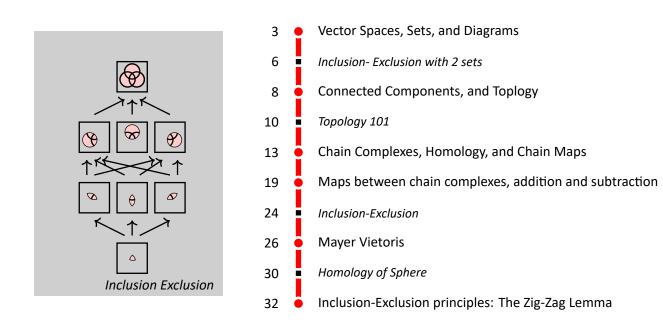
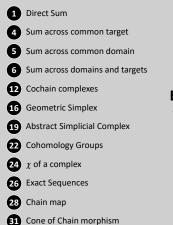
# a Homological Algebra



These notes are meant as a quick reference guide for the constructions in homological algebra that we will use throughout the course, and are not in any way suppose to be a substitute for a proper set of notes on homological algebra.

#### Definitions



#### **Theorems and Lemmas**



#### Examples

- 2 Real *n* dimensional space
  10 Topology 101
  13 A simplicial complex
- 38 Homology of Sphere

### Vector Spaces, Sets, and Diagrams

Before we start with the development of homological algebra, it is a good idea to set up some common conventions and diagrams for simplifying linear algebra.

These are some class notes! Please lets me if you know see any errors. Here we will flesh these methods out in more detail before developing chain complexes.

Let  $V_1$  and  $V_2$  be vector spaces. The *direct sum* of  $V_1$  and  $V_2$  is the vector space of pairs of vectors, and is denoted

 $V_1 \oplus V_2 := \{(v_1, v_2) \mid v_1 \in V_1, v_2 \in V_2\}.$ 

The vector addition on  $V_1 \oplus V_2$  is done component wise,

$$(v_1, v_2) + (w_1, w_2) = (v_1 + w_1, v_2 + w_2).$$

The scalar multiplication acts on all components simultaneously,

$$\lambda \cdot (v_1, v_2) = (\lambda \cdot v_1, \lambda \cdot v_2).$$

The set of *n*-tuples of real numbers is usually denoted  $\mathbb{R}^n$ . Another way of presenting this vector space is

$$\mathbb{R}^n = \underbrace{\mathbb{R} \oplus \mathbb{R} \oplus \cdots \oplus \mathbb{R} \oplus \mathbb{R}}_n$$

where now each "vector"  $r_i \in R_i^1$  is a scalar.

The direct sum operation is commutative, in that the vector spaces  $V_1 \oplus V_2$  is isomorphic to  $V_2 \oplus V_1$ . Additionally, the direct sum of vector spaces is an associative operation so that the vector spaces  $(V_1 \oplus V_2) \oplus V_3$  is isomorphic to  $V_1 \oplus (V_2 \oplus V_3)$ . If this looks suspiciously like addition on the integers to you, you're picking up on an intertwining between these two operations via dimension:

$$\dim(V_1 \oplus V_2) = \dim(V_1) + \dim(V_2.)$$

Example

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Definition

Direct Sum

Real n dimensional space Example 3

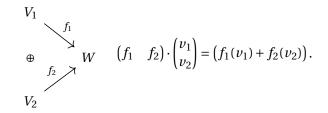
The rank nullity theorem can be restated as: If  $f: V \rightarrow W$  is a linear map, then

$$V \simeq \ker f \oplus \operatorname{Im} f$$

Given vector spaces  $V_1, V_2, W$ , and maps  $f_1 : V_1 \to W$ ,  $f_2 : V_2 \to W$ , one can create a new map from  $V_1 \oplus V_2 \to W$ , which is defined by taking the sum of the two maps:

$$f_1 \oplus f_2 : V_1 \oplus V_2 \to W$$
$$(v_1, v_2) \mapsto f_1(v_1) + f_2(v_2).$$

We will frequently represent this composition either *diagrammatically* or using matrices. This is a useful shorthand, and we will use it throughout this section on chain complexes.



There is nothing that limits us to taking the direct sum of more than one map along the domain.

Definition 4 Sum across common target Let  $f_i: V_i \to W$  be a collection of maps. Then define  $\bigoplus_{i=1}^k f_i: \bigoplus_{i=1}^k V_i \to W$  be the map defined on tuples by

$$\left(\bigoplus f_i\right)(v_1,\ldots,v_k) = \sum_{i=1}^k f_i(v_i).$$

Just as we can take the sum along the domains of maps, we are also allowed to take sums along the targets of the maps. Let  $g_1 : V \to W_1$  and  $g_2 : V \to W_2$  be two linear maps. Then denote the direct sum along the target

$$g_1 \oplus g_2 : V \to W_1 \oplus W_2$$
$$v \mapsto (g_1(v), g_2(v).)$$

Just as we did for direct sum along the domain, we can represent these maps diagrammatically or with matrices.

$$W_{1}$$

$$V \xrightarrow{g_{1}} W_{1}$$

$$\bigoplus \qquad \begin{pmatrix} g_{1} \\ g_{2} \end{pmatrix} \cdot (\nu) = \begin{pmatrix} g_{1}(\nu_{1}) \\ g_{2}(\nu_{2}) \end{pmatrix}.$$

$$W_{2}$$

We can quickly do this with many targets at the same time.

Let  $g_i: V \to W_i$  be a collection of linear maps. Define their direct sum to be

$$\bigoplus_{i=1}^{k} g_i : V \to \bigoplus_{i=1}^{k} W_i$$
$$v \mapsto (g_1(v), g_2(v) \cdots, g_k(v))$$

By combining both processes, we can create maps from many domains and targets simultaneously.

Let  $f_{ij}: V_i \rightarrow W_j$  be a collection of linear maps. Define their direct sum to be

$$\bigoplus_{i,j} f_{ij} : \bigoplus_{i=1}^m V_i \to \bigoplus_{j=1}^n W_j$$
$$(v_1, \dots, v_m) \mapsto \left( \sum_{i=1}^m f_{i,1}(v_i), \sum_{i=1}^m f_{i,2}(v_i), \dots, \sum_{i=1}^m f_{i,n-1}(v_i), \sum_{i=1}^m f_{i,n}(v_i) \right).$$

We again have diagrammatic and matrix notations for these maps.

Definition Sum across common domain

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Definition Sum across domains and

targets

### Inclusion- Exclusion with 2 sets

Suppose that we have a decomposition  $A = S_1 \cup S_2$ . Then the sizes of these sets are related by the *inclusion-exclusion* formula:  $0 = |A| - ((|S_1| + |S_2|)) + |S_1 \cap S_2|$ .

We will first translate the sets A,  $S_1$ ,  $S_2$  and  $S_1 \cap S_2$  into vector spaces. We take a slightly different approach than before. To each set U, let  $\mathscr{F}(U) := \hom(U, \mathbb{Z}_2)$ . Note that  $\mathscr{F}(U) \cong \mathscr{U}$ , the  $\mathbb{Z}_2$  vector space whose basis is given by U, but not canonically isomorphic. The advantage with working with the vector space  $\mathscr{F}(U)$  is that it is canonically defined (i.e. doesn't come with a preferred basis.) Each element  $\phi \in \mathscr{F}(U)$  can be thought of as an assignments of 0's and 1's to the elements of U.

A slightly confusing feature of working with this vector space is that functions between sets translate into functions going the other direction on the vector spaces,

$$f: U \to V$$
$$\mathscr{F}(U) \leftarrow \mathscr{F}(V): f^*$$

The map  $f^*$  is called the *pullback* map, and it is defined via precomposition. Given an element  $\phi \in \mathscr{F}(V)$ , the pullback along f is the map  $(\phi \circ f) \in \mathscr{F}(U)$ . I find the clearest way to think about this is interpret  $\mathscr{F}(V)$  as the space of measurements on V. Then a function  $f: U \to V$  yields for each measurement  $\phi: V \to \mathbb{Z}_2$  a new measurement  $f^*(\phi)$  on the space U. The way this measurement  $f^*(\phi)$  works is by taking elements  $u \in U$ , sending them to V, and then performing the measurement  $\phi$  there:

$$f^*(\phi)(u) := \phi(f(u)).$$

Remark. The function  $\mathscr{F}$ : **Sets**  $\rightarrow$  **Vect** turns problems about sets into problems of vector spaces. This function is an example of a *functor*. Because  $\mathscr{F}$  reverses the directions of functions, we call this a *contravariant functor*. The general theory of functors belongs to a branch of mathematics called category theory, which studies mathematics from the perspective of general properties of functions.

An important feature of the functor  $\mathcal{F}$  is that it exchanges cardinality with dimension:

$$|U| = \dim(\mathcal{F}(U)).$$

Let's return to the setting of inclusion-exclusion. Suppose that we have a decomposition  $A = S_1 \cup S_2$ . We can encode this decomposition in the following maps between sets:

$$S_{1} \cap S_{2} \xrightarrow{i_{1}} S_{1} \qquad \mathscr{F}(S_{1} \cap S_{2}) \xleftarrow{i_{1}^{*}} \mathscr{F}(S_{1})$$

$$\downarrow i_{2} \qquad \downarrow j_{1} \qquad i_{2}^{*} \uparrow \qquad j_{1}^{*} \uparrow$$

$$S_{2} \xrightarrow{j_{2}} A \qquad \mathscr{F}(S_{2}) \xleftarrow{j_{2}^{*}} F(A)$$

7

Theorem.Let  $A^0 = \mathscr{F}(A)$ ,  $A^1 = (\mathscr{F}(S_1) \oplus \mathscr{F}(S_2))$  and  $A^2 = \mathscr{F}(S_1 \cap S_2)$ . Let  $i^* := i_1^* \oplus i_2^* : A^1 \to A^2$ , and let  $j^* := j_1^* \oplus j_2^* : A^0 \to A^1$  as drawn below:

$$\mathscr{F}(S_1 \cap S_2) \xrightarrow[i_1^*]{} \mathscr{F}(S_1) \xrightarrow[j_1^*]{} \mathscr{F}(A)$$
$$\xleftarrow{}_{i_2^*} \mathscr{F}(S_2) \xleftarrow{}_{j_2^*} \mathscr{F}(A)$$
$$A^2 \xleftarrow{}_{i_2^*} A^1 \xleftarrow{}_{i_2^*} A^0$$

The map  $j^*$  is an inclusion, the map  $i^*$  is surjective, and ker $(i^*) = \text{Im}(j^*)$ .

