

# Some Practical Constructions with filtered $A_\infty$ algebras

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March 15, 2019

## Abstract

This paper reviews some basic definitions and notations for filtered (curved)  $A_\infty$  algebras. Much of the theory is presented using trees to diagrammatically express curved  $A_\infty$  relations, with particular attention spent to bounding cochains. In addition to providing a proof of the curved homological perturbation lemma, this exposition gives explicit chain models for mapping cones, fiber products, mapping cylinders, and homotopy squares. These tools are developed for the purpose of extending the statements (where possible) of [BC14] to the curved setting.

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## 1 A refresher on curved $A_\infty$ algebras

### 1.1 An $A_\infty$ refresher

These notes are partly based on the already excellent exposition on non-curved  $A_\infty$  algebras [Kel99], and [Zha13] which explores deformation theory and curved  $A_\infty$  algebras in more detail, as well as [Fuk+00]. We will review curved  $A_\infty$  algebras, their morphisms and deformations. For reasons related to the convergence of the constructions of deformations (which will frequently involve infinite sums) we will work with the theory of *filtered  $A_\infty$  algebras*.

**Definition 1.1.1** ([Fuk+00]). Let  $R$  be a commutative ring with unit. The universal Novikov ring over  $R$  is the set of formal sums

$$\Lambda_{\geq 0} := \left\{ \sum_{i=0}^{\infty} a_i T^{\lambda_i} \mid \lambda_i \in \mathbb{R}_{\geq 0}, \lim_{i \rightarrow \infty} \lambda_i = \infty \right\}$$

Let  $k$  be a field. The Novikov Field is the set of formal sums

$$\Lambda := \left\{ \sum_{i=0}^{\infty} a_i T^{\lambda_i} \mid \lambda_i \in \mathbb{R}, \lim_{i \rightarrow \infty} \lambda_i = \infty \right\}$$

An energy filtration on a graded  $\Lambda$ -module  $A^\bullet$  is a filtration  $F^{\lambda_i} A^k$  so that

- Each  $A^k$  is complete with respect to the filtration, and has a basis with valuation zero over  $\Lambda$ .
- Multiplication by  $T^\lambda$  increases the filtration by  $\lambda$ .

**Definition 1.1.2.** Let  $A^\bullet$  be a graded  $\Lambda$ -module. A filtered  $A_\infty$  structure  $(A^\bullet, m^k)$  is a graded  $\Lambda$  module  $A^\bullet$  with  $\Lambda$ -linear cohomologically graded higher products for each  $k \geq 0$

$$m^k : (A^\bullet)^{\otimes k} \rightarrow (A^{\bullet+2-k})$$

satisfying the following properties:

- Energy Filtration: The product respects the energy filtration in the sense that :

$$m^k(F^{\lambda_1} A^\bullet, \dots, F^{\lambda_k} A^\bullet) \subset F^{\sum_{i=1}^k \lambda_i} A^\bullet$$

- Non-Zero Energy Curvature: The obstructing curvature term has positive energy,

$$m^0 \in F^{\lambda > 0}(A^\bullet)$$

- Quadratic  $A_\infty$  relations For each  $k \geq 0$ ,

$$\sum_{j_1+i+j_2=k} (-1)^{\clubsuit} m^{j_1+j_2+1}(\text{id}^{\otimes j_1} \otimes m^i \otimes \text{id}^{\otimes j_2}) = 0.$$

The value of  $\clubsuit$  is determined on an input element  $a_1 \otimes \dots \otimes a_k$  by

$$\clubsuit = |a_{k-j_1}| + \dots + |a_k| - i.$$

We say that  $A^\bullet$  is unital if there exists an element  $e_A$  such that

$$m^{k_1+1+k_2}(\text{id}^{\otimes k_1} \otimes e \otimes \text{id}^{\otimes k_2}) = \begin{cases} \text{id} & k_1 + k_2 = 1 \\ 0 & k_1 + k_2 \neq 1 \end{cases}.$$

For the purposes of exposition, we will ignore the sign  $\clubsuit$  from here on own.

If  $m^0 = 0$ , then  $(A^\bullet, m^1)$  is a chain complex, and we say that  $A^\bullet$  is *uncurved* or *tautologically unobstructed*, otherwise, we say that  $A^\bullet$  is curved. We from now on suppress the cohomological index, and when the product structure is clear, we will simply notate an  $A_\infty$  algebra by  $A$ .

**Definition 1.1.3.** Let  $A$  be a filtered  $A_\infty$  algebra. An ideal of  $A$  is a subspace  $I \subset A$  so that for every  $i \in I$ , and  $a_1, \dots, a_{k-1} \in A$ , we have that

$$m^k(a_1 \otimes \dots \otimes a_j \otimes i \otimes a_{j+1} \otimes \dots \otimes a_{k-1}) \in I.$$

The quotient of a  $A_\infty$  algebra by an ideal is well defined. The filtration gives us a natural ideal of the  $A_\infty$  algebra.

Given  $(A, m^k)$  a filtered  $A_\infty$  algebra, define the positive filtration ideal  $A_{>0} := \{a \in A \mid \text{val}(a) > 0\}$ . We then may recover a uncurved  $A_\infty$  algebra by taking the quotient,

$$A_{=0} := A/A_{>0}.$$

This is always an uncurved as the  $m^0$  term is required to always have positive energy.

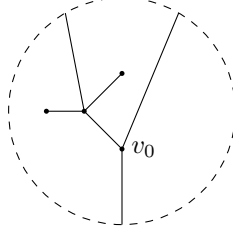


Figure 1: An example of a planar rooted tree with some semi-infinite leaves

## 1.2 From Trees to the Relations

The  $A_\infty$  relations are described by large compositions of multilinear maps, and it is frequently convenient to notate these large compositions of multilinear maps using the languages of trees.

**Definition 1.2.1.** A planer rooted tree with some semi-infinite leaves is a tree  $T$  with the following additional data:

- An ordering of the leaves of  $T$  arising from a planar embedding of  $T$ .
- A choice of leaf  $e_0$  called the root of  $T$ .
- A choice  $E^c$  of non-root leaves called the semi-infinite leaves or external leaves.

When we say that  $v$  is a vertex of a planar rooted tree with semi-infinite leaves, we will always mean that  $v$  is a vertex of degree greater than 1, or a vertex of degree 1 which does not belong to a semi-infinite leaf or root edge.

If  $T$  is planar rooted tree with some semi-infinite leaves with at least 1 vertex, we denote by  $v_0$  the vertex which is connected to the root edge.

One should imagine that a planar rooted tree is a rooted tree with an planar embedding into the disk with some subset of the leaf vertices on the boundary of the disk. From now on we will always use the word “tree” to describe a planar rooted tree with some semi-infinite edges. We define the *valence* of a tree  $T$  to be the number of external leaves, and write

$$\nu(T) := |E^c|.$$

The external leaf set  $E^c$  inherits an ordering  $\{1, 2, \dots, \nu(T)\}$  from the ordering of the leaves. Since  $T$  is a rooted tree, to each vertex we have an ordered upward edge set,  $E_v^\uparrow$ , and a downward edge  $e_v^\downarrow$ . Similarly, to each edge we have an upward vertex  $v_e^\uparrow$  and downward vertex  $v_e^\downarrow$ .

**Definition 1.2.2.** A labelling  $L$  of a tree  $T$  is an assignment to

- Each edge a vector space  $A_e$ .
- Each vertex a morphism

$$f^v : \bigotimes_{e \in E_v^\uparrow} A_e \rightarrow A_{e_0}.$$

To each labelled tree  $(T, L)$ , we obtain a morphism

$$f^{(T, L)} : \left( \bigotimes_{e \in E^c} A_e \right) \rightarrow A_{e_0}.$$

**Notation 1.2.3.** In the event where there is a fixed algebra  $A$  so that for all  $e \in E^c \cup e^0$ , the algebras agree  $A_e = A$ , we will use the letter  $m$  to denote that this should be interpreted as a product relation on  $A$ ,

$$m^{(T, L)} : A^{\otimes \text{val}(T)} \rightarrow A.$$

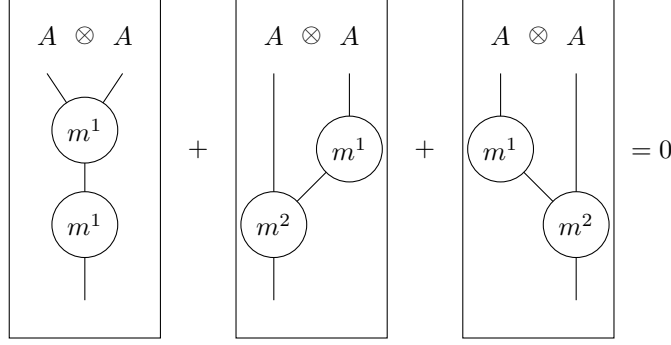


Figure 2: The  $k = 2$  quadratic  $A_\infty$  relation expressed by a sum over trees.

**Remark 1.2.4.** To specify the data of a labeled tree  $(T, L)$ , it suffices to specify labels of compatible morphisms on the internal vertices, as one can recover the edge data from the domain and codomains of these morphisms.

The quadratic  $A_\infty$  relations may be restated as the following sum over trees, which is also displayed in fig. 2

$$\sum_{\substack{(T, L) \mid \nu(T)=k \\ |V(T)|=2, L(v)=m^{\deg(v)-1}}} m^{(T, L)} = 0.$$

### 1.3 Morphisms of filtered $A_\infty$ -algebras

There is a well-defined notion of morphism between filtered  $A_\infty$  algebras.

**Definition 1.3.1.** Let  $(A, m_A^k)$  and  $(B, m_B^k)$  be a pair of filtered-  $A_\infty$  algebras. A weakly-filtered  $A_\infty$  homomorphism from  $A$  to  $B$  is a sequence of graded maps

$$f^k : A^{\otimes k} \rightarrow B$$

satisfying the following conditions:

- Weakly Filtered The maps nearly preserve energy

$$f^k(F^{\lambda_1} A, \dots, F^{\lambda_k} A) \subset F^{-c \cdot k + \sum_{i=1}^k \lambda_i} B$$

for some fixed constant  $c$  called the energy loss of  $f$  with  $c < |m_A^0|$ .

- Quadratic  $A_\infty$  relations The  $f^k, m_A^k$  and  $m_B^k$  mutually satisfy the quadratic curved  $A_\infty$  homomorphism relations

$$\sum_{(j_1+i+j_2=k)} \pm f^{j_1+j_2+1}(\text{id}^{\otimes j_1} \otimes m_A^i \otimes \text{id}^{\otimes j_2}) = \sum_{i_1+\dots+i_j=k} \pm m_B^j(f^{i_1} \otimes \dots \otimes f^{i_j})$$

Suppose that  $A$  is an  $A_\infty$  algebra with unit. We say that  $f^k$  is a unital  $A_\infty$  homomorphism if

$$\begin{aligned} f^1(e_A) &= e_B \\ f^k(\text{id}^{\otimes j_1} \otimes e_A \otimes \text{id}^{\otimes j_2}) &= 0. \end{aligned}$$

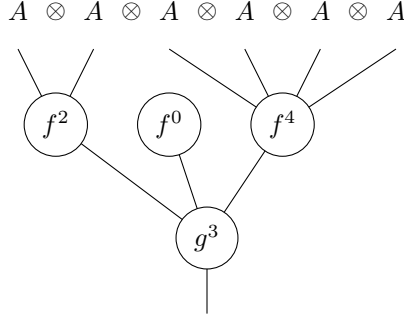


Figure 3: A typical term which appears in the composition  $(g \circ f)^6$ .

