

# Metric Convergence of Spectral Triples on the Sierpinski Gasket and Other Piecewise $C^1$ -fractal Curves

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February 8, 2021

Metric  
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Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

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Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

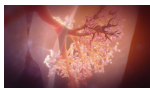
Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

## Finite Approximations of Fractals

- better understand how fractal structures arise and evolve in nature

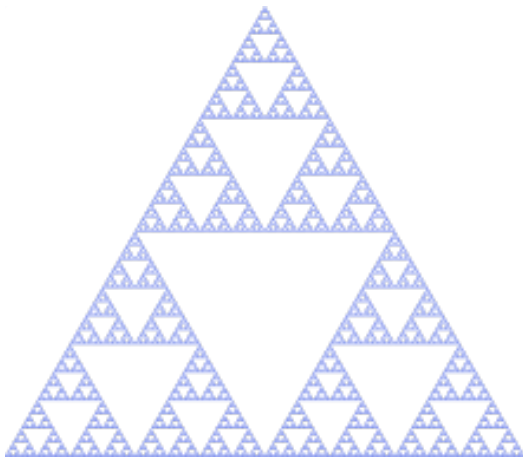


- extend methods from mathematical physics classically formulated on smooth manifolds to fractal spaces

## Tools from Noncommutative Geometry

- spectral triples
  - generalize differentiable structure
- Gromov-Hausdorff propinquity
  - extends Hausdorff distance to function spaces

# Motivating Example: The Sierpinski Gasket



Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

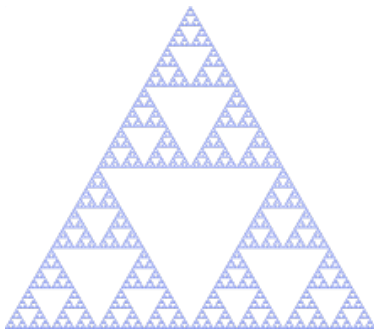
Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

# Motivating Example: The Sierpinski Gasket



Let  $p_i$  denote the vertices of a regular 3-simplex, and for  $i = 1;2;3$ , let

$$F_i x = \frac{1}{2}(x - p_i) + p_i:$$

The *Sierpinski gasket*  $SG$  is the unique nonempty compact subset of  $\mathbb{R}^2$  such that  $SG = \bigcup_{i=1}^3 F_i(SG)$ .

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

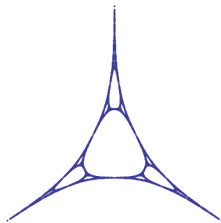
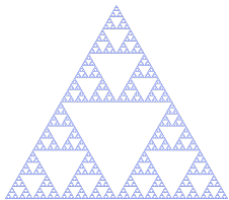
Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Piecewise $C^1$ -fractal Curve (Lapidus, Sarhad)

A *piecewise  $C^1$ -fractal curve* is a compact length space  $X \subset \mathbb{R}^n$  that satisfies the axioms below. Let  $L(\cdot)$  denote the length of the continuous curve parametrized by its arclength.

- | Axiom 1.  $X = \overline{R}$  where  $R = \bigcup_{j=1}^{\infty} R_j$  and  $R_j, j \in \mathbb{N}$ , is a rectifiable  $C^1$  curve with  $L(R_j) \rightarrow 0$  as  $j \rightarrow \infty$ .
- | Axiom 2. There exists a dense subset  $B \subset X$  such that for each  $p \in B$  and  $q \in X$ , one of the minimizing geodesics from  $p$  to  $q$  can be given as a countable (or finite) concatenation of the  $R_j$ 's.



Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Important Properties of Harmonic Functions on the Sierpinski Gasket

- |  $p_1 = (0;0), p_2 = (1;0), p_3 = (\frac{1}{2}; \frac{\sqrt{3}}{2})$
- |  $V_0 = \text{triangle}(p_1; p_2; p_3)$
- |  $V_n = \bigcup_{w \in \{1,2,3\}^n} F_w(V_0)$  where  $w$  is an  $n$ -dimensional vector and  $F_w$  denotes  $F_{w_1} \dots F_{w_n}$
- |  $V = \bigcup_{n=0}^{\infty} V_n$
- | If  $f$  and  $g$  are real-valued functions on  $SG_n$ , then the energy on  $SG_n$  is given by

$$E_n(f; g) = \sum_{x \sim_n y} (f(x) - f(y))(g(x) - g(y));$$

where  $x \sim_n y$  signifies that  $x$  and  $y$  are connected by a single edge in  $SG_n$ . An extension of  $f$  to  $V_{n+1}$  that minimizes  $E_{n+1}(f) := E_{n+1}(f; f)$  is defined as the *harmonic extension of  $f$  to  $V_{n+1}$* . A function  $f : V_n \rightarrow \mathbb{R}$  which, given its values at  $V_0$ , minimizes  $E_k(f)$  for each  $k = 1; 2; \dots; n$  is called a *harmonic function*.

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Important Properties of Harmonic Functions on the Sierpinski Gasket

| A real-valued function on  $V_0$  can be uniquely extended to a real-valued, harmonic function on  $SG$ .

| (Kigami) Let  $E(f;g) := \lim_{n \rightarrow \infty} \frac{5}{3}^{-n} E_n(f;g)$ , be a probability measure on  $SG$ ,  $f \in \text{dom}(E)$ , and  $u \in C(SG)$ . Note that  $g \in \text{dom}(E)$  if  $E(g;g) < 1$ .

Consider  $\Delta$ , the Laplacian on  $SG$  with respect to the measure  $\mu$ , i.e.  $\Delta f = u$  if

$$E(f;g) = \int_{SG} u g d\mu$$

for all  $g \in \text{dom}(E)$ . If  $f$  is harmonic, then  $f \in \text{dom}(\Delta)$  and  $\Delta f = 0$ . Conversely, if  $f \in \text{dom}(\Delta)$  and  $\Delta f = 0$ , then  $f$  is harmonic.

# Construction of the Harmonic Gasket

- |  $V_0 = f p_1; p_2; p_3 g$
- | for each  $j = 1; 2; 3$ ; let the function  $h_j : V_0 \rightarrow \mathbb{R}^3$  be given by  $h_j(p_k) = p_j(k)$  for  $k = 1; 2; 3$
- | extend  $h_j$  harmonically to  $V$  and by continuity to  $SG$
- |  $h : SG \rightarrow \mathbb{R}^3$  is defined by

$$h(x) = \begin{matrix} \circ \circ & 1 & \circ & 1 & 1 \\ & h_1(x) & & 1 & \\ \frac{1}{2} @ @ & h_2(x) & A & \frac{1}{3} @ & 1 A A \\ & h_3(x) & & 1 & \end{matrix}$$

- | the harmonic gasket,  $K_H$ , can be given by  $K_H := h(SG)$



# Approximating Piecewise $C^1$ -fractal Curves

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

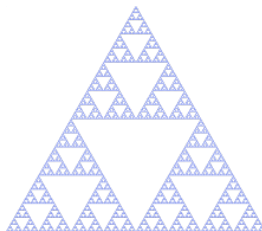
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Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

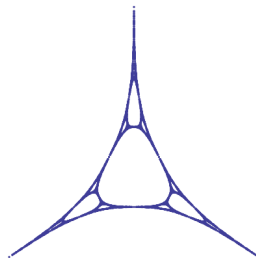


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# Approximation Sequence for a Piecewise $C^1$ -fractal Curve Compatible with a Given Parameterization (L., Lapidus, Latrémolière)

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Let  $X$  be a piecewise  $C^1$ -fractal curve with parameterization  $(R_j)_{j \in \mathbb{N}}$ . An approximation sequence  $(X_n)_{n \in \mathbb{N}}$  compatible with  $(R_j)_{j \in \mathbb{N}}$  is a strictly increasing function  $B : \mathbb{N} \rightarrow \mathbb{N}$  such that, for every  $\epsilon > 0$ , there exists  $n_2 \in \mathbb{N}$  such that if  $n \geq n_2$ , and letting

$$X_n = \bigcup_{j=1}^{B(n)} R_j,$$

$V_n$  denote the set of the endpoints of the curves

$$R_1; \dots; R_{B(n)},$$

$d_n$  be the geodesic distance on  $X_n$ ,

the following properties hold:

- (1)  $\text{Haus}_{d_n}(V_n; X_n) < \epsilon$ ,
- (2) the restriction of  $d_1$  to  $V_n \times V_n$  is  $d_n$ .

