum_{i=0}^{\infty} \#\{E(u) = E_i\} q^{E_i} \in \Lambda \text{ well defined} \quad \left( \begin{array}{l} a_u = \pm 1 \text{ from} \\ \text{orientation} \\ \text{of } M(\dots)_0 \end{array} \right)$$

$$\begin{aligned} &\parallel \Rightarrow \text{PSS, SSP, } h, I \text{ well defined} \\ \int_{M(\dots)_0} q^{E(u)} du &= Q_*[M(\dots)_0] \\ Q : M(\dots)_0 &\rightarrow \Lambda, u \mapsto q^{E(u)} \end{aligned}$$

Dream Proof

②  $M(\dots)_0$  are 0-manifolds with  $M(\dots)_0 \cap \{E(u) < E\}$  compact  $\forall E > 0$ .

$\Rightarrow$  PSS, SSP,  $h$   $I : \langle * \rangle \mapsto \sum \int_{M(\cdot, \cdot)_0} q^{E(u)} du \langle \cdot \rangle$  well defined

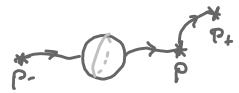
④  $M^I(p_-, p_+, J)_1$  is 1-manifold



with ends

$\cup_p M^I(p_-, p, J) \times M(p, p)$

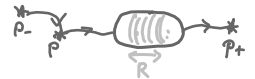
$\cup_p M(p_-, p) \times M^I(p, p_+, J)$



$\Rightarrow \sigma = \partial I - I \partial$

③  $M^h(p_-, p_+, J)_1 = \cup_{R \in [0, \infty]} M_R(p_-, p_+, J)_0$  is 1-manifold with boundary @  $R=0, \infty$  and ends

$M^I(p_-, p_+, J) \cup_{R=0} M(p_-, \cdot, J) \times_{\text{diff}} M(\cdot, p_+, J) \cup_{R=\infty} M^h(p_-, \cdot, J) \times_{\text{diff}} M(\cdot, p_+, J) \cup_{R=\infty} M(p_-, \cdot) \times_{\text{diff}} M^h(\cdot, p_+, J)$

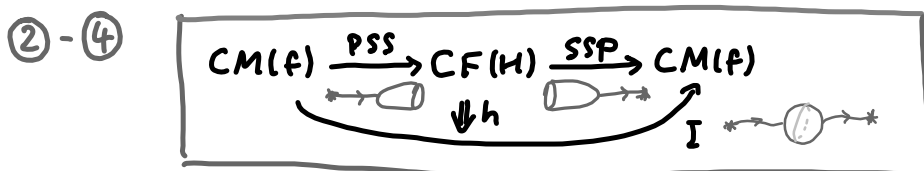


$\Rightarrow I - SSP \circ PSS = \partial h - h \partial$

③ & ④  $\Rightarrow I = SSP \circ PSS$  on  $\text{ker } \partial / \text{im } \partial = HM(f)$

"minimized" PSS proof of Arnold Conjecture

①  $CM(f) := \bigoplus_{p \in \text{crit} f} \langle p \rangle \hookrightarrow \mathcal{D} \quad \rightsquigarrow \ker \bar{\partial} / \text{im} \bar{\partial} = HM(f) \cong H_*(M; \Lambda)$



⑤  $I: HM_+ \rightarrow HM_+$  isomorphism because

$$M^I(p_-, p_+, J)_0 = \left\{ u: \mathbb{C}P^1 \rightarrow M \mid \bar{\partial}_J u = 0, u(0) \in W_{p_-}^u, u(\infty) \in W_{p_+}^s, E(u) < \infty \right\}$$

$\downarrow$   
 $E(u) = i \int |du|^2 \geq 0$

$$E(u) = 0 \Rightarrow u = \text{const} \in W_{p_-}^u \cap W_{p_+}^s \Rightarrow \text{ind} \geq 1 \text{ unless } p_- = p_+ \equiv u$$

$$\Rightarrow M^I(p_-, p_+, J)_0 = \{u \equiv p_- = p_+\} \cup \bigcup_{i=0}^{\infty} \{E(u) = E_i\} \quad 0 < E_0 < E_1 < \dots < E_i \xrightarrow{i \rightarrow \infty} \infty$$

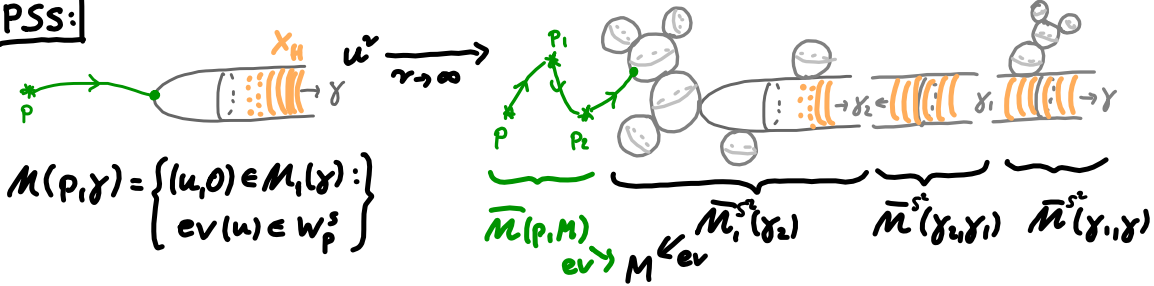
$$\Rightarrow I = \text{id}_{CM(f)} + \sum_{i=0}^{\infty} q^{E_i} I_i \quad I_i: \langle p_- \rangle \mapsto \sum_{p_+} \# \left( \begin{matrix} M(p_-, p_+, J)_0 \\ \cap \{E(u) = E_i\} \end{matrix} \right) \langle p_+ \rangle$$

$$\Rightarrow \exists I^{-1} = \text{id}_{CM(f)} + (\text{determined iteratively})$$



