

A random matrix approach to the
Peterson-Thom conjecture

Ben Hayes

University of Virginia

November 16, 2020

Let G be a countable, discrete, group and $\lambda: G \rightarrow \mathcal{U}(\ell^2(G))$ be given by

$$(\lambda(g)\xi)(h) = \xi(g^{-1}h) \text{ for } g, h \in \Gamma, \xi \in \ell^2(G).$$

Let G be a countable, discrete, group and $\lambda: G \rightarrow \mathcal{U}(\ell^2(G))$ be given by

$$(\lambda(g)\xi)(h) = \xi(g^{-1}h) \text{ for } g, h \in \Gamma, \xi \in \ell^2(G).$$

We define the von Neumann algebra of G , denoted $L(G)$,

Let G be a countable, discrete, group and $\lambda: G \rightarrow \mathcal{U}(\ell^2(G))$ be given by

$$(\lambda(g)\xi)(h) = \xi(g^{-1}h) \text{ for } g, h \in \Gamma, \xi \in \ell^2(G).$$

We define the von Neumann algebra of G , denoted $L(G)$, by

$$L(G) = \overline{\text{span}\{\lambda(g) : g \in \Gamma\}}^{SOT},$$

Let G be a countable, discrete, group and $\lambda: G \rightarrow \mathcal{U}(\ell^2(G))$ be given by

$$(\lambda(g)\xi)(h) = \xi(g^{-1}h) \text{ for } g, h \in \Gamma, \xi \in \ell^2(G).$$

We define the von Neumann algebra of G , denoted $L(G)$, by

$$L(G) = \overline{\text{span}\{\lambda(g) : g \in \Gamma\}}^{SOT},$$

here SOT is the strong operator topology defined by $T_n \in B(\mathcal{H})$ converges to $T \in B(\mathcal{H})$ SOT by $\|(T_n - T)\xi\| \rightarrow_{n \rightarrow \infty} 0$ for all $\xi \in \mathcal{H}$.

Let G be a countable, discrete, group and $\lambda: G \rightarrow \mathcal{U}(\ell^2(G))$ be given by

$$(\lambda(g)\xi)(h) = \xi(g^{-1}h) \text{ for } g, h \in \Gamma, \xi \in \ell^2(G).$$

We define the von Neumann algebra of G , denoted $L(G)$, by

$$L(G) = \overline{\text{span}\{\lambda(g) : g \in \Gamma\}}^{SOT},$$

here SOT is the strong operator topology defined by $T_n \in B(\mathcal{H})$ converges to $T \in B(\mathcal{H})$ SOT by $\|(T_n - T)\xi\| \rightarrow_{n \rightarrow \infty} 0$ for all $\xi \in \mathcal{H}$.

We are interested in the case $G = \mathbb{F}_r$ for $r > 1$, in this case $L(G)$ is the vNa generated by r free Haar unitaries.

M a vNa is *hyperfinite* if $\exists M_n \leq M$ for $n \in \mathbb{N}$,

Hyperfiniteness

M a vNa is *hyperfinite* if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$,

M a vNa is *hyperfinite* if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$, and

$$M = \overline{\bigcup_{n=1}^{\infty} M_n}.$$

M a vNa is *hyperfinit*e if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$, and

$$M = \overline{\bigcup_{n=1}^{\infty} M_n}.$$

Work of Connes & Haagerup classifies hyperfinite vNa's and shows equivalence to many other properties such as injectivity/amenability.

M a vNa is *hyperfinitesimal* if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$, and

$$M = \overline{\bigcup_{n=1}^{\infty} M_n}.$$

Work of Connes & Haagerup classifies hyperfinite vNa's and shows equivalence to many other properties such as injectivity/amenability.

We say $N \leq M$ is *maximal amenable* if N is amenable and for every $N \leq Q \leq M$ with Q amenable we have $N = Q$.

M a vNa is *hyperfinitesimal* if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$, and

$$M = \overline{\bigcup_{n=1}^{\infty} M_n}.$$

Work of Connes & Haagerup classifies hyperfinite vNa's and shows equivalence to many other properties such as injectivity/amenability.

We say $N \leq M$ is *maximal amenable* if N is amenable and for every $N \leq Q \leq M$ with Q amenable we have $N = Q$.