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Approximation Sequence for the Sierpinski Gasket

Let  $R_j$ , denote, for each  $j \geq 1$ , a continuous, injective functions to edges in  $SG_n$  such that

$R_j : [0; 1] \rightarrow$  the edges in  $SG_0$  for  $j = 1; 2; 3;$

$R_j : [0; 2^{-1}] \rightarrow$  the edges in  $SG_1$  for  $j = 4; 5; \dots; 12$

$R_j : [0; 2^{-2}] \rightarrow$  the edges in  $SG_2$  for  $j = 13; 14; \dots; 39$

$\vdots$

$R_j : [0; 2^{-n}] \rightarrow$  the edges in  $SG_n$  for  $j = 1 + \sum_{i=1}^n 3^i, \dots, 2 + \sum_{i=1}^n 3^i; \dots; 3^{n+1} + \sum_{i=1}^n 3^i$

Let  $B : \mathbb{N} \rightarrow \mathbb{N}$  be given by  $B(n) = \sum_{i=1}^{n+1} 3^i$ . Then  $B(n)$  defines an approximation sequence  $SG$  compatible with the parameterization  $(R_j)_{j \in \mathbb{N}}$ .

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Approximation Sequence for the Harmonic Gasket

Let  $B : \mathbb{N} \rightarrow \mathbb{N}$  be given by  $B(n) = \sum_{i=1}^{n+1} 3^i$ . Then  $B(n)$  defines an approximation sequence of  $\mathbb{H}^1$  compatible with the parameterization  $(R_j)_{j \in \mathbb{N}}$ .

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Spectral Triple (Connes)

Let  $A$  be a unital  $C^*$ -algebra. An unbounded Fredholm module  $(H; D)$  over  $A$  consists of a Hilbert space  $H$  together with a unital representation  $\pi$  of  $A$  into  $B(H)$  and an unbounded, self-adjoint operator  $D$  on  $H$  such that

1. the set

$\{ \pi(a) [D; a] \mid a \in A \}$  is densely defined

and extends to a bounded operator  $\pi(a)$

is dense in  $A$

2. the operator  $(I + D^2)^{-1/2}$  is compact.

If the underlying representation is faithful, then  $(A; H; D)$  is called a spectral triple and  $D$  a Dirac operator

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Theorem (Lapidus, Sarhad 2014)

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Let  $X$  be a compact length space that satisfies Axioms 1 and 2. Then  $X = \bigcup_{j=1}^{\infty} R_j$ , where  $R_j$  is a rectifiable  $C^1$  curve of length  $l_j$  for each  $j \in \mathbb{N}$ . Set

$$H_{l_j} := L^2([0, l_j]; (2l_j)^{-1} m)$$

$$D_{l_j} := D_{l_j}^0 + \frac{1}{2l_j} I, \text{ where } D_{l_j}^0 = \overline{i \frac{d}{dx} j_{\text{span}(\frac{l_j}{k})}} \text{ and}$$

$$j_k = \exp\left(\frac{ikx}{l_j}\right), k \in \mathbb{Z}$$

$$l_j(f)h(x) := f\left(\bigcup_{k \in \mathbb{Z}} (R_j(j_k x))\right) h(x) \text{ for } f \in C(X), h \in H_{l_j}$$

Then  $(C(X); \bigcup_{j=1}^{\infty} H_{l_j}; \bigcup_{j=1}^{\infty} D_{l_j})$  with  $\| \cdot \| = \sum_{j=1}^{\infty} l_j$  is a spectral triple for  $X$  that recovers  $d_1(x; y)$  via

$$\sup_j \| f(x) - f(y) \| : f \in C(X); \| [D_{l_j}, f] \|_{B(H_{l_j})} \quad 1g.$$

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Recovery of Geodesic Distance

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

$$d_1(p; q) = \inf \{ L(\gamma) : \gamma \text{ is a path from } p \text{ to } q \text{ where} \\ L(\gamma) = \int_p^q (g(\dot{\gamma}(x)))^{1/2} dx \}$$

is dual to

$$d_1(p; q)$$

$$= \sup \{ |f(p) - f(q)| : f \in C(X); \|f\|_{B(H_1)} \leq 1 \}$$

# Extending Hausdorff Distance to Function Spaces

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

!