The quadratic  $A_\infty$  homomorphism relation may also be written as

$$\sum_{\substack{(T,L) \mid \nu(T)=k, |V^c|=2 \\ \text{Vertex above root labeled } f^i \\ \text{Other vertex labeled } m_A^j}} f^{(T,L)} = \sum_{\substack{(T,L) \mid \nu(T)=k, \\ \text{Vertex above root labeled } m_B^i \\ \text{every other vertex labeled } f^j}} f^{(T,L)}.$$

This can be re-expressed as:

$$\sum_{\substack{(T,L) \mid \nu(T)=A^{\otimes k} \\ \text{At most one vertex labeled } m_A^i \text{ or } m_B^i \\ \text{Every other vertex labeled } f^j}} f^{(T,L)} = 0.$$

Our tree notation becomes more useful for constructing new morphisms out of old.

**Claim 1.3.2.** *Let  $f^{\otimes k} : A^{\otimes k} \rightarrow B$  and  $g^{\otimes k} B^{\otimes k} \rightarrow C$  be two filtered  $A_\infty$  homomorphisms. Then*

$$(g \circ f)^k := \sum_{\substack{(T,L) \mid \nu(T)=k, \\ \text{Vertex above root labeled } g \\ \text{every other vertex labeled } f^j}} (g \circ f)^{(T,L)}.$$

*is an  $A_\infty$  homomorphism.*

See fig. 3 for a typical term which appears in the composition.

## 1.4 Deformations of $A_\infty$ algebras

The presence of higher product structures gives us additional wiggle room to deform the product structures on filtered  $A_\infty$  algebra structure. We will be mainly interested when we can deform a given curved  $A_\infty$  algebra into an uncurved one. This is useful as the theory of uncurved  $A_\infty$  algebras is easier to work with than the theory of curved  $A_\infty$  algebras. In particular, a large portion of the theory can be reduced to algebra on the level of homology.

**Notation 1.4.1.** *As a shorthand, we write*

$$(\text{id} + a)^{\binom{n+k}{n}}_a = \sum_{j_0 + \dots + j_k = n} (a^{\otimes j_0} \otimes \text{id} \otimes a^{\otimes j_1} \otimes \text{id} \otimes \dots \otimes a^{\otimes j_{k-1}} \otimes \text{id} \otimes a^{\otimes j_k}).$$

*for the sum over all monomials containing  $n + k$  terms,  $n$  of which are  $a$ .*

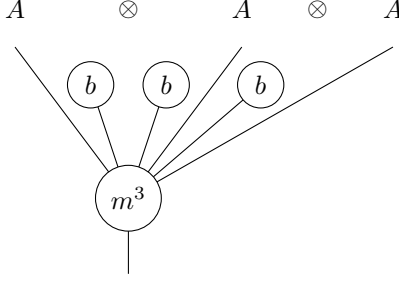


Figure 4: A typical tree contributing to  $m_b^3$ .

**Definition 1.4.2.** Let  $a \in A$  be an element of positive Novikov valuation. The  $a$ -deformed product structure on  $A$  is the product

$$m_a^k := \sum_n \sum_{j_0 + \dots + j_k = n} m^{k+n} \left( (\text{id} + a)^{\binom{n+k}{n}}_a \right).$$

We call this a graded deformation if the element  $a$  has homological degree 1.

In the language of trees the deformed product is

$$m_a^k = \sum_{\substack{(T,L) \mid \nu(T)=k \\ T \text{ has unique non-leaf vertex labeled } m^n \\ \text{Every internal leaf is labelled } a}} m^{(T,L)}.$$

See fig. 4 for one of the terms of this sum. Note that this will be an infinite sum, as the number of trees with a bounded number of external leaves need not be bounded in the number of internal leaves. However, each internal leaf contributes some valuation to the composition, so at a bounded valuation the number of trees contributing to  $m_a^k$  is finite. This ensures convergence.

**Claim 1.4.3.**  $(A, m_a^k)$  is again a filtered curved  $A_\infty$  algebra.

**Example 1.4.4.** The simplest of a deformation is in a DGA where  $m^i = 0$  for  $i \neq \{1, 2\}$ . In this case, the deformed product becomes

$$d_a^1(x) = d(x) + 2(a \wedge x)$$

which is the standard twisting of the differential on a differential graded algebra.

We are interested in the cases where  $(A, m_a)$  gives us a well defined homology theory even though  $A$  itself may be curved.

**Definition 1.4.5.** We say that  $a \in A$  is a bounding cochain or Maurer-Cartan solution if

$$m_a^0 = 0.$$

Suppose that  $A$  has a unit. We say that  $a \in A$  is a weak bounding cochain or weak Maurer-Cartan solution if

$$m_a^0 = W \cdot e_A,$$

where  $e_A$  is a unit, and  $W$  is some constant called the obstruction superpotential.

The presence of either a bounding cochain or weak bounding cochain is enough to give us a well defined homology theory. In the weak bounding case, we have that

$$m_a^1 \circ m_a^1 = m^2(\text{id} \otimes (W \cdot e)) - m^2((W \cdot e) \otimes \text{id}) = 0.$$

The Maurer-Cartan equation is sometimes written as

$$m_a^0 = \sum_n m^n(a) = e^a.$$

**Definition 1.4.6.** *Let  $A$  be an  $A_\infty$  algebra. The space of Maurer-Cartan elements is defined as*

$$\mathcal{MC}(A) := \{a \in A \mid m_a^0 = 0\}.$$

*We say that  $A$  is unobstructed if this space is non-empty.*

*The space of weak Maurer-Cartan elements is the space*

$$\mathcal{MC}_W(A) := \{a \in A \mid m_a^0 = W \cdot e\}$$

*and we say that  $A$  is weakly unobstructed if this space is non-empty.*

The Maurer-Cartan equation is non-linear. In the event that the Maurer-Cartan space contains a linear subspace, then 0 is a Maurer-Cartan element, and the algebra  $A$  is uncurved.

**Lemma 1.4.7.** *Let  $f : A \rightarrow B$  be a weakly filtered  $A_\infty$  morphism (preserving units) of energy loss  $c$ . Then there exists a pushforward map between the (weak) bounding cochains on  $A$  of valuation greater than  $c$ , and the weak bounding cochains of  $B$  given by*

$$\begin{aligned} f_* : \mathcal{MC}_W(A) &\rightarrow \mathcal{MC}_W(B) \\ b_a &\mapsto \sum_k f^k(b_a^{\otimes k}) \end{aligned}$$

*Proof.* In order for  $\sum_k f^k(b_a^{\otimes k})$  to converge, it suffices for the energy of  $b_a$  to be greater than the energy loss of  $f$ , which was assumed. We want to show that  $b_B = \sum_k f^k(b_a^{\otimes k})$  satisfies the (weak) Maurer-Cartan equation

$$\begin{aligned} \sum_k m_B^k(b_B^{\otimes k}) &= \sum_k m_B^k \left( \left( \sum_{j_1} f^{j_1}(b_A^{\otimes j_1}) \right) \otimes \cdots \otimes \left( \sum_{j_k} f^{j_k}(b_A^{\otimes j_k}) \right) \right) \\ &= \sum_k \sum_{j_1, \dots, j_k} m_B^k(f^{j_1}(b_A^{\otimes j_1}) \otimes \cdots \otimes f^{j_k}(b_A^{\otimes j_k})) \\ &= \sum_l \sum_{j_1 + j_2 + \dots + j_k = l} m_B^k((f^{j_1} \otimes \cdots \otimes f^{j_k}) \circ (b_A^{\otimes l})) \\ &= \sum_l \sum_{i_1 + j + i_2 = l} f^{i_1 + i_2 + 1}(\text{id}^{\otimes i_1} \otimes m_A^j \otimes \text{id}^{\otimes i_2}) \circ (b_A^{\otimes l}) \\ &= \sum_{i_1, i_2} f^{i_1 + i_2 + 1} \left( \text{id}^{\otimes i_1} \otimes \left( \sum_j m_A^j(b_A^{\otimes j}) \right), \text{id}^{\otimes i_2} \right) \circ (b_A)^{\otimes (i_1 + i_2)} \\ &= \sum_{i_1, i_2} f^{i_1 + i_2 + 1}(b_A^{\otimes i_1} \otimes W \cdot e_A, b_A^{\otimes i_2}) \\ &= W \cdot e_B + W \cdot \sum_{i_1 + i_2 > 0} f^{i_1 + i_2 + 1}(b_A^{\otimes i_1} \otimes e_A \otimes b_A^{\otimes i_2}) \end{aligned}$$

In the case where  $b_A$  is a bounding cochain,  $W = 0$  and we are finished. In the case where  $W \neq 0$ , the fact  $f$  was required to be unital means that the right terms vanish.  $\square$

Surprisingly, deformations commute with each other in the following sense:

**Claim 1.4.8.** *Let  $a_1, a_2$  be elements of  $A$ . Then  $(A^\bullet, (m_{a_1})_{a_2}) = (A, m_{a_1+a_2})$ .*

*Proof.* A calculation shows that

$$\begin{aligned}
(m_{a_1}^k)_{a_2} &= \sum_n m_{a_1}^{k+n} (\text{id} \oplus a_2)^{\binom{n+k}{n}}_{a_2} \\
&= \sum_m \sum_n m^{k+m+n} (\text{id} \oplus a_1)^{\binom{n+k+m}{m}}_{a_1} \circ (\text{id} \oplus a_2)^{\binom{n+k}{n}}_{a_2} \\
&= \sum_{m+n} m^{k+m+n} (\text{id} + a_1 + a_2)^{\binom{n+m+k}{m+n}}_{a_1+a_2} = m_{a_1+a_2}^k
\end{aligned}$$

□

**Remark 1.4.9.** *Because the space of Maurer-Cartan elements is cut out by a non-linear equation it is unlikely that if  $a_0$  and  $a_1$  are bounding cochains that  $a_0 + a_1$  is similarly a bounding cochain.*

**Claim 1.4.10.** *Let  $A$  and  $B$  be two filtered  $A_\infty$  algebras, and let  $f : A \rightarrow B$  be a filtered  $A_\infty$  algebra morphism. Then there exists an  $A_\infty$  homomorphism*

$$f_b : (A, m_A) \rightarrow (B, (m_B)_{f_*(0)})$$

where  $f_b$  is defined<sup>1</sup> by

$$f_b^k = \begin{cases} f^k & \text{for } k > 0 \\ 0 & \text{if } k = 0 \end{cases}$$

**Claim 1.4.11.** *Let  $A$  and  $B$  be two  $A_\infty$  algebras, and let  $f : A \rightarrow B$  be a filtered  $A_\infty$  algebra morphism. Let  $a \in A$  be a deforming element. Then there the map*

$$f_a : (A, (m_A^k)_a) \rightarrow (B, m_B^k).$$

*Proof.* Define  $f_a^k$  to be the map

$$f_a^k := \sum_n f^{k+n} (\text{id} + a)^{\binom{n+k}{n}}_a.$$

We show that this satisfies the quadratic  $A_\infty$  relations by explicit computation.

$$\begin{aligned}
&\sum_{j_1+i+j_2=k} \pm f_a^{j_1+j_2+1} (\text{id}^{\otimes j_1} \otimes m_{A,a}^i \otimes \text{id}^{\otimes j_2}) \\
&= \sum_{j_1+i+j_2=k} \sum_{n_1, m, n_2} \pm f^{j_1+n_1+1+j_2+n_2} \left( \left( (\text{id} + a)^{\binom{j_1+n_1}{n_1}}_a \right) \otimes m^{i+m} \left( (\text{id} + a)^{\binom{i+m}{m}}_a \right) \otimes (\text{id} + a)^{\binom{j_2+n_2}{n_2}}_a \right) \\
&= \sum_n \sum_{n_1+m+n_2=n} \sum_{j_1+i+j_2=k} \left( f^{k+n} (\text{id}^{\otimes (j_1+n_1)} \otimes m^{i+m} \otimes \text{id}^{\otimes (j_2+n_2)}) \right) \circ (\text{id} + a)^{\binom{k+n}{n}}_a \\
&= \sum_n \sum_{i_1+\dots+i_h=n+k} m_B^h (f^{i_1} \otimes \dots \otimes f^{i_h}) \circ (\text{id} + a)^{\binom{k+n}{n}}_a \\
&= \sum_{l_1+\dots+l_h=k} m_B^h (f_a^{l_1} \otimes \dots \otimes f_a^{l_h})
\end{aligned}$$

□

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<sup>1</sup>The notation is read “f-flat”, as this is the flat version of the curved  $A_\infty$  homomorphism  $f$ .



