

# Schubert Varieties and Cycle Spaces

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## Abstract

Let  $G_0$  be a real semisimple Lie group. It acts naturally on every complex flag manifold  $Z = G/Q$  of its complexification. Given an Iwasawa decomposition  $G_0 = K_0A_0N_0$ , a  $G_0$ -orbit  $\gamma \subset Z$ , and the dual  $K$ -orbit  $\kappa \subset Z$ , Schubert varieties are studied and a theory of Schubert slices for arbitrary  $G_0$ -orbits is developed. For this, certain geometric properties of dual pairs  $(\gamma, \kappa)$  are underlined. Canonical complex analytic slices contained in a given  $G_0$ -orbit  $\gamma$  which are transversal to the dual  $K_0$ -orbit  $\gamma \cap \kappa$  are constructed and analyzed. Associated algebraic incidence divisors are used to study complex analytic properties of certain cycle domains. In particular, it is shown that the linear cycle space  $\Omega_W(D)$  is a Stein domain that contains the universally defined Iwasawa domain  $\Omega_I$ . This is one of the main ingredients in the proof that  $\Omega_W(D) = \Omega_{AG}$  for all but a few hermitian exceptions. In the hermitian case,  $\Omega_W(D)$  is concretely described in terms of the associated bounded symmetric domain.

## 0 Introduction

Let  $G$  be a connected complex semisimple Lie group and  $Q$  a parabolic subgroup. We refer to  $Z = G/Q$  as a complex flag manifold. Write  $\mathfrak{g}$  and  $\mathfrak{q}$  for the respective Lie algebras of  $G$  and  $Q$ . Then  $Q$  is the  $G$ -normalizer of  $\mathfrak{q}$ . Thus we can view  $Z$  as the set of  $G$ -conjugates of  $\mathfrak{q}$ . The correspondence is  $z \leftrightarrow \mathfrak{q}_z$  where  $\mathfrak{q}_z$  is the Lie algebra of the isotropy subgroup  $Q_z$  of  $G$  at  $z$ .

Let  $G_0$  be a real form of  $G$ , and let  $\mathfrak{g}_0$  denote its Lie algebra. Thus there is a homomorphism  $\varphi : G_0 \rightarrow G$  such that  $\varphi(G_0)$  is closed in  $G$  and  $d\varphi : \mathfrak{g}_0 \rightarrow \mathfrak{g}$  is an isomorphism onto a real form of  $\mathfrak{g}$ . This gives the action of  $G_0$  on  $Z$ .

It is well known [21] that there are only finitely many  $G_0$ -orbits on  $Z$ . Therefore at least one of them must be open.

Consider a Cartan involution  $\theta$  of  $G_0$  and extend it as usual to  $G$ ,  $\mathfrak{g}_0$  and  $\mathfrak{g}$ . Thus the fixed point set  $K_0 = G_0^\theta$  is a maximal compactly embedded subgroup of  $G_0$  and  $K = G^\theta$  is its complexification. This leads to Iwasawa decompositions  $G_0 = K_0A_0N_0$ .

By *Iwasawa-Borel subgroup* of  $G$  we mean a Borel subgroup  $B \subset G$  such that  $\varphi(A_0N_0) \subset B$  for some Iwasawa  $G_0 = K_0A_0N_0$ . Those are the Borel subgroups of the form  $B = B_MAN$ , where  $N$  is the complexification of  $N_0$ ,  $A$  is the complexification of  $A_0$ ,  $M = Z_K(A)$  is the complexification of  $M_0$ , and  $B_M$  is a Borel subgroup of  $M$ . Since any two Iwasawa decompositions of  $G_0$  are  $G_0$ -conjugate, and any two Borel subgroups of  $M$  are  $M_0$ -conjugate because  $\varphi(M_0)$  is compact, it follows that any two Iwasawa-Borel subgroups of  $G$  are  $G_0$ -conjugate.

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Given an Iwasawa–Borel subgroup  $B \subset G$ , we study the Schubert varieties  $S = cl(\mathcal{O}) \subset Z$ , where  $\mathcal{O}$  is a  $B$ -orbit on  $Z$ . We extend the theory [15] of Schubert slices from the open  $G_0$ -orbits to arbitrary  $G_0$ -orbits. As a main application we show that the corresponding Schubert domain  $\Omega_S(D)$  for an open  $G_0$ -orbit  $D \subset Z$  is equal to the linear cycle space  $\Omega_W(D)$  considered in [22]. That yields a direct proof that the  $\Omega_W(D)$  are Stein manifolds. Another consequence is one of the two key containments for the complete description of linear cycle spaces when  $G_0$  is of hermitian type (Section 8 and [25]). The identification  $\Omega_W(D) = \Omega_S(D)$  also plays an essential role in the subsequently proved identification of  $\Omega_W(D)$  with the universally defined domain  $\Omega_{AG}$  [10].

Our main technical results (Theorem 3.1 and Corollary 3.4), which can be viewed as being of a complex analytic nature, provide detailed information on the  $q$ -convexity of  $D$  and the Cauchy-Riemann geometry of the lower-dimensional  $G_0$ -orbits.

We thank the referee for pointing out an error in our original argument for the existence of supporting incidence hypersurfaces at boundary points of the cycle space. This is rectified in Section 4 below.

## 1 Duality

We will need a refinement of Matsuki’s  $(G_0, K)$ -orbit duality [19]. Write  $Orb(G_0)$  for the set of  $G_0$ -orbits in  $Z$ , and similarly write  $Orb(K)$  for the set of all  $K$ -orbits. A pair  $(\gamma, \kappa) \in Orb(G_0) \times Orb(K)$  is *dual* or *satisfies duality* if  $\gamma \cap \kappa$  contains an isolated  $K_0$ -orbit. The duality theorem states that

$$(1.1) \quad \text{if } \gamma \in Orb(G_0), \text{ or if } \kappa \in Orb(K), \text{ there is a unique dual } (\gamma, \kappa) \in Orb(G_0) \times Orb(K).$$

Furthermore,

$$(1.2) \quad \text{if } (\gamma, \kappa) \text{ is dual, then } \gamma \cap \kappa \text{ is a single } K_0\text{-orbit.}$$

Moreover, if  $(\gamma, \kappa)$  is dual, then the intersection  $\gamma \cap \kappa$  is transversal: if  $z \in \gamma \cap \kappa$ , then the real tangent spaces satisfy

$$(1.3) \quad T_z(\gamma) + T_z(\kappa) = T_z(Z) \text{ and } T_z(\gamma \cap \kappa) = T_z(\gamma) \cap T_z(\kappa) = T_z(K_0(z)).$$

We will also need a certain “non-isolation” property:

$$(1.4) \quad \begin{array}{l} \text{Suppose that } (\gamma, \kappa) \text{ is not dual but } \gamma \cap \kappa \neq \emptyset. \\ \text{If } p \in \gamma \cap \kappa, \text{ there exists a locally closed } K_0\text{-invariant submanifold } M \subset \gamma \cap \kappa \\ \text{such that } p \in M \text{ and } \dim M = \dim K_0(p) + 1. \end{array}$$

The basic duality (1.1) is in [19], and the refinements (1.2) and (1.3) are given by the moment map approach ([20], [7]). See [7, Corollary 7.2 and §9].

The non-isolation property (1.4) is implicitly contained in the moment map considerations of [20] and [7]. Following [7], the two essential ingredients are the following.

