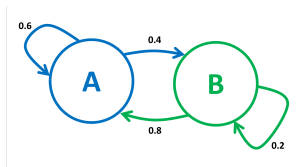


# Riesz transforms on compact quantum groups and strong solidity

Martijn Caspers – TU Delft  
February 1, 2021 at Virtual Berkeley

# Quantum Markov semi-groups

**Markov process:** Probabilistic process in which the subsequent state solely depends on the current state, and does not remember anything from the past.



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# Quantum Markov semi-groups

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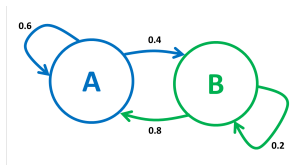
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**Markov process:** Probabilistic process in which the subsequent state solely depends on the current state, and does not remember anything from the past.



**Quantum probability:**

- **Probability space**  $\Rightarrow$  von Neumann algebra with a trace.
- **State**  $\Rightarrow$  density operators (positive, trace 1).
- **Markov maps**  $\Rightarrow$  trace preserving normal unital completely positive (ucp) maps (quantum channels).

# Basic definitions

**Von Neumann algebra:**  $*$ -subalgebra  $M$  of  $B(H)$  that is closed in the strong topology.

$M$  is called **finite** if there exists a normal tracial state  $\tau$  on  $M$ .

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**Examples:**  $\overline{\pi_\tau(A)}^{\text{strong}}$  of any GNS-representation of a UHF algebra with  $\tau$  a trace.

**Examples:**  $VN(\Gamma) = \overline{\{\lambda_w \mid w \in \Gamma\}}^{\text{strong}}$  with  $\Gamma$  group,  $\lambda_w \in B(\ell_2(\Gamma))$  with  $\lambda_w \delta_s = \delta_{ws}$ .

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$L_2(M) = L_2(M, \tau)$  **GNS-space** with respect to  $\tau$ . Completion of  $M$  with respect to

$$\langle x, y \rangle = \tau(y^* x), \quad x, y \in M.$$

$\Omega_\tau = 1_M$  cyclic vector.

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$\Phi : M \rightarrow M$  is called **completely positive** if

$$\text{id}_n \otimes \Phi : M_n(\mathbb{C}) \otimes M \rightarrow M_n(\mathbb{C}) \otimes M$$

is positive for all  $n$ , i.e. maps positive elements to positive elements.

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# Quantum Markov semi-groups

Setup:

- $M$  = finite von Neumann algebra.
- $\tau$  = normal faithful tracial state on  $M$
- $\Omega_\tau$  = cyclic vector for GNS-representation of  $M$

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A **quantum Markov semi-group**  $(\Phi_t)_{t \geq 0}$  is a semi-group of normal unital completely positive (ucp) maps on a von Neumann algebra  $M$  that is point-strongly continuous.

They yield  $L_2$ -maps by Kadison-Schwarz,

$$\Phi_t^{(2)} : x\Omega_\tau \mapsto \Phi_t(x)\Omega_\tau.$$

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Unbounded **generator**  $\Delta : \subseteq L_2(M) \rightarrow L_2(M)$  such that,

$$\Phi_t^{(2)}(x) = \exp(-t\Delta).$$

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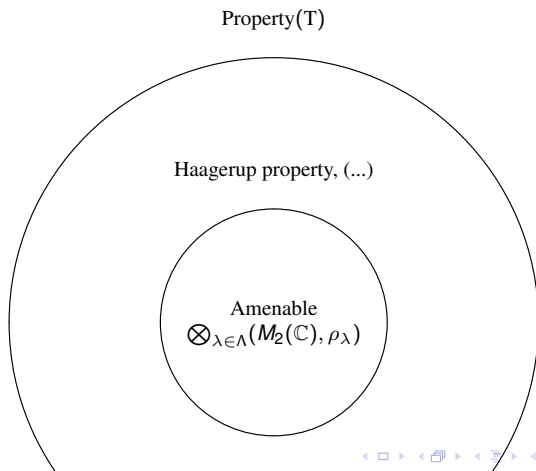
Unbounded **generator**  $\Delta : \subseteq L_2(M) \rightarrow L_2(M)$  such that,

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**Example:**  $VN(\mathbb{F}_n)$  with  $\Phi_t(\lambda_w) \exp(-t|w|)\lambda_w$  [Haagerup '78/79].

# Approximation properties

Some approximation/rigidity properties of von Neumann algebras:



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# Applications: amenability

**Definition:** A von Neumann algebra  $M$  is **amenable** if there is a net of normal finite rank unital completely positive maps  $\Phi_i : M \rightarrow M$  such  $\forall x \in M$  we have  $\Phi_i(x) \rightarrow x$  strongly.