*Proof*: We show that the map  $j^*$  is an inclusion. Let  $\phi \in \mathscr{F}(A)$  be a non-zero element, and let  $a \in A$  be the element so that  $\phi(a) = 1$ . Since  $A = S_1 \cup S_2$ , there is an element  $b \in S_1$  or  $b \in S_2$  so that  $j_1(b) = a$  or  $j_2(b) = a$ . Without loss of generality, suppose  $b \in S_1$ . We can then compute that  $j^*(\phi) = (\phi \circ j_1, \phi \circ j_2)$  and  $\phi \circ j_1(b) \neq 0$ . This proves that  $j^*(\phi)$  is nonzero, so the map  $j^*$  has trivial kernel and is therefore injective. A similar proof shows that  $i^*$  is surjective.

We now show that ker( $i^*$ ) = Im ( $j^*$ ). For any element  $a \in S_1 \cap S_2$ , we note that

$$(i^* \circ j^*(\phi))(a) = \phi((j_1 \circ i_1)(a)) + \phi((j_2 \circ i_2)(a))$$

Since  $(j_1 \circ i_1)(a) = (j_2 \circ i_2)(a)$ ,

$$=2\phi(j_1\circ i_1(a))=0$$

This shows that Im  $(j^*) \subset \ker(i^*)$ . The reverse inclusion is by a similar argument.

We can now prove Inclusion-Exclusion for two sets. We will instead show that dim  $A^0$  – dim  $A^1$  + dim  $A^2$  = 0 using two applications of the rank-nullity theorem.

 $\dim A^{0} - \dim A^{1} + \dim A^{2} = (\dim \ker(j^{*}) + \dim \operatorname{Im}(j^{*})) - (\dim \ker(i^{*}) + \dim \operatorname{Im}(i^{*})) + \dim A^{2}$ 

As the map  $j^*$  is injective and  $i^*$  is surjective

 $=(0 + \dim \text{ Im } (j^*)) - (\dim \text{ker}(i^*) + \dim \text{ Im } (i^*)) + \dim \text{ Im } (i^*))$ = dim Im (j\*) - dim ker(i\*) =0.

<u>2</u>

#### **Connected Components, and Toplogy**

In this section we introduce some basic notions from topology which will motivate some of our future discussions.

It's beyond the scope of this course to define what a topological space is, and the functions between those topological spaces. The main framework that we'll need is to know the following facts about topological spaces.

- Topological spaces are sets with some additional structure (called a topology.)
- There are certain functions between these sets, called *continuous functions,* which preserve the useful properties of the topology.
- The composition of continuous functions is again continuous.
- If *X* is a topological space, and  $\mathbb{Z}_2$  is the topological space with two points, then the set of continuous functions  $C^0(X, \mathbb{Z}_2)$  is the a vector space. Furthermore, dim $(C^0(X, \mathbb{Z}_2))$  is the number of connected components of *X*.

These are the only properties of topological spaces which we will need to continue this discussion.

Example 8

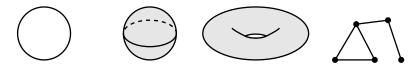
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The basic example of a topological space is  $X := \mathbb{R}$ . The functions from  $f : \mathbb{R} \to \mathbb{R}$  which are continuous are exactly the continuous functions you know and love, satisfying the property

$$\lim_{x \to x} f(x_i) = f(x).$$

This property is fondly phrased as "when you draw the graph of f(x), there are no jumps in the graph."

Some more interesting examples of topological spaces are things like circles, tori, disks, spheres, graphs.

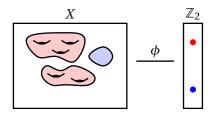


Our intuition for continuous maps is that they are the functions between topological spaces which send nearby points to nearby points. We give a very brief overview of some concepts from topology in Example **1**.

We define the *connected component space of X* to be the vector space

 $C^0(X) := \hom(X, \mathbb{Z}_2)$ 

of continuous functions from *X* to the two point set. One can think of this as assigning a color to each connected component of the space *X*, and the number of colorings (determined by the dimension dim  $C^0(X)$ ) tells you how many connected components there are.



Given a continuous  $f: X \rightarrow Y$  between topological spaces, there is a map

$$f^*: C^0(Y) \to C^0(X).$$

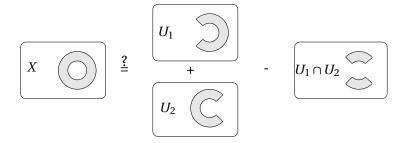
*Proof:* The pullback function is defined as before:

$$f^*: C^0(Y) \to C^0(X)$$
$$\phi \mapsto (\phi \circ f)$$

The only thing to check is that  $\phi \circ f$  is a continuous map from  $X \to \mathbb{Z}_2$ ; this follows from the composition of continuous maps being continuous.

What this claim means is that we can track how the connected components of X are mapped to connected components of Y by using the pullback map. One interpretation of this is that given a map  $f: X \to Y$ , we can "color" the connected components of X by the connected components of Y.

This framework should look very familiar– it is the same set-up that we used to describe the number of elements in sets. The connected component space  $C^0(X)$  turns questions about connected components into problems in linear algebra instead. Let us take the annulus, and decompose it into two sets as drawn below. This configuration does not respect an inclusion-exclusion like property in the usual sense, in that  $U_1, U_2, X$  each have one connected component, but  $U_1 \cap U_2$  has two connected components.



Claim Pullback Map

9

# 10 Topology 101

A topological space is a set, equipped with the additional data of *open sets* which determine which points on the topological space are close to each other. In this section, we give a quick overview of point-set topology.

Definition. A *topological space* is a pair  $(X, \mathcal{U})$ , where X is a set, and  $\mathcal{U}$  is a specified collection of subsets of X, called *open sets* satisfying the following axioms:

- The empty set and whole space *X* are open sets.

$$\emptyset, X \in \mathscr{U}$$

- Any union of open sets is an open set.

$$U_{\alpha} \subset \mathscr{U} \Rightarrow \left(\bigcup_{\alpha \in A} U_{\alpha}\right) \in \mathscr{U}.$$

- Any finite intersection of open sets is an open subset.

$$\mathscr{B} \subset \mathscr{U}, |B| < \infty \Rightarrow \left(\bigcap_{\beta \in B} U_{\beta}\right) \in \mathscr{U}.$$

Open sets are kind of strange things. Roughly speaking, if *x* and *y* mutually belong to an open set, then we know that they are close to each other in *some* sense, but unlike in the metric space a topology doesn't tell you *how* near two points are two each other. It just tells you that there is something containing both of them. We still get some relative idea of closeness– if two points mutually belong to many open sets, then we think of them being closer to each other.

Let's introduce a few examples of topologies.

Example (*The Discrete Topology*). Let *X* be a set. The *discrete topology* has every subset of *X* as an open set:

$$\mathscr{U} = \{ U \mid U \subset X \}$$

This topology has too many open subsets, and all of the points are very far away from each other!

A common example of a topological space comes from metric spaces. We'll say that a U is open if every point in x is contained within an open ball inside of U.

Example.Let  $(X, \rho)$  be a metric space. Say that a set *U* is  $\rho$ -open if for every point  $x \in U$ , there exists an open ball  $B_{\epsilon}(y)$  with

$$x \in B_{\epsilon}(y) \subseteq U.$$

Then the collection of sets

$$\mathcal{U} = \{ U \subset X \mid U \text{ is } \rho \text{-open} \}$$

makes  $(X, \mathcal{U})$  a topology. For example, on the real numbers every open interval is an example of an open set with this topology.

The interesting maps between topological spaces are those which preserve the topological structure.

Definition (*Continuous Maps*). Let  $f : X \to Y$  be a function, and  $U \subset Y$ . The *pre-image* of *Y* is all the elements of *X* which get mapped to *U*,

$$f^{-1}(U) := \{ x \in X \mid f(x) \in U \}.$$

A function  $f: X \to Y$  is continuous if and only if for every open set  $U \subset Y$ , the preimage

$$f^{-1}(U) \subset X$$

is an open set of X.

Suppose that  $f : X \to Y$  and  $g : Y \to Z$  are continuous maps. Then for any  $U \in Z$ ,  $(g \circ f)^{-1}(U)$  is again an open set, which shows that the composition of continuous maps is continuous.

A topological space is called *disconnected* if  $X = U_1 \sqcup U_2$ , with  $U_1, U_2$  nonempty open sets. The *connected components* of a topological space are the smallest nonempty open sets  $\{U_i\}$  so that  $X = \bigsqcup_{i=1}^k U_i$ . We say that in this case that X has k-connected components.

Theorem.Suppose that *X* has *k*-connected components. Let  $hom(X, \mathbb{Z}_2)$  denote the set of linear maps from *X* to the space with two points. Then

 $\dim(\hom(X,\mathbb{Z}_2))=k.$ 

Let's see exactly how the argument from that worked in the proof that  $|X| - (|U_1| + |U_2|) + (|U_1 \cap U_2|) = 0$  fails when we now try to understand the number of connected components. The spaces  $U_1, U_2, X$  all have one connected component, so

$$C^{0}(X) = C^{0}(U_{1}) = C^{0}(U_{2}) = \mathbb{Z}_{2}.$$

On the other hand,  $U_1 \cap U_2$  has two connected components, so  $C^0(U_1 \cap U_2) = \mathbb{Z}_2 \oplus \mathbb{Z}_2$ . We now look at the inclusions of topological spaces

$$U_{1} \cap U_{2} \xrightarrow{i_{1}} U_{1} \qquad C^{0}(U_{1} \cap U_{2}) \xleftarrow{i_{1}^{*}} C^{0}(U_{1}) \qquad \mathbb{Z}_{2} \oplus \mathbb{Z}_{2} \xleftarrow{i_{1}^{*}} \mathbb{Z}_{2}$$

$$\downarrow^{i_{2}} \qquad \downarrow^{j_{1}} \qquad i_{2}^{*} \uparrow \qquad j_{1}^{*} \uparrow \qquad i_{2}^{*} \uparrow \qquad j_{1}^{*} \uparrow \qquad i_{2}^{*} \uparrow \qquad j_{1}^{*} \uparrow \qquad U_{2} \xleftarrow{j_{2}^{*}} \mathbb{Z}_{2}$$

$$U_{2} \xrightarrow{j_{2}} X \qquad C^{0}(U_{2}) \xleftarrow{j_{2}^{*}} C^{0}(X) \qquad \mathbb{Z}_{2} \xleftarrow{j_{2}^{*}} \mathbb{Z}_{2}$$

We then condense this down into a sequence of vector spaces by defining  $C^1(X) := C^0(U_1) \oplus C^0(U_2)$ , and  $C^2(X) := C^0(U_1 \cap U_2)$ . Similarly, we define the maps

$$j^* := j_1^* \oplus j_2^* : C^0(X) \to C^1(X)$$
$$i^* := i_1^* \oplus i_2^* : C^1(X) \to C^2(X).$$

as before to give us a sequence of vector spaces and maps between them.

$$C^0(X) \xrightarrow{j^*} C^1(X) \xrightarrow{i^*} C^2(X)$$

This entire set-up so far follows the same steps as the inclusion-exclusion set up for sets. At this point, we deviate from that example.

