

L18 - Compactness - up to energy concentration

Note Title

4/14/2008

LAST TIME: $u: B_\delta^{\mathbb{R}^2} \rightarrow M$

energy density $e = e(u): B_\delta \rightarrow [0, \infty)$
 $(s, t) \mapsto |\partial_s u - \gamma(u) \partial_t|^2$

Lemma (i): $\partial_s u + J(u) \partial_t u = \gamma(u) \partial_s + J(u) \gamma(u) \partial_t$


$$\Rightarrow \Delta e \geq -a e^2 - A_0 \quad ; \quad a, A_0 > 0 \text{ constants}$$

NEXT: $u: B_\delta \cap \mathbb{H} \rightarrow M$

Lemma (i) still holds

Lemma (ii): $u|_{t=0} \in L$ Lagrangian and $\partial_s u + J(u) \partial_t u = \gamma(u) \partial_s + J(u) \gamma(u) \partial_t$

$$\Rightarrow -\partial_t e|_{t=0} \geq -b e^{3/2} - B_0 \quad ; \quad b, B_0 > 0 \text{ constants}$$

Proof:  Note that $\gamma(u) \partial_s|_{t=0} = 0$ since $*K_x|_{\partial S_x} = 0$

$$\partial_t e|_{t=0} = \partial_t \omega(\partial_s u - \gamma(u) \partial_s, J(u) (\partial_s u - \gamma(u) \partial_s))$$

(pick any connection ∇)

$$= \omega(\underbrace{\nabla_t \partial_s u}_{= \nabla_s \partial_t u} = -\nabla_s J \partial_s u, J(u) \partial_s u) + \omega(\partial_s u, J(u) \nabla_t \partial_s u) + \text{lower order}$$

eg. $\nabla_{\partial_t u} \omega(\partial_s u, J \partial_s u)$

$$= 2 \omega(\underbrace{\partial_s u}_{TL}, \underbrace{\nabla_s \partial_s u}_{TL}) - \langle \underbrace{(\nabla_{\partial_s u} J) \partial_s u}_{TL}, \partial_s u \rangle + \underbrace{(\nabla_{\partial_t u} \omega)(\partial_s u, \partial_t u)} + \dots$$

$= 0$ if L linear (pick ∇ s.t. L generic, but then $\nabla J \neq 0$ or $\nabla \omega \neq 0$)

$$\leq C |du|^3 + \text{lower order} \leq b e^{3/2} + B_0 \quad \blacksquare$$

Corollary: $W^{1,\infty}$ -bounds on balls of small energy

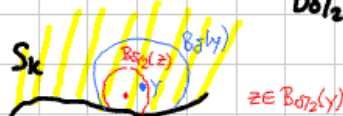
$$\exists \mu = \mu(a,b) = \mu(\underline{S}, \underline{M}, \underline{L}, \underline{J}, \underline{Y}) > 0 \quad \text{s.t.t.f.h.}$$

$(\underline{u}^r : \underline{S} \rightarrow \underline{M})_{r \in \mathbb{N}}$ holomorphic quilts

- $y \in S_k, B_\delta(y) \subset S_k$ intersects no seams (but possibly a boundary component)

$$\int_{B_\delta(y) \subset S_k} |du_k^r - Y_k(u_k^r)|^2 \leq \mu \quad \forall r \Rightarrow \sup_{B_{\delta/2}(y)} |du_k^r| \leq C \quad \forall r$$

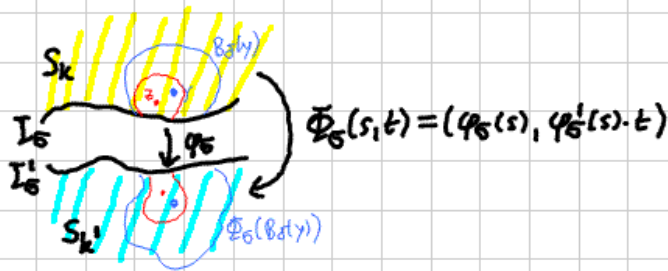
$\int_{B_\delta(y) \cap \mathbb{H}} e(u_k^r)$



- $y \in S_k, B_\delta(y) \subset S_k$ intersects one seam $I_\sigma \subset \partial S_k$

$$\int_{B_\delta(y) \subset S_k} |du_k^r - Y_k(u_k^r)|^2 + \int_{\Phi_\sigma(B_\delta(y))} |du_{k'}^r - Y_{k'}(u_{k'}^r)|^2 \leq \mu \quad \forall r \Rightarrow \sup_{B_{\delta/2}(y)} |du_k^r| + \sup_{\Phi_\sigma(B_{\delta/2}(y))} |du_{k'}^r| \leq C \quad \forall r$$

$\int_{B_\delta(y) \cap \mathbb{H}} e(w_\sigma^r)$



Proof: Apply mean value inequalities to $e(u_k^r) = |du_k^r - Y_k(u_k^r)|^2$

$$\text{resp. } e(w_\sigma^r)(s,t) = |du_k^r - Y_k(u_k^r)|^2(s,t) + |du_{k'}^r - Y_{k'}(u_{k'}^r)|^2(\Phi_\sigma(s,t))$$

on balls of radius $\delta/2$ centered at $z \in B_{\delta/2}(y)$.