1. Endow  $Z$  with a  $G_u$ -invariant Kähler metric, e.g., from the negative of the Killing form of  $\mathfrak{g}_u$ . Here  $G_u$  is the compact real form of  $G$  denoted  $U$  in [7]. The  $K_0$ -invariant gradient field  $\nabla f^+$  of the norm function  $f^+ := \|\mu_{K_0}\|^2$  of the moment map for the  $K_0$ -action on  $Z$ , determined by the  $G_u$ -invariant metric, is tangent to both the  $G_0$ - and  $K$ -orbits.
2. A pair  $(\gamma, \kappa)$  satisfies duality if and only if their intersection is non-empty and contains a point of  $\{\nabla f^+ = 0\}$ .

If the pair does not satisfy duality,  $p \in \gamma \cap \kappa$ ,  $g = g(t)$  is the 1-parameter group associated to  $\nabla f^+$  and  $\epsilon > 0$  is sufficiently small, then

$$M := \bigcup_{|t| < \epsilon} g(t)(K_0(p))$$

is the desired submanifold. For this it is only important to note that  $\nabla f^+$  does not vanish along, and is nowhere tangent to,  $K_0(p)$ . That completes the argument.

Dual pairs have a retraction property, which we prove using the moment map approach.

**Theorem 1.5** *Let  $(\gamma, \kappa)$  be a dual pair. Fix  $z_0 \in \gamma \cap \kappa = K_0(z_0)$ . Then the intersection  $\gamma \cap \kappa$  is a  $K_0$ -equivariant strong deformation retract of  $\gamma$ .*

**Proof.** We use the notation of [7, §9]. If  $\phi(t, x)$  is the flow of  $\nabla f^+$ , then it follows that, if  $x \in \gamma$  and  $t > 0$ , then  $\phi(t, x) \in \gamma$ . Furthermore, the limiting set  $\pi^+(x) = \lim_{t \rightarrow \infty} \phi(t, x)$  is contained in the intersection  $K_0(z_0)$ .

Let  $U$  be a  $K_0$ -slice neighborhood of  $K_0(z_0)$  in  $\gamma$ . In other words, if  $(K_0)_{z_0}$  denotes the isotropy subgroup of  $K_0$  at  $z_0$ , then there is a  $(K_0)_{z_0}$ -invariant open ball  $B$  in the normal space  $N_{z_0}(K_0(z_0))$  such that  $U$  is the  $(K_0)_{z_0}$ -homogeneous fiber space  $K_0 \times_{(K_0)_{z_0}} B$  over  $B$ . The isotropy group  $(K_0)_{z_0}$  is minimal over  $U$  in that, given  $z \in U$ , it is  $K_0$ -conjugate to a subgroup of  $(K_0)_z$ .

The flow  $\phi(t, \cdot)$  is  $K_0$ -equivariant. Thus, since  $\pi^+(x) \subset K_0 \cdot z_0$  for every  $x \in \gamma$ , every orbit  $K_0 \cdot z$  in  $\gamma$  is equivariantly diffeomorphic, via some  $\phi(t_0, \cdot)$ , to a  $K_0$ -orbit in  $U$ . Consequently,  $K_0(z_0)$  is minimal in  $\gamma$ .

The Mostow fibration of  $\gamma$  is a  $K_0$ -equivariant vector bundle with total space  $\gamma$  and base space that is a minimal  $K_0$ -orbit in  $\gamma$ . In other words the base space is  $K_0(z_0)$ . Any such vector bundle is  $K_0$ -equivariantly retractable to its 0-section. We may take that 0-section to be  $K_0(z_0)$ .  $\square$

**Corollary 1.6** *Every open  $G_0$ -orbit in  $Z$  is simply-connected. In particular the isotropy groups of  $G_0$  on an open orbit are connected.*

Corollary 1.6 was proved by other methods in [21, Theorem 5.4]. In that open orbit case of Theorem 1.5,  $\kappa$  is the base cycle, maximal compact subvariety of  $\gamma$ .

## 2 Incidence divisors associated to Schubert varieties

Fix an open  $G_0$ -orbit  $D \subset Z$ . Its dual is the unique closed  $K$ -orbit  $C_0$  contained in  $D$ . Denote  $q = \dim_{\mathbb{C}} C_0$ . Write  $\mathcal{C}^q(Z)$  for the variety of  $q$ -dimensional cycles in  $Z$ . As a subset of  $Z$ , the complex group orbit  $G \cdot C_0$  is Zariski open in its closure.

At this point, for simplicity of exposition we assume that  $\mathfrak{g}_0$  is simple. This entails no loss of generality because all our flags, groups, orbits, cycles, etc. decompose as products according to the decomposition of  $\mathfrak{g}_0$  as a direct sum of simple ideals.

In two isolated instances of  $(G_0, Z)$  (see [23]),  $C_0 = Z$  and the orbit  $G \cdot C_0$  consists of a single point. If  $G_0$  is of hermitian type and  $D$  is an open  $G_0$ -orbit of “holomorphic type” in the terminology of [24], then  $G \cdot C_0$  is the compact hermitian symmetric space dual to the bounded symmetric domain  $\mathcal{B}$ . This case is completely understood ([22], [24]). In these two cases we set  $\Omega := G \cdot C_0$ . Here  $\Omega$  is canonically identified as a coset space of  $G$ , because the  $G$ -stabilizer of  $C_0$  is its own normalizer in  $G$ .

Except in the two cases just mentioned, the  $G$ -stabilizer  $\tilde{K}$  of  $C_0$  has identity component  $K$ , and there is a canonical finite equivariant map  $\pi : G/K \rightarrow G \cdot C_0 \cong G/\tilde{K}$ . Here we set  $\Omega = G/K$ . Its base point is the coset  $K$ .

Suppose that  $Y$  is a complex analytic subset of  $Z$ . Then  $A_Y := \{C \in \pi(\Omega) \mid C \cap Y \neq \emptyset\}$  is a closed complex variety in  $\Omega$  [5], called the incidence variety associated to  $Y$ . For purposes of comparison we work with the preimage  $\pi^{-1}(A_Y)$  in  $\Omega$ . From now on we abuse notation: we write  $A_Y := \{C \in \Omega \mid C \cap Y \neq \emptyset\}$ . If  $A_Y$  is purely of codimension 1 then we refer to it as the incidence divisor associated to  $Y$  and denote it by  $H_Y$ .

Now suppose that the complex analytic subset  $Y$  is a Schubert variety defined by an Iwasawa-Borel subgroup  $B \subset G$ . Thus  $Y$  is the closure of one or more orbits of  $B$  on  $Z$ . Then the incidence variety  $A_Y$  is  $B$ -invariant, because  $\Omega$  and  $Y$  are  $B$ -invariant. Define  $\mathcal{Y}(D)$  to be the set of all Iwasawa-Schubert varieties  $Y \subset Z$  such that  $Y \subset Z \setminus D$  and  $A_Y$  is a hypersurface  $H_Y$ . Then we define the *Schubert domain*  $\Omega_S(D)$ :

$$(2.1) \quad \Omega_S(D) \text{ is the connected component of } C_0 \text{ in } \Omega \setminus \left( \bigcup_{Y \in \mathcal{Y}(D)} H_Y \right).$$

See [16, §6] and [15]. Note that any two Iwasawa-Borel subgroups are conjugate by an element of  $K_0$ . Thus

$$\bigcup_{Y \in \mathcal{Y}(D)} H_Y = \bigcup_{k \in K_0} k(H),$$

where  $H := H_1 \cup \dots \cup H_m$  is the union of the incidence hypersurfaces defined by the Schubert varieties in the complement of  $D$  of a fixed Iwasawa-Borel subgroup. Thus  $\Omega_S(D)$  is an open subset of  $\Omega$ , and of course it is  $G_0$ -invariant by construction.