$(SG_n) \xrightarrow{\text{Haus}_{\text{eucl}}} (SG)$

$(SG_n; d_n) \xrightarrow{\text{GH}} (SG; d_1)$

$(C(SG_n); L_{d_n}) \xrightarrow{?} (C(SG); L_{d_1})$



# Quantum Compact Metric Space (Rie el)

Let  $A$  be a unital  $C^*$ -algebra. The state space  $\mathcal{S}(A)$  of  $A$  is the set of positive linear functionals  $\phi$  of norm 1. If  $L$  is a seminorm defined on a dense subspace of the self-adjoint elements of  $A$  satisfying some form of Leibniz inequality and such that

$$f(a)^2 \leq L(a)^2 \quad \forall a \in \text{sa}(A)$$

and the associated Monge-Kantorovich distance that is, the metric defined for all  $\phi, \psi \in \mathcal{S}(A)$  by

$$mk_L(\phi, \psi) = \sup \left\{ \sum_{j=1}^n |\phi(a_j) - \psi(a_j)| : a_j \in \text{dom}(L); L(a_j) \leq 1 \right\}$$

metrizes the weak\* topology on  $\mathcal{S}(A)$ , then  $(A; L)$  is a quantum compact metric space  $(A; L)$ .

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Proximity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Proximity

# Metric Spectral Triples (Latrémolière )

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Let  $(A; H; D)$  be a spectral triple. Given a metric space  $(X, d)$ , set  $L_D(a) = \sum_{j \in \mathbb{Z}} |D - a_j| \chi_{[a_j, a_{j+1})}$ . If  $(A; L_D)$  is a quantum compact metric space, then  $(A; H; D)$  is a metric spectral triple

The spectral propinquity is a metric on the class of metric spectral triples.

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

# Theorem (Latrémolière 2018)

If  $(A; H; D)$  and  $(A^0; H^0; D^0)$  are metric spectral triples with spectral propinquity  $\text{spec}((A; H; D); (A^0; H^0; D^0)) = 0$ , then there exists a unitary  $U : H \rightarrow H^0$  and a  $*$ -isomorphism  $\alpha : A \rightarrow A^0$  such that

$$U D U^* = D^0;$$

and for every  $a \in A$  and  $\xi \in H^0$ ,

$$\alpha(a) \xi = (U a U^*) \xi.$$

Note that  $U$  is also a full quantum isometry- that is,  $L_{D^0} U = U L_D$ .

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

# Spectral Propinquity Metric Convergence of Spectral Triples of $SG$ (L., Lapidus, Latrémolière)

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Let  $f_j, g_j \in C^1([0, 1])$  be a parameterization of  $SG$  as a piecewise  $C^1$ -fractal curve and  $B(n)$  an approximation sequence of  $SG$  compatible with  $f_j, g_j \in C^1([0, 1])$ . Denote the Lapidus and Sarason spectral triple on  $SG$ ,  $(C(SG); \int_0^1 H_{f_j}; \int_0^1 \mathbb{D}_{f_j})$ , by  $(C(SG); H_1; \mathbb{D}_1)$  and  $(C(SG_{B(n)}); \int_{j=1}^{B(n)} H_{f_j}; \int_{j=1}^{B(n)} \mathbb{D}_{f_j})$ , by  $(C(SG_{B(n)}); H_{B(n)}; \mathbb{D}_{B(n)})$ .