For the maps and sets above, the map  $j^*$  is injective and  $\text{Im}(j^*) \subset \text{ker}(i^*)$ .

*Proof:* Let  $\phi : X \to \mathbb{Z}_2$  be any continuous function. Then  $j^*(\phi)$  is  $(j_1)^*\phi \oplus (j_2)^*\phi$ , where  $(j_1)^*\phi : U_1 \to \mathbb{Z}_2$  and  $(j_2)^*\phi : U_2 \to \mathbb{Z}_2$  are the restriction of  $\phi$  to the subsets  $U_1, U_2$ . Then

$$(i^* \circ j^*)\phi = (i_1^* \circ j_1^*)\phi + (i_2^* \circ j_2^*)\phi$$

Since  $i_1^* j_1^* = i_2^* j_2^*$ ,

Claim 🕕

 $=2(i_1^*\circ j_1^*)\phi=0.$ 

 $\partial^2$ 

This proves that  $i^* \circ j^* = 0$ , which is equivalent to Im  $(j^*) \subset \ker(i^*)$ .

This claim is weaker than the statement that we had for the complex involving sizes of sets. That claim stated that  $\text{Im}(j^*) = \text{ker}(j^*)$ , instead of only having an inclusion, and that  $i^*$  was a surjection. The discrepancy between these two statements – equality of image and kernel versus inclusion of image into kernel – gives us an exact measurement of how the inclusion exclusion principle fails.

#### Chain Complexes, Homology, and Chain Maps

Homological Algebra is a algebraic tool that we'll return to at several points throughout the course, and it makes sense to combine the general facts of the theory in one place.

A *cochain complex* is a sequence of vector spaces,  $\ldots C^{-1}, C^0, C^1 \ldots$  and boundary maps  $d^n : C^n \to C^{n+1}$  with the condition that

$$d^{n+1} \circ d^n = 0.$$

Frequently, we represent a chain complex with the following diagram of vector spaces and maps:

$$\cdots \xleftarrow[]{d^1} C^1 \xleftarrow[]{d^0} C^0 \xleftarrow[]{d^{-1}} C^{-1} \xleftarrow[]{d^{-2}} \cdots$$

We will usually denote the chain complex as  $(C^{\bullet}, d^{\bullet})$ , where  $C^{\bullet}$  is the sequence of modules and  $d^{\bullet}$  the sequence of boundary maps. <sup>1</sup>

In principle, all of the tools that we are developing with cochain complexes can be defined with rings and modules instead of just vector spaces. In fact, the field of homological algebra generally works over any *Abelian category*, which is a category equipped with the necessary structures to make linear algebra-like constructions.

Let's look at a first example of a chain complex. Let  $C^1 = C^2 = C^3 = \mathbb{R}^2$ , so that we may represent our boundary maps by matrices. Consider the sequence of maps

$$0 \xrightarrow{0} \mathbb{R}^2 \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}} \mathbb{R}^2 \xrightarrow{\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}} \mathbb{R}^2 \xrightarrow{0} 0$$

This is an example of a chain complex, as the composition of the differential is zero:

$$d^{3} \circ d^{2} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

The boundary squaring to zero is equivalent to the statement that the image of the boundary map  $d^k$  is in the kernel of the map  $d^{k+1}$ .

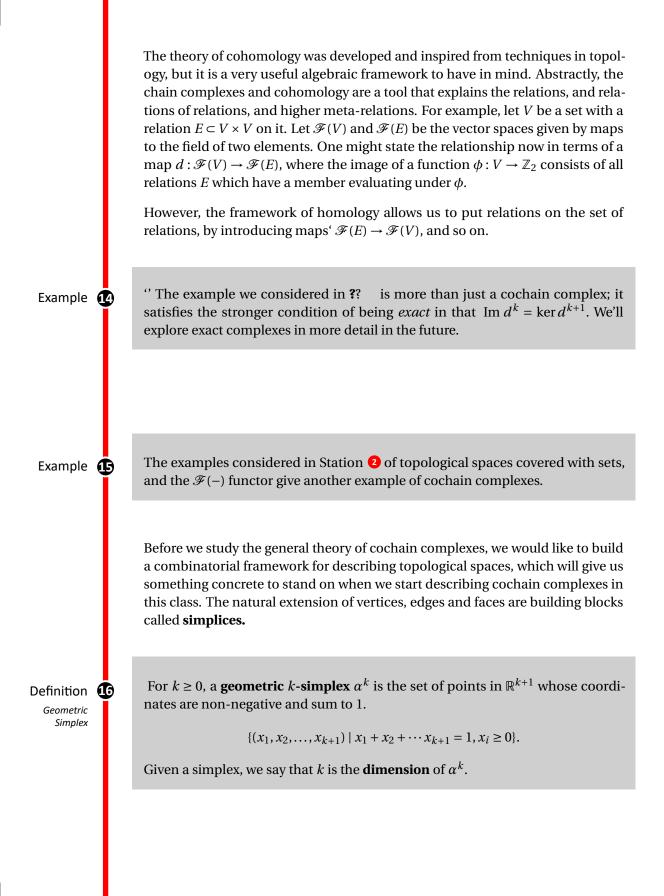
Definition

complexes

3

Abelian Categories

Example



We've already seen a couple of geometric simplices before, and given them some common names.

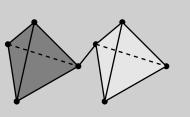
Example

Dim	on names   Name	Notes	Graphical Representation
Dim	Ivallie		Graphical Representation
		By the above definition, it specif-	
0	Vertex	ically the point $1 \in \mathbb{R}^1$ .	•••••
		Drawn with the above notation,	<u>^</u>
		it is the line segment in the first	
		quadrant. Notice that the re-	······
		striction of the line to either axis	
1	Edge	gives us a point.	
		A 2-simplex is a (filled in) tri-	
		angle, filling the first quadrant.	A
		Again, the restriction to either	
		the coordinate planes or axis	······
		gives us edges and vertices re-	
0	Face	spectively.	¥

Simplices have the property that their boundaries are created of smaller simplices. For instance, a 2-simplex (triangle) has 3 boundary 1-simplices (edges.) A 3-simplex (tetrahedron) has 4 boundary 1-simplices. In general a *k*-simplex has k + 1 boundary k - 1-simplices, called *facets*.

A simplex has more than just k - 1 dimensional facets; it also has boundary components of dimension k - l. Each boundary component is uniquely specified by the k - l + 1 corner vertices it uses. If we wanted to build more complicated spaces by gluing together simplices, one would imagine that we would take these simplices and join them together along boundary strata picked out by identifying their vertices.

Here is an example of a topological space constructed from simplices. It uses 8 vertices, has 13 edges, 8 faces, and 1 3-simplex (the right simplex is not filled in.) Notice that this topological space doesn't have a consistent notion of "dimension"– the dimension varies from 1-3 dimensional depending on which part of the complex you look at.



Example
 A simplicial
 complex

In practice, it is simpler to build in this identification of simplices from the very beginning.

Definition ①

Simplicial Complex A *finite abstract simplicial complex* is a pair  $X = (\Delta, \mathcal{S})$  where

- $\mathscr{S}$  is a base set of vertices
- $\Delta \subset \mathscr{P}(S)$  is a finite set of *simplices*

where the simplices are downward closed. This means that whenever  $\sigma \in \Delta$  and  $\tau \subset \sigma$ , then  $\tau \in \Delta$ . We say that  $\sigma \in \Delta$  is a *k*-simplex if  $|\sigma| = k + 1$ . We will in this case write that dim( $\sigma$ ) = *k*. If  $\sigma \subset \tau$ , and dim  $\sigma$  = dim  $\tau$  – 1, then we say that  $\sigma$  is a *facet* of  $\tau$  and write  $\sigma < \tau$ .

Claim 20 Covers from Simplices



Let  $X = (\Delta, \mathscr{S})$ . There is a collection of sets  $\{U_s\}_{s \in \mathscr{S}}$  so that  $\bigcup_{s \in \mathscr{S}} U_s = X$ . Define for each simplex  $\sigma \in \Delta$  the associated covering set

$$U_I = X \cap \bigcap_{s \in I} U_s.$$

Furthermore, for every indexing set *I*,  $U_I$  is contractible, and is non-empty if and only if  $I = \sigma$  for some simplex in our complex.

Note that for each  $\sigma \leq \tau$ , there exists an inclusion map  $i_{\sigma\tau}: U_{\tau} \to U_{\sigma}$ , and subsequently a map

 $i_{\sigma\tau}^*$ : hom $(U_{\sigma}, \mathbb{Z}_2) \rightarrow$  hom $(U_{\tau}, \mathbb{Z}_2)$ .

We now define the *reduced Cech cochain complex*. For each *i*, let

 $\underline{C}^{-1}(X,\mathbb{Z}_2) := \hom(X,\mathbb{Z}_2)$ 

$$\underline{C}^{i}(X,\mathbb{Z}_{2}) := \bigoplus_{\sigma \mid \dim(\sigma)=i} \hom(U_{\sigma},\mathbb{Z}_{2}).$$

Define the differential maps

$$d^{i}: \underline{C}^{i}(X, \mathbb{Z}_{2}) \to C^{i+1}(X, \mathbb{Z}_{2})$$
$$d^{i}:= \bigoplus_{\sigma < \tau, \dim \sigma = i} i_{\sigma\tau}^{*}.$$

<u> $C^{\bullet}(X, \mathbb{Z}_2)$ </u> with differential  $d^i$  is a cochain complex. Furthermore, a basis of the  $C^i$  can be indexed by the *i*-dimensional simplices of *X*, and the differential defined on a basis element  $e_{\sigma}$  can be written as

$$d(e_{\sigma}) = \sum_{\tau \mid \sigma \lessdot \tau} e_{\tau}.$$

It is rarely the case that this will be an example of an exact chain complex. The difference between  $\text{Im } d^{i+1}$  and  $\ker d^i$  will be an interesting thing to measure. Because we are loathsome to leave the land of vector spaces, we will measure this difference with a new vector space.