One may use the previous two claims to construct the pushforward map on bounding cochains, as

$$f_*(b) = (f_b)_*(0)$$

This, along with the statement on the pushforward of a bounding cochain, proves the following characterization of unobstructed  $A_\infty$  algebras.

**Corollary 1.4.12.** *Let  $A, B$  be two filtered  $A_\infty$  algebra. A zero-morphism  $0 : A \rightarrow B$  with  $f^i = 0$  for  $i \geq 1$  exists if and only if  $B$  is unobstructed.*

## 2 Curved Homological Perturbation Lemma

### 2.1 A curved Homological Perturbation Lemma

In this section we prove a curved homological perturbation lemma.

**Theorem 2.1.1** (Curved Homological Perturbation Lemma). *Let  $B$  be a filtered  $A_\infty$  algebra, and  $(A_{=0}, \mu_{A_{=0}}^1)$  be a chain complex. Suppose there exist chain maps  $\pi : B_{=0} \rightarrow A_{=0}$  and  $i : A_{=0} \rightarrow B_{=0}$  so that*

- *There exists a weakly filtered chain homotopy  $h : B \rightarrow B$  so that*

$$h \circ \mu_B^1 + \mu_B^1 = \text{id} - i_0 \circ \pi$$

*Then we can extend the chain structure on  $A_{=0}$  to a filtered  $A_\infty$  structure  $(A, m_A^k)$ , where the  $\Lambda$ -graded portion of  $m_A^1$  matches  $\mu_{A_{=0}}^1$ . For this choice of filtered  $A_\infty$  structure, the map  $\pi$  is a homotopy equivalence of filtered  $A_\infty$  algebras with explicit weakly filtered  $A_\infty$  homotopy inverse*

$$i^k : A^{\otimes k} \rightarrow B.$$

*If  $A$  already had an  $A_\infty$  structure so that  $\pi$  is a filtered  $A_\infty$  map, then the extended  $A_\infty$  structure on  $A$  can be chosen to match the original structure.*

In the setting of non-curved  $A_\infty$  algebras, this statement exactly matches the usual statement of a curved  $A_\infty$  algebra. If  $B$  has no curvature, then the constructed  $A_\infty$  structure  $m_A^1$  matches  $d_A$ .

The remainder of this section is devoted to the proof of theorem 2.1.1.

We want to describe a sequence of maps  $i^k : A^{\otimes k} \rightarrow B$  satisfying the  $A_\infty$  relations. The maps can be constructed inductively (see [Sei08]) but we will describe them using trees. To each tree with labellings we will associate a morphism from  $i^T : A^{\otimes \nu(T)} \rightarrow B$  by taking a composition of morphisms specified by the adjacency data of the tree.

We now specify labellings  $(T, L_{hpl}^m)$  which will determine the product structure on  $A$ .

- We label each external leaf and root with the vector space  $A$ . We label each internal edge with the vector space  $B$ .
- If  $v$  is a vertex of  $T$  we label it with the morphism  $h \circ m^{\deg(v)-1}$ .
- If  $v$  is a vertex of  $T$  incident to an external leaf, we pre-compose with the appropriate tensor product of inclusions  $i : A \rightarrow B$  and  $\text{id} : B \rightarrow B$  so that the domain of the label of  $v$  matches its upward edges.
- We post-compose at the vertex  $v_0$  with the morphism  $\pi : B \rightarrow A$ .

We define the product structure on  $A$  by the sum over all stable trees of valency  $k$  as well

$$m_A^k := \sum_{\substack{T \mid \nu(T)=k \\ \deg(v) \neq 2}} m^{(T, L_{hpl}^m)}$$

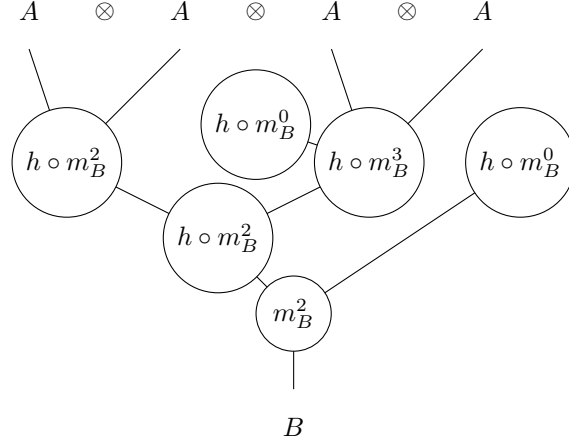


Figure 5: A typical example of a tree with a homological perturbation lemma labelling contributing to  $i$ .

To each tree  $T$ , we associate the homological perturbation lemma labelling  $(T, L_{hpl}^i)$ , which is drawn in fig. 5.

- We label each external leaf with  $A$ . We label each internal edge and root with the label  $B$ .
- If  $v$  is an internal vertex of  $T$ , we label it with the morphism  $h \circ m^{\deg(v)-1}$ .
- If  $v$  is a vertex of  $T$  which is incident to an external leaf, we pre-compose with the appropriate tensor product of inclusions  $i : A \rightarrow B$  and  $\text{id} : B \rightarrow B$  so that the domain of the label of  $v$  matches its upward edges.

We define maps  $i^k$  to be the sum of all such maps over stable trees of valency  $k$ ,

$$i^k := \sum_{\substack{T \mid \nu(T)=k \\ \deg(v) \neq 2}} i^{(T, L_{hpl}^i)}$$

Note that we have the following relations between the product structure and the constructed maps:

$$\pi \circ i^{(T, L_{hpl}^i)} = m^{(T, L_{hpl}^m)}.$$

Both  $i^k$  and  $m_A^k$  are defined by infinite sums. This sum converges over  $\Lambda$ , as the valuation of a morphism can be bounded below by the number of internal leaves when  $h$  is weakly filtered. At each valuation  $\lambda$ , there are most  $\frac{\lambda}{\nu(h \circ m^0)}$  internal leaves in each  $i^{(T, L)}$  contributing to  $i^k$  below that valuation. Because the number of stable trees with a fixed number of leaves is finite, we have the sum over all  $i^{(T, L_{hpl}^i)}$  of bounded valuation and fixed valency is bounded. This is sufficient to ensure convergence in the Novikov field.

We prove that the morphisms  $i^k$  and  $m^k$  mutually satisfy the quadratic  $A_\infty$  homomorphism relations. We omit the proof that the  $m_A^k$  satisfy the  $A_\infty$  relations as the proof is similar, but simpler.

**Definition 2.1.2.** We say that  $T$  is a 1-unstable tree if there it has a single vertex  $v$  of degree 2. In this case, we call this vertex  $v$  the unstable vertex of  $T$ . Let  $T$  be a 1-unstable tree. The instability distance of  $T$  is the distance from the unstable vertex to  $v_0$ .

If  $T$  is an unstable tree, we show that the quadratic  $A_\infty$  relations allow us to reexpress each  $i^{(T, L_{hpl}^i)}$  as a sum of unstable trees with greater instability distance. This process will eventually give us the full  $A_\infty$  relations for homomorphisms. We consider the following special labellings of trees.

- $i \circ \pi$  broken Trees. At the specified vertex  $v$ , we use the label  $i \circ \pi \circ m_B^{\deg(v)-1}$  instead of the standard label. We call the corresponding labelling  $L_v^{\pi \circ i}$ .

The  $i \circ \pi$  broken trees can be also expressed in the following way. Let  $T_v^\uparrow$  be the tree which is obtained by taking all edges upwards of  $v$  and the edge  $e^\downarrow v$ .  $v$  is the interior root vertex of the tree  $T_v^\uparrow$ . Let  $T_v^\downarrow$  be the tree which consists of all edge not upward of  $v$ , so that  $v$  is an external leaf of this new tree. Then this  $i \circ \pi$  broken tree is equivalent to the composition

$$i^{(T, L_v^{\pi \circ i})} = i^{(T_v^\downarrow, L_{hpl}^i)} \circ \text{id}^{\otimes k_1} \otimes m^{(T_v^\uparrow, L_{hpl}^m)} \otimes \text{id}^{\otimes k_1}.$$

where  $k_1$  is the number of leaves “left” of the vertex  $v$ , and  $k_2$  is the number of leaves right of  $v$ , so that  $\text{val}(T) = k_1 + \text{val}(T_v^\uparrow) + k_2$ .

- id broken trees. At a specified vertex  $v$ , we choose the label  $\text{id} \circ m_B^{\deg(v)-1}$ . We call the corresponding label  $L_v^{\text{id}}$ .

The following observations become the framework for proving the homological perturbation lemma

1. The sum over all id-broken stable trees at  $v_0$  of fixed valence nearly gives the right hand side of the  $A_\infty$  relations.

$$\sum_{T \mid \text{val}(T)=k} i^{(T, L_{v_0}^{\text{id}})} = \sum_{i_1 + \dots + i_j = k, j > 1} \pm m_B^j (i^{i_1} \otimes \dots \otimes i^{i_j}) \quad (1)$$

2. The sum of all  $i \circ \pi$  broken trees of fixed valence gives a large portion of the  $A_\infty$  relations,

$$\sum_{v \in T \mid \text{val}(T)=k} i^{(T, L_v^{\pi \circ i})} = \sum_{\substack{(j_1 + i + j_2 = k) \\ i > 1}} \pm i^{j_1 + j_2 + 1} (\text{id}^{\otimes j_1} \otimes m_A^i \otimes \text{id}^{\otimes j_2}) \quad (2)$$

Let  $T$  be a tree. The subdivision tree  $T$  is tree  $T \div e$  obtained by replacing the edge  $e$  with two edges, and a new vertex  $v_e$ . The subdivision of a tree is never stable, as the new vertex  $v_e$  has degree 2.

**Claim 2.1.3** (Homotopy Identity). *Let  $T$  be a tree. Let  $e$  be an interior edge with upward vertex  $v$ .*

$$i^{(T \div e, L_{v_e}^{\text{id}})} + i^{(T \div e, L_{v_e}^{\text{id}})} + i^{(T, L_{v_e}^{\pi \circ i})} + i^{(T, L_{v_e}^{\text{id}})} = 0$$

Given a stable tree  $T$  and a vertex  $v \in T$ , the *expansions of  $T$  at  $v$*  are the planar trees  $T'$  with two vertices  $v_\downarrow, v_\uparrow \subset V(T')$  so that the contraction  $T'/\{v_\downarrow v_\uparrow\}$  is  $T$ , and under this contraction both  $v_\downarrow$  and  $v_\uparrow$  are identified with  $v$ .<sup>2</sup> If  $T'$  is an expansion of  $T$  at a vertex  $v$ , we label it  $(T', L_{v_\uparrow}^{\text{id}})$ .

**Claim 2.1.4** (Associativity Identity). *Let  $T$  be a tree. Let  $v \in T$  be any vertex. Then*

$$\sum_{\substack{(T', L_{v_\uparrow}^{\text{id}}) \\ T' \text{ an expansion of } T \text{ at } v}} i^{(T', L_{v_\uparrow}^{\text{id}})} = 0.$$

---

<sup>2</sup>The planarity condition is important here. For example, a trivalent vertex has 6 expansions where 3 of the expansions are isomorphic as trees but not as planar trees.