In Corollary 4.7 we will show that the cycle space  $\Omega_W(D)$  (see (4.1)) agrees with  $\Omega_S(D)$ . Consequently, it has the same analytic properties. For example we now check that  $\Omega_S(D)$  is a Stein domain.

In order to prove that  $\Omega_S(D)$  is Stein, it suffices to show that it is contained in a Stein subdomain  $\tilde{\Omega}$  of  $\Omega$ . For then, given a boundary point  $p \in \text{bd}(\Omega_S(D))$  in  $\tilde{\Omega}$ , it will be contained in a complex hypersurface  $H$  that is equal to or a limit of incidence divisors  $H_Y$ . Now  $H \cap \tilde{\Omega}$  is in the complement of  $D$  and will be the polar set of a meromorphic function on  $\tilde{\Omega}$ . So  $\Omega_S(D)$  will be a domain of holomorphy in the Stein subdomain  $\tilde{\Omega}$ , and will therefore be Stein.

As mentioned above, there are three possibilities for  $\Omega$ . If  $C_0 = Z$ , then  $\Omega$  is reduced to a point, and  $\Omega_S(D)$  is Stein in a trivial way. Now suppose  $D \subsetneq Z$ . Then either  $\Omega$  is a compact hermitian symmetric space  $G/KP_-$  or it is the affine variety  $G/K$ . In the latter case  $\Omega$  is Stein, so  $\Omega_S(D)$  is Stein. Now we are down to the case where  $\Omega = G/KP_-$  is an irreducible compact hermitian symmetric space. In particular the second Betti number  $b_2(\Omega) = 1$ . Therefore the divisor of every complex hypersurface in  $\Omega$  is ample. For  $Y \in \mathcal{Y}(D)$  this implies that  $\Omega \setminus H_Y$  is affine. Since  $\mathcal{Y}(D) \neq \emptyset$  and  $\Omega \setminus H_Y \supset \Omega_S(D)$ , this implies that  $\Omega_S(D)$  is Stein in this case as well. Therefore we have proved

**Proposition 2.2** *If  $D$  is an open  $G_0$ -orbit in the complex flag manifold  $Z$ , then the associated Schubert domain  $\Omega_S(D)$  is Stein.*

### 3 Schubert varieties associated to dual pairs

Fix an Iwasawa decomposition  $G_0 = K_0 A_0 N_0$ . Let  $B$  be a corresponding Iwasawa-Borel subgroup of  $G$ ; in other words  $A_0 N_0 \subset B$ . Fix a  $K$ -orbit  $\kappa$  on  $Z$  and let  $\mathcal{S}_\kappa$  denote the set of all Schubert varieties  $S$  defined by  $B$  (that is,  $S$  is the closure of a  $B$ -orbit on  $Z$ ) such that  $\dim S + \dim \kappa = \dim Z$  and  $S \cap \text{cl}(\kappa) \neq \emptyset$ . The Schubert varieties generate the integral homology of  $Z$ . Hence  $\mathcal{S}_\kappa$  is determined by the topological class of  $\text{cl}(\kappa)$ .

**Theorem 3.1 (Schubert Slices)** *Let  $(\gamma, \kappa) \in \text{Orb}(G_0) \times \text{Orb}(K)$  satisfy duality. Then the following hold for every  $S \in \mathcal{S}_\kappa$ .*

1.  $S \cap \text{cl}(\kappa)$  is contained in  $\gamma \cap \kappa$  and is finite. If  $w \in S \cap \kappa$ , then  $(AN)(w) = B(w) = \mathcal{O}$  where  $S = \text{cl}(\mathcal{O})$ , and  $S$  is transversal to  $\kappa$  at  $w$  in the sense that the real tangent spaces satisfy  $T_w(S) \oplus T_w(\kappa) = T_w(Z)$ .
2. The set  $\Sigma = \Sigma(\gamma, S, w) := A_0N_0(w)$  is open in  $S$  and closed in  $\gamma$ .
3. Let  $\text{cl}(\Sigma)$  and  $\text{cl}(\gamma)$  denote closures in  $Z$ . Then the map  $K_0 \times \text{cl}(\Sigma) \rightarrow \text{cl}(\gamma)$ , given by  $(k, z) \mapsto k(z)$ , is surjective.

**Proof.** Let  $w \in S \cap \text{cl}(\kappa)$ . Since  $\mathfrak{g} = \mathfrak{k} + \mathfrak{a} + \mathfrak{n}$ , complexification of the Lie algebra version  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{a}_0 + \mathfrak{n}_0$  of  $G_0 = K_0A_0N_0$ , we have  $T_w(AN(w)) + T_w(K(w)) = T_w(Z)$ . As  $w \in S = \text{cl}(\mathcal{O})$  and  $AN \subset B$ , we have  $\dim AN(w) \leq \dim B(w) \leq \dim \mathcal{O} = \dim S$ . Furthermore  $w \in \text{cl}(\kappa)$ . Thus  $\dim K(w) \leq \dim \kappa$ . If  $w$  were not in  $\kappa$ , this inequality would be strict, in violation of the above additivity of the dimensions of the tangent spaces. Thus  $w \in \kappa$  and  $T_w(S) + T_w(\kappa) = T_w(Z)$ . Since  $\dim S + \dim \kappa = \dim Z$  this sum is direct, i.e.,  $T_w(S) \oplus T_w(\kappa) = T_w(Z)$ . Now also  $\dim AN(w) = \dim S$  and  $\dim K(w) = \dim \kappa$ . Thus  $AN(w)$  is open in  $S$ , forcing  $AN(w) = B(w) = \mathcal{O}$ . We have already seen that  $K(w)$  is open in  $\kappa$ , forcing  $K(w) = \kappa$ . For assertion 1 it remains only to show that  $S \cap \kappa$  is contained in  $\gamma$  and is finite.

Denote  $\hat{\gamma} = G_0(w)$ . If  $\hat{\gamma} \neq \gamma$ , then  $(\hat{\gamma}, \kappa)$  is not dual, but  $\hat{\gamma} \cap \kappa$  is nonempty because it contains  $w$ . By the non-isolation property (1.4), we have a locally closed  $K_0$ -invariant manifold  $M \subset \hat{\gamma} \cap \kappa$  such that  $\dim M = \dim K_0(w) + 1$ . We know  $T_w(S) \oplus T_w(\kappa) = T_w(Z)$ , and  $K(w) = \kappa$ , so  $T_w(A_0N_0(w)) \cap T_w(M) = 0$ . Thus  $T_w(A_0N_0(w)) + T_w(K_0(w))$  has codimension 1 in the subspace  $T_w(A_0N_0(w)) + T_w(M)$  of  $T_w(\hat{\gamma})$ , which contradicts  $G_0 = K_0A_0N_0$ . We have proved that  $(S \cap \text{cl}(\kappa)) \subset \gamma$ . Since that intersection is transversal at  $w$ , it is finite. This completes the proof of assertion 1.