Let  $(C, \partial_{\bullet})$  be a chain complex. The *cohomology* of  $C^{\bullet}$  at *n* is defined to be the module

$$H^n(C) = \frac{\ker d^n}{\operatorname{Im} d^{n-1}}$$

As the composition  $d^{n+1} \circ d^n = 0$ , this is well defined.

For convenience, we will often call the kernel of  $d^n$  the set of cocycles, and write it  $Z^n$ . The image of  $d^{n-1}$  is the set of coboundaries and will be written  $B^n$ . Then  $H^n(C) = Z^n/B^n$ . The names cycles and boundaries correspond to the geometric interpretation of the homology as given above.

We say that a chain complex is *bounded* if there exists *n* such that  $C^i = 0$  if  $|i| \ge n$ .

While it doesn't make sense to ask about the dimension of a chain complex, there is a generalization of dimension which applies to chain complexes.

Let (C, d) be a bounded cochain complex with each  $C^i$  of finite dimension. Then the *Euler Characteristic* of (C, d) is the integer

$$\chi(C,d) := \sum_{k=-\infty}^{\infty} (-1)^k \dim(C^k).$$

Notice that the Euler Characteristic has no dependence on the differential of a chain complex. However, it is intimately related to the chain structure through an application of the rank-nullity theorem.

21 Claim Simplicial Cochains are a

complex

Definition

Groups

23 Definition

2 Definition  $\chi$  of a complex

Lemma 25

Euler via Homology Suppose that the chain complex is bounded. Then

$$\chi(C,d) = \sum_{k=-\infty}^{\infty} (-1)^k \dim H^k.$$

*Proof:* Because our complex is bounded, there exists *n* such that  $|k| \ge n$  implies that  $C^k = H^k = 0$ . Then we proceed by computing the sum:

$$\chi(C,d) = \sum_{k=-i}^{i} (-1)^k C^k$$

Applying the Rank-Nullity theorem

$$= \sum_{k=-i}^{i} (-1)^{k} (\dim(\ker d^{k}) + \dim(\operatorname{Im} d^{k}))$$

Shifting the sum

$$= \sum_{k=-i}^{i} (-1)^{k} (\dim(\ker d^{k}) - \sum_{k=-i}^{i} (-1)^{k-1} \dim(\operatorname{Im} d^{k}))$$
$$= \sum_{k=-i}^{i} (-1)^{k} \dim(\ker d^{k}) - \dim(\operatorname{Im} d^{k-1})$$
$$= \sum_{k=-i}^{i} (-1)^{k} \dim H^{k}$$

 $\partial^2$ 

One interpretation of homology is that it is an algebraic measure of how far a sequence strays from being *exact*.

Definition 26

A chain complex (C, d) is called *exact* if  $H^k(C) = 0$  for all k.

Notice by Lemma  $\mathfrak{B}$ , whenever (C, d) is exact, the Euler characteristic  $\chi(C, d) = 0$ .

Corollary 2

Inclusion-Exclusion holds for sets.

*Proof*: In **?**? we showed that the chain complex dictating inclusion-exclusion for sets was exact. Furthermore, we showed that the inclusion-exclusion principle for sets was equivalent to  $\chi(A, d) = 0$ .

### Maps between chain complexes, addition and subtraction

Now that we have chain complexes, we want to look at functions that can go between them. Just like when we study vector spaces and groups, it is only useful to study the maps between these objects which preserve their structure. We want the function between chain complexes to be compatible with the differential.

Let  $(A, d_A)$  and  $(B, d_B)$  be chain complexes, and let  $f^i : A^i \to B^i$  be a collection of maps. Then we say that  $f^{\bullet} = \{f^i\}$  is a *cochain map* if the following diagram commutes for all *i*:

Definition

Chain map

29

Claim

Induced map on cohomology

$A^i$ -	$\xrightarrow{d_A^i}$	$A^{i+1}$
$\int f^i$		$\int f^{i+1}$
$B^i$ -	$\xrightarrow{d_B^i}$	$A^{j}$ $B^{i+1}$

A chain map not only preserves the boundary structure of the chain complex, it also gives us maps between their homology groups.

Let  $f^{\bullet}$ :  $(A, d_A) \to (B, d_B)$  be a chain map. Then there is a well defined map between the cohomology of  $(A, d_A)$  and  $(B, d_B)$  given by

$$f^{k}: H^{k}(A) \to H^{k}(B)$$
$$[a] \mapsto [f^{k}(a)]$$

*Proof*: In order to show that this map is well defined, we need to check two things. First we must show that elements representing homology classes in *A* get sent to elements representing homology classes in *B*. Second, we must show that resulting map does not depend on the choice of representative for *a*.

- For the first part, let  $[a] \in H^k(A)$  be an element of homology. In order for  $[f^k(a)]$  to be an element of  $H^k(B)$ , we need that  $f^k(a) \in \ker d_B$ . We make a computation:

$$d_B(f^k(a)) = f^{k+1}(d_A(a))$$

Since  $[a] \in H^k(A)$ , we know that  $a \in \ker d_A$ .

$$=f^{k+1}(0)=0$$

- For the second part, suppose we have 2 different representatives of the same cohomology class  $[a] = [a'] \in H^k(A)$ . We would like to show that  $[f^k(a)] = [f^k(a')] \in H^k(B)$ .

Two classes in homology are equivalent if they differ by an element in the image of  $d^{k-1}$ . Therefore, we can prove the statement by finding an element  $\beta \in B^{k-1}$  which satisfies:

$$[f^{k}(a)] - [f^{k}(a')] = d^{k-1}(\beta).$$

We can construct this  $\beta$  by looking at the difference a - a'. Since [a] = [a'], there is an element  $\alpha \in C^{k-1}(A)$  so that  $d_A(\alpha) = a - a'$ . We now are in the place to make a computation.

$$f^{k}(a) - f^{k}(a') = f^{k}(a - a')$$
$$= f^{k}(d_{A}(\alpha))$$
$$= d_{B}(f^{k-1}(\alpha))$$

We set  $\beta = f^{k-1}(\alpha)$  to realize the equivalence relation between the two homology classes  $[f^k(\alpha)], [f^k(\alpha')].$ 

The most useful example of exact complexes are *short exact sequences*, which are exact complexes of the form:

 $0 \longrightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \longrightarrow 0 .$ 

From the definition of exactness  $i : A \to B$  must be injective, and  $\pi : B \to C$  must be surjective. If we were only interested in vector spaces, then  $B = A \oplus C$  would be the only interesting data about this exact complex. If we think of A, B, and Cas being the generalizations of the numbers dim(A), dim(B) and dim(C), then a short exact sequence is a way to encode that dim(A) + dim(C) = dim(B).

In the world of chain complexes, *B* could contain more data than just that of the vector spaces  $A \oplus C$  – we need to additionally consider the information that comes from a differential.

Definition 30

Let  $(A, d_A), (B, d_B), (C, d_C)$  be chain complexes. Let  $i^{\bullet} : A^{\bullet} \to B^{\bullet}$  and  $\pi^{\bullet} : B^{\bullet} \to C^{\bullet}$  be maps of cochain complexes. We say that

$$0 \longrightarrow A^{\bullet} \xrightarrow{i^{\bullet}} B^{\bullet} \xrightarrow{\pi^{\bullet}} C_{\bullet} \longrightarrow 0$$

is a short exact sequence of chain complexes if for all k,

 $0 \longrightarrow A^k \stackrel{i^k}{\longrightarrow} B^k \stackrel{\pi^k}{\longrightarrow} C^k \longrightarrow 0$ 

is a short exact sequence of vector spaces.

 $\partial^2$ 

The theory of short exact sequences of chain complexes is a lot richer than the theory for vector spaces, because chain complexes contain much more internal structure. We will now associate to each map  $f^{\bullet} : A^{\bullet} \to B^{\bullet}$  a canonical short exact sequence.

Let  $f^{\bullet}: A^{\bullet} \to B^{\bullet}$  be a map of cochain complexes. Define the *cone of f*, to be the cochain complex with

- Chain groups cone<sup>k</sup>(f) =  $A^{k+1} \oplus B^k$
- Differential defined by  $d_{\text{cone}}^k(a,b) = (-d_A^{k+1}(a), d_B^k(b) + f^{k+1}(a)).$

Note that for each  $k, A^{k+1} \to \operatorname{cone}^k(f) \to B^k$  is a short exact sequence. We should think of cone<sup>•</sup>(*f*) as being the chain complex created by "attaching"  $A^{\bullet+1}$  to  $B^{\bullet}$ .

 $\operatorname{cone}^{\bullet}(f)$  is a cochain complex.

*Proof:* A convenient notation for this proof will be to think of  $d_{cone}^k$  as having the form of a matrix:

$$d_{\text{cone}}^{k} = \begin{pmatrix} -d_{A}^{k+1} & 0\\ f^{k+1} & d_{B}^{k} \end{pmatrix}.$$

We can then compute  $d_{\text{cone}}^{k+1} \circ d_{\text{cone}}^k$  by using matrix multiplication.

$$d_{\text{cone}}^{k+1} d_{\text{cone}}^{k} = \begin{pmatrix} -d_{A}^{k+2} & 0\\ f^{k+2} & d_{B}^{k+1} \end{pmatrix} \begin{pmatrix} -d_{A}^{k+1} & 0\\ f^{k+1} & d_{B}^{k} \end{pmatrix}$$
$$= \begin{pmatrix} d_{A}^{k+2} \circ d_{A}^{k+1} & 0\\ d_{B}^{k+1} \circ f^{k+1} - f^{k+2} \circ d_{A}^{k+1} & d_{B}^{k+1} \circ d_{B}^{k} \end{pmatrix}$$

Using the definitions of chain map and chain differential,

$$= \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}.$$

The cone of a morphism  $f^{\bullet} : A^{\bullet} \to B^{\bullet}$  fits into a short exact sequence of chain complexes,

$$0 \longrightarrow B^{\bullet} \xrightarrow{i} \operatorname{cone}^{\bullet}(f) \xrightarrow{\pi} A^{\bullet+1} \longrightarrow 0$$

where  $i, \pi$  are the natural inclusion and projection maps. Notice the shift in the index on the left hand side. A piece of notation that we will use for this shift in index is

$$C^{\bullet -1} = C^{\bullet}[-1].$$

Definition

Cone of Chain morphism

Claim

672

 $a^2$ 

Mapping cone is complex The way that  $A^{\bullet+1}$  is glued to  $B^{\bullet}$  is dictated by the map  $f^{\bullet}$ . In this way, the exact sequence of chain complexes not only remembers that we can put  $A^{\bullet+1}, B^{\bullet}$  together to build cone<sup>•</sup>, but also *how* these things were glued together.