Conjecture (PT-Conjecture)

Let $r > 1$ and $N \leq L(\mathbb{F}_r)$ a diffuse hyperfinite vNa.

M a vNa is *hyperfinitesimal* if $\exists M_n \leq M$ for $n \in \mathbb{N}$, $M_n \subseteq M_{n+1}$, and

$$M = \overline{\bigcup_{n=1}^{\infty} M_n}.$$

Work of Connes & Haagerup classifies hyperfinite vNa 's and shows equivalence to many other properties such as injectivity/amenability.

We say $N \leq M$ is *maximal amenable* if N is amenable and for every $N \leq Q \leq M$ with Q amenable we have $N = Q$.

Conjecture (PT-Conjecture)

Let $r > 1$ and $N \leq L(\mathbb{F}_r)$ a diffuse hyperfinite vNa . Then there exists a unique maximal amenable $P \leq L(\mathbb{F}_r)$ with $N \leq P$.

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

PT-Conjecture is equivalent to saying that if $N \leq L(\mathbb{F}_r)$ is maximal amenable, then it has the absorbing amenability property.

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

PT-Conjecture is equivalent to saying that if $N \leq L(\mathbb{F}_r)$ is maximal amenable, then it has the absorbing amenability property.

Popa gave the first nontrivial and unexpected examples of maximal amenable subalgebras,

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

PT-Conjecture is equivalent to saying that if $N \leq L(\mathbb{F}_r)$ is maximal amenable, then it has the absorbing amenability property.

Popa gave the first nontrivial and unexpected examples of maximal amenable subalgebras, a modification due to Houdayer of Popa's *asymptotic orthogonality property* allows one to show many known maximal amenable subalgebras have the AAP,

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

PT-Conjecture is equivalent to saying that if $N \leq L(\mathbb{F}_r)$ is maximal amenable, then it has the absorbing amenability property.

Popa gave the first nontrivial and unexpected examples of maximal amenable subalgebras, a modification due to Houdayer of Popa's *asymptotic orthogonality property* allows one to show many known maximal amenable subalgebras have the AAP, (work of Houdayer, Brother-Wen, Boutonett-Carderi, Parekh-Shimada-Wen, Leary).

Absorbing Amenability Property

We say that $N \leq M$ has the *absorbing amenability property* if whenever $Q \leq M$ is amenable and $Q \cap N$ is diffuse, then $Q \leq N$.

PT-Conjecture is equivalent to saying that if $N \leq L(\mathbb{F}_r)$ is maximal amenable, then it has the absorbing amenability property.

Popa gave the first nontrivial and unexpected examples of maximal amenable subalgebras, a modification due to Houdayer of Popa's *asymptotic orthogonality property* allows one to show many known maximal amenable subalgebras have the AAP, (work of Houdayer, Brother-Wen, Boutonett-Carderi, Parekh-Shimada-Wen, Leary).

We outline a random matrix approach.

A *tracial von Neumann algebra* is a pair (M, τ) where M is a vNa and $\tau: M \rightarrow \mathbb{C}$ is a faithful, normal, tracial state.

A *tracial von Neumann algebra* is a pair (M, τ) where M is a vNa and $\tau: M \rightarrow \mathbb{C}$ is a faithful, normal, tracial state.

Classical probability: if X is an L^∞ \mathbb{R} -value random variable its *law* is the compactly supported measure μ_X satisfying

$$\mathbb{E}(f(X)) = \int f d\mu_X \text{ if } f: \mathbb{R} \rightarrow \mathbb{R} \text{ is bounded, Borel.}$$

A *tracial von Neumann algebra* is a pair (M, τ) where M is a vNa and $\tau: M \rightarrow \mathbb{C}$ is a faithful, normal, tracial state.

Classical probability: if X is an L^∞ \mathbb{R} -value random variable its *law* is the compactly supported measure μ_X satisfying

$$\mathbb{E}(f(X)) = \int f d\mu_X \text{ if } f: \mathbb{R} \rightarrow \mathbb{R} \text{ is bounded, Borel.}$$

(M, τ) a tracial vNa and $x \in M^r$.

Tracial vNa's and laws

A *tracial von Neumann algebra* is a pair (M, τ) where M is a vNa and $\tau: M \rightarrow \mathbb{C}$ is a faithful, normal, tracial state.