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

When equipped with  $L_{\mathbb{D}_1}(a) := \|\int_0^1 \mathbb{D}_1(a)\|_{B(H_1)}$ ,  $(C(SG); L_{\mathbb{D}_1})$  is a quantum compact metric space. Similarly,  $(C(SG_{B(n)}); L_{\mathbb{D}_{B(n)}})$  is also a quantum compact metric space.

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

Moreover,

$$\lim_{n \rightarrow \infty} \text{spec}((C(SG_{B(n)}); H_{B(n)}; \mathbb{D}_{B(n)}); (C(SG); H_1; \mathbb{D}_1)) = 0:$$

# Spectral Propinquity Metric Convergence of Spectral Triples on $SG$ (L., Lapidus, Latrémolière)

Let  $fR_j g_{j \in 2\mathbb{N}}$  be a parameterization of  $SG$  as a piecewise  $C^1$ -fractal curve and  $B(n)$  an approximation sequence of  $SG$  compatible with  $fR_j g_{j \in 2\mathbb{N}}$ . Denote the Lapidus and Sarhad spectral triple on  $SG$ ,  $(C(SG); \bigcap_{j=1}^{\infty} H_j; \bigcap_{j=1}^{\infty} \mathcal{D}_j)$ , by  $(C(SG); H_1; \mathcal{D}_1)$  and  $(C(SG_{B(n)}); \bigcap_{j=1}^{B(n)} H_j; \bigcap_{j=1}^{B(n)} \mathcal{D}_j)$ , by  $(C(SG_{B(n)}); H_{B(n)}; \mathcal{D}_{B(n)})$ .

When equipped with  $L_{\mathcal{D}_1}(a) := jj[\mathcal{D}_1; (a)]jj_{B(H_1)}$ ,  $(C(SG); L_{\mathcal{D}_1})$  is a quantum compact metric space. Similarly,  $(C(SG_{B(n)}); L_{\mathcal{D}_{B(n)}})$  is also a quantum compact metric space.

Moreover,

$$\lim_{n \rightarrow \infty} \text{spec}((C(SG_{B(n)}); H_{B(n)}; \mathcal{D}_{B(n)}); (C(SG); H_1; \mathcal{D}_1)) = 0:$$

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

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Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

# Spectral Propinquity Metric Convergence of Spectral Triples on a Piecewise $C^1$ -fractal Curve (L., Lapidus, Latrémolière)

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Let  $fR_j g_{j \in 2\mathbb{N}}$  be a parameterization of  $X$  as a piecewise  $C^1$ -fractal curve and  $B(n)$  an approximation sequence of  $X$  compatible with  $fR_j g_{j \in 2\mathbb{N}}$ . Denote the Lapidus and Sarhad spectral triple on  $X$ ,  $(C(X); \sum_{j=1}^{\infty} H_{l_j}; \sum_{j=1}^{\infty} \mathcal{D}_{l_j})$ , by  $(C(SG); H_1; \mathcal{D}_1)$  and  $(C(X_{B(n)}); \sum_{j=1}^{B(n)} H_{l_j}; \sum_{j=1}^{B(n)} \mathcal{D}_{l_j})$ , by  $(C(X_{B(n)}); H_{B(n)}; \mathcal{D}_{B(n)})$ .

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

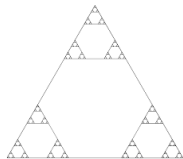
When equipped with  $L_{\mathcal{D}_1}(a) := \sum_{j \in \mathbb{N}} [ \mathcal{D}_1 ; (a) ] \sum_{j \in \mathbb{N}} B(H_1)$ ,  $(C(X); L_{\mathcal{D}_1})$  is a quantum compact metric space. Similarly,  $(C(X_{B(n)}); L_{\mathcal{D}_{B(n)}})$  is also a quantum compact metric space.

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

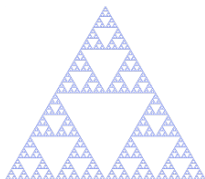
Moreover,

$$\lim_{n \rightarrow \infty} \text{spec}((C(X_{B(n)}); H_{B(n)}; \mathcal{D}_{B(n)}); (C(X); H_1; \mathcal{D}_1)) = 0:$$

# Work in Progress: The Stretched Sierpinski Gasket of Parameter $\alpha$ , $0 < \alpha < \frac{1}{3}$



#0 !