From this short exact sequence, we surprisingly get a *long exact sequence* of homology groups.

Let  $f^{\bullet} : A^{\bullet} \to B^{\bullet}$  be a chain map. We have a short exact sequence of chain complexes

$$0 \longrightarrow B^{\bullet} \xrightarrow{\iota} \operatorname{cone}^{\bullet}(f) \xrightarrow{\pi} A^{\bullet}[1] \longrightarrow 0$$

And we have the following long exact sequence of homology groups:

$$\cdots \xrightarrow{f} H^{k}(B) \xrightarrow{i} H^{k}(\operatorname{cone}(f)) \xrightarrow{\pi} H^{k}(A[1]) \xrightarrow{f} H^{k+1}(B) \longrightarrow \cdots .$$

*Proof:* Showing that this is a long exact sequence amounts to checking that the sequence is exact at  $H^k(B)$ ,  $H^k(\operatorname{cone}(f))$ ,  $H^k(A[1])$ . We will show that the function is exact at  $H^k(\operatorname{cone}(f)) \to H^k(A[1]) \to H^{k+1}(B)$ , which is perhaps the most surprising statement in the proof. To show the isomorphism

$$\ker(f: H^k(A[1]) \to H_{k+1}(B)) \simeq \operatorname{Im} (\pi: H^k(\operatorname{cone}(h)) \to H^k(A[1]),$$

we will show two inclusions.

We prove that  $\ker(f : H^k(A[1]) \to H^{k+1}(B)) \subset \operatorname{Im} (\pi : H^k(\operatorname{cone}(f)) \to H^k(A[1]))$ . Take a cohomology class  $[a] \in H^k(A[1])$  which is in the kernel of f so that

$$f([a]) = [0].$$

Since  $\operatorname{cone}^k(f) = A^k[1] \oplus B^k$ , a natural candidate for an element of  $\operatorname{cone}^k(f)$  whose image under  $\pi$  is *a* would be (a, 0). However, it may not be the case that this a homology class, as

$$d_{\rm cone}(a,0) = (d_A a, f(a))$$

which is not necessarily zero. As  $[a] \in H^k(A[1])$ , we are guaranteed that  $d_A a = 0$ . However, the only data that we have about f(a) is that it is *cohomologous* to 0. Since f([a]) = [0], there is an element  $b \in B^k$  realizing the equivalence relation via  $f(a) = d_B b$ . Replacing our candidate element<sup>2</sup> with

$$\pi^{-1}(a) := (a, -b)$$

Theorem SES-LES for mapping cones

<sup>&</sup>lt;sup>2</sup>The notation  $\pi^{-1}(a)$  means that we have picked *an* inverse image of *a* under  $\pi$ . However, the map  $\pi$  is usually not invertible, and choices were made to produce this inverse image. In short,  $\pi^{-1}$  is not a map.

we can compute

$$\pi(\pi^{-1}(a)) = \pi(a, -b) = a$$
$$d^{\text{cone}}(\pi^{-1}(a)) = d_{\text{cone}}(a, -b) = 0$$

 $\text{Therefore, } \ker(f: H^k(A[1]) \to H^{k+1}(B)) \subset \text{Im} \ (\pi: H^k(\text{cone}(f)) \to H^k(A[1]).$ 

The other direction is that  $\ker(f: H^k(A[1]) \to H^{k+1}(B)) \supset \operatorname{Im} (\pi: H^k(\operatorname{cone}(f)) \to H^k(A[1]))$ . To show this, we need to show that the composition of  $f \circ \pi = 0$  on cohomology. Let  $[(a, b)] \in H^k(\operatorname{cone}(f))$  be any element of homology. Since this is an element of homology,  $d_{\operatorname{cone}}(a, b) = 0$ , and in particular,

$$f(a) = -d_B b.$$

We can use this when computing:

$$f \circ \pi[(a, b)] = f[(a)] = [-d_B b] = [0].$$

We omit the arguments for showing exactness at the other portions of the sequence.

This is sometimes notated in the following way:

$$\begin{array}{c} \cdots \xrightarrow{i} & H^{n}(\operatorname{cone}(f)) \xrightarrow{\pi} & H^{n}(A[1]) \\ & & & \\ &$$

There is a useful corollary that follows from this construction:

Suppose that  $A^{\bullet}, B^{\bullet}$  are exact, and let  $f^{\bullet} : A^{\bullet} \to B^{\bullet}$  be any map. Then cone<sup>•</sup>(*f*) is exact.

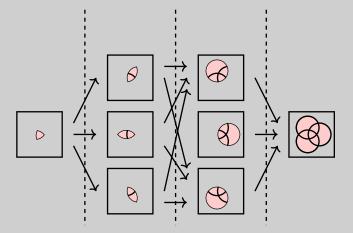
*Proof:* By assumption  $H^k(A) = H^k(B) = 0$  for all k. Therefore, we have the long exact sequence

from which it follows that  $H^k(\operatorname{cone}(f)) = 0$  for all k. Therefore  $\operatorname{cone}^{\bullet}(f)$  is exact.

Corollary 2-out of 3

# Inclusion-Exclusion

Let *X* be a set with a decomposition into smaller subsets,  $X = \bigcup_{i \in I} U_i$ . Let  $U_I = \cap_{j \in J} U_i$ . There exists an exact chain complex  $CR^{\bullet}(\mathscr{U})$  with  $CR^{\bullet}(\mathscr{U}) = \bigoplus_{I \subset I, |I|=k} \mathscr{F}(U_I)$ .



We will prove this theorem by using the tools of homological algebra, and induct on the size of *I*.

Definition.Let  $\mathcal{U} = \{U_i\}_{i \in I}$  be a collection of subsets which cover *X*. Denote by  $\mathcal{U}_{\cap} := \{U_j\}$ 

A covering  $\mathcal{U} = \{U_i\}$  of *X* is a collection of subsets  $U_i \subset X$  so that

$$K = \bigcup_{i \in I} U_i.$$

To each covering of *X* we will create an *resolution complex*  $CR_{\bullet}(\mathcal{U})$ .

Definition.Let  $\mathscr{U} = \{U_i\}_{i \in I}$  be a covering of *X*. For each  $J \subset I$ , define the subset  $U_J := X \cap (\bigcap_{i \in J} U_i)$ . Suppose that *J* and *K* differ by a single index. We will then write J < K. Notice that whenever K > J we have an inclusion map  $i_{K > J} : U_K \to U_J$ , and therefore we get an associated map

$$i_{K>I}^*: \mathscr{F}(U_I) \to \mathscr{F}(U_K).$$

We define the chain groups

$$CR^k(\mathscr{U}) := \bigoplus_{K \subset I, |K|=k} \mathscr{F}(U_K)$$

and define the differential map to be

$$d_{CR}^k := \bigoplus_{K \gg J} i_{K \gg J}^*.$$

35

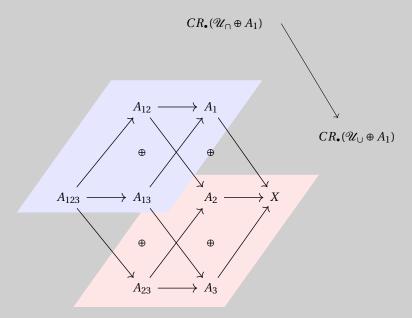
We will show that this gives us a chain complex by constructing it in a different fashion.

Lemma.Let  $\hat{U}_1$  be the elements of X which only belong to  $U_1$ , Let  $\mathscr{U}_X = \{U_i\}_{i \in I}$  be a cover of X. Let  $\mathscr{U}_{\cap} = \{U_i \cap U_1\}_{1 \neq i \in I}$  be a cover for  $U_1 \setminus \hat{A}_1$ . Let  $\mathscr{U}_{\setminus} = \{U_i\}_{1 \neq i \in I}$  be a cover for  $X \setminus \hat{U}_1$ . Then there is a natural maps  $i_J : \bigcap_{i \in J} (U_i \cap U_1) \to \bigcap_{i \in J} (U_i)i$  for each J, inducing a map

$$i^*: CR_{\bullet}(\mathscr{U}_{\backslash}) \to CR_{\bullet}(\mathscr{U}_{\cap})$$

and  $CR_{\bullet}(\mathcal{U}_X) = \operatorname{cone}(i^*) \oplus (\mathcal{F}(\hat{U}_1) \to \mathcal{F}(\hat{U}_1))$ 

As always, a diagram explains the core concept of this proof:



Corollary. The homology of the resolution complexes are trivial:  $H_{\bullet}(CR_{\bullet}(\mathcal{U})) = 0$ , i.e.  $CR_{\bullet}(\mathcal{U})$  is exact.

*Proof:* We again prove by induction on the size of the cover. As a base case, we can let  $\mathcal{U} = \{X\}$ , then  $H_{\bullet}(\mathcal{U}) = 0$  trivially.

Now assume that we know by induction that  $CR_{\bullet}(\mathcal{U}_{\cap})$  and  $CR_{\bullet}(\mathcal{U}_{\cup})$  have trivial homology. Since the cone of exact chain complexes is exact, we get  $CR_{\bullet}(\mathcal{U})$  is exact.

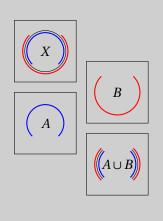
#### 5

#### Mayer Vietoris

We finally return to one of the core concepts of this course: given a decomposition of a space  $X = A \cup B$ , what can we tell about the topology of *X* in terms of the topology of *A* and *B*?

At the start of the course, we alluded that we would like an algorithm to compute the number of connected components via an inclusion-exclusion principle on a decomposition of *X* into smaller topological spaces. Let's look at an example where this works, and an example that shows that our theory requires some more depth.

Example 36



Let  $S^1 = A \cup B$  as drawn in the figure. Let's try to compute the number of connected components of  $S^1$  using this decomposition.  $A \cap B$  has two connected components, so we would have that

$$b_0(A) + b_0(B) - b_0(A \cap B) = 0$$

which means that we cannot use the principle of inclusion-exclusion to compute the number of connected components of the circle. The obstruction in this case to the principle of inclusionexclusion working is the presence of nontrivial homology in  $H^1(S^1)$ .

While we cannot use the principle of inclusion-exclusion to compute the number connected components, we can get an inclusion-exclusion like principle to work homologically. For full details on how to generalize inclusion-exclusion like principles to general settings, see Appendix **??**.