Classical probability: if X is an L^∞ \mathbb{R} -value random variable its *law* is the compactly supported measure μ_X satisfying

$$\mathbb{E}(f(X)) = \int f d\mu_X \text{ if } f: \mathbb{R} \rightarrow \mathbb{R} \text{ is bounded, Borel.}$$

(M, τ) a tracial vNa and $x \in M^r$. Let $\mathbb{C}^*\langle T_1, \dots, T_r \rangle$ be the algebra of NC $*$ -polys in r -variables.

A *tracial von Neumann algebra* is a pair (M, τ) where M is a vNa and $\tau: M \rightarrow \mathbb{C}$ is a faithful, normal, tracial state.

Classical probability: if X is an L^∞ \mathbb{R} -value random variable its *law* is the compactly supported measure μ_X satisfying

$$\mathbb{E}(f(X)) = \int f d\mu_X \text{ if } f: \mathbb{R} \rightarrow \mathbb{R} \text{ is bounded, Borel.}$$

(M, τ) a tracial vNa and $x \in M^r$. Let $\mathbb{C}^*\langle T_1, \dots, T_r \rangle$ be the algebra of NC $*$ -polys in r -variables. Define the law of x

$$\ell_x: \mathbb{C}^*\langle T_1, \dots, T_r \rangle \rightarrow \mathbb{C}$$

by $\ell_x(P) = \tau(P(x))$.

Let Σ_r be the space of laws in r -variables.

Let Σ_r be the space of laws in r -variables. As a subset of the algebraic dual of $\mathbb{C}\langle T_1, \dots, T_r \rangle$ we can give Σ_r the weak*-topology.

Let Σ_r be the space of laws in r -variables. As a subset of the algebraic dual of $\mathbb{C}\langle T_1, \dots, T_r \rangle$ we can give Σ_r the weak*-topology.

So if $(M_n, \tau_n), (M, \tau)$ are tracial,

Let Σ_r be the space of laws in r -variables. As a subset of the algebraic dual of $\mathbb{C}\langle T_1, \dots, T_r \rangle$ we can give Σ_r the weak*-topology.

So if $(M_n, \tau_n), (M, \tau)$ are tracial, $x_n \in M_n^r, x \in M^r$,

Let Σ_r be the space of laws in r -variables. As a subset of the algebraic dual of $\mathbb{C}\langle T_1, \dots, T_r \rangle$ we can give Σ_r the weak*-topology.

So if $(M_n, \tau_n), (M, \tau)$ are tracial, $x_n \in M_n^r, x \in M^r$, then $\ell_{x_n} \rightarrow \ell_x$ weak* if $\tau_n(P(x_n)) \rightarrow \tau(P(x))$.

Let Σ_r be the space of laws in r -variables. As a subset of the algebraic dual of $\mathbb{C}^*\langle T_1, \dots, T_r \rangle$ we can give Σ_r the weak*-topology.

So if $(M_n, \tau_n), (M, \tau)$ are tracial, $x_n \in M_n^r, x \in M^r$, then $\ell_{x_n} \rightarrow \ell_x$ weak* if $\tau_n(P(x_n)) \rightarrow \tau(P(x))$.

For $C > 0$ the space $\Sigma_{r,C}$ corresponding to laws ℓ_x with $\|x_j\| \leq C$ is wk*-compact.

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$:

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$: first proof of absence of Cartan subalgebras (Voiculescu),

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$: first proof of absence of Cartan subalgebras (Voiculescu), primeness (Ge),

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$: first proof of absence of Cartan subalgebras (Voiculescu), primeness (Ge), non-Gamma (Voiculescu),

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$: first proof of absence of Cartan subalgebras (Voiculescu), primeness (Ge), non-Gamma (Voiculescu), thinness of hyperfinite subalgebras (Ge-Popa),

Voiculescu's Asymptotic Freeness Theorem

Theorem (Voiculescu's Asymptotic Freeness theorem)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$\ell_{X^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_s$$

where $s = (s_1, \dots, s_r)$ is an r -tuple of freely independent semicirculars of mean zero and variance 1.