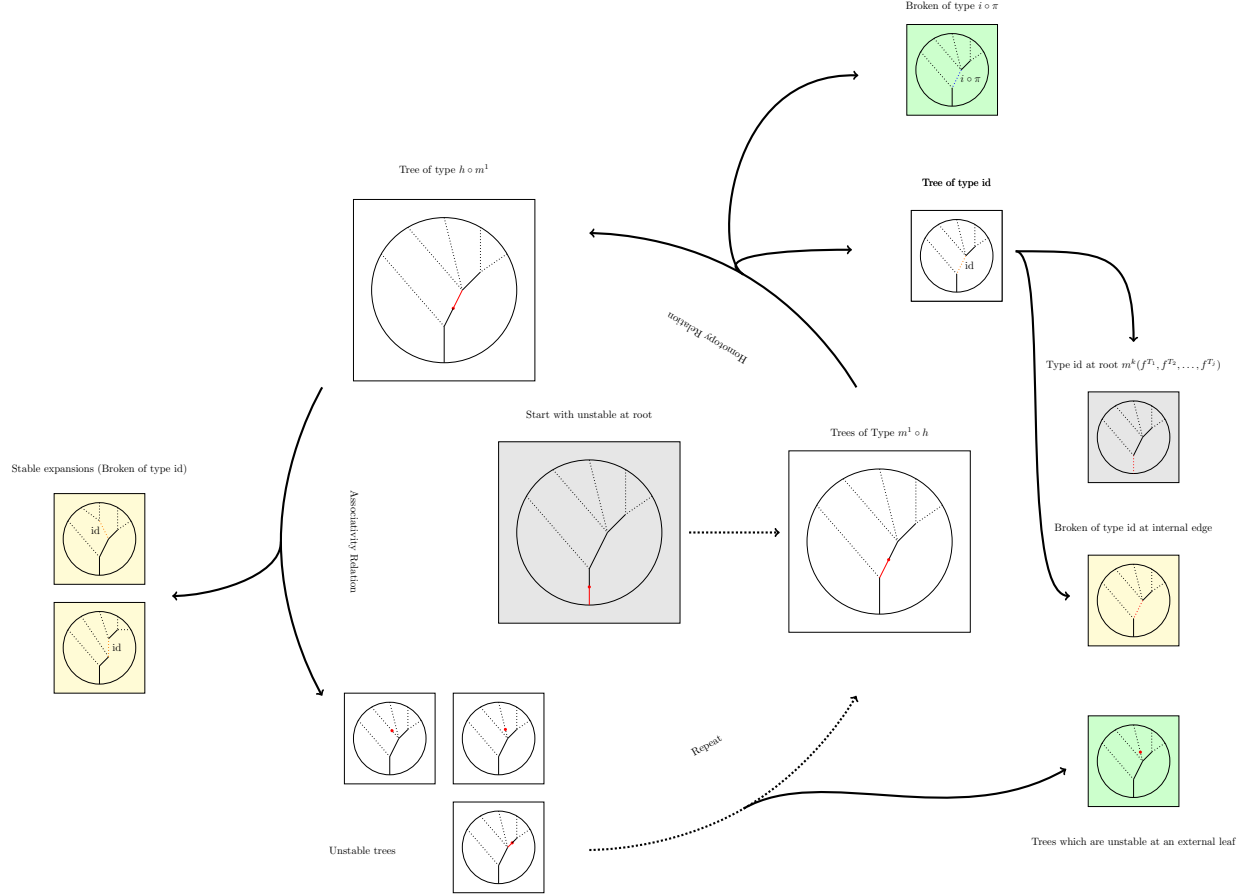


Figure 6: Repeated applications of homotopy and associative relations.

**Lemma 2.1.5.** *Let  $T$  be a stable tree.*

$$i^{(T \div e_0, L_{v_{e_0}}^{\text{id}})} = \sum_{\substack{(T', L_{v_{\uparrow}}^{\text{id}}) \\ T' \text{ a stable expansion of } T}} i^{(T', L_{v_{\uparrow}}^{\text{id}})} + \underbrace{\sum_{v \in V(T)} i^{(T, L_v^{\text{id}})}}_{\text{id broken trees}} + \underbrace{\sum_{v \in V(T)} i^{(T, L_v^{i \circ \pi})}}_{i \circ \pi \text{ broken trees}} + \sum_{e \in E_c} i^{(T \div e, L_{v_e}^{\text{id}})}.$$

*Proof.* Let  $T$  be a stable tree, and  $e$  a vertex in  $T$ . The tree  $T \div e$  is a 1-unstable tree. We show that if  $e$  is not a external leaf,  $i^{(T \div e, L_{e_v}^{\text{id}})}$  can be re-expressed as a sum of expansions of  $T$ , broken trees, and  $i^{(T \div e', L_{e'_v}^{\text{id}})}$  where the edges  $e'$  have a greater distance from the root.

1. **Homotopy Step** Let  $v_{\uparrow}$  be the upper vertex of the edge  $e$ . By claim 2.1.3,

$$i^{(T \div e, L_{e_v}^{\text{id}})} = i^{(T \div e, L_{v_{\uparrow}}^{\text{id}})} + i^{(T, L_{v_{\uparrow}}^{i \circ \pi})} + i^{(T, L_v^{\text{id}})}$$

The two terms on the right are broken trees, which are allowed terms in the expansion.

2. **Associativity Step** Let  $E_{v_{\uparrow}}$  be the upward edge set of  $v_{\uparrow}$ . By claim 2.1.4

$$i^{(T \div e, L_{v_{\uparrow}}^{\text{id}})} = \left( \sum_{\substack{(T', L_{v_{\uparrow}}^{\text{id}}) \\ T' \text{ a stable expansion of } T \text{ at } v_{\uparrow}}} i^{(T', L_{v_{\uparrow}}^{\text{id}})} \right) + \left( \sum_{\substack{(T \div e', L_{v_{e'}}^{\text{id}}) \\ e' \in E_{v_{\uparrow}}}} i^{(T \div e', L_{v_{e'}}^{\text{id}})} \right).$$

Each time we apply these two steps, we replace the 1-unstable tree  $T \div e$  with stable broken trees, and 1-unstable trees of greater instability distance. The process terminates when unstable term has moved to the external leaves of  $T$ .  $\square$

We expand out this relation a little bit.

$$\begin{aligned}
0 &= i^{(T \div e_0, L_{v_{e_0}}^{\text{id}})} + i^{(T, L_{v_0}^{\text{id}})} + \sum_{v \in V(T)} i^{(T, L_v^{\text{id} \circ \pi})} + \sum_{e \in E_c} i^{(T \div e, L_{v_e}^{\text{id}})} \\
&+ \sum_{\substack{(T', L_{v_\uparrow}^{\text{id}}) \\ T' \text{ a stable expansion of } T}} i^{(T', L_{v_\uparrow}^{\text{id}})} + \sum_{v \in V(T), v \neq v_0} i^{(T, L_v^{\text{id}})} \\
&= \left( m_B^1 \circ i^{(T, L)} + m_B^{\deg v_0 - 1} \circ \left( \bigotimes_{e \in E_{v_0}} i^{(T_{e_\uparrow}, L_{hpl})} \right) \right) + \left( \sum_{e \in E_c} i^{(T \div e, L_{v_e}^{\text{id}})} + \sum_{v \in V(T)} i^{(T_v^\downarrow, L_{hpl}^i)} \circ m^{(T_v^\uparrow, L_{hpl}^m)} \right) \\
&+ \left( \sum_{\substack{(T', L_{v_\uparrow}^{\text{id}}) \\ T' \text{ a stable expansion of } T}} i^{(T', L_{v_\uparrow}^{\text{id}})} + \sum_{v \in V(T), v \neq v_0} i^{(T, L_v^{\text{id}})} \right). \tag{3}
\end{aligned}$$

We now reduce the terms of eq. (3). From eq. (1), we conclude that

$$\sum_{\substack{\nu(T)=k \\ T \text{ a stable tree}}} \left( m_B^1 \circ i^{(T, L)} + m_B^{\deg v_0 - 1} \circ \left( \bigotimes_{e \in E_{v_0}} i^{(T_{e_\uparrow}, L_{hpl})} \right) \right) = \sum_{i_1 + \dots + i_j = k, k > 1} \pm m_B^j (i^{i_1} \otimes \dots \otimes i^{i_j}) \tag{4}$$

From eq. (2) we conclude that

$$\sum_{\substack{\nu(T)=k \\ T \text{ a stable tree}}} \left( \sum_{e \in E_c} i^{(T \div e, L_{v_e}^{\text{id}})} + \sum_{v \in V(T)} i^{(T_v^\downarrow, L_{hpl}^i)} \circ m^{(T_v^\uparrow, L_{hpl}^m)} \right) = \sum_{(j_1 + i + j_2 = k)} \pm i^{j_1 + j_2 + 1} (\text{id}^{\otimes j_1} \otimes m_A^i \otimes \text{id}^{\otimes j_2}) \tag{5}$$

The main idea of 2.1.1 is to notice that the expansions and contractions of  $T$  will cancel out with expansions and contractions from other trees.

**Proposition 2.1.6.** *The sum over all trees of broken trees from expansion terms and broken trees from identity terms exactly cancel,*

$$0 = \sum_{\substack{\nu(T)=k \\ T \text{ a stable tree}}} \left( \sum_{\substack{(T', L_{v_\uparrow}^{\text{id}}) \\ T' \text{ a stable expansion of } T}} i^{(T', L_{v_\uparrow}^{\text{id}})} + \sum_{v \in V(T), v \neq v_0} i^{(T, L_v^{\text{id}})} \right) \tag{6}$$

*Proof.* We first note that there is a bijection

$$\begin{aligned}
\bigcup_{T' \text{ stable}, \nu(T')=k} \{e \text{ and internal edge of } T'\} &\rightarrow \bigcup_{T \text{ stable}, \nu(T)=k} \{ \text{Stable expansions of } T \} \\
(T', e) &\mapsto (T', T/e)
\end{aligned}$$

This, combined with the identification of internal edges of  $T'$  with non-root vertices gives us the equality

$$\sum_{\substack{\nu(T')=k \\ T' \text{ a stable tree}}} \sum_{v \in V(T), v \neq v_0} i^{(T, L_v^{\text{id}})} = \sum_{\substack{\nu(T')=k \\ T' \text{ a stable tree} \\ e \in E^i(T')}} i^{(T, L_{v_e^\uparrow}^{\text{id}})} = \sum_{\substack{\nu(T)=k \\ T \text{ is a stable tree} \\ T' \text{ is a stable expansion of } T}} i^{(T, L_{v_e^\uparrow}^{\text{id}})}$$

proving the proposition.  $\square$

**Remark 2.1.7.** *In the non-curved setting, where  $m^0 = 0$ , there is a nice visualization of the above lemma. Consider the poset of metric trees with ordering given by the minor relation. This poset has a geometric realization as the standard cell decomposition of the Stasheff associahedra, and the above relation states that contraction labellings of a tree  $T$  are related to the trees in its cellular boundary, while the expansion byproducts of a tree are related to the cells which it is a boundary of.*

*In the curved setting, there is no nice geometric picture of the lemma. Instead, consider the poset of metric trees with internal leaves and a fixed number of external leaves, again with the minor ordering relation. This is an infinite poset with a unique maximal member. Again, the lemma above states that there is pairing between the expansion and contraction exhaustions of tree of fixed valence, by viewing them as the ends of edges in the Hasse diagram of this poset which are closer or farther from minimal element.*

We are now in a place to prove the  $A_\infty$  relations. Taking the relation eq. (3) over all trees and applying eqs. (4) to (6)

$$\begin{aligned} 0 &= \sum_{\substack{\nu(T)=k \\ T \text{ is a stable tree}}} \left( \underbrace{m_B^1 \circ i^{(T, L)} + m_B^{\deg v_0 - 1} \circ \left( \bigotimes_{e \in E_{v_0}} i^{(T_{e^\uparrow}, L_{hpl})} \right)}_{\text{eq. (4)}} \right. \\ &\quad + \underbrace{\sum_{e \in E_c} i^{(T \div e, L_{v_e}^{\text{id}})} + \sum_{v \in V(T)} i^{(T_v^\downarrow, L_{hpl}^i)} \circ m^{(T_v^\uparrow, L_{hpl}^m)}}_{\text{eq. (5)}} \\ &\quad + \underbrace{\sum_{(T', L_{v_\uparrow}^{\text{id}})} i^{(T', L_{v_\uparrow}^{\text{id}})} + \sum_{v \in V(T), v \neq v_0} i^{(T, L_v^{\text{id}})}}_{\text{eq. (6)}} \Bigg) \\ &= \left( \sum_{i_1 + \dots + i_j = k} \pm m_B^j (i^{i_1} \otimes \dots \otimes i^{i_j}) \right) + \left( \sum_{(j_1 + i + j_2 = k)} \pm i^{j_1 + j_2 + 1} (\text{id}^{\otimes j_1} \otimes m_A^i \otimes \text{id}^{\otimes j_2}) \right) + 0 \end{aligned}$$

which, when rearranged, gives us the  $A_\infty$  relations.