Theorem 3

Mayer-Vietoris

Let A, B, X be topological spaces. Let

```
j_A: A \to Xj_B: B \to X
```

be two inclusions of topological spaces so that  $A \cup B = X$ . Let  $A \cap B$  be the common intersection of *A* and *B* in *X*, with the natural inclusions

 $i_A: A \cap B \to A$  $i_B: A \cap B \to B$ 

Then there is a short exact sequence of chain complexes

$$0 \longrightarrow \underline{C}^{\bullet}(X) \xrightarrow{j_{A}^{*}} \underbrace{\underline{C}^{\bullet}(A)}_{\substack{j_{B}^{*}}} \xrightarrow{i_{A}^{*}} \underbrace{\underline{C}^{\bullet}(A \cap B)}_{\underline{C}^{\bullet}(B)} \xrightarrow{i_{A}^{*}} \underline{C}^{\bullet}(A \cap B) \longrightarrow 0$$

This in turn gives us a long exact sequence on homology from Lemma ??.

$$\dots \to H^{k-1}(A \cap B) \to H^k(X) \to H^k(A) \oplus H^k(B) \to H^k(A \cap B) \to H^{k+1}(X) \to \dots$$

*Proof:* To show that this is an exact sequence, we need to check that the chain maps form exact sequences of vector spaces at each grading *k*:

$$0 \longrightarrow \underline{C}^{k}(X) \xrightarrow{j_{A}^{*} \oplus j_{B}^{*}} \underline{C}^{k}(A) \oplus \underline{C}^{k}(B) \xrightarrow{i_{A}^{*} \oplus (-i_{B}^{*})} \underline{C}^{k}(A \cap B) \longrightarrow 0.$$

Let's start by checking exactness at the first position of the sequence.

$$0 \longrightarrow \underline{C}^{k}(X) \xrightarrow{j_{A}^{*} \oplus j_{B}^{*}} \underline{C}^{k}(A) \oplus \underline{C}^{k}(B)$$

The statement of exactness at this point is that  $\ker(j_A^* \oplus j_B^*) = 0$ , or that the map is injective. Recall that  $\underline{C}^k(X), \underline{C}^k(A)$  and  $\underline{C}^k(B)$  are continuous  $\mathbb{Z}_2$  labellings of the *k*-intersections of the covering sets  $U_i$ . Given  $U_{\sigma} \subset X$  a *k*-fold intersection of open sets, it is either the case that  $U_{\sigma} \subset A$  or  $U_{\sigma} \subset B$ . As a result, given  $\phi \in \underline{C}^{\bullet}(X)$ , the labelling of  $U_{\sigma}$  can be determined by its image under the map  $j_A^*$  or  $j_B^*$ . This means that the labelling  $\phi$  can be recovered from  $(j_A^* \oplus j_B^*)(\phi)$ , so  $(j_A^* \oplus j_B^*)$  is injective.

At the last position of the sequence,

$$\underline{C}^{k}(A) \oplus \underline{C}^{k}(B) \xrightarrow{i_{A}^{*} \oplus (-i_{B}^{*})} \underline{C}^{k}(A \cap B) \longrightarrow 0.$$

exactness means that Im  $i_A^* \oplus i^* B_B = \underline{C}^k(X)$  i.e.  $i_A^* \oplus i_B^*$  is surjective. In fact,  $i_A^*$  is already surjective, as  $U_{\sigma} \subset A \cap B$  is contained in  $U_{\sigma} \subset A$ , and therefore every labelling of an open set in  $\underline{C}^k(A \cap B)$  can be lifted to a labelling of open sets in  $\underline{C}^k(A)$  and extended by zero over  $\underline{C}^k(B)$ .

The remaining tricky part of the argument is on the middle section,

$$\underline{C}^{k}(X) \xrightarrow{j_{A}^{*} \oplus j_{B}^{*}} \underline{C}^{k}(A) \oplus \underline{C}^{k}(B) \xrightarrow{i_{A}^{*} \oplus (-i_{B}^{*})} \underline{C}^{k}(A \cap B)$$

Here, the statement is that  $\ker(i_A^* \oplus (-i_B^*)) = \operatorname{Im}(j_A^* \oplus j_B^*)$ . The kernel of the map  $(j_A \oplus (-j_B))$  consists exactly of labellings of the *k*-fold intersections on *A* and *B* 

which agree on the intersection. These are exactly the labellings which are in the image of  $j_A^* \oplus j_B^*$ .

Once we know that the short sequence of chain complexes is exact, the long exact sequence of homology groups

$$\cdots \to H^{k-1}(A \cap B) \to H^k(X) \to H^k(A) \oplus H^k(B) \to H^k(A \cap B) \to H^{k+1}(X) \to \cdots$$

follows from the application of the Zig-Zag Lemma (Station 6).)

 $\partial^2$ 

We usually represent the Mayer-Vietoris long exact sequence with the following diagram of homology groups :

$$\begin{array}{c} \cdots \xrightarrow{j_A^* \oplus j_B^*} & H^k(A) \oplus H^k(B) \xrightarrow{i_A^* \oplus i_B^*} & H^k(A \cap B) \\ & & & \\$$

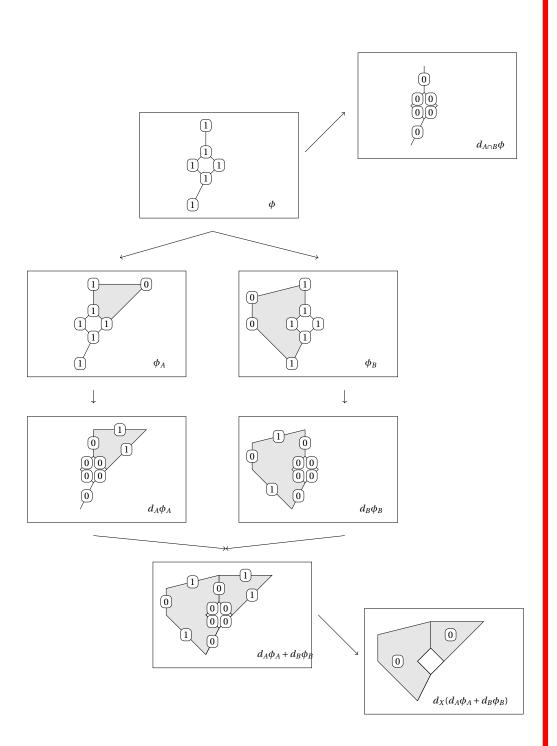
The maps  $i^*$  and  $j^*$  somewhat act in a normal way: cycles in the spaces *X*, *A*, *B* and  $A \cap B$  are related to each other. We now will try to figure out what the map  $\delta$  does.

This requires a better geometric understanding of what each homology class means. Each element of  $\underline{C}^k(X)$  represents a labelling of the *k*-simplices of *X*, and the differential map "pushes" those labellings to the higher simplices.

A label represents a non-trivial class in  $H^k(X)$  if, when pushed to the higher dimensional simplices it cancels out, and the labelling itself does not arise from a lower-dimensional labelling.

Suppose that we have a labelling  $\phi$  of the simplices of  $A \cap B$  giving us a cohomology class. This means that the "push" of the labelling on  $A \cap B$  to the higher simplices *inside of*  $A \cap B$  will cancel out. Let us take  $\phi$  some labelling of the *k*-simplices on  $A \cap B$  representing some cohomology class. Use this to create a labelling  $\phi_A$  on A and a labelling  $\phi_B$  on B. Even though  $d_{A \cap B}\phi$  equals zero, the extended labellings may not have this property, and so  $d_A\phi_A$  and  $d_B\phi_B$  are some interesting labellings to talk about. They, in some sense, represent the "boundary"  $A \cap B$  inside of A and B.

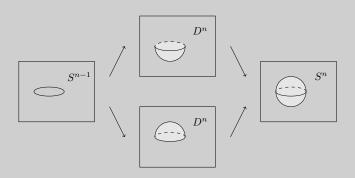
Let's now use both  $d_A\phi_A$  and  $d_B\phi_B$  to create a labelling for all of *X*. We take  $d_A\phi_A + d_B\phi_B$  as a labelling on all of *X*. This element is, surprisingly, closed.



### 38 Homology of Sphere

Let's compute the homology of sphere  $S^n$  by using Mayer-Vietoris and induction. For this example, we will start with the assumptions that we know the homology of a disk **??**.

We will prove that  $H^k(S^n) = \mathbb{Z}_2$  if and only if k = n, 0 by induction on n. Here, we will run the Mayer-Vietoris argument on a the decomposition of  $S^n$  into two disks,  $A, B = D^n$ , which are suppose to represent the upper and lower hemispheres. Notice that the intersection of the two hemispheres is the equatorial sphere, which is a sphere of 1-dimension lower.

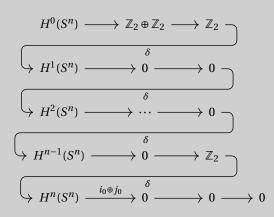


So, we have a short exact sequence of chain complexes:

$$0 \to C^{\bullet}(S^n) \to C^{\bullet}(D^n) \oplus C^{\bullet}(D^n) \to C_{\bullet}(S^{n-1}) \to 0$$

This short exact sequence gives us a long exact sequence of homology groups :

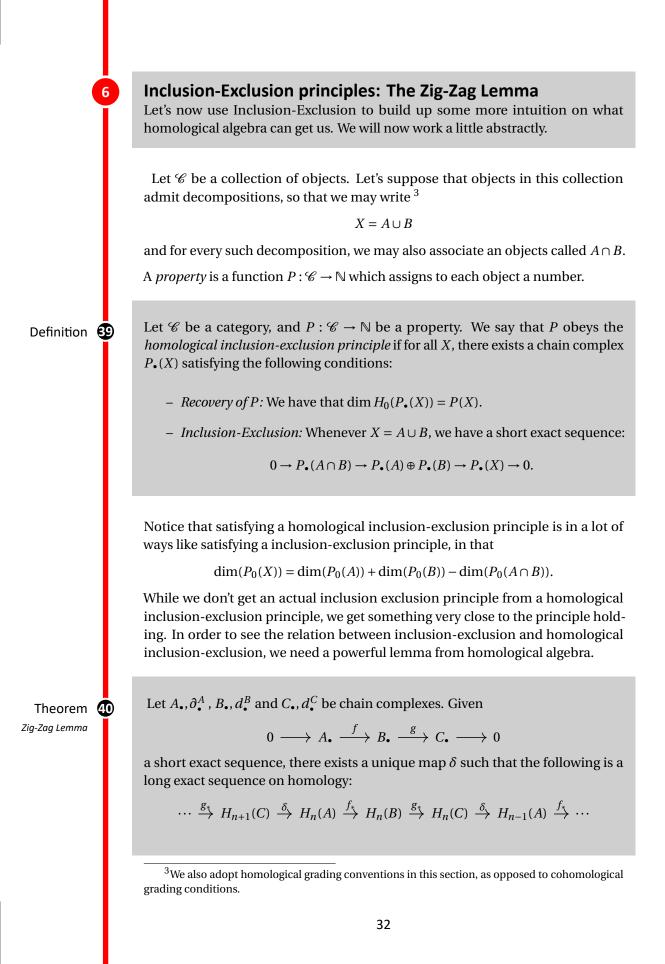
Substituting in the groups we know from induction and our assumptions



We therefore may now look at these shorter exact sequences instead:

$$0 \to \mathbb{Z}_2 \to H^n(S^n) \to 0$$
$$0 \to H^k(S^n) \to 0 \qquad k \neq n, 0$$
$$0 \to H^0(S^n) \to Z_2 \oplus Z_2 \to Z_2 \to H^1(S^n) \to 0$$

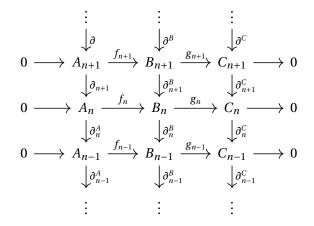
Running through the properties of exactness at each part shows confirms our computation of the homology of  $S^n$ .