$(\underline{u}^n: \underline{S} \rightarrow \underline{M})_{n \in \mathbb{N}}$ holomorphic quilts of energy E

pick a j_k -compatible metric on each S_k and consider

$e(\underline{u}_k^n)$ as function on S_k , $e(\underline{w}_\sigma^n)$ as function on $S_{k\sigma}$

Claim: There exists a finite "bubbling set" $(z_i)_{i=1..N}$, $N < E/\mu$

and a subsequence $(\underline{u}^{n_i})_{i \in \mathbb{N}}$ such that

$$\bullet \text{ for } z \in S_k \setminus \bigcup_{\sigma} I_{\sigma} \setminus \{z_1, \dots, z_N\} \quad \exists \delta_z > 0: \int_{B_{\delta_z}(z)} e(\underline{u}_k^{n_i}) \leq \mu \quad \forall i$$

$$\bullet \text{ for } z \in \bigcup_{\sigma} I_{\sigma} \setminus \{z_1, \dots, z_N\} \quad \exists \delta_z > 0: \int_{B_{\delta_z}(z)} e(\underline{w}_\sigma^{n_i}) \leq \mu \quad \forall i$$

Proof: Either the claim holds with $N=0$ and the original sequence or

there is $z_1 \in S_k \setminus \bigcup I_{\sigma}$ resp. $z_1 \in I_{\sigma}$ and a subsequence $(\underline{u}^{n_i})_{i \in \mathbb{N}}$ s.t.

$$\int_{B_{\delta_i}(z_1)} e(\underline{u}_k^{n_i}) \text{ resp. } e(\underline{w}_\sigma^{n_i}) > \mu \quad \forall i \quad (\text{and hence } E > \mu)$$

Iteration:

Either the claim holds with $\{z_1, \dots, z_N\}$ and this subsequence or

there is another z_{N+1} and a further subsequence s.t.

$$\int_{B_{\delta_i}(z_j)} e(\underline{u}_k^{n_i}) \text{ resp. } e(\underline{w}_\sigma^{n_i}) > \mu \quad \forall i \quad \forall j=1..N+1$$

(and hence $E > (N+1)\mu$ since $B_{\delta_i}(z_{1..N+1})$ disjoint for $i \gg 1$)

Iteration stops since $E < \infty$. ■

Corollary: There exists a subsequence that converges in

$$e^{\infty}(\bigsqcup_k S_k - \bigcup_{i=1}^N z_i) \quad (\text{i.e. in } e^l(K) \text{ for all } l \in \mathbb{N}, K \text{ compact})$$

Proof: For $j \in \mathbb{N}$ we can cover

$$\bigsqcup_k S_k - \bigcup_{i=1}^N B_{2^{-j}}(z_i) - \bigcup_{k, \text{end}} \varepsilon_{k, \text{end}} \left(\bigcup_{S_k} \{ |s| > j \} \right)$$

by finitely many balls $B_{\delta_i/4}(z_i)$ (resp. $B_{\delta_i/4}(z_i) \cup \Phi_{\delta_i}(B_{\delta_i/4}(z_i))$ for $z_i \in \Gamma_{\delta_i}$)

with small energy ($\leq \mu$) on B_{δ_i}

$$\Rightarrow W^{1, \infty}\text{-bounds on } B_{\delta_i/2}$$

$$\Rightarrow W^{k,p}\text{-bounds } \forall k, p \text{ on } B_{\delta_i/4}$$

$$\Rightarrow e^{\infty}\text{-convergent subsequence on } \bigcup_i B_{\delta_i/4} \quad (\text{fixed } j)$$

Finally, take a diagonal subsequence over $j \in \mathbb{N}$ ■

Note: The above subsequence concentrates energy at every z_i

in the bubbling set: $\forall \delta > 0 \quad \exists N_{\delta} : \forall r \geq N_{\delta}$

$$\int_{B_{\delta}(z_i)} e(u_{k_i}^r) \text{ resp. } e(w_{\delta_i}^r) > \mu$$

Hence the limit $\hat{u} \in e^{\infty}(\bigsqcup_k S_k - \bigcup_{i=1}^N z_i)$ has energy

$$E(\hat{u}) := \int_{S \setminus \text{bubbling set}} \frac{1}{2} |d\hat{u}_k - \gamma d\hat{u}_k|^2 \leq E - N \cdot \mu$$

* bubbling points

Thm (Removable Singularities) $\hat{u} \in \mathcal{C}^\infty(\bigsqcup_k S_k - \bigcup_{i=1}^N z_i)$

"singular" holomorphic quilt with finite energy $E(\hat{u}) < \infty$

$\Rightarrow \lim_{z \rightarrow z_i} u_k(z_i)$ resp. $w_{\tilde{G}_i}(z_i)$ exists $\forall i=1..N$ and defines a

smooth extension to a holomorphic quilt $\tilde{u}: \underline{S} \rightarrow \underline{M} \in \mathcal{C}^\infty(\bigsqcup_k S_k)$

of energy $E(\tilde{u}) = E(\hat{u})$.

Cor: "compactness" for monotone, minimal index moduli spaces

Assume $\mathcal{M}^k := \mathcal{M}^k(\underline{S}, K, \underline{J}, (\alpha_s)_{s \in \mathbb{Z}}, (\gamma_s)_{s \in \mathbb{Z}^+})$ satisfies

• monotonicity: $E|_{\mathcal{M}^k} = \text{const} = E_k$; $\tilde{u} \in \mathcal{M}^l, E(\tilde{u}) < E_k \Rightarrow l < k$

• minimal index: $\mathcal{M}^l = \emptyset \quad \forall l < k_{\min}$

Then $\mathcal{M}^{k_{\min}}$ is compact w.r.t. $W^{m,p}(\bigsqcup_k S_k)$ -norm (any m, p)

"up to breaking of trajectories on the cyl./strip-like ends."