We have seen that  $T_w(AN(w)) \oplus T_w(K(w)) = T_w(Z)$ , so  $T_w(A_0N_0(w)) \oplus T_w(K_0(w)) = T_w(\gamma)$ , and  $G_0(w) = \gamma$ . With the characterization (1.2) and the transversality conditions (1.3) for duality we have  $\dim A_0N_0(w) = \dim T_w(\gamma) - \dim T_w(\kappa \cap \gamma) = \dim T_w(Z) - \dim T_w(\kappa) = \dim AN(w) = \dim S$ . Now  $A_0N_0(w)$  is open in  $S$ .

Every  $A_0N_0$ -orbit in  $\gamma$  meets  $K_0(w)$ , because  $\gamma = G_0(w) = A_0N_0K_0(w)$ . Using (1.3), every such  $A_0N_0$ -orbit has dimension at least that of  $\Sigma = A_0N_0(w)$ . Since the orbits on the boundary of  $\Sigma$  in  $\gamma$  would necessarily be smaller, it follows that  $\Sigma$  is closed in  $\gamma$ . This completes the proof of assertion 2.

The map  $K_0 \times \Sigma \rightarrow \gamma$ , by  $(k, z) \mapsto k(z)$ , is surjective because  $K_0A_0N_0(w) = \gamma$ . Since  $K_0$  is compact and  $\gamma$  is dense in  $\text{cl}(\gamma)$ , assertion 3 follows.  $\square$

We now apply Theorem 3.1 to construct an Iwasawa-Schubert variety  $Y$  of codimension  $q + 1$ ,  $q = \dim C_0$ , which contains a given point  $p \in \text{bd}(D)$  and which is contained in  $Z \setminus D$ . Due to the presence of the large family of  $q$ -dimensional cycles in  $D$ , one could not hope to construct larger varieties with these properties.

Before going into the construction, let us introduce some convenient notation and mention several preliminary facts.

We say that a point  $p \in \text{bd}(D)$  is *generic*, written  $p \in \text{bd}(D)_{\text{gen}}$ , if  $\gamma_p := G_0(p)$  is open in  $\text{bd}(D)$ . This is equivalent to  $\gamma_p$  being an isolated orbit in  $\text{bd}(D)$  in the sense that no other  $G_0$ -orbit in  $\text{bd}(D)$  has  $\gamma_p$  in its closure. Clearly  $\text{bd}(D)_{\text{gen}}$  is open and dense in  $\text{bd}(D)$ .

Given  $p \in \text{bd}(D)_{\text{gen}}$  the orbit  $\gamma = \gamma_p$  need not be a real hypersurface in  $Z$ . For example,  $G_0 = SL_{n+1}(\mathbb{R})$  has exactly two orbits in  $\mathbb{P}_n(\mathbb{C})$ , an open orbit and its complement  $\mathbb{P}_n(\mathbb{R})$ . Nevertheless, for any  $z$  in such an orbit  $\gamma$  it follows that  $\text{cl}(D) \cap \text{bd}(D) = \gamma$  near  $z$ . If  $\kappa$  is dual to  $\gamma$ , then, since the intersection  $\kappa \cap \gamma$  is transversal in  $Z$ , it follows that  $\kappa \cap D \neq \emptyset$ .

We summarize this as follows.

**Lemma 3.2** For  $p \in \text{bd}(D)_{\text{gen}}$ ,  $\gamma = \gamma_p = G_0(p)$  and  $\kappa$  dual to  $\gamma$ , it follows that  $\kappa \cap D \neq \emptyset$ . Furthermore, if  $C_0$  is the base cycle in  $D$ , then

$$q = \dim C_0 < \dim \kappa.$$

**Proof.** The property  $\kappa \cap D \neq \emptyset$  has been verified above. For the dimension estimate note that  $C_0$  is dimension-theoretically a minimal  $K_0$ -orbit in  $D$ , e.g., the  $K_0$ -orbits in  $\kappa \cap D$  are at least of its dimension. Since  $\kappa$  is not compact, it follows that  $\dim \kappa > \dim C_0$ .  $\square$

We will also make use of the following basic fact about Schubert varieties.

**Lemma 3.3** Let  $B$  be a Borel subgroup of  $G$ , let  $S$  be a  $k$ -dimensional  $B$ -Schubert variety in  $Z$ , and suppose that  $\dim Z \geq \ell \geq k$ . Then there exists a  $B$ -Schubert variety  $S'$  with  $\dim S' = \ell$  and  $S' \supset S$ .

**Proof.** We may assume that  $S \neq Z$ . Let  $\mathcal{O}$  be the open  $B$ -orbit in  $S$  and  $\mathcal{O}'$  be a  $B$ -orbit of minimal dimension among those orbits with  $\text{cl}(\mathcal{O}') \not\supseteq \mathcal{O}$ .

For  $p \in \mathcal{O}$  it follows that  $\text{cl}(\mathcal{O}') \setminus \mathcal{O} = \mathcal{O}'$  near  $p$ . Since  $\mathcal{O}'$  is affine, it then follows that  $\dim \mathcal{O}' = (\dim \mathcal{O}) + 1$ . Applying this argument recursively, we find Schubert varieties  $S' := \text{cl}(\mathcal{O}')$  of every intermediate dimension  $\ell$ .  $\square$

We now come to our main application of Theorem 3.1.

**Corollary 3.4** Let  $D$  be an open  $G_0$ -orbit on  $Z$  and fix a boundary point  $p \in \text{bd} D$ . Then there exist an Iwasawa decomposition  $G_0 = K_0 A_0 N_0$ , an Iwasawa-Borel subgroup  $B \supset A_0 N_0$ , and a  $B$ -Schubert variety  $Y$ , such that (1)  $p \in Y \subset Z \setminus D$ , (2)  $\text{codim}_Z Y = q + 1$ , and (3)  $A_Y$  is a  $B$ -invariant analytic subvariety of  $\Omega$ .

**Proof.** Let  $p \in \text{bd}(D)_{\text{gen}}$ , let  $\gamma = \gamma_p$ , and let  $\kappa$  be dual to  $\gamma$ . First consider the case where  $p \in \gamma \cap \kappa$ . From Lemma 3.2,  $\text{codim}_Z S \geq q + 1$  for every  $S \in \mathcal{S}_\kappa$ .

Now, given  $S \in \mathcal{S}_\kappa$ , further specify  $p$  to be in  $S \cap \kappa$  and let  $Y$  be a  $(q + 1)$ -codimensional Schubert variety containing  $S$  (see Lemma 3.3).