# PREVIEW: Breaking & Bubbling $\rightsquigarrow$ "Compact-cation"

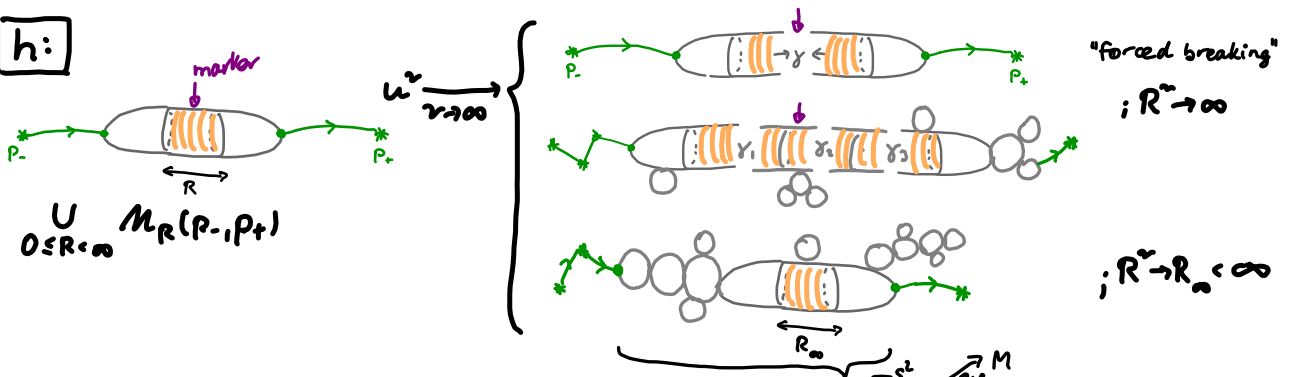
**PSS:**



$$M(p, \gamma) = \left\{ (u, \partial) \in M_1(\gamma) : \text{ev}(u) \in W_p^s \right\}$$

$$\bar{M}(p, \gamma) := \bigcup_{k=0}^{\infty} \bigcup_{\gamma_1, \dots, \gamma_k \in \mathcal{P}_H} \bar{M}(p, M) \times \bar{M}_1^s(\gamma_k) \times \bar{M}^s(\gamma_k, \gamma_{k+1}) \dots \times \bar{M}^s(\gamma_1, \gamma)$$

**h:**



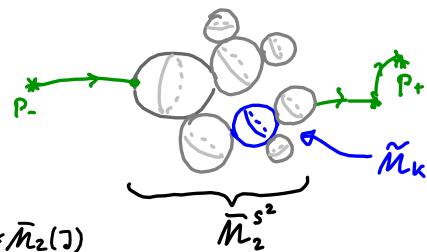
$$\bar{M}(p_-, p_+) = \bigcup_{0 \leq R < \infty} \bar{M}(p_-, M) \times \bar{M}_{2,R}^s \times \bar{M}(M, p_+)$$

$$\cup \bigcup_{k=0}^{\infty} \bigcup_{\gamma_1, \dots, \gamma_k \in \mathcal{P}_H} \underbrace{\bar{M}(p_-, M) \times \bar{M}_1^s(\gamma_1) \times \bar{M}^s(\gamma_1, \gamma_2) \dots \times \bar{M}^s(\gamma_{k-1}, \gamma_k)}_{\in \bar{M}(p_-, \gamma_k)} \times \underbrace{\bar{M}_1^s(\gamma_k) \times \bar{M}^s(\gamma_k, p_+)}_{\in \bar{M}(\gamma_k, p_+)} \times \bar{M}(M, p_+)$$

**I:**

$$\bar{M}^1(p_-, p_+) = \bar{M}^h(p_-, p_+) \cap [R=0]$$

$$= \bar{M}(p_-, M) \times \bar{M}_2^s \times \bar{M}(M, p_+)$$



$$\bar{M}_2^s = \{ (u, \partial, \infty) \mid \partial_2 u = 0 \} \cup \bigcup_{k \geq 1} \bar{M}_k \times \bar{M}_2(\mathbb{C}) \times \bar{M}_1(\mathbb{C}) \times \dots \times \bar{M}_1(\mathbb{C}) \times \bar{M}_2(\mathbb{C})$$

$$\cup \bigcup_{k \geq 1} \bar{M}_{1+k} \times \bar{M}_1(\mathbb{C}) \times \dots \times \bar{M}_1(\mathbb{C}) \times \bar{M}_2(\mathbb{C}) \cup \bigcup_{k \geq 1} \bar{M}_{1+k} \times \bar{M}_2(\mathbb{C}) \times \dots \times \bar{M}_1(\mathbb{C}) \times \bar{M}_1(\mathbb{C}) \cup \bigcup_{k \geq 1} \bar{M}_{2+k} \times \bar{M}_1(\mathbb{C}) \times \dots \times \bar{M}_1(\mathbb{C}) \times \bar{M}_1(\mathbb{C})$$