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Theorem ( Cipriani-Sauvageot '17, see also C 20)

$M$  is **amenable** iff  $\exists$  a quantum Markov semi-group with generator  $\Delta$  with complete set of eigenvalues  $\Delta_k, k \in \mathbb{N}$  (multiplicity allowed) such that

$$\Delta_k \gg \log(k).$$



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**Example:** Let  $\alpha \in (0, \infty)$ . Then

$$\lambda_w \mapsto e^{-t|w|^\alpha} \lambda_w$$

is a QMS on  $VN(\mathbb{F}_n)$  if and only if  $0 < \alpha \leq 1$  [see also Bozejko].

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# Applications: Haagerup property

**Definition:** A finite von Neumann algebra  $M$  has **Haagerup property** if there is a net of normal trace preserving unital completely positive maps  $\Phi_i : M \rightarrow M$  such that  $\Phi_i^{(2)}$  is compact and  $\forall \xi \in L_2(M)$  we have  $\|\Phi_i^{(2)}\xi - \xi\| \rightarrow 0$ .

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$$\Delta_k \rightarrow \infty.$$



**Example:**  $VN(\mathbb{F}_n)$  has Haagerup property, since

$$\lambda_w \mapsto e^{-t|w|}\lambda_w$$

is a QMS on  $VN(\mathbb{F}_n)$  [Haagerup '78/79].

# Crash course strong solidity

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$M$  is called **strong solidity** [Ozawa-Popa '07] if for any diffuse amenable von Neumann subalgebra  $B \subseteq M$  the normalizing algebra

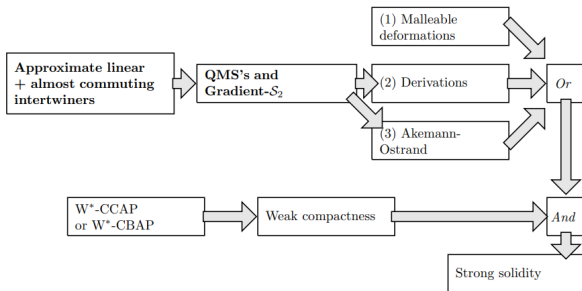
$$\{u \in M \text{ unitary} \mid uBu^* = B\}''$$

is again amenable.

**Remark:** In particular, strong solidity + non-amenability implies:

$$M \not\cong L_\infty(X) \rtimes \Lambda, \quad M \not\cong M_1 \otimes M_2.$$

# Crash course strong solidity



**References:** Ozawa, Ioana, Popa, Vaes, Isono, Peterson, Chifan, Sinclair, Udea,  
...

# Gradients of quantum Markov semi-group

± Theorem (Cipriani-Sauvageot):  $\exists$  is a derivation  $\nabla$  that is the square root of  $\Delta$

$\Delta$  generator of a quantum Markov semi-group on  $M$  with some extra technical conditions omitted. There exists

- A subspace  $\text{Dom}(\nabla) \subseteq \text{Dom}(\Delta) \subseteq L_2(M)$  that is moreover a  $*$ -algebra,
- An  $M$ - $M$ -bimodule  $\mathcal{H}_\nabla$ ,
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Definition **gradient bimodule**  $\mathcal{H}_\nabla$ . Assume for simplicity a dense  $*$ -algebra  $\text{Dom}(\nabla) \subseteq \text{Dom}(\Delta)$ . Consider inner products on  $\text{Dom}(\nabla) \otimes \text{Dom}(\nabla)$  by

$$\langle a \otimes b, c \otimes d \rangle = \langle \Gamma(a, c)b, d \rangle_\tau,$$

with gradient

$$\Gamma(a, c) = \frac{1}{2}(c^* \Delta(a) + \Delta(c)^* a - \Delta(c^* a)).$$

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$\mathcal{H}_\nabla$  is the completion of  $\text{Dom}(\nabla) \otimes \text{Dom}(\nabla)$  modulo its degenerate part. Set,

$$x \cdot (a \otimes b) = xa \otimes b - x \otimes ab, \quad (a \otimes b) \cdot x = a \otimes bx,$$

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**Leibniz rule:**  $\nabla(xy) = x\nabla(y) + \nabla(x)y$ . **Root:**  $\nabla^* \bar{\nabla} = \Delta$ .

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# Non-commutative Riesz transforms

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We now define

$$\nabla \Delta^{-\frac{1}{2}} : L_2(M) \rightarrow \mathcal{H}_\nabla$$

called the **Riesz transform**.

The Riesz transform is isometric:

$$\begin{aligned} \langle \nabla \Delta^{-\frac{1}{2}}(x), \nabla \Delta^{-\frac{1}{2}}(x) \rangle_{\mathcal{H}_\nabla} &= \langle \nabla^* \nabla \Delta^{-\frac{1}{2}}(x), \Delta^{-\frac{1}{2}}(x) \rangle_{L_2(M)} \\ &= \langle \Delta \Delta^{-\frac{1}{2}}(x), \Delta^{-\frac{1}{2}}(x) \rangle_{L_2(M)} \\ &= \langle x, x \rangle_{L_2(M)}, \end{aligned}$$

(for  $x$  in a suitable dense domain).