Recall that  $Y$  is  $A_0 N_0$ -invariant, that  $D = G_0(z_0) = A_0 N_0 K_0(z_0)$ , and that  $C_0 = K_0(z_0)$ . If  $Y \cap D \neq \emptyset$  it follows that there is an intersection point  $z \in Y \cap C_0$ . Then  $A_0 N_0(z) \subset Y$ . Since  $\dim_{\mathbb{C}} C_0 = q$  and  $\text{codim}_{\mathbb{C}} Y = q + 1$ , it would follow that

$$q = \dim_{\mathbb{C}} C_0 = \text{codim}_{\mathbb{C}} A_0 N_0(z) \geq \text{codim}_{\mathbb{C}} Y = q + 1.$$

Thus  $Y$  does not meet  $D$ . On the other hand, using Theorem 3.1, it meets every  $G_0$ -orbit in  $\text{cl}(\gamma)$ . Thus, by conjugating appropriately, we have the desired result for any point in the closure of  $\gamma$ . Since  $\gamma$  was chosen to be an arbitrary isolated orbit in  $\text{bd}(D)$ , the result follows for every point of  $\text{bd}(D)$ .  $\square$

## 4 Supporting hypersurfaces at the cycle space boundary

Let  $D = G_0(z_0)$  be an open  $G_0$ -orbit on  $Z$ . Let  $C_0$  denote the base cycle  $K_0(z_0) = K(z_0)$  in  $D$ , i.e., the dual  $K$ -orbit  $\kappa$  to the open  $G_0$ -orbit  $\gamma = D$ . Then the cycle space of  $D$  is given by

$$(4.1) \quad \Omega_W(D) := \text{component of } C_0 \text{ in } \{gC_0 \mid g \in G \text{ and } gC_0 \subset D\}.$$

Since  $D$  is open and  $C_0$  is compact, the cycle space  $\Omega_W(D)$  initially sits as an open submanifold of the complex homogeneous space  $G/\tilde{K}$ , where  $\tilde{K}$  is the isotropy subgroup of  $G$  at  $C_0$ . In the Appendix, Section 9, we will see (with the few Hermitian exceptions which have already been

mentioned) that the finite covering  $\pi : \Omega := G/K \rightarrow \tilde{\Omega} := G/\tilde{K}$  restricts to an equivariant biholomorphic diffeomorphism of the lifted cycle space

$$(4.2) \quad \widetilde{\Omega_W(D)} := \text{component of } gK \text{ in } \{gK \mid g \in G \text{ and } gC_0 \subset D\} \subset \Omega$$

onto  $\Omega_W(D)$ .

The main goal of the present section is, given  $C \in \text{bd}(\Omega_W(D))$ , to determine a particular point  $p \in C$  which is contained in a Iwasawa–Schubert variety  $Y$  with  $\text{codim}_Z Y = q + 1$ , so that  $Y \cap D = \emptyset$ , and  $A_Y = H_Y$  is of pure codimension 1. It is then an immediate consequence (see Corollary 4.7) that  $\Omega_W(D) = \Omega_S(D)$ .

Given  $p \in C \cap \text{bd}(D)$ , we consider Iwasawa–Schubert varieties  $S = \text{cl}(\mathcal{O})$  of minimal possible dimension that satisfy the following conditions:

1.  $p \in S \setminus \mathcal{O} := E$
2.  $S \cap D \neq \emptyset$
3. The union of the irreducible components of  $E$  that contain  $p$  is itself contained in  $Z \setminus D$ .

Notation: Let  $A$  denote the union of all the irreducible components of  $E$  contained in  $Z \setminus D$  and let  $B$  denote the union of the remaining components of  $E$ . In particular  $E = A \cup B$ .

Note that by starting with the Schubert variety  $S_0 := Y$  as in the proof of Corollary 3.4, and by considering a chain  $S_0 \subset S_1 \subset \dots$  with  $\dim S_{i+1} = \dim S_i + 1$ , we eventually come to a Schubert variety  $S = S_k$  with these properties. Of course, given  $p$ , the Schubert variety  $S$  may not be unique, but  $\dim S =: n - q + \delta \geq n - q$ .

The following Proposition gives a constructive method for determining an Iwasawa–Borel invariant incidence hypersurface that contains  $C$  and is itself contained in the complement  $\Omega \setminus \Omega_W(D)$ . Here  $S$  is constructed as above.

**Proposition 4.3** *If  $\delta > 0$ , then  $C \cap A \cap B \neq \emptyset$ .*

Given Proposition 4.3, take a point  $p_1 \in C \cap A \cap B$  and replace  $S$  by a component  $S_1$  of  $B$  that contains  $p_1$ . Possibly there are components of  $E_1 := S_1 \setminus \mathcal{O}_1$  that contain  $p_1$  and also have non-empty intersection with  $D$ . If that is the case, we replace  $S_1$  by any such component. Since this  $S_1$  still has non-empty intersection with the Iwasawa–Borel invariant  $A$ , at least some of the components of its  $E_1$  do not intersect in this way. Continuing in this way, we eventually determine an  $S_1$  that satisfies all of the above conditions at  $p_1$ . The procedure stops because Schubert varieties of dimension less than  $n - q$  have empty intersection with  $D$ .

**Corollary 4.4** *If  $S_0$  satisfies the above conditions at  $p_0$ , then there exist  $p_1 \in \text{bd}(D)$  and a Schubert subvariety  $S_1 \subset S_0$  that satisfies these conditions at  $p_1$  and has dimension  $n - q$ .*

**Proof.** We recursively apply the procedure indicated above until  $\delta = 0$ . □

**Corollary 4.5** *If  $C \in \text{bd}(\Omega_W(D))$ , there exists an Iwasawa–Schubert variety  $S$  of dimension  $n - q$  such that  $E := S \setminus \mathcal{O}$  has non-empty intersection with  $C$ .*

**Corollary 4.6** *Let  $C \in \text{bd}(\Omega_W(D))$ . Then there exists an Iwasawa–Borel subgroup  $B \subset G$ , and a component  $H_E$  of a  $B$ -invariant incidence variety  $A_E$ , where  $E = S \setminus \mathcal{O}$  as above, such that  $H_E \subset \Omega \setminus \Omega_W(D)$ ,  $C \in H_E$ , and  $\text{codim}_\Omega H_E = 1$ , i.e.,  $H_E$  is an incidence divisor.*

**Proof.** The hypersurface  $E$  in  $S$  is the support of an ample divisor [16]. Thus the trace-transform method ([4], see also [16, Appendix]) produces a meromorphic function on  $\Omega$  with a pole at  $C$  and polar set contained in  $A_E$ . Hence  $A_E$  has a component  $H_E$  as required.  $\square$

In the language of Section 3 this shows that for every  $C \in \text{bd}(\Omega_W(D))$  there exists  $Y \in \mathcal{Y}(D)$  such that  $C \in H_Y$ . In other words, every such boundary point is contained in the complement of the Schubert domain  $\Omega_S(D)$ . By definition  $\Omega_W(D) \subset \Omega_S(D)$ . Using that, the equality of these domains follows immediately:

**Corollary 4.7**  $\Omega_W(D) = \Omega_S(D)$ .

Let us now turn to certain technical preparations for the proof of Proposition 4.3. For  $S$  as in its statement, let  $\mathcal{U}_S$  be its preimage in the universal family  $\mathcal{U}$  parameterized by  $\Omega$ . The mapping  $\pi : \mathcal{U}_S \rightarrow \Omega$  is proper and surjective and the fiber  $\pi^{-1}(C)$  over a point  $C \in \Omega$  can be identified with  $C \cap S$ . All orbits of the Iwasawa–Borel group that defines  $S$  are transversal to the base cycle  $C_0$ ; in particular,  $C_0 \cap S$  is pure-dimensional with  $\dim C_0 \cap S = \delta$ . Thus the generic cycle in  $\Omega$  has this property.

Choose a 1-dimensional (local) disk  $\Delta$  in  $\Omega$  with  $C$  corresponding to its origin, such that  $I_z := \pi^{-1}(z)$  is  $\delta$ -dimensional for  $z \neq 0$ . Define  $\mathcal{X}$  to be the closure of  $\pi^{-1}(\Delta \setminus \{0\})$  in  $\mathcal{U}_S$ . The map  $\pi_{\mathcal{X}} := \pi|_{\mathcal{X}} : \mathcal{X} \rightarrow \Delta$  is proper and its fibers are purely  $\delta$ -dimensional.