SG  $\xrightarrow{\text{Haus}_{d_{\text{eucl}}}}$  SG

$(C(SG); H_{SG_\alpha}; \mathcal{D}_{SSG_\alpha}) \xrightarrow{\text{Spectral Propinquity}} (C(SG); H_{SG}; \mathcal{D}_{SG})$

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

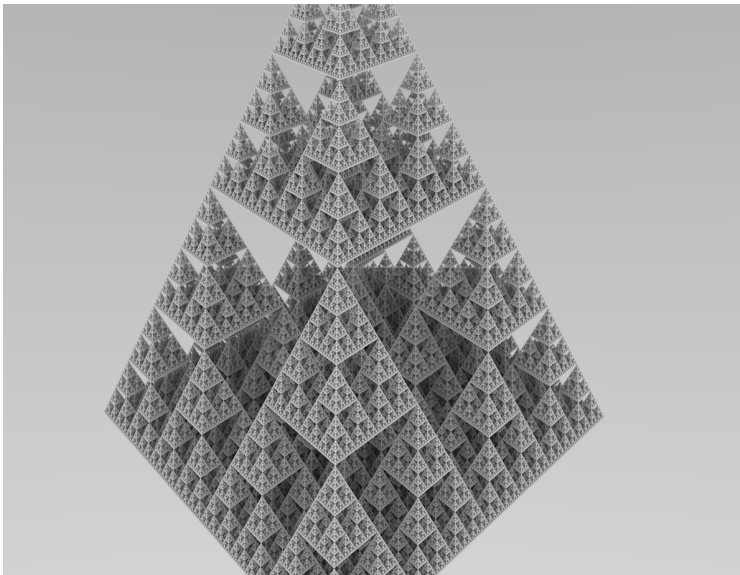
Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

# Future Work



Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

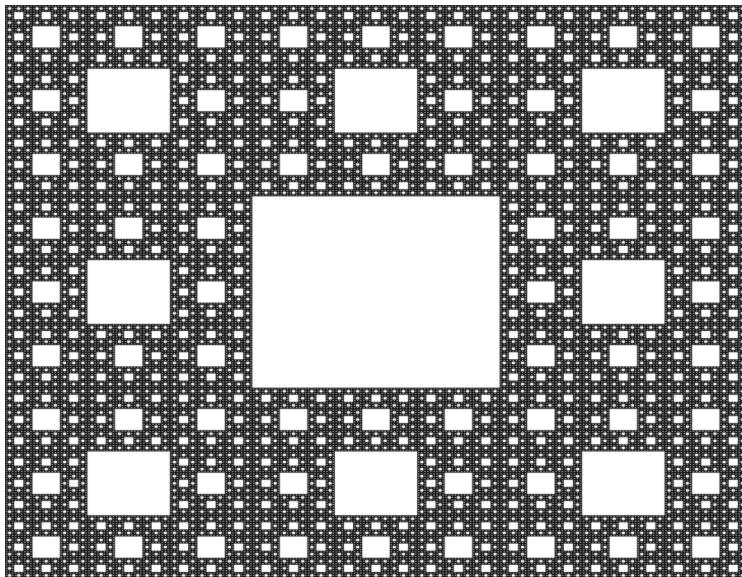
Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity



# Future Work



Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

Definition and Study of a "Fractal Manifold"

Classification of  $C^*$ -algebras on Fractals

Approximation of Laplacians on Fractals

Noncommutative Fractality

Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry





Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

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Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry


Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences


Spectral Triples


Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity

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Metric  
Convergence of  
Spectral Triples  
on the Sierpinski  
Gasket and  
Other Piecewise  
 $C^1$ -fractal  
Curves

T. Landry

Piecewise  
 $C^1$ -fractal  
Curves and  
Approximation  
Sequences

Spectral Triples

Gromov-  
Hausdorff  
Propinquity

Metric  
Approximations  
of Spectral  
Triples on  
Piecewise  
 $C^1$ -fractal Curves  
via the Spectral  
Propinquity