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## Definition: Akemann-Ostrand

A (finite) von Neumann algebra  $M$  has the Akemann-Ostrand property if there exists a dense unital  $C^*$ -subalgebra  $A \subseteq M$  such that

- 1  $A$  is locally reflexive.
- 2 There exists a ucp map

$$\theta : A \otimes_{\min} A^{\text{op}} \rightarrow B(L_2(M))$$

such that  $\theta(a \otimes b^{\text{op}}) - ab^{\text{op}}$  is compact for all  $a, b \in A$ .

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Suppose that  $A \subseteq M$  is a locally reflexive  $C^*$ -subalgebra.

**Proposition (C, Isono, Wasilewski)**

Suppose that

- 1  $H_{\nabla}$  is weakly contained in  $L_2(M) \otimes L_2(M)$ .
- 2  $(a \otimes b^{\text{op}}) \circ \nabla \Delta^{-\frac{1}{2}} = \nabla \Delta^{-\frac{1}{2}} \circ \pi_l(a) \pi_r(b^{\text{op}})$  is compact  $\forall a, b \in A$ .

Then  $M$  satisfies the Akemann-Ostrand property.

*Proof.*  $\theta(a \otimes b^{\text{op}}) := (\nabla \Delta^{-\frac{1}{2}})^*(a \otimes b^{\text{op}}) \nabla \Delta^{-\frac{1}{2}}$  will do.

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*Proof.*  $\theta(a \otimes b^{\text{op}}) := (\nabla \Delta^{-\frac{1}{2}})^*(a \otimes b^{\text{op}}) \nabla \Delta^{-\frac{1}{2}}$  will do.

**Theorem (C-Isono-Wasilewski):** Condition 2 is in most cases easy to check.

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If for all  $a, b \in \mathcal{A} \subseteq M, t \geq 0$  the following map

$$\psi_t^{a,b} : x \mapsto \Phi_t(\Delta(axb) + a\Delta(x)b - a\Delta(xb) - \Delta(ax)b)$$

extends to a bounded map  $L_2(M) \rightarrow L_2(M)$  that is moreover Hilbert-Schmidt for  $t > 0$ , then we say that  $\Phi$  is [gradient- \$S\_2\$](#) .

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## Proposition (C)

Gradient- $S_2$  implies that  $H_{\nabla}$  is weakly contained in  $L_2(M) \otimes L_2(M)$  (Condition 1).

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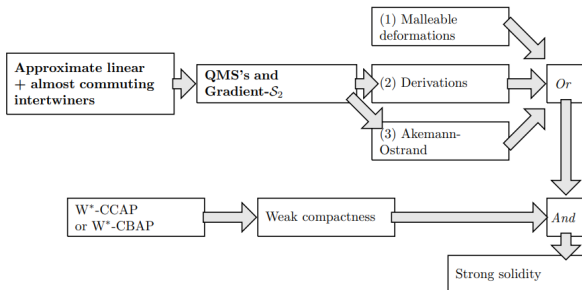
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# Gaussian algebras

Fix  $H = \mathbb{C}^n$  finite dimensional Hilbert space  $\Rightarrow$  Set Fock space:

$$F = \mathbb{C}\Omega \oplus H \oplus (H \otimes H) \oplus (H \otimes H \otimes H) \oplus (H \otimes H \otimes H \otimes H) \oplus \dots$$

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$$F = \mathbb{C}\Omega \oplus H \oplus (H \otimes H) \oplus (H \otimes H \otimes H) \oplus (H \otimes H \otimes H \otimes H) \oplus \dots$$

Consider creation and annihilation operators:

$$\begin{aligned} a^*(\xi) : \eta_1 \otimes \dots \otimes \eta_n &= \xi \otimes \eta_1 \otimes \dots \otimes \eta_n, \\ a(\xi) : \eta_1 \otimes \dots \otimes \eta_n &= \langle \xi, \eta_1 \rangle \eta_2 \otimes \dots \otimes \eta_n. \end{aligned}$$

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**Voiculescu's free Gaussian algebra:**  $\Gamma(H) = \{a(\xi) + a^*(\xi) \mid \xi \in H\}''$ .