## 2.2 Application: The replacement tool

We can use the curved homological perturbation lemma to prove a classic result from homological algebra.

**Lemma 2.2.1** (Replacement Tool). *Let  $A$  be a filtered  $A_\infty$  algebra, and let  $B \subset A$  be a filtered  $A_\infty$  ideal, giving us the short exact sequence*

$$B \rightarrow A \rightarrow (A/B)$$

Let  $f : B \rightarrow B'$  be an  $A_\infty$  homomorphism which is a homotopy equivalence on the chain level. Then there exists a filtered  $A_\infty$  algebra  $A'$  with  $A'$  homotopic to  $A$  and a short exact sequence

$$B' \rightarrow A' \rightarrow (A'/B')$$

with  $(A'/B') = (A/B)$ .

*Proof.* For convenience, we write  $C = (A/B)$ . We exhibit an  $A_\infty$  structure on  $B' \oplus C$ . Let  $f : B \rightarrow B'$  be our prescribed  $A_\infty$  homotopy which is an equivalence. As a vector space,  $A' = B' \oplus C$ , and there exists a map  $\pi : A \rightarrow A'$ . Furthermore, there is an inclusion of chain complexes  $i : A'_{=0} \rightarrow A_{=0}$  which is a homotopy inverse of the identity. We construct the  $A_\infty$  structure on  $A'$  using the homological perturbation lemma, along with the homotopy inverse map.  $\square$

The replacement tools allows us to modify filtered  $A_\infty$  algebras by identifying subalgebras and replacing them with homotopic subalgebras.

### 3 Cones and Fiber Products

In this section, we show that the classical constructions of mapping cones and fiber products extend to tautologically unobstructed  $A_\infty$  algebras and  $A_\infty$  algebras respectively.

#### 3.1 Mapping Cones

We begin by describing the mapping cone construction for tautologically unobstructed  $A_\infty$  algebras.

**Definition 3.1.1** (Left  $A_\infty$  module). *Let  $A$  be a tautologically unobstructed  $A_\infty$  algebra. A left module over  $A$  is a graded  $\Lambda$ -module  $M$ , along with a sequence of maps*

$$m_{A|M}^{k-1|1} : A^{\otimes k-1} \otimes M \rightarrow M$$

satisfying the following quadratic  $A_\infty$  module relation:

$$\begin{aligned} 0 = & \sum_{j_1+j=k} m_{A|M}^{j_1|1} (\text{id}_A^{\otimes j_1-1} \otimes m_{A|M}^{j-1|1}) \\ & + \sum_{j_1+j+j_2=k \mid j_2 \neq 0} m_{A|M}^{j_1+j_2|1} (\text{id}_A^{\otimes j_1} \otimes m_A^j(a) \otimes \text{id}_A^{\otimes j_2-1} \otimes \text{id}_B). \end{aligned}$$

In these quadratic relations it appears that we are taking the sum over two different types of compositions. However, this can also be described as the sum over all trees with 2 internal vertices and leaves labelled  $A^{\otimes k-1} \otimes M$ , and internal vertices labelled  $m_{A|M}^{j|1}$  or  $m_A^j$ .

Given a morphism of  $A_\infty$  algebras  $f : A \rightarrow B$ , there exists a change of base formula from  $A - \mathbf{Mod}$  to  $B - \mathbf{Mod}$ .

**Claim 3.1.2.** *Suppose we have uncurved morphism of uncurved  $A_\infty$  algebras  $f : A \rightarrow B$ . Then the products*

$$\begin{aligned} m_f^{k|1} : A^{\otimes k} \otimes B &\rightarrow B \\ m_f^{k|1} &= \sum_{k=j_1 \dots j_i} m_B^{i+1} (f^{\otimes j_1} \otimes \dots \otimes f^{j_i} \otimes \text{id}_B). \end{aligned}$$

make  $B$  a  $A_\infty$  module over  $A$ .

*Proof.* We delay the proof of this statement until we prove the curved  $A_\infty$  bimodule relations in claim 3.2.3.  $\square$

We use the structure of this multiplication to construct mapping cones in the category of  $A_\infty$  algebras. Let  $\pi_{A|B}^{i|j} : (A \oplus B[1])^{\otimes i+j} \rightarrow A^{\otimes i} \oplus B^{\otimes j}$  be the standard projection.

**Definition 3.1.3.** Let  $f : A \rightarrow B$  be a morphism of  $A_\infty$  algebras. The cone of  $f$  is the  $A_\infty$  algebra on the graded vector space

$$\text{cone}(f) = A \oplus B[1]$$

equipped with the higher product structures

$$\begin{aligned} m_{\text{cone}}^k &:= \left( \left( m_A^k \circ \pi_{A|B}^{k|0} \right) \oplus \left( f^k \circ \pi_{A|B}^{k|0} + m_f^{k-1|1} \circ \pi_{A|B}^{k|1} \right) \right) \\ m_{\text{cone}}^k \left( \bigotimes_{i=1}^k (a_i, b_i) \right) &= \left( m_A^k \left( \bigotimes_{i=1}^k a_i \right), f^k \left( \bigotimes_{i=1}^k a_i \right) + m_f^{k-1|1} \left( \left( \bigotimes_{i=1}^{k-1} a_i \right) \otimes b_k \right) \right) \end{aligned}$$

*Proof.* The proof is a verification of the  $A_\infty$  structure. It is immediate from the quadratic relations on  $A$  that the  $A$ -component of  $\sum_{j_1+j+j_2=k} m_{\text{cone}}^{j_1+j_2+1} (\text{id}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}^{\otimes j_2})$  will be zero. It therefore suffices to look at the  $B$ -component of this relation. We will use that

$$\begin{aligned} \pi_{A|B}^{j_1+1+j_2|0} \circ (\text{id}_{\text{cone}}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}_{\text{cone}}^{\otimes j_2}) &= (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2}) \circ \pi_{A|B}^{k|0}. \\ \pi_{A|B}^{j_1+j_2|1} \circ (\text{id}_{\text{cone}}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}_{\text{cone}}^{\otimes j_2}) &= \begin{cases} (\text{id}_A^{\otimes j_1} m_A^k \otimes \text{id}_A^{\otimes j_2-1} \otimes \text{id}_B) \circ \pi_{A|B}^{k-1|1} & \text{if } j_2 \neq 0 \\ \text{id}_A^{\otimes j_1} \otimes (m_f^{j-1|1} \circ \pi_{A|B}^{j-1|1} + f^j \circ \pi_{A|B}^{j|0}) & \text{if } j_2 = 0 \end{cases} \end{aligned}$$

The  $B$ -component of the quadratic  $A_\infty$  relations is

$$\begin{aligned} \pi_{A|B}^{0|1} \circ \sum_{j_1+j+j_2=k} m_{\text{cone}}^{j_1+j_2+1} (\text{id}_{\text{cone}}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}_{\text{cone}}^{\otimes j_2}) &= \sum_{j_1+j+j_2} \left( f^{j_1+1+j_2} (\pi_{A|B}^{j_1+1+j_2|0} (\text{id}_{\text{cone}}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}_{\text{cone}}^{\otimes j_2})) \right. \\ &\quad \left. + m_f^{k-1|1} \circ \pi_{A|B}^{k|1} (\text{id}_{\text{cone}}^{\otimes j_1} \otimes m_{\text{cone}}^j \otimes \text{id}_{\text{cone}}^{\otimes j_2}) \right) \\ &= \sum_{j_1+j+j_2} f^{j_1+1+j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2}) \circ \pi_{A|B}^{k|0} \\ &\quad + \sum_{j_1+j+j_2|j_2 \neq 0} m_f^{j_1+j_2|1} \circ \text{id}_A^{\otimes j_1} m_A^k \otimes \text{id}_A^{\otimes j_2-1} \otimes \text{id}_B \circ \pi_{A|B}^{k-1|1} \\ &\quad + \sum_{j_1+j+j_2|j_2=0} m_f^{j_1+j_2|1} \circ (\text{id}_A^{\otimes j_1} \otimes (m_f^{j-1|1} \circ \pi_{A|B}^{j-1|1} + f^j)) \circ \pi_{A|B}^{j|0}) \\ &= \left( \sum_{j_1+j+j_2} f^{j_1+1+j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2}) \circ \pi_{A|B}^{k|0} \right. \\ &\quad \left. + \sum_{j_1+j+j_2|j_2=0} m_f^{j_1-1|1} \circ (\text{id}_A^{\otimes j_1} \otimes f^j) \circ \pi_{A|B}^{j|0} \right) \\ &\quad + \left( \sum_{j_1+j+j_2|j_2 \neq 0} m_f^{j_1+j_2|1} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2-1} \otimes \text{id}_B) \circ \pi_{A|B}^{k-1|1} \right. \\ &\quad \left. + \sum_{j_1+j+j_2|j_2=0} m_f^{j_1+j_2|1} \circ (\text{id}_A^{\otimes j_1} \otimes (m_f^{j-1|1} \circ \pi_{A|B}^{j-1|1} + f^j)) \circ \pi_{A|B}^{j|0} \right) \end{aligned}$$

The first sum gives the quadratic  $A_\infty$  homomorphism relations for  $f$ , and is therefore zero. The second term is the quadratic  $A_\infty$  module relations, is therefore zero.  $\square$

A limitation of this cone construction is that it is only defined when the algebras  $A$  and  $B$  are uncurved. This is due to our inability to construct a change of base homomorphism for curved left  $A_\infty$  modules. This limitation can be remedied by studying instead bimodules, and constructing fiber products instead of mapping cones.



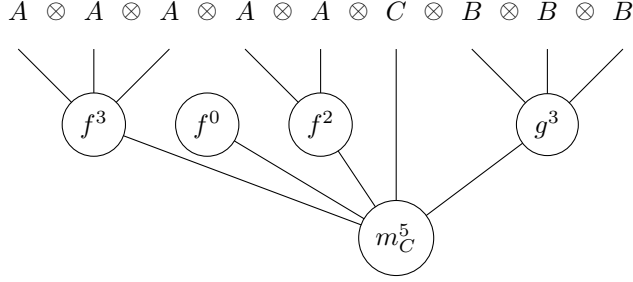


Figure 7: A typical term contributing to  $m_{fg}^{5|1|3}$ .