In the sequel we use the standard moving lemma of intersection theory and argue using a desingularization  $\tilde{\pi} : \tilde{S} \rightarrow S$ , where only points  $E$  are blown up. Let  $\tilde{E}$ ,  $\tilde{A}$  and  $\tilde{B}$  denote the corresponding  $\tilde{\pi}$ -preimages. By taking  $\Delta$  in generic position we may assume that for  $z \neq 0$  no component of  $I_z$  is contained in  $E$ . Hence we may lift the family  $\mathcal{X} \rightarrow \Delta$  to a family  $\tilde{\mathcal{X}} \rightarrow \Delta$  of  $\delta$ -dimensional varieties such that  $\tilde{\mathcal{X}} \rightarrow \mathcal{X}$  is finite to one outside of the fiber over  $0 \in \Delta$ . Let  $\tilde{I}_z$  denote the fiber of  $\tilde{\mathcal{X}} \rightarrow \Delta$  at  $z \in \Delta$ , and shrink  $\tilde{\mathcal{X}}$  so that  $\tilde{I} := \tilde{I}_0$  is connected. Since  $\tilde{I}_z \cap \tilde{A} = \emptyset$  for  $z \neq 0$ , it follows that the intersection class  $\tilde{I} \cdot \tilde{A}$  in the homology of  $\tilde{S}$  is zero.

An irreducible component of  $\tilde{I}$  is one of the following types: it intersects  $\tilde{A}$  but not  $\tilde{B}$ , or it intersects both  $\tilde{A}$  and  $\tilde{B}$ , or it intersects  $\tilde{B}$  but not  $\tilde{A}$ . Write  $\tilde{I} = \tilde{I}_A \cup \tilde{I}_{AB} \cup \tilde{I}_B$  correspondingly.

**Lemma 4.8**  $\tilde{I}_{AB} \neq \emptyset$ .

**Proof.** Since  $\tilde{I}_A \cup \tilde{I}_{AB} \neq \emptyset$ , it is enough to consider the case where  $\tilde{I}_A \neq \emptyset$ . Let  $H$  be a hyperplane section in  $Z$  with  $H \cap S = E$  (see e.g. [16]) and put  $H$  in a continuous family  $H_t$  of hyperplanes with  $H_0 = H$  such that  $H_t \cap I_A$  is  $(\delta - 1)$ -dimensional for  $t \neq 0$  and such that the lift  $\tilde{E}_t$  of  $E_t := H_t \cap S$  contains no irreducible component of  $\tilde{I}_A$ . In particular,  $\tilde{E}_t \cdot \tilde{I}_A \neq 0$  for  $t \neq 0$ . Since  $\tilde{I}_A \cdot \tilde{A} = \tilde{I}_A \cdot \tilde{E} = \tilde{I}_A \cdot \tilde{E}_t$ , it follows that  $\tilde{I}_A \cdot \tilde{A} \neq 0$ . But  $0 = \tilde{I} \cdot \tilde{A} = \tilde{I}_A \cdot \tilde{A} + \tilde{I}_{AB} \cdot \tilde{A}$  and therefore  $\tilde{I}_{AB} \neq \emptyset$ .  $\square$

**Proof of Proposition 4.3.** We first consider the case where  $\delta \geq 2$ . Since  $\tilde{I}_{AB} \neq \emptyset$ , it follows that some irreducible component  $I'$  of  $I$  has non-empty intersection with both  $A$  and  $B$ . Of course  $I' \cap E = (I' \cap A) \cup (I' \cap B)$ . But  $E$  is the support of a hyperplane section, and since  $\dim I' \geq 2$ , it follows that  $(I' \cap E)$  is connected. In particular  $(I' \cap A)$  meets  $(I' \cap B)$ . Therefore  $I' \cap A \cap B \neq \emptyset$  and consequently  $C \cap A \cap B \neq \emptyset$ .

Now suppose that  $\delta = 1$ , i.e., that  $\tilde{I}$  is 1-dimensional. Since  $\tilde{I} \cdot \tilde{A} = 0$ , the (non-empty) intersection  $\tilde{I} \cap \tilde{A}$  is not discrete. We will show that some component of  $\tilde{I}_{AB}$  is contained in  $\tilde{A}$ . It will follow immediately that  $C \cap A \cap B \neq \emptyset$ . For this we assume to the contrary that every component of  $\tilde{I}$  which is contained in  $\tilde{A}$  is in  $\tilde{I}_A$ . We decompose  $\tilde{I} = \tilde{I}_1 \cup \tilde{I}_2$ , where  $\tilde{I}_1$  consists of those components of  $\tilde{I}$  which are contained in  $\tilde{A}$  and  $\tilde{I}_2$  of those which have discrete or empty intersection with  $\tilde{A}$ .

Now  $\tilde{I}_1 \cdot \tilde{A} = \tilde{I}_1 \cdot \tilde{E}$ . Choosing  $H_t$  as above, we have  $\tilde{I}_1 \cdot \tilde{E} = \tilde{I}_1 \cdot \tilde{E}_t \geq 0$  for  $t \neq 0$ . If  $\tilde{I}_2 \neq \tilde{I}_B$ , then  $\tilde{I}_2 \cdot \tilde{A} > 0$ . This would contradict  $0 = \tilde{I} \cdot \tilde{A} = \tilde{I}_1 \cdot \tilde{A} + \tilde{I}_2 \cdot \tilde{A}$ . Thus  $\tilde{I}_2 = \tilde{I}_B$  and  $\tilde{I}_1 = \tilde{I}_A$ . But

$\widetilde{I}_A$  and  $\widetilde{I}_B$  are disjoint, contrary to  $\widetilde{I}$  being connected. Thus it follows that  $\widetilde{I}_{AB}$  does indeed contain a component that is contained in  $\widetilde{A}$ . The proof is complete.  $\square$

**Remark 4.9** In the non-hermitian case, the main result of [10] leads to a non-constructive, but very short, proof of the existence of an incidence hypersurface  $H \subset G/\widetilde{K}$  containing a given boundary point  $C \in \text{bd}(\Omega_W(D))$ . (The analogous construction in the Hermitian case is somewhat easier; see Section 8 below.) For this, note that if  $S$  is a  $q$ -codimensional Schubert variety with  $S \cap C_0 \neq \emptyset$ , then, using [4] as above, for  $Y := S \setminus \mathcal{O}$ , it follows that  $H := H_Y$  is indeed a hypersurface. Now let  $\Omega_H$  be the connected component containing the base point of  $\Omega \setminus \bigcup_{k \in K} k(H)$ . It is shown in [10] that  $\Omega_H$  agrees with the Iwasawa domain  $\Omega_I$  which can be defined as the intersection of all  $\Omega_H$ , where  $H$  is a hypersurface in  $\Omega$  which is invariant under some Iwasawa–Borel subgroup of  $G$  (see Section 6). It follows that  $\Omega_S(D) = \Omega_H$ , because by definition  $\Omega_I \subset \Omega_S(D) \subset \Omega_I$ .