Voiculescu's Asymptotic Freeness theorem has numerous consequences for $L(\mathbb{F}_r)$: first proof of absence of Cartan subalgebras (Voiculescu), primeness (Ge), non-Gamma (Voiculescu), thinness of hyperfinite subalgebras (Ge-Popa), \dots .

Strong Topology on Laws

The strong topology on Σ_r is defined by saying that $l_{x_n} \rightarrow l_x$ strongly if

Strong Topology on Laws

The strong topology on Σ_r is defined by saying that $l_{x_n} \rightarrow l_x$ strongly if

- $l_{x_n} \rightarrow l_x$ weak*,

Strong Topology on Laws

The strong topology on Σ_r is defined by saying that $l_{x_n} \rightarrow l_x$ strongly if

- $l_{x_n} \rightarrow l_x$ weak*,
- $\|P(x_n)\| \rightarrow \|P(x)\|$ for all $P \in \mathbb{C}^*\langle T_1, \dots, T_r \rangle$

Strong Topology on Laws

The strong topology on Σ_r is defined by saying that $l_{x_n} \rightarrow l_x$ strongly if

- $l_{x_n} \rightarrow l_x$ weak*,
- $\|P(x_n)\| \rightarrow \|P(x)\|$ for all $P \in \mathbb{C}^*\langle T_1, \dots, T_r \rangle$

Theorem (Haagerup-Thorbjørnsen)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$l_{X^{(N)}} \xrightarrow{N \rightarrow \infty} l_s$$

strongly.

Strong Topology on Laws

The strong topology on Σ_r is defined by saying that $l_{x_n} \rightarrow l_x$ strongly if

- $l_{x_n} \rightarrow l_x$ weak*,
- $\|P(x_n)\| \rightarrow \|P(x)\|$ for all $P \in \mathbb{C}^*\langle T_1, \dots, T_r \rangle$

Theorem (Haagerup-Thorbjørnsen)

Let $X^{(N)} = (X_1^{(N)}, \dots, X_r^{(N)})$ be $N \times N$, independent, standard GUE matrices. Then, almost surely,

$$l_{X^{(N)}} \xrightarrow{N \rightarrow \infty} l_s$$

strongly.

Generalizations due to Male, Male-Collins,
Collins-Guionnet-Parraud, ...

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices.

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices. Then, almost surely,

$$\ell_{X^{(N)} \otimes \mathbf{1}_{M_N(\mathbb{C}), \mathbf{1}_{M_N(\mathbb{C})} \otimes Y^{(N)}} \rightarrow_{N \rightarrow \infty} \ell_{s \otimes \mathbf{1}, \mathbf{1} \otimes s}$$

strongly,

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices. Then, almost surely,

$$\ell_{X^{(N)} \otimes 1_{M_N(\mathbb{C}), 1_{M_N(\mathbb{C})} \otimes Y^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_{s \otimes 1, 1 \otimes s}$$

strongly, where $s = (s_1, \dots, s_r)$ is a free semicircular family, and we view $s \otimes 1, 1 \otimes s$ in $(W^*(s) \overline{\otimes} W^*(s), \tau_N \otimes \tau_N)$.

The \otimes -HT conjecture

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices. Then, almost surely,

$$\ell_{X^{(N)} \otimes 1_{M_N(\mathbb{C}), 1_{M_N(\mathbb{C})} \otimes Y^{(N)}} \xrightarrow{N \rightarrow \infty} \ell_{s \otimes 1, 1 \otimes s}$$

strongly, where $s = (s_1, \dots, s_r)$ is a free semicircular family, and we view $s \otimes 1, 1 \otimes s$ in $(W^*(s) \overline{\otimes} W^*(s), \tau_N \otimes \tau_N)$.

Theorem (H.)

The \otimes -HT conjecture implies the PT-conjecture.

The \otimes -HT conjecture

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices. Then, almost surely,

$$\ell_{X^{(N)} \otimes 1_{M_N(\mathbb{C}), 1_{M_N(\mathbb{C})} \otimes Y^{(N)}} \rightarrow_{N \rightarrow \infty} \ell_{s \otimes 1, 1 \otimes s}$$

strongly, where $s = (s_1, \dots, s_r)$ is a free semicircular family, and we view $s \otimes 1, 1 \otimes s$ in $(W^*(s) \overline{\otimes} W^*(s), \tau_N \otimes \tau_N)$.