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# Gaussian algebras

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Fix  $H = \mathbb{C}^n$  finite dimensional Hilbert space  $\Rightarrow$  Set Fock space:

$$F = \mathbb{C}\Omega \oplus H \oplus (H \otimes H) \oplus (H \otimes H \otimes H) \oplus (H \otimes H \otimes H \otimes H) \oplus \dots$$

Consider creation and annihilation operators:

$$\begin{aligned} a^*(\xi) : \eta_1 \otimes \dots \otimes \eta_n &= \xi \otimes \eta_1 \otimes \dots \otimes \eta_n, \\ a(\xi) : \eta_1 \otimes \dots \otimes \eta_n &= \langle \xi, \eta_1 \rangle \eta_2 \otimes \dots \otimes \eta_n. \end{aligned}$$

**Voiculescu's free Gaussian algebra:**  $\Gamma(H) = \{a(\xi) + a^*(\xi) \mid \xi \in H\}''$ .

**Remark:** Can  $q$ -symmetrize the inner product  $\Rightarrow$   **$q$ -Gaussian algebras**  $q \in [-1, 1]$  (Bozejko-Speicher, 1993).

- $q = 1$  bosonic.
- $q = -1$  fermionic, harmonic oscillator.
- $q = 0$  free, c.f. above.

[Bozejko-(Kümmerer)-Speicher].

# Quantum Markov semi-groups

**Example** (continued):  $\xi_1 \otimes \dots \otimes \xi_n \in F$  in the Fock space. Then  $\exists W(\xi_1 \otimes \dots \otimes \xi_n) \in \Gamma(H)$  such that

$$W(\xi_1 \otimes \dots \otimes \xi_n)\Omega = \xi_1 \otimes \dots \otimes \xi_n.$$

Note: this is quantization.

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The Fock space semi-group

$$\Phi_t^{(2)} : F \rightarrow F : \xi_1 \otimes \dots \otimes \xi_n \mapsto e^{-tn}\xi_1 \otimes \dots \otimes \xi_n.$$

lifts to the algebra level

$$\Phi_t : \Gamma(H) \rightarrow \Gamma(H) : W(\xi_1 \otimes \dots \otimes \xi_n) \mapsto e^{-tn}W(\xi_1 \otimes \dots \otimes \xi_n).$$

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$(\Phi_t)_{t \geq 0}$  is a quantum Markov semi-group (Ornstein-Uhlenbeck semi-group).

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## Theorem (C-Isono-Wasilewski)

$(\Phi_t)_{t \geq 0}$  is immediately gradient- $\mathcal{S}_2$  if

$$|q| \leq \dim(H)^{-1/2},$$

and consequently  $\Gamma_q(H)$  has the Akemann-Ostrand property.

## Theorem (Shlyakhtenko)

$\Gamma_q(H)$  has the Akemann-Ostrand property for  $|q| < \sqrt{2} - 1$  and  $H$  finite dimensional.

## Theorem (Avsec)

$\Gamma_q(H)$  is strongly solid for all  $q \in (-1, 1)$  and  $H$  finite dimensional.

## Open questions:

- Strong solidity with  $H$  infinite dimensional.
- Akemann-Ostrand property beyond the range  $|q| \leq \max(\sqrt{2} - 1, \dim(H)^{1/2})$ .



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A **compact quantum group** is a pair  $\mathbb{G} := (A, \Delta_A)$  with  $A$  a unital  $C^*$ -algebra and  $\Delta_A : A \rightarrow A \otimes A$  a comultiplication such that

$$(\Delta_A \otimes \text{id})\Delta_A = (\text{id} \otimes \Delta_A)\Delta_A,$$

and such that

$$\Delta_A(A)(A \otimes 1) \quad \text{and} \quad \Delta_A(A)(1 \otimes A),$$

are dense in  $A \otimes A$ .

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**Free orthogonal quantum group**  $O_N^+$  is generated by a matrix  $u = (u_{ij})_{ij}$  with the relations that  $u$  is unitary and  $\bar{u} = u$ . Comultiplication:

$$\Delta_{O_N^+}(u_{ij}) = \sum_{k=1}^n u_{ik} \otimes u_{kj}.$$

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## Theorem (C)

Let  $\mathbb{G} = (A, \Delta_A)$  be a quantum group with tracial Haar state  $\tau$  that can be obtained from  $O_N^+$  (equivalently  $SU_q(2)$ ) through (repeated) applications of:

- Taking free products;
- Taking any monoidally equivalent compact quantum group;
- Taking a dual quantum subgroup;
- Taking a free wreath product with  $S_N^+$  [Lemeux-Tarrago];
- Taking a tensor product with a finite (quantum) group.

Then  $L_\infty(\mathbb{G}) = \pi_\tau(\mathbb{G})''(A)$  admits a quantum Markov semi-group that is gradient- $\mathcal{S}_2$ .

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Consequently (using [de Commer-Freslon-Yamshita] for CCAP + [Isono, Popa-Vaes]) it is strongly solid.

- All non-crossing (non-colored) partition/easy quantum groups clasified by Banica-Speicher and Weber.
- Hyperoctahedral series of quantum groups.