### 3.2 Fiber Product

**Definition 3.2.1.** Let  $A, B$  be  $A_\infty$  algebras. An  $(A, B)$ -bimodule is a filtered graded  $\Lambda$ -module  $M$ , along with a set of maps

$$m_{A|M|B}^{k_1|1|k_2} : A^{\otimes k_1} \otimes M \otimes B^{\otimes k_2}$$

satisfying filtered quadratic  $A_\infty$  module relations for each pair  $k_1, k_2$

$$\begin{aligned} 0 = & \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ j_1+j < k_1}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}^{\otimes k_1-j_1-j} \otimes \text{id}_M \otimes \text{id}_B^{k_2}) \\ & + \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ j_1 \leq k_1 \leq j_1+j}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_{A|M|B}^{k_1-j_1|1|k_2-j_2} \otimes \text{id}_B^{\otimes j_2}) \\ & + \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ k_1 < j_1}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes k_1} \otimes \text{id}_M \otimes \text{id}_B^{k_2-j_2-j} \otimes m_B^j \otimes \text{id}_B^{\otimes j_2}) \end{aligned}$$

Again this appears to be three separate sums, but can be restated as one sum in the language of trees.

**Remark 3.2.2.** When  $A$  and  $B$  are uncurved, then a  $(A, B)$  bimodule can be made into a left  $A$  module by restricting

$$m_{A|M}^{k|1} := m_{A|M|B}^{k|1|0}.$$

The  $A_\infty$  relations follow from the quadratic  $A_\infty$  relations for the bimodule where the  $B$ -inputs have been evaluated at 0.

It is important to note that this does not hold if the modules  $A$  and  $B$  are curved, as  $m_B^0$  terms may contribute to the quadratic  $A_\infty$  module relations causing  $M$  to fail to be a left  $A$  module!

**Claim 3.2.3.** Let  $C$  be an  $A_\infty$  algebra and let  $f : A \rightarrow C$  and  $g : B \rightarrow C$  be filtered  $A_\infty$  morphisms. Then  $C$  has the structure of a  $(A, B)$  bimodule.

*Proof.* This only requires that  $f$  and  $g$  be filtered  $A_\infty$  maps of filtered  $A_\infty$  algebras. The bimodule structure is given the higher product maps

$$m_{fg}^{k_1|1|k_2} = \sum_{\substack{h_1+\dots+h_{\alpha_1}=k_1 \\ i_1+\dots+i_{\alpha_2}=k_2}} m_C^{\alpha_1+1+\alpha_2} \circ (f^{h_1} \otimes \dots \otimes f^{h_{\alpha_1}} \otimes \text{id}_C \otimes g^{i_1} \otimes \dots \otimes g^{i_{\alpha_2}})$$

These correspond to trees labelled in fig. 7. We show that these satisfy the  $A_\infty$  bimodule

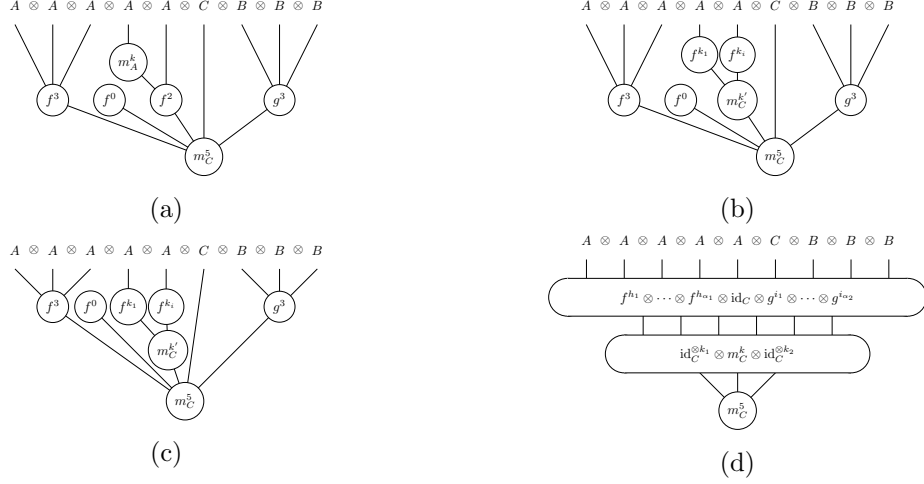


Figure 8: dg the  $A_\infty$  change of base bimodule relations.

relations by explicit computation. Examining the terms of the  $A_\infty$  relations gives us the following preliminary relations:

$$\begin{aligned}
 \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ j_1+j < k_1}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}^{\otimes k_1-j_1-j} \otimes \text{id}_M \otimes \text{id}_B^{k_2}) \\
 = \sum_{\substack{j'_1+j'+j'_2=\alpha_1+1+\alpha_2 \\ \alpha_1 < j_1+j'}} m_C^{\alpha_1+\alpha_2+1} (\text{id}^{\otimes j'_1} \otimes m_C^{j'} \otimes \text{id}^{\otimes j'_2}) \circ (f^{h_1} \otimes \dots \otimes f^{h_{\alpha_1}} \otimes \text{id}_C \otimes g^{i_1} \otimes \dots \otimes g^{i_{\alpha_2}}).
 \end{aligned}$$

$$\begin{aligned}
 \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ j_1 \leq k_1 \leq j_1+j}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_{A|M|B}^{k_1-j_1|1|k_2-j_2} \otimes \text{id}_B^{\otimes j_2}) \\
 = \sum_{\substack{j'_1+j'+j'_2=\alpha_1+1+\alpha_2 \\ j'_1 \leq \alpha_1 \leq j'_1+j'}} m_C^{\alpha_1+\alpha_2+1} (\text{id}^{\otimes j'_1} \otimes m_C^{j'} \otimes \text{id}^{\otimes j'_2}) \circ (f^{h_1} \otimes \dots \otimes f^{h_{\alpha_1}} \otimes \text{id}_C \otimes g^{i_1} \otimes \dots \otimes g^{i_{\alpha_2}}).
 \end{aligned}$$

$$\begin{aligned}
 \sum_{\substack{j_1+j+j_2=k_1+1+k_2 \\ k_1 < j_1}} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes k_1} \otimes \text{id}_M \otimes \text{id}_B^{k_2-j_2-j} \otimes m_B^j \otimes \text{id}_B^{\otimes j_2}) \\
 = \sum_{\substack{j_1+j+j_2=\alpha_1+1+\alpha_2 \\ j'_1+j' < \alpha_1}} m_C^{\alpha_1+\alpha_2+1} (\text{id}^{\otimes j'_1} \otimes m_C^{j'} \otimes \text{id}^{\otimes j'_2}) \circ (f^{h_1} \otimes \dots \otimes f^{h_{\alpha_1}} \otimes \text{id}_C \otimes g^{i_1} \otimes \dots \otimes g^{i_{\alpha_2}}).
 \end{aligned}$$

We give a graphic explaining these three preliminary relations in fig. 8. Making these substitu-

tions into the quadratic relation we want to prove,

$$\begin{aligned}
& \sum_{j_1+j+j_2=k_1+1+k_2} \left( \sum_{j_1+j < k_1} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}^{\otimes k_1-j_1-j} \otimes \text{id}_M \otimes \text{id}_B^{k_2}) \right. \\
& \quad + \sum_{j_1 \leq k_1 \leq j_1+j} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes j_1} \otimes m_{A|M|B}^{k_1-j_1|1|k_2-j_2} \otimes \text{id}_B^{\otimes j_2}) \\
& \quad \left. + \sum_{k_1 < j_1} m_{A|M|B}^{j_1|1|j_2} \circ (\text{id}_A^{\otimes k_1} \otimes \text{id}_M \otimes \text{id}_B^{k_2-j_2-j} \otimes m_B^j \otimes \text{id}_B^{\otimes j_2}) \right) \\
& = m_B(\text{id} \otimes m_B \otimes \text{id}) \circ (f^{j_1} \otimes \dots \otimes f^{j_2} \otimes \text{id}_C \otimes g^{i_1} \otimes \dots \otimes g^{i_{j_2}}) \\
& = 0
\end{aligned}$$

□

This bimodule construction allows us to construct fiber products in the category of  $A_\infty$  algebras.

**Claim 3.2.4.** *Suppose we have a diagram of  $A_\infty$  algebras,*

$$\begin{array}{ccc}
& A & \\
& \downarrow f & \\
B & \xrightarrow{g} & C
\end{array}$$

*Then  $A \cup_C B := A \oplus C[1] \oplus B$  can be given the structure of an  $A_\infty$  algebra, called the homotopy fiber product which fits into the diagram*

$$\begin{array}{ccc}
A \cup_C B & \xrightarrow{\pi_A} & A \\
\downarrow \pi_B & & \downarrow f \\
B & \xrightarrow{g} & C
\end{array}$$

*Proof.* The  $A_\infty$  structure on this algebra is similar to that considered for the mapping cone. We denote by

$$\pi_{A|C|B}^{k_1|k|k_2} : (A \oplus C[1] \oplus B)^{\otimes(k_1+k+k_2)} \rightarrow A^{\otimes k_1} \otimes (C[1])^{\otimes k} \otimes B^{\otimes k_2}$$

the standard projection. The  $A_\infty$  product on  $A \oplus C[1] \oplus B$  is given by

$$m_{A \cup_C B}^k = \left( (m_A^k \circ \pi_{A|C|B}^{k|0|0}) \oplus \left( f^k \circ \pi_{A|C|B}^{k|0|0} + \left( \sum_{k_1+1+k_2=k} m^{k_1|1|k_2} \circ \pi^{k_1|1|k_2} \right) + g^k \circ \pi^{0|0|k} \right) \oplus m_B^k \circ \pi^{0|0|k} \right)$$

The check that this satisfies the  $A_\infty$  relations is similar to definition 3.1.3. □

**Remark 3.2.5.** *In the category of differential graded algebras, there is a well defined fiber product given by*

$$A \cup_C B := \{(a, b) \mid f(a) = g(b)\}.$$

*This definition does not carry over to  $A_\infty$  algebras, as this construction implicitly uses the fact that morphisms of DGAs have well defined images. However, a morphism of  $A_\infty$  algebras do not have a well defined image, as the homotopies described by the  $f^k$  need not lie in the image of  $f^1$ .*

## 4 Mapping Cylinders

In the category of chain complexes, there is a dictionary between morphisms and mapping cylinders. In this section, we extend this dictionary to filtered  $A_\infty$  algebras.

## 4.1 Morphisms are Mapping Cylinders

**Definition 4.1.1.** Let  $f : A^- \rightarrow A^+$  be a morphism of  $A_\infty$  algebras. Let  $\text{id} : A^+ \rightarrow A^+$  be the identity. The mapping cylinder of  $f$  is the  $A_\infty$  fiber product

$$B_f := A^- \cup_{A^+} A^+.$$

We will denote a mapping cylinder as

$$A^- \hookrightarrow B_f \rightarrow A^+$$

**Definition 4.1.2.** Let  $A^+$  and  $A^-$  be two filtered  $A_\infty$  algebras. A cylinder from  $A^+$  to  $A^-$  is a filtered  $A_\infty$  algebra  $B$  which as a vector space is isomorphic to  $A^- \oplus A^+[1] \oplus A^+$ , and satisfies the following properties.

- The chain differential on  $B$  is the chain complex mapping cylinder:

$$\begin{pmatrix} m_{A^-}^1 & 0 & 0 \\ f^1 & m_{A^+}^1 & \text{id}_{A^+}[1] \\ 0 & 0 & m_{A^+}^1 \end{pmatrix}.$$

- The projections of chain complexes

$$\begin{array}{ccc} & B & \\ \swarrow \pi^- & & \searrow \pi^+ \\ A^- & & A^+ \end{array}$$

can be extended to  $A_\infty$  homomorphisms  $\pi_\pm^k$ , with  $\pi_\pm^k = 0$  for all  $k \neq 1$ .

We denote such a mapping cylinder

$$A^- \hookrightarrow B \rightarrow A^+.$$

The cylinders from  $A^-$  to  $A^+$  are in correspondence with morphisms  $f : A^- \rightarrow A^+$ .