Theorem (H.)

The \otimes -HT conjecture implies the PT-conjecture.

Work of Collins-Guionnet-Parraud gives the result for $(X^{(N)}, Y^{(M)})$ with $M = O(N^{1/3})$,

The \otimes -HT conjecture

Conjecture (\otimes -HT conj)

Let $(X^{(N)}, Y^{(N)}) = (X_1^{(N)}, \dots, X_r^{(N)}, Y_1^{(N)}, \dots, Y_r^{(N)})$ be $2r$ $N \times N$ independent GUE matrices. Then, almost surely,

$$\ell_{X^{(N)} \otimes 1_{M_N(\mathbb{C}), 1_{M_N(\mathbb{C})} \otimes Y^{(N)}} \rightarrow_{N \rightarrow \infty} \ell_{s \otimes 1, 1 \otimes s}$$

strongly, where $s = (s_1, \dots, s_r)$ is a free semicircular family, and we view $s \otimes 1, 1 \otimes s$ in $(W^*(s) \overline{\otimes} W^*(s), \tau_N \otimes \tau_N)$.

Theorem (H.)

The \otimes -HT conjecture implies the PT-conjecture.

Work of Collins-Guionnet-Parraud gives the result for $(X^{(N)}, Y^{(M)})$ with $M = O(N^{1/3})$, but we need $M = N$.

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$.

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H .

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H , is the 1-bounded entropy of x , denoted $h(x)$.

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H , is the 1-bounded entropy of x , denoted $h(x)$. We have $h(x) = h(y)$ if $W^*(x) = W^*(y)$.

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H , is the 1-bounded entropy of x , denoted $h(x)$. We have $h(x) = h(y)$ if $W^*(x) = W^*(y)$.

Both are measurements of the "size" of Voiculescu's microstates spaces:

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H , is the 1-bounded entropy of x , denoted $h(x)$. We have $h(x) = h(y)$ if $W^*(x) = W^*(y)$.

Both are measurements of the "size" of Voiculescu's microstates spaces:

$$\Gamma_R^{(N)}(\mathcal{O}) = \{A \in M_N(\mathbb{C})^r : \ell_A \in \mathcal{O}, \|A\| \leq R\}$$

Microstates Free Entropy Dimension and 1-Bounded Entropy

For a tracial vNa (M, τ) and $x \in M^r$, Voiculescu defined the microstates free entropy dimension $\delta_0(x)$. A priori, we could have $\delta_0(x) \neq \delta_0(y)$ and $W^*(x) = W^*(y)$.

Implicit in work of Jung, and explicit in H , is the 1-bounded entropy of x , denoted $h(x)$. We have $h(x) = h(y)$ if $W^*(x) = W^*(y)$.

Both are measurements of the “size” of Voiculescu’s microstates spaces:

$$\Gamma_R^{(N)}(\mathcal{O}) = \{A \in M_N(\mathbb{C})^r : \ell_A \in \mathcal{O}, \|A\| \leq R\}$$

where \mathcal{O} is a weak*-neighborhood of ℓ_A , and $R > \max_j \|x_j\|$ is constant.

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,
- $h(M) = 0$ if M is hyperfinite,

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,
- $h(M) = 0$ if M is hyperfinite,
- $h(L(\mathbb{F}_r)) = \infty$, (more generally $h(x) = \infty$ if $\delta_0(x) > 1$),

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,
- $h(M) = 0$ if M is hyperfinite,
- $h(L(\mathbb{F}_r)) = \infty$, (more generally $h(x) = \infty$ if $\delta_0(x) > 1$),
- $h(M_1 \vee M_2) \leq h(M_1) + h(M_2)$ if $M_1 \cap M_2$ is diffuse,

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,
- $h(M) = 0$ if M is hyperfinite,
- $h(L(\mathbb{F}_r)) = \infty$, (more generally $h(x) = \infty$ if $\delta_0(x) > 1$),
- $h(M_1 \vee M_2) \leq h(M_1) + h(M_2)$ if $M_1 \cap M_2$ is diffuse,
- $h(W^*(\mathcal{N}_M(N))) = h(N)$, $\mathcal{N}_M(N) = \{u \in \mathcal{U}(M) : uNu^* = N\}$