By Corollary 3.4 there is an incidence variety  $A_Y$  in  $Z \setminus D$  which contains  $C$  and is invariant by some Iwasawa–Borel subgroup  $B$ . Since the open  $B$ -orbit in  $\Omega$  is affine, there exists a  $B$ -invariant hypersurface  $H$  which contains  $A_Y$ . Since  $\Omega_S(D) = \Omega_I$ , it is also contained in  $Z/D$  and thus it has the desired properties. That completes the short proof. In fact it follows that  $\Omega_W(D) = \Omega_I = \Omega_S(D) = \Omega_H$ .  $\square$

## 5 Intersection properties of Schubert slices

Let  $(\gamma, \kappa)$  be a dual pair and  $z_0 \in \gamma \cap \kappa$ . Let  $\Sigma$  be the Schubert slice at  $z_0$ , i.e.,  $\Sigma = A_0 N_0(z_0) \subset \gamma$ . In particular  $z_0 \in \Sigma \cap \kappa$ . We take a close look at the intersection set  $\Sigma \cap \kappa$ .

Let  $L_0$  denote the isotropy subgroup  $(G_0)_{z_0}$ , and therefore  $(K_0)_{z_0} = K_0 \cap L_0$  and  $(A_0 N_0)_{z_0} = (A_0 N_0) \cap L_0$ . Define  $\alpha : (K_0)_{z_0} \times (A_0 N_0)_{z_0} \rightarrow L_0$  by group multiplication.

**Lemma 5.1** *The map  $\alpha : (K_0)_{z_0} \times (A_0 N_0)_{z_0} \rightarrow L_0$  is a diffeomorphism onto an open subgroup of  $L_0$ .*

**Proof.** Since  $\dim K_0(z_0) + \dim (A_0 N_0)(z_0) = \dim G_0(z_0)$  and  $\dim K_0 + \dim (A_0 N_0) = \dim G_0$  we have  $\dim (K_0)_{z_0} + \dim (A_0 N_0)_{z_0} = \dim L_0$ . Thus the orbit of the neutral point under the action of the compact group  $(K_0)_{z_0}$  is the union of certain components of  $L_0 / (A_0 N_0)_{z_0}$ , i.e.,  $\text{Image}(\alpha) = (K_0)_{z_0} \cdot (A_0 N_0)_{z_0}$  is an open subgroup of  $L_0$ .

The injectivity of  $\alpha$  follows from the fact that  $G_0$  is the topological product  $G_0 = K_0 \times (A_0 N_0)$ . This product structure also yields the fact that  $(K_0)_{z_0}(m)$  is transversal to  $(A_0 N_0)_{z_0}$  at every  $m \in (A_0 N_0)_{z_0}$ . Thus,  $\alpha$  is a local diffeomorphism along  $(A_0 N_0)_{z_0}$  and by equivariance is therefore a diffeomorphism onto its image.  $\square$

**Corollary 5.2** *If  $L_0$  is connected, in particular if  $\gamma$  is simply connected, then  $\Sigma \cap \kappa = \{z_0\}$ .*

**Proof.** If  $z_1 \in \Sigma \cap \kappa$ , then there exists  $k \in K_0$  and  $an \in A_0 N_0$  so that  $k^{-1}(z_0) = (an)(z_0)$ , i.e.,  $kan \in L_0$ . Therefore  $k \in (K_0)_{z_0}$ ,  $an \in (A_0 N_0)_{z_0}$ , and  $z_1 = z_0$ .  $\square$

**Theorem 5.3** *Let  $D$  be an open  $G_0$ -orbit in  $Z$ ,  $C_0 \subset D$  the base cycle,  $z_0 \in C_0$ , and  $\Sigma = A_0 N_0(z_0)$  a Schubert slice at  $z_0$ . If  $C \in \Omega_W(D)$ , then  $\Sigma \cap C$  consists of a single point, and the intersection  $\Sigma \cap C$  at that point is transversal.*

**Proof.** Let  $S := c\ell B(z_0)$  be the Schubert variety containing  $\Sigma$ , and let  $k$  denote the intersection number  $[S] \cdot [C_0]$ . We know from Theorem 3.1 that intersection points occur only in the open  $A_0 N_0$ -orbits in  $S$ . The open  $G_0$ -orbit  $D$  is simply connected, and therefore Corollary 5.2 applies. Thus  $\Sigma \cap C_0 = \{z_0\}$ . From Theorem 3.1(1) it follows that intersection this transversal.

Hence it contributes exactly 1 to  $[S] \cdot [C_0]$ . Now we have  $k$  different open  $A_0N_0$ -orbits in  $S$ , each of which contains exactly one (transversal) intersection point.

Cycles  $C \in \Omega_W(D)$  are homotopic to  $C_0$ . Thus  $[S] \cdot [C] = k$ . As we homotopy  $C_0$  to  $C$  staying in  $D$ , the intersection points of course move around, but each stays in its original open  $A_0N_0$ -orbits in  $S$ . Since  $\Sigma$  is one of those open  $A_0N_0$ -orbits, it follows that  $\Sigma \cap C$  consists of a single point, and the intersection there is transversal, as asserted.  $\square$

**Remark 5.4** One might hope that the orbit  $D$  would be equivariantly identifiable with a bundle of type  $K \times_{(K_0)_{z_0}} \Sigma$ , but the following example shows that this is not the case. Let  $Z = \mathbb{P}_2(\mathbb{C})$  be equipped with the standard  $SU(2,1)$ -action. Let  $D$  be the open  $SU(2,1)$ -orbit consisting of positive lines, i.e, the complement of the closure of the unit ball  $B$  in its usual embedding. The Schubert slice  $\Sigma$  for  $D$  is contained in a projective line tangent to  $\text{bd}(B)$ ; see [17]. If  $z_0 \in C_0 \subset D$ , the only  $(K_0)_{z_0}$ -invariant line in  $\mathbb{P}_2(\mathbb{C})$  that contains  $z_0$  and is not contained in  $C_0$  is the line determined by  $z_0$  and the  $K_0$ -fixed point in  $B$ .

## 6 The domains $\Omega_I$ and $\Omega_{AG}$

The Schubert domain  $\Omega_S(D)$  is defined as a certain subspace of the cycle space  $\Omega$ . When  $G_0$  is of hermitian type and  $\Omega$  is the associated compact hermitian symmetric space, the situation is completely understood [22]:  $\Omega_W(D)$  is the bounded symmetric domain dual to  $\Omega$  in the sense of symmetric spaces. Now we put that case aside. Then  $\Omega \cong G/K$ , and we have

$$(6.1) \quad \Omega_W(D) = \Omega_S(D) \subset \Omega = G/K.$$

Let  $B$  be an Iwasawa–Borel subgroup of  $G$ . It has only finitely many orbits on  $\Omega$ , and those orbits are complex manifolds. The orbit  $B(1K)$  is open, because  $ANK$  is open in  $G$ , and its complement  $S \subset \Omega$  is a finite union  $\bigcup H_i$  of  $B$ -invariant irreducible complex hypersurfaces. For any given open  $G_0$ -orbit, some of these  $H_i$  occur in the definition (2.1) of  $\Omega_S(D)$ . The *Iwasawa domain*  $\Omega_I$  is defined as in (2.1) except that we use all the  $H_i$ :

$$(6.2) \quad \Omega_I \text{ is the connected component of } C_0 \text{ in } \Omega \setminus \left( \bigcup_{g \in G_0} g(S) \right).$$

This definition is independent of choice of  $B$  because any two Iwasawa–Borel subgroups of  $G$  are  $G_0$ -conjugate. Just as in the case of the Schubert domains, we note here that

$$\bigcup_{g \in G_0} g(S) = \bigcup_{k \in K_0} k(S)$$

is closed. By definition,  $\Omega_I \subset \Omega_S(D)$  for every open  $G_0$ -orbit  $D$  in  $Z$ .