Before we get into a proof of this theorem, let's quickly make a remark on the map  $\delta$ . On the one hand, the map is remarkable, as there is no reason to expect a map connecting  $C \rightarrow A$ . However, we've seen the existence of a long exact sequence that arises from a short exact sequence before when we looked at cones.

In a certain sense, this theorem says that *all short exact sequences of chain complexes essentially arise from the cone sequence.* While we will not be able to prove this result in this class, one can make a version of this statement true by exploring the derived category and triangulated structures.

*Proof*: First, let's expand the original diagram:



We want to construct a function  $\delta$  from  $H_n(C)$  to  $H_{n-1}(A)$ . The following argument is an *element chasing argument*, which can be a bit difficult to follow through; it's suggested that the reader write out the argument step-by-step at some point on their own to see where the maps come from.

Since this lemma contains several statements, we will check some of them and leave the remainder as exercises.

There exists a canonical map  $\delta$  :  $H_k(C) \rightarrow H_{k-1}(A)$ .

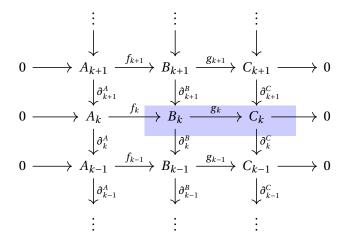
As mentioned before, we should somewhat expect the existence of this map from our studies of cones. First, let's try and show that to a homology class  $[\gamma] \in H_k(C)$ , we can find an element in  $A_{k-1}$ 

– As the map  $g_n$  is surjective, we know that we can pick an element in the

Foo

① Claim

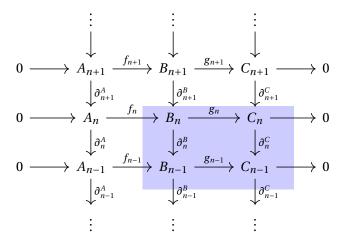
preimage  $\beta$  so that  $g_n(\beta) = \gamma$ . Notice that this is not a canonical choice!



- We can apply  $\partial_n^B(\beta)$  and we wind up with an element in  $B_{n-1}$ . Using that  $g_{n-1}$  is a chain map, we get that

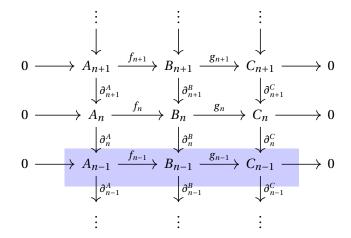
$$g_{n-1}\partial_n^B(\beta) = \partial^C g_n(\beta) = \partial^C \gamma = 0$$

where the second equality comes from the fact that  $\gamma$  represents a homology class.



− Since  $∂_n^B(β) \in \ker g_{n-1}$ , and the sequence is chain complexes is exact, we know that  $∂_i^B β) \in \operatorname{Im} f_{n-1}$ . Since  $f_{n-1}$  is injective, we know that there is

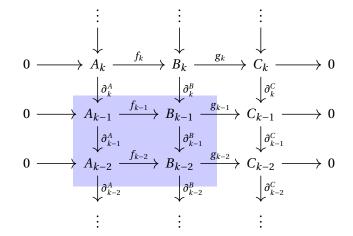
unique  $\alpha$  corresponding to this  $\beta$  so that  $f_{n-1}(\alpha) = \partial^B(\beta)$ .



– We initially define  $\delta[\gamma] = \alpha$ .

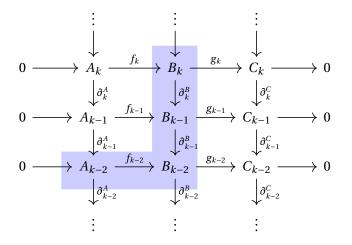
We now need to show that  $\alpha$  is a homology class, that is, that  $\partial_{k-1}^A(\alpha) = 0$ .

- Look at  $\partial_{k-1}^{A}(\alpha)$ . Since this diagram is commutative, we have that  $f_{k-2}\partial_{k-1}^{A}(\alpha) = \partial_{k-1}^{B}f_{k-1}(\alpha)$ .



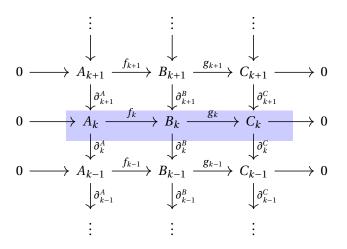
- Recalling or definition of  $\alpha$ , we know that  $f_{k-1}(\alpha) = \partial_k^B(\beta)$ , so  $\partial_{k-1}^B(\partial_k^B(\beta) =$ 

 $f_{k-2}(\partial_{k-1}\alpha) = 0$ . Since  $f_{k-2}$  is injective, we get that  $\partial_{k-1}\alpha) = 0$ .



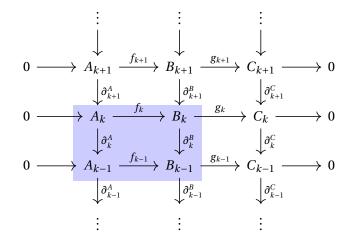
Finally, when we constructed the class  $\alpha$ , we had to make a choice of  $\beta = g_k^{-1}(\gamma)$ . Let's show that the homology class of  $\alpha$  does not depend on the choice of  $\beta$  lifting  $\alpha$ .

- Suppose that  $\beta$ ,  $\beta'$  are two different liftings of  $\gamma$  so that  $g_k(\beta) - g_k(\beta') = 0$ . We want to show that the associated classes  $[\alpha]$ ,  $[\alpha']$  are homologous. Since  $g_k(\beta - \beta') = 0$ , there exists a class  $f_k^{-1}(\beta - \beta')$  due to exactness of the row.



- Due to commutativity of the highlighted square, we have that  $f_{k-1}\partial_k^A(f_k^{-1}(\beta - \beta') = \partial_k^B(\beta - \beta') = f_{k-1}(\alpha - \alpha')$ . Due to the injectivity of  $f_{k-1}$ , we conclude

that  $\alpha - \alpha' = \partial_k^A (f_k^{-1}(\beta - \beta'))$ , so these two classes are cohomologous.



This completes the proof that the map  $\delta$  is well defined on homology. Now we will show some of the exactness statements.

The sequence of homology groups

$$H_k(B) \xrightarrow{g_k} H_k(C) \xrightarrow{\delta_k} H_{k-1}(A)$$

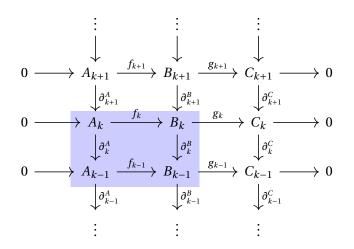
42 Claim

is exact.

In order to prove this claim, we need to show that  $\ker(\delta) \subset \operatorname{Im}(g_k)$ , and  $\operatorname{Im}(g_k) \subset \ker \delta$ .

- To show that Im  $(g_k) \subset \ker \delta$ , it suffices to show that the composition  $\delta_k \circ g_k = 0$ . Let  $[\beta] \in H_k(B)$  be a homology class. Then  $[\delta_k g_k(\beta)] = [f_{k-1}^{-1}(\partial_k^B \beta)]$ . Since  $[\beta]$  is a class in homology, the boundary map starts by computing  $\partial_k^B \beta = 0$ , and we conclude that  $\delta_k(g_k(\beta)) = 0$ .
- To show that the ker( $\delta_k$ )  $\subset$  Im ( $g_k$ ), let  $\gamma$  be an element so that  $\delta_k[\gamma] = 0$ . Since the map  $g_k : B_k \to C_k$  is surjective, we might hope that  $\beta = g_k^{-1} \gamma$ , a choice of lift of  $\gamma$ , is a class in homology. So we need to show that  $\partial_k^B(\beta) = 0$ . By commutativity of the lower right square, we have that  $\partial_k^B(\beta) = 0$ .

 $f_{k-1}(\delta(\gamma))=0.$ 



Claim 🚯

The sequence of homology groups

$$H_{k+1}(C) \xrightarrow{\delta_{k+1}} H_k(A) \xrightarrow{f_k} H_k(B)$$

is exact.

 $\partial^2$ 



The zero vector space, 0, is the vector space which only has one element in it.

Let  $V_1$  and  $V_2$  be vector spaces. Suppose that  $f: V_1 \to V_2$  is a linear map. Show that ker $(f) = \{0\}$  if and only if the map  $f: V_1 \to V_2$  is injective.

(P1) Exercise

Suppose we have 5 vectors spaces and maps between them.

$$V^0 \xrightarrow{d^0} V^1 \xrightarrow{d^1} V^2 \xrightarrow{d^2} V^3 \xrightarrow{d^3} V^4$$

and suppose that  $\operatorname{Im} d^i = \ker d^{i+1}$  for each *i*.