Axioms for $h(x)$

- $h(M) \in \{-\infty\} \cup [0, \infty]$ and is ≥ 0 iff M embeds into R^ω ,
- $h(M) = 0$ if M is hyperfinite,
- $h(L(\mathbb{F}_r)) = \infty$, (more generally $h(x) = \infty$ if $\delta_0(x) > 1$),
- $h(M_1 \vee M_2) \leq h(M_1) + h(M_2)$ if $M_1 \cap M_2$ is diffuse,
- $h(W^*(\mathcal{N}_M(N))) = h(N)$, $\mathcal{N}_M(N) = \{u \in \mathcal{U}(M) : uNu^* = N\}$

The PT-conjecture is implied by

Conjecture (1-Bounded entropy conjecture)

If $N \leq L(\mathbb{F}_r)$ is diffuse and satisfies $h(N) = 0$, then N is hyperfinite.

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of:

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups,

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups, and locally reflexivity of $C^*(s_1, \dots, s_r)$.

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups, and locally reflexivity of $C^*(s_1, \dots, s_r)$.

Roughly, almost every $X = (X^{(N)})$ produces an "embedding" θ_X of $L(\mathbb{F}_r)$ into the ultraproduct \mathcal{M} of $(M_N(\mathbb{C}), \text{tr})$.

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups, and locally reflexivity of $C^*(s_1, \dots, s_r)$.

Roughly, almost every $X = (X^{(N)})$ produces an "embedding" θ_X of $L(\mathbb{F}_r)$ into the ultraproduct \mathcal{M} of $(M_N(\mathbb{C}), \text{tr})$.

If $N \leq M$ has 1-bounded entropy zero,

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups, and locally reflexivity of $C^*(s_1, \dots, s_r)$.

Roughly, almost every $X = (X^{(N)})$ produces an "embedding" θ_X of $L(\mathbb{F}_r)$ into the ultraproduct \mathcal{M} of $(M_N(\mathbb{C}), \text{tr})$.

If $N \leq M$ has 1-bounded entropy zero, then concentration of measure guarantees that almost every (X, Y) have $\theta_X|_N, \theta_Y|_N$ are unitarily conjugate.

How they're related

The \otimes -HT conjecture implies the 1-bounded entropy conjecture.

This requires usage of: concentration of measure on unitary groups, and locally reflexivity of $C^*(s_1, \dots, s_r)$.

Roughly, almost every $X = (X^{(N)})$ produces an "embedding" θ_X of $L(\mathbb{F}_r)$ into the ultraproduct \mathcal{M} of $(M_N(\mathbb{C}), \text{tr})$.

If $N \leq M$ has 1-bounded entropy zero, then concentration of measure guarantees that almost every (X, Y) have $\theta_X|_N, \theta_Y|_N$ are unitarily conjugate. Similar to H-Jekel-Nelson-Sinclair.

Jung's theorem states that if $N \leq M$ is not hyperfinite and M has microstates, then there are two embeddings of M into \mathcal{M} which are not unitarily conjugate when restricted to N .

Jung's theorem states that if $N \leq M$ is not hyperfinite and M has microstates, then there are two embeddings of M into \mathcal{M} which are not unitarily conjugate when restricted to N .

As a byproduct of our methods we get a conjecture in-between the \otimes -HT conjecture and the 1-bonded entropy conjecture.

Random Jung Theorem

Jung's theorem states that if $N \leq M$ is not hyperfinite and M has microstates, then there are two embeddings of M into \mathcal{M} which are not unitarily conjugate when restricted to N .

As a byproduct of our methods we get a conjecture in-between the \otimes -HT conjecture and the 1-bonded entropy conjecture.

Conjecture (Randomized Jung's Theorem conjecture)

If $N \leq M$ is not hyperfinite, then for almost every (X, Y) we have $\theta_U|_X$ is not unitarily conjugate to $\theta_Y|_N$.