**Theorem 4.1.3** (Cylinders are Mapping Cylinders). Let  $A^-$  and  $A^+$  be two filtered  $A_\infty$  algebras.

1. To every cylinder  $A^- \hookrightarrow B \rightarrow A^+$ , we can associate a morphism  $\Theta_B : A^- \rightarrow A^+$ .
2. To every morphism  $f : A^- \rightarrow A^+$ , we can associate a cylinder

$$A^- \hookrightarrow B_f \rightarrow A^+.$$

3. These constructions are compatible in the sense that  $\Theta_{B_f} = f$ .

*Proof.* Each statement is proven using statements from section 2 and section 3.

*Proof of item 1* By definition, a mapping cylinder is chain homotopic to its negative end. There exists a chain map

$$i : A^- \rightarrow Ba \mapsto (a, 0, -f^1(b))$$

The homological perturbation lemma allows us to construct the following associated  $A_\infty$  homomorphisms

$$\begin{array}{ccc} & B & \\ \swarrow \hat{i} & & \searrow \pi^+ \\ A^- & & A^+ \end{array}$$

$\pi^-$

By taking the composition  $\pi^+ \circ \hat{i}^-$ , we get a new map from  $A^- \rightarrow A^+$  called the *pullback-pushforward map*, which we will denote

$$\Theta_B = \pi^+ \circ \hat{i}^-.$$

*Proof of item 2* From construction, the chain structure on  $B_f$  fits the definition of a mapping cylinder.

*Proof of item 3* It remains to show that  $\Theta_{B_f} = f$ . An explicit computation suffices. One checks that

$$\pi^+ \circ \hat{i}^{(T,L)} = \begin{cases} 0 & T \text{ has more than 1 vertex} \\ h \circ m_B^k|_{(A^-)^{\otimes k}} & T \text{ has exactly 1 vertex} \end{cases}$$

and

$$h \circ m_B^k|_{(A^-)^{\otimes k}} = f^k.$$

which shows that the pullback-pushforward map agrees with  $f$ .  $\square$

## 4.2 Useful Comments about $A_\infty$ mapping cylinders.

**Remark 4.2.1.** *There is a small piece of confusing notation here. The mapping cylinder  $B$  is said to go from  $A^+$  to  $A^-$ . However, the codomain and domain of  $f_B : A^- \rightarrow A^+$  does not seem to match with this convention. Recall that if  $X^+$  and  $X^-$  are two different topological spaces, that a continuous map  $\theta : X^+ \rightarrow X^-$  has topology  $X^- \cup_\theta X^+ \times I$ , and gives a map on cohomology from  $C^\bullet(A^-) \rightarrow C^\bullet(A^+)$ . Since we've indexed our  $A_\infty$  algebras to be cohomological objects, the induced map from the mapping cylinder goes the opposite direction as expected.*

Filtered  $A_\infty$  algebras frequently show up as deformations of honest  $A_\infty$  algebras, where we may not have an explicit description of the terms at higher valuations. In many examples, we only want to compute a portion of the  $A_\infty$  structure. For this reason, the following corollary is useful.

**Claim 4.2.2.** *Suppose that  $B$  satisfies all of the conditions for a mapping cylinder, except we replace*

$$\begin{pmatrix} m_{A^-}^1 & 0 & 0 \\ f^1 & m_{A^+}^1 & h^{-1} \\ 0 & 0 & m_{A^+}^1 \end{pmatrix},$$

where  $h^{-1} : B \rightarrow B$  is an invertible chain isomorphism. Then there still exists an inclusion  $\hat{i} : A^- \rightarrow B$ , and a pullback-pushforward map  $\Theta_B : A^- \rightarrow A^+$ .

In some situations inspired from geometry, we will for instance know that  $h_{=0}^{-1}$ , the graded-energy portion of the map  $h$ , matches  $\text{id}_{A^+}[1]$ . This is sufficient to prove that  $h^{-1}$  is an isomorphism.

**Proposition 4.2.3** (Composition Rule). *Let  $f : A_0 \rightarrow A_1$  and  $g : A_1 \rightarrow A_2$  be two  $A_\infty$  homomorphism. The composition cylinder is defined by gluing two mapping cylinders together:*

$$\begin{array}{ccccc} & & A_1[1] & & A_2[1] \\ & \nearrow f & \nwarrow \text{id}_{A_1}[1] & \nearrow g & \nwarrow \text{id}_{A_2}[1] \\ A_0 & & A_1 & & A_2 \end{array}.$$

The composition cylinder is homotopic to  $B_{g \circ f}$ .

In many situations, we will know that  $h^{-1}_{=0} = \text{id}_{A^+}[1]$

*Proof.* We use the homological perturbation lemma to construct a  $A_\infty$  homotopy equivalence between

$$\left( \begin{array}{ccc} A_1[1] & & A_2[1] \\ & \swarrow \text{id}_{A_1[1]} & \nearrow g \\ & A_1 & \end{array} \right) \sim \left( \begin{array}{c} A_2[1] \end{array} \right)$$

Applying the replacement tool (lemma 2.2.1) produces the cylinder  $B_{g \circ f}$  from the composition cylinder, and a homotopy equivalence between these two  $A_\infty$  algebras.  $\square$

**Remark 4.2.4.** *Given  $B$  a mapping cylinder, it is not necessarily the case that  $B_{\Theta_B} = B$ , as there is more than one way to construct the fiber product structure. We expect that there is a notion of “homotopic relative ends” making  $B_{\Theta_B}$  equivalent to  $B$ . We explore this discrepancy in section 4.3.*

### 4.3 Example: $A \otimes I$

We look now specifically at the construction of the mapping cylinder of the identity. While this construction can be completely handled using the fiber product that we described before, it is useful from an expository perspective to consider this specific example, which sheds light on how exactly the module structure comes into play in the constructions of  $A_\infty$  algebras.

Before describing the  $A_\infty$  algebra, we will fix an analogy to differential geometry. Let us suppose that  $A$  is the Fukaya-Morse algebra  $CM^\bullet(X)$ , where  $X$  is a smooth compact manifold. We now look at the geometric mapping cylinder of the identity,  $X \times [0, 1]$ . Our construction of the mapping cylinder  $A \leftrightarrow B_{\text{id}} \rightarrow A$  should describe the Morse cochain complex on  $CM^\bullet(X \times [0, 1])$ . This requires understanding the chain complex  $CM^\bullet([0, 1])$ , and a Künneth formula for the Morse cochain complex.

We use the following model for the  $A_\infty$  algebra of the interval.

**Definition 4.3.1.** *The interval algebra is the differential graded algebra generated by*

$$I := \Lambda \langle e^-, x, e^+ \rangle$$

where

$$\begin{aligned} \deg(e^-) &= \deg(e^+) = 1 \\ \deg(x) &= 0 \end{aligned}$$

with differential and product structure defined on the basis:

$$\begin{aligned} m^1(e^\pm) &= x \\ m^2(e^\pm, e^\pm) &= e^\pm \\ m^2(x, e^\pm) &= -m^2(e^\pm, x) = x. \end{aligned}$$

There is a well defined tensor product of chain complexes, and so

$$CF^\bullet(X \times [0, 1]) = A \otimes I.$$

There is not an immediate way to construct an  $A_\infty$  algebra structure on  $A \times I$ . This in contrast to the setting of differential graded algebras, where there is a canonical tensor product of differential graded algebras. We provide two remarks clarifying the choices made in the construction of a tensor product.

**Considerations from Bar Complex** A standard trick for  $A_\infty$  algebras is to replace them with their bar-complexes,

$$\bar{T}A := A \oplus A^{\otimes 2} \oplus A^{\otimes 3} \oplus \cdots,$$

which can be made homotopic to  $A$  and are equipped with a dg-coalgebra structure.  $A_\infty$  homomorphisms become morphisms of these dg coalgebras.

Many of the constructions that we want to perform on the chain level between  $A_\infty$  algebras do not correspond to their chain level counterparts on the bar complex. Even in the settings of DGAs, it is not the case that

$$\bar{T}(A \otimes B) \neq \bar{T}A \otimes \bar{T}B.$$

If  $A$  and  $B$  are both differential graded algebras, then there is a homotopy equivalence between these two differential graded algebras, although this homotopy equivalence is not canonical. This shows the difficulty of using the bar-construction to define a tensor product.

In the setting of tautologically unobstructed  $A_\infty$  algebras, this non-canonical choice can be phrased in terms of picking a simplicial decomposition of the Stasheff associahedra [Lod11]. To our knowledge, this construction has not been extended to curved  $A_\infty$  algebras. We expect that these choices are being made in the background of the construction of cones of tautologically unobstructed  $A_\infty$  morphisms, and the fiber product of  $A_\infty$  algebras.

**Considerations about Perturbations.** We now give a geometric description for the choices made in constructing the  $A_\infty$  mapping cylinder. When defining the Fukaya-Morse algebra  $CM^\bullet(X \times [0, 1])$ , one needs to build a set of perturbations to achieve transversality of the moduli space of trees. The choices of perturbation data are not determined by choices of perturbation data used to define  $CM^\bullet(X)$  and  $CM^\bullet([0, 1])$ . As a result, one should not expect there to be some canonical comparison between  $CM^\bullet(X \times I)$  and  $CM^\bullet(X) \otimes CM^\bullet(I)$ .

One (natural, but by no means canonical) choice of perturbation data is to perturb the Morse flow trees in  $CM^\bullet(X \times [0, 1])$  in “left-to-right” order. This is the perturbation where the amount of perturbation in the  $[0, 1]$  coordinate applied to a leaf of a flow tree respects the ordering of the leaves of the tree.

This choice of perturbation corresponds to the following choice of higher products on  $A \otimes I$ .

**Definition 4.3.2.** We say that an element  $\bigotimes_{i=1}^k (a_i \otimes o_i) \in (A \otimes I)^{\otimes k}$  has ordered interval component if

$$\pi^{0|k} \left( \bigotimes_{i=1}^k (a_i \otimes o_i) \right) = e^- \otimes \cdots \otimes e^- \otimes x \otimes e^+ \otimes \cdots \otimes e^+.$$

**Claim 4.3.3.** Let  $A$  be a curved  $A_\infty$  algebra. Define an  $A_\infty$  structure on  $A \otimes I$  in the following way:

$$m^k \left( \bigotimes_{i=1}^k a_i \otimes o_i \right) := \begin{cases} m^k(a_1 \otimes \cdots \otimes a_k) \otimes e^- & \text{if } o_i = e^- \\ m^k(a_1 \otimes \cdots \otimes a_k) \otimes e^+ & \text{if } o_i = e^+ \\ m^k(a_1 \otimes \cdots \otimes a_k) \otimes x & \text{if } o_i \text{ is an ordered interval sequence} \\ 0 & \text{otherwise} \end{cases}$$

and the curvature term  $m^0$  by

$$m^0 = m_A^0 \otimes (e^+ + e^-).$$

This is an  $A_\infty$  algebra.

*Proof.* The proof is a computation of the  $A_\infty$  relations by hand. We compute

$$\sum_{j_1+j+j_2=k} m^{j_1+1+j_2} (\text{id}^{\otimes j_1} \otimes m^j \otimes \text{id}^{\otimes j_2}) \left( \bigotimes_{i=1}^k a_i \otimes o_i \right)$$

based on cases of the sequence of  $\{o_i\}$ .

- Suppose that all of the  $o_i = e^+$ . Then the  $A_\infty$  relation follows trivially from the  $A_\infty$  relations on  $A$ .
- Similarly, the  $A_\infty$  relations hold if all of the  $o_i$  are  $e^-$ .
- Suppose that the string  $o_i$  is ordered. Then for each  $j_1 + j + j_2 = k$ , the element

$$\left( \bigotimes_{i=1}^{j_1} a_i \otimes o_i \right) \otimes m^j \left( \bigotimes_{i=j_1+1}^{j_1+j} a_i \otimes o_i \right) \otimes \left( \bigotimes_{i=j_1+j+1}^k a_i \otimes o_i \right)$$

again has ordered interval component. Therefore, this reduces to the  $A_\infty$  relation on  $A$ .