- Show that  $V^0 = 0$ , then  $d^1$  is injective.

$$0 \xrightarrow{d^0} V^1 \xrightarrow{d^1} V^2$$

- Show that if  $V^4 = 0$ , then  $d^2$  is surjective.

$$V^2 \xrightarrow{d^2} V^3 \xrightarrow{d^3} 0$$

- Show that if  $V^0 = V^3 = 0$ , then  $d^1 : V^1 \to V^2$  is an isomorphism.

$$0 \stackrel{d^0}{\longrightarrow} V^1 \stackrel{d^1}{\longrightarrow} V^2 \stackrel{d^2}{\longrightarrow} 0$$

- Show that if  $V^0 = V^4 = 0$ , then  $\dim(V^1) + \dim(V^3) = \dim(V^2)$ .

 $0 \stackrel{d^0}{\longrightarrow} V^1 \stackrel{d^1}{\longrightarrow} V^2 \stackrel{d^2}{\longrightarrow} V^3 \stackrel{d^3}{\longrightarrow} 0$ 

– Furthermore, show that there is a non-canonical isomorphism of vector spaces,  $V^2 = V^1 \oplus V^3$ .

(P2) Exercise



in to Vector Spaces Let *A* be any finite set. Let  $\mathscr{F}(A)$  be the set of functions  $\phi : A \to \mathbb{Z}_2$ .

- Prove that there are  $2^{|A|}$  such functions.
- Prove that  $\mathscr{F}(A)$  is a  $\mathbb{Z}_2$  vector space.
- Prove that  $\dim(\mathscr{F}(A)) = |A|$ .

Exercise (P4) Categories and Functors Show that if  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are two maps of sets, then

$$(g \circ f)^* = f^* \circ g^*,$$

i.e. the pullback relation preserves compositions.

Exercise (P5)

Let  $S_1, S_2 \subset A$  be two subsets as before.

$$S_1 \cap S_2 \xrightarrow[i_1^*]{i_1^*} \begin{array}{c} S_1 \\ \oplus \\ i_1^* \\ \oplus \\ i_2^* \\ S_2 \end{array} \xrightarrow{i_1^*} A$$

$$A^2 \leftarrow A^1 \leftarrow A^1 \leftarrow A^0$$

Prove that the map  $i^*$  is surjective.

Exercise **P6** 

Open Ended Exercise Suppose that  $S_1$ ,  $S_2$  and  $S_3$  are three sets, and  $A = S_1 \cup S_2 \cup S_3$ . Describe how one would extend the Inclusion-Exclusion formula to this setting using the linear algebra machinery that we set up before.

Let  $U \subset V$  be a subspace of a vector space. Consider the equivalence relation

$$v_1 \sim_U v_2$$
 if and only if  $v_1 - v_2 \in U$ .

Show that the quotient space  $V/U := \{[v]_{\sim_U}\}$  given by the set of equivalence classes is a vector space.

Let  $U \subset V$  be a subspace of a vector space. Construct an exact chain complex

 $0 \to U \to V \to V/U \to 0$ 

Let *G* be a graph – a simplicial complex with only 0 and 1 dimensional simplices. The spaces  $C^0(G, \mathbb{Z}_2)$  and  $\underline{C}^1(G, \mathbb{Z}_2)$  have basis given by the vertices and edges of the graph. Describe  $d^0$  as a matrix in terms of this basis.

Show that whenever  $e_1, \ldots, e_k$  sequence of edges with k odd which form a cycle in G, then one of  $e_1 + \ldots + e_k \in C^1(G, \mathbb{Z}_2)$  is not in the image of  $d^0$ . Make a similar conclusion for when k is even. Conclude that if G has a cycle,  $\underline{H}^1(G) := H^1(\underline{C}^{\bullet}(G, \mathbb{Z}_2))$  is at least 1-dimensional.



Show that  $\underline{H}^1(G) = 0$  if and only if *G* is a tree.





(P9) Exercise

(P7) Exercise

(P8) Exercise



(12) Exercise



Suppose that *G* has one connected component. Compute the dimension of  $H^1(G)$  in terms of the number of edges and vertices of *G*.

Exercise **1** 

Let  $S^2$  be the simplicial complex defined by the tetrahedron (do not include the interior 3-simplex, but only the 4 faces.) Show that  $\underline{H}^0(S^2) = 0, \underline{H}^2(S^2) = \mathbb{Z}_2$  and  $H^1(S^2) = 0$ .

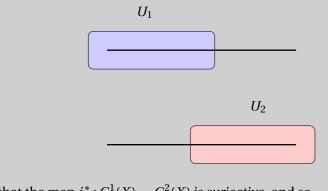
Exercise **(19** 

Let  $C^i(X, \mathbb{Z}_2)$  be the cochain complex associated to a simplicial space. Show that if *X* has only one connected component then  $\underline{H}^0(\mathbb{Z}_2) = 0$ .

In class, we looked at one configuration of open sets which covered the circle. We will look at some examples where we use multiple sets to cover a topological space.

Exercise (1)

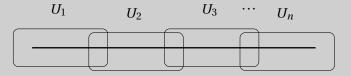
Let *X* be the line segment drawn below, covered by two sets  $U_1$  and  $U_2$ . Repeat the connected component construction for the line covered with two sets.



Show that the map  $i^* : C^1(X) \to C^2(X)$  is surjective, and so

 $\dim(C^{2}(X)) - \dim(\operatorname{Im}(i^{*})) = \dim(\ker(0_{C^{2}(X) \to 0})) - \dim(\operatorname{Im}(i^{*})) = 0.$ 

Let *X* be the line segment, covered with *n* open intervals which overlap as in the diagram below:



Define a sequence

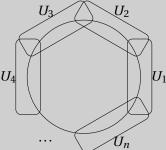
$$C^0(X) \xrightarrow{j^*} C^1(X) \xrightarrow{i^*} C^2(X)$$

where  $C^1(X)$  is based on the connected components of the  $U_i$ , and the  $C^2(X)$  is based on the intersections  $U_i \cap U_{i+1}$ . Again, show that

 $\dim(C^{2}(X)) - \dim(\operatorname{Im}(i^{*})) = \dim(\ker(0_{C^{2}(X) \to 0})) - \dim(\operatorname{Im}(i^{*})) = 0.$ 

Let *X* be the circle, covered with *n* intervals which overlap end to end as drawn below.





Define  $C^1(X)$  and  $C^2(X)$  as in the previous problem.

- Pick a basis for  $C^1(X)$  and  $C^2(X)$  given by functions which map a single connected component to 1, and all other components to zero. Write down the map  $i^*$  in this basis.
- Show that for this cycle,

 $\dim(C^{2}(X)) - \dim(\operatorname{Im}(i^{*})) = \dim(\ker(0_{C^{2}(X) \to 0})) - \dim(\operatorname{Im}(i^{*})) = -1.$ 

(1) Exercise

#### Exercise (19

Cover this figure eight with sets so that

- Each set is connected
- Each pair of sets intersect in one connected component
- No three sets have common overlap.



Define a sequence

$$C^0(X) \xrightarrow{j^*} C^1(X) \xrightarrow{i^*} C^2(X)$$

where  $C^1(X)$  is based on the connected components of the  $U_i$ , and the  $C^2(X)$  is based on intersection on the intersections  $U_i \cap U_k$ . Then compute

 $\dim(\operatorname{Im}(i^*)) - \dim(C^2(X)).$ 

Exercise (20)

Let  $A^{\bullet}$  be a chain complex, and let  $B^k := H^k(A)$  be the chain complex whose cochain groups are given by the cohomology groups  $H^k(A)$  and whose differential is always zero. Verify that  $\pi : A^{\bullet} \to B^{\bullet}$  which sends each element of A to its cohomology class is a cochain map, and  $\pi : H^k(A^{\bullet}) \to H^k(B^{\bullet})$  is an isomorphism.

Exercise (21)

Let  $X = (\Delta_X, \mathscr{S}_X)$  be a simplicial complex. A *simplicial subcomplex* is a simplicial complex  $Y = (\Delta_Y, \mathscr{S}_Y)$  with  $\mathscr{S}_Y \subset \mathscr{S}_X$  and

$$\sigma \in \Delta_Y \Rightarrow \sigma \in \Delta_X.$$

Show that if *Y* is a subcomplex of *X*, there is a cochain map

$$i^*: \underline{C}^{\bullet}(X, \mathbb{Z}_2) \to \underline{C}^{\bullet}(Y, \mathbb{Z}_2).$$

Let  $Y \subset X$  be a simplicial subcomplex. Denote the corresponding map of topological spaces  $i: Y \to X$ . Construct a new simplicial complex, cone(*i*) whose vertex set is

 $\mathscr{S}_{\text{cone}} := \mathscr{S} \cup \{x\},\$ 

and whose simplifies are:

 $\Delta_{\text{cone}} := \Delta_X \cup \{ \sigma \cup \{ x \} \mid \sigma \in \Delta_Y \}.$ 

Draw a picture for cone(i) when X is an interval, and Y is the two boundary vertices of the interval. Furthermore, explain why this operation is called the cone.

Let  $i^* : \underline{C}^{\bullet}(X, \mathbb{Z}_2) \to \underline{C}^{\bullet}(Y, \mathbb{Z}_2)$  be the map considered above. Prove that

 $\underline{C}^{\bullet}(\operatorname{cone}(i),\mathbb{Z}_2) = \operatorname{cone}^{\bullet}(i^*)[-1]$ 

The *n*-disk (denoted  $D^n$ ) is the simplicial complex where  $\mathscr{S}_{D^k} := \{0, ..., n\}$  and

$$\Delta_{D^n} = \{ \sigma \mid \sigma \subset \mathscr{S}_{D^n} \}$$

Let  $id_{D^n}: D^n \to D^n$  be the inclusion of  $D^k$  into itself as a subcomplex. Show that

$$\operatorname{cone}(\operatorname{id}_{D^n}) = D^{n+1}$$

When *X* is a simplicial complex, we denote by  $H^i(X, \mathbb{Z}_2)$  to be the *i*-th cohomology group of  $\underline{C}^{\bullet}(X, \mathbb{Z}_2)$ .

Use the previous characterization of  $D^{n+1}$  to compute the homology groups  $\underline{H}^{i}(D^{k})$  inductively.

(25) Exercise





(22) Exercise



The *n*-sphere (denoted  $S^n$ ) is the simplicial complex where  $\mathscr{S}_{S^n} = \{0, ..., n+1\}$ and

$$\Delta_{S^n} = \{ \sigma \mid \sigma \subset \mathscr{S}_{S^n}, \sigma \neq \{0, \dots, n+1\}.$$

Show that there is a map  $i_{S^n} : S^n \to D^{n+1}$ , and that

$$\operatorname{cone}(i_{S^n}) = S^{n+1}.$$



Use the previous characterization of  $S^{n+1}$  to compute the cohomology groups  $\underline{H}^{i}(S^{n})$  inductively.