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness:

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness: N is *not* hyperfinite if and only if there are $u_1, \dots, u_r \in \mathcal{U}(N)$ so that

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness: N is *not* hyperfinite if and only if there are $u_1, \dots, u_r \in \mathcal{U}(N)$ so that

$$\left\| \frac{1}{r} \sum_{j=1}^r u_j \otimes (u_j^*)^{op} \right\|_{M \overline{\otimes} M^{op}} < 1.$$

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness: N is *not* hyperfinite if and only if there are $u_1, \dots, u_r \in \mathcal{U}(N)$ so that

$$\left\| \frac{1}{r} \sum_{j=1}^r u_j \otimes (u_j^*)^{op} \right\|_{M \overline{\otimes} M^{op}} < 1.$$

Use local reflexivity of $C^*(s_1, \dots, s_r)$ and \otimes -HT to say that if $N = W^*(y)$ is not hyperfinite and $y = (f_1(x), f_2(x), \dots, f_l(x))$ satisfy $f_i(x) \in \mathcal{U}(N)$,

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness: N is *not* hyperfinite if and only if there are $u_1, \dots, u_r \in \mathcal{U}(N)$ so that

$$\left\| \frac{1}{r} \sum_{j=1}^r u_j \otimes (u_j^*)^{op} \right\|_{M \overline{\otimes} M^{op}} < 1.$$

Use local reflexivity of $C^*(s_1, \dots, s_r)$ and \otimes -HT to say that if $N = W^*(y)$ is not hyperfinite and $y = (f_1(x), f_2(x), \dots, f_l(x))$ satisfy $f_i(x) \in \mathcal{U}(N)$, and

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(x) \otimes (f_i(x)^{op})^* \right\| < 1,$$

\otimes -HT and the Randomized Jung Theorem

The \otimes -HT conjecture implies the Randomized Jung theorem.

This follows from the Connes-Haagerup characterization of hyperfiniteness: N is *not* hyperfinite if and only if there are $u_1, \dots, u_r \in \mathcal{U}(N)$ so that

$$\left\| \frac{1}{r} \sum_{j=1}^r u_j \otimes (u_j^*)^{op} \right\|_{M \overline{\otimes} M^{op}} < 1.$$

Use local reflexivity of $C^*(s_1, \dots, s_r)$ and \otimes -HT to say that if $N = W^*(y)$ is not hyperfinite and $y = (f_1(x), f_2(x), \dots, f_l(x))$ satisfy $f_i(x) \in \mathcal{U}(N)$, and

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(x) \otimes (f_i(x)^{op})^* \right\| < 1,$$

\otimes -HT and the Randomized Jung Conjecture, II

So for "most" $X^{(N)}$ we have:

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

⊗-HT and the Randomized Jung Conjecture, II

So for "most" $X^{(N)}$ we have:

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

Apply the inequality:

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

\otimes -HT and the Randomized Jung Conjecture, II

So for "most" $X^{(N)}$ we have:

$$\frac{1}{I} \left\| \sum_{i=1}^I f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

Apply the inequality:

$$\frac{1}{I} \left\| \sum_{i=1}^I f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

to a unitary $V \in \mathcal{U}(N)$:

⊗-HT and the Randomized Jung Conjecture, II

So for “most” $X^{(N)}$ we have:

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

Apply the inequality:

$$\frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) \otimes (f_i(Y^{(N)})^*)^{op} \right\| \leq C < 1.$$

to a unitary $V \in \mathcal{U}(N)$:

$$\begin{aligned} \frac{1}{l} \left\| \sum_{i=1}^l f_i(X^{(N)}) V f_i(Y^{(N)})^* \right\|_2 &\leq C \\ &\approx C \left\| \sum_{i=1}^l f_i(X^{(N)}) f_i(X^{(N)})^* \right\|_2. \end{aligned}$$

So it is not true that $\theta_X|_N, \theta_Y|_N$ are unitarily conjugate.

So it is not true that $\theta_X|_N, \theta_Y|_N$ are unitarily conjugate. This contradicts an application of concentration of measure and the 1-bounded entropy conjecture.

So it is not true that $\theta_X|_N, \theta_Y|_N$ are unitarily conjugate. This contradicts an application of concentration of measure and the 1-bounded entropy conjecture.

Theorem

We have the following implications:

$$\begin{aligned} \otimes\text{-HT conjecture} &\implies \text{Randomized Jung theorem conjecture} \\ &\implies \text{1-bounded entropy conjecture} \\ &\implies \text{Peterson-Thom conjecture} \end{aligned}$$

Thanks for paying attention!