- The string  $o_i$  is not interval ordered, all  $e^+$  or all  $e^-$ . Then the contracted string

$$\left( \bigotimes_{i=1}^{j_1} a_i \otimes o_i \right) \otimes m^j \left( \bigotimes_{i=j_1+1}^{j_1+j} a_i \otimes o_i \right) \otimes \left( \bigotimes_{i=j_1+j+1}^k a_i \otimes o_i \right)$$

is not interval ordered, all  $e^+$  or all  $e^-$ . The product evaluated on this term must be zero.  $\square$

## 5 Homotopies of Chain Maps

In this section, we show how to recover the definition of a homotopy of  $A_\infty$  homomorphisms from our mapping cylinder constructions.

**Definition 5.0.1.** Let  $f_-, f_+ : (A, m_A^k) \rightarrow (B, m_B^k)$  be a pair of  $A_\infty$  homomorphisms. A  $A_\infty$  homotopy between  $f_-$  and  $f_+$  is a set of maps  $h^k : A^{\otimes k} \rightarrow B[-1]$  satisfying the curved  $A_\infty$  homotopy relations

$$\sum_{j_1+i+j_2=k} \pm h^{j_1+j_2+1} (\text{id}^{\otimes j_1} \otimes m_A^i \otimes \text{id}^{\otimes j_2}) = f_-^{j_1} - f_+^{j_2} + \sum_{\substack{i_1^1+\dots+i_1^m=j_1 \\ i_2^1+\dots+i_2^n=j_2}} \pm m_B^j (f_-^{i_1^1} \otimes \dots \otimes f_-^{i_1^m} \otimes h^i \otimes f_+^{i_2^1} \otimes \dots \otimes f_+^{i_2^n})$$

A chain homotopy is filtered if  $h$  is a filtered map. A homotopy is weakly filtered if  $h^1 m_A^0$  has a positive valuation. The energy loss of a homotopy is least upper bound  $c$  so that  $h^k$  increases the filtration by at most  $k \cdot c$ .

Given an  $A_\infty$  algebra  $B$ , there are canonical projections  $\pi_\pm : B \times I \rightarrow B$ .

**Proposition 5.0.2.** Let  $f_-, f_+ : A \rightarrow B$  be two  $A_\infty$  homomorphisms. There exists a homotopy between  $f_-$  and  $f_+$  if and only if there exists an  $A_\infty$  homomorphism  $f_\pm : A \rightarrow B \otimes I$  so that  $\pi_- \circ f_\pm = f_-$  and  $\pi_+ \circ f_\pm = f_+$ .

*Proof.* For this example, we use notation from the explicit construction of the  $A_\infty$  structure on  $B \otimes I$  from claim 4.3.3. We first show that an  $A_\infty$  homomorphism  $f_\pm : A \rightarrow B \otimes I$  gives an  $A_\infty$  homotopy between  $\pi_- \circ f_\pm$  and  $\pi_+ \circ f_\pm$ . Define the map

$$h^k := \pi_{B \otimes x} \circ f^k : A^{\otimes k} \rightarrow B[1].$$



We also look at the preliminary equalities Starting with the preliminary equalities

$$\begin{aligned}\pi_{B \otimes e^+} \circ f_{\pm} &= f_{\pm} \\ \pi_{B \otimes e^-} \circ f_{\pm} &= f_{\pm} \\ \pi_{B \otimes x} m_{B \otimes E}^j &= m_B^j (\pi_{B \otimes e^-} \otimes \cdots \otimes \pi_{B \otimes e^-} \otimes \pi_{B \otimes x} \otimes \pi_{B \otimes e^+} \otimes \cdots \otimes \pi_{B \otimes e^+}) \otimes x\end{aligned}$$

We look at  $B \otimes x$  component of the quadratic  $A_{\infty}$  homomorphism relations.

$$\pi_{B \otimes x} \sum_{j_1+j+j_2=k} f_{\pm}^{j_1+j+j^2} (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2}) = \pi_{B \otimes x} \sum_{i_1+\cdots+i_j} m_{B \otimes I}^j (f^{i_1} \otimes \cdots \otimes f^{i_j}).$$

The left hand side of this relation is the left hand side of the  $A_{\infty}$  homotopy relations:

$$\pi_{B \otimes x} \sum_{j_1+j+j_2=k} f_{\pm}^{j_1+j+j^2} (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}_A^{\otimes j_2}) = \sum_{j_1+j+j_2=k} h^{j_1+j+j_2} (\text{id}_A^{\otimes j_1} \otimes m_A^j \otimes \text{id}) A^{\otimes j_2}.$$

Similarly, the right hand side of the  $A_{\infty}$  homomorphism relation gives the right hand side of the  $A_{\infty}$  homotopy relations.

$$\begin{aligned}\pi_{B \otimes x} \sum_{i_1+\cdots+i_j} m_{B \otimes I}^j (f^{i_1} \otimes \cdots \otimes f^{i_j}) \\ = f^- + f^+ + \sum_{i_1^-+\cdots+i_{j_1}^-+j+i_1^++\cdots+i_{j_2}^+=k} m_B^{j_1+1+j_2} (f_-^{i_1^-} \otimes \cdots \otimes f_-^{i_{j_1}^-} \otimes h^j \otimes f_+^{i_1^+} \otimes \cdots \otimes f_+^{i_{j_2}^+})\end{aligned}$$

The left hand side and right hand side together give us the  $A_{\infty}$  homotopy relations. A similar argument shows the reverse direction.  $\square$

With this viewpoint, the  $A_{\infty}$  homotopy equivalence can be described by maps to cylinders  $B \times I$ . Note that by section 4.3, there is not a canonical choice of  $A_{\infty}$  structure on  $B \otimes I$ , so the  $A_{\infty}$  homotopy relations constructed implicitly rely on the choice of  $A_{\infty}$  structure chosen for the cylinder. One take away from this discussion is that the  $A_{\infty}$  homotopy relations are not canonical, but exist up to some kind of homotopy.

The following  $A_{\infty}$  algebra shows up frequently in nature and can be a useful way to build homotopies between  $A_{\infty}$  homomorphisms.

**Definition 5.0.3.** Let  $A^{--}, A^{-+}, A^{+-},$  and  $A^{++}$  be four filtered  $A_{\infty}$  algebras. Let

$$\begin{aligned}A^{--} &\leftrightarrow B^{-\pm} \rightarrow A^{-+} \\ A^{--} &\leftrightarrow B^{\pm-} \rightarrow A^{+-} \\ A^{+-} &\leftrightarrow B^{+\pm} \rightarrow A^{++} \\ A^{-+} &\leftrightarrow B^{\pm+} \rightarrow A^{++}\end{aligned}$$

be four mapping cylinders. A homotopy square  $B^{\pm\pm}$  with edges  $B^{-\pm}, B^{\pm-}, B^{+\pm}, B^{\pm+}$  is a filtered  $A_{\infty}$  algebra, which as a vector space decomposes as

$$\begin{array}{ccccc} A^{--} & \longrightarrow & A^{+-} & \xleftarrow{\text{red}} & A^{+-} \\ \downarrow & \searrow & \downarrow & \swarrow & \downarrow \\ A^{-+}[1] & \longrightarrow & A^{++}[2] & \xleftarrow{\text{red}} & A^{++}[1] \\ \uparrow & \swarrow & \uparrow & \nwarrow & \uparrow \\ A^{-+} & \longrightarrow & A^{++}[1] & \xleftarrow{\text{red}} & A^{++} \end{array}$$

and presents as a mapping cylinder in two ways:

$$\begin{aligned} B^{-\pm} &\hookrightarrow B^{\pm\pm} \rightarrow B^{+\pm} \\ B^{\pm-} &\hookrightarrow B^{\pm\pm} \rightarrow B^{\pm+} \end{aligned}$$

where the maps are the obvious projections.

**Lemma 5.0.4** (Square Lemma). *Let  $B^{\pm\pm}$  be a homotopy square with edges  $B^{-\pm}, B^{\pm-}, B^{+\pm}, B^{\pm+}$ . Let*

$$\begin{aligned} \Theta^{-\pm} &: A^{--} \rightarrow A^{-+} \\ \Theta^{\pm-} &: A^{--} \rightarrow A^{+-} \\ \Theta^{+\pm} &: A^{+-} \rightarrow A^{++} \\ \Theta^{\pm+} &: A^{-+} \rightarrow A^{++} \end{aligned}$$

be the four pullback-pushforward  $A_\infty$  homomorphisms associated to the four edge mapping cylinders. There is a  $A_\infty$  homotopy between

$$\Theta^{\pm+} \circ \Theta^{-\pm} \sim \Theta^{+\pm} \circ \Theta^{\pm-}.$$

*Proof of  $A_\infty$  square lemma.* By using the replacement tool, 2.2.1 we can replace  $A^{++}$  with the homotopic cylinder

$$A^+ \times I \sim A^{++} \hookrightarrow A^{++}[1] \leftarrow A^{++}$$

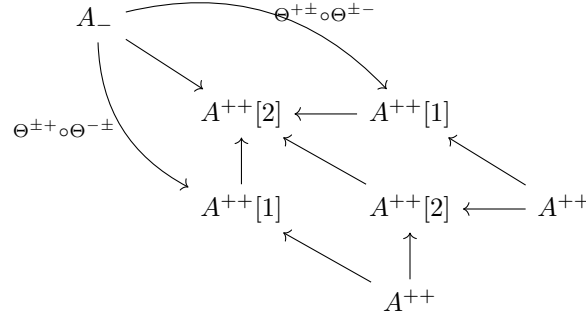
giving us the homotopic complex

$$\begin{array}{ccccc} A^{--} & \longrightarrow & A^{+-}[1] & \longleftarrow & A^{+-} \\ \downarrow & & \downarrow & & \downarrow \\ A^{-+}[1] & \longrightarrow & A^{++}[2] & \longleftarrow & A^{++}[1] \\ \uparrow & & \uparrow & \swarrow & \swarrow \\ A^{-+} & \longrightarrow & A^{++}[1] & & A^{++}[2] \longleftarrow A^{++} \\ & & & \nwarrow & \nwarrow \\ & & & A^{++} & \end{array}.$$

We can construct the following homotopy equivalences using the composition rule for mapping cylinders proposition 4.2.3,

$$\begin{aligned} &\left( \begin{array}{ccccc} & & A^{+-}[1] & & A^{++}[1] \\ & \nearrow \Theta^{\pm-} & \uparrow & \nearrow \Theta^{+\pm} & \\ A^{--} & & A^{+-} & & A^{++} \end{array} \right) \sim \left( \begin{array}{ccc} & & A^{++} \\ \nearrow \Theta^{+\pm} \circ \Theta^{\pm-} & & \uparrow \\ A^{--} & & A^{++} \end{array} \right) \\ &\left( \begin{array}{ccccc} & & A^{-+}[1] & & A^{++}[1] \\ & \nearrow \Theta^{-\pm} & \uparrow & \nearrow \Theta^{\pm+} & \\ A^{--} & & A^{-+} & & A^{++} \end{array} \right) \sim \left( \begin{array}{ccc} & & A^{++} \\ \nearrow \Theta^{\pm+} \circ \Theta^{-\pm} & & \uparrow \\ A^{--} & & A^{++} \end{array} \right) \end{aligned}$$

Applying the replacement tool, we can replace the sides of the homotopy square:



This gives us the structure of a mapping cylinder for a morphism  $\Theta^{\pm\pm} : A^{--} \rightarrow A^{++} \otimes I$ . By Proposition 5.0.2,  $\Theta^{\pm+} \circ \Theta^{-\pm} \sim \Theta^{\pm\pm} \circ \Theta^{\pm-}$  are  $A_\infty$  homotopic.  $\square$

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