The argument for  $\Omega_S(D)$  also shows that  $\Omega_I$  is a Stein domain in  $\Omega$ . See [15] for further properties of  $\Omega_I$ .

The Iwasawa domain has been studied by several authors from a completely different viewpoint and with completely different definitions. See [2], [3], [6], [12] and [18]. Here is the definition in [2]. Let  $X_0$  be the closed  $G_0$ -orbit in  $G/B$  and let  $\mathcal{O}_{max}$  be the open  $K$ -orbit there. The *polar*  $\widehat{X}_0$  of  $X_0$  is the connected component of  $1.K$  in  $\{gK \in \Omega \mid g \in G \text{ and } g^{-1}X_0 \subset \mathcal{O}_{max}\}$ .

**Proposition 6.3** [26]  $\widehat{X}_0 = \Omega_I$ .

**Proof.** Let  $\pi : G \rightarrow G/K = \Omega$  denote the projection. As  $S$  is the complement of  $B \cdot K$  in  $\Omega$ ,  $\pi^{-1}(\Omega_I)$  is the interior of  $I := \bigcap_{g \in G_0} g(ANK)$ . Note that  $h \in I \Leftrightarrow g^{-1}h \in ANK$  for all  $g \in G_0 \Leftrightarrow h^{-1}g \in KAN$  for all  $g \in G_0 \Leftrightarrow h^{-1}G_0 \subset KAN$ . Viewing  $1B$  as the base point in  $X_0$ , the condition for  $hK$  being in  $\widehat{X}_0$  is that  $h^{-1}G_0B \subset KB = KAN$ . Thus  $h \in I \Leftrightarrow hK \in \widehat{X}_0$ , in other words  $\widehat{X}_0 = \pi(I) = \Omega \setminus \bigcup_{g \in G_0} g(S)$ .  $\square$

**Corollary 6.4** *The polar  $\widehat{X}_0$  to the closed  $G_0$ -orbit  $X_0$  is a Stein subdomain of  $\Omega$ .*

Now we turn to the domain  $\Omega_{AG}$ . The Cartan involution  $\theta$  of  $\mathfrak{g}_0$  defines the usual Cartan decomposition  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{p}_0$  and the compact real form  $\mathfrak{g}_u = \mathfrak{k}_0 + \sqrt{-1} \mathfrak{p}_0$  of  $\mathfrak{g}$ . Let  $G_u$  be the corresponding compact real form of  $G$ , real-analytic subgroup for  $\mathfrak{g}_u$ , acting on  $\Omega = G/K$ . Then

$$\Omega_{AG} := \{x \in \Omega \mid \text{the isotropy subgroup } (G_0)_x \text{ is compactly embedded}\}^0,$$

the topological component of  $x_0 = 1K$ . It is important to note that the action of  $G_0$  on the Akhiezer-Gindikin domain  $\Omega_{AG}$  is proper [1].

In work related to automorphic forms ([6], [18]) it was shown that  $\Omega_{AG} \subset \widehat{X}_0$ , when  $G_0$  is a classical group. Other related results were proved in [12].

By means of an identification of  $\widehat{X}_0$  with a certain maximal domain  $\Omega_{adpt}$  for the adapted complex structure inside the real tangent bundle of  $G_0/K_0$ , and using basic properties of plurisubharmonic functions, it was shown by the first author that  $\Omega_{AG} \subset \Omega_I$  in complete generality [15]. Barchini proved the opposite inclusion in [2]. Thus  $\Omega_{AG} = \Omega_I$ . In view of Theorem 6.3, we now have

**Theorem 6.5**  $\Omega_I = \widehat{X}_0 = \Omega_{AG}$ .

**Remark 6.6** In particular, this gives yet another proof that  $\Omega_{AG}$  is Stein. That result was first proved in [8] where a plurisubharmonic exhaustion function was constructed.

**Summary:** In general,  $\Omega_S(D) = \Omega_W(D)$  and  $\Omega_I = \widehat{X}_0 = \Omega_{AG}$ .

## 7 Cycle spaces of lower-dimensional $G_0$ -orbits

Let us recall the setting of [12]. For  $Z = G/Q$ ,  $\gamma \in Orb_Z(G_0)$  and  $\kappa \in Orb_Z(K)$  its dual, let  $G(\gamma)$  be the connected component of the identity of  $\{g \in G : g(\kappa) \cap \gamma \text{ is non-empty and compact}\}$ . Note that  $G(\gamma)$  is an open  $K$ -invariant subset of  $G$  which contains the identity. Define  $\mathcal{C}(\gamma) := G(\gamma)/K$ . Finally, define  $\mathcal{C}$  as the intersection of all such cycle spaces as  $\gamma$  ranges over  $Orb_Z(G_0)$  and  $Q$  ranges over all parabolic subgroups of  $G$ .

**Theorem 7.1**  $\mathcal{C} = \Omega_{AG}$ .

This result was checked in [12] for classical and hermitian exceptional groups by means of case by case computations, and the authors of [12] conjectured it in general. As will be shown here, it is a consequence of the statement

$$\Omega_W(D) = \Omega_S(D) \text{ when } D \text{ is an open } G_0\text{-orbit in } G/B,$$

and of the following general result [12, Proposition 8.1].

**Proposition 7.2**  $\left(\bigcap_{D \subset G/B \text{ open}} \Omega_W(D)\right) \subset \mathcal{C}$ .

**Proof of Theorem.** The polar  $\widehat{X}_0$  in  $Z = G/B$  coincides with the cycle space  $\mathcal{C}_Z(\gamma_0)$ , where  $\gamma_0$  is the unique closed  $G_0$ -orbit in  $Z$ . As was shown above, this agrees with the Iwasawa domain  $\Omega_I$  which in turn is contained in every Schubert domain  $\Omega_S(D)$ . Thus, for every open  $G_0$ -orbit  $D_0$  in  $Z = G/B$  we have the inclusions

$$\left(\bigcap_{D \subset G/B \text{ open}} \Omega_W(D)\right) \subset \mathcal{C} \subset \mathcal{C}_Z(\gamma_0) = \widehat{X}_0 = \Omega_I \subset \Omega_S(D_0) = \Omega_W(D_0).$$

Intersecting over all open  $G_0$ -orbits  $D$  in  $G/B$ , the equalities

$$\left( \bigcap_{D \subset G/B \text{ open}} \Omega_W(D) \right) = \mathcal{C} = \Omega_I = \left( \bigcap_{D \subset G/B \text{ open}} \Omega_W(D) \right)$$

are forced, and  $\mathcal{C} = \Omega_{AG}$  is a consequence of  $\Omega_I = \Omega_{AG}$ .  $\square$

As noted in our introductory remarks, using in particular the results of the present paper, it was shown in [10] that  $\Omega_W(D) = \Omega_{AG}$  with the obvious exceptions in the well-understood hermitian cases. This is an essentially stronger result than the above theorem on intersections. On the other hand, it required a good deal of additional work and therefore it is perhaps of interest that the intersection result follows as above in a direct way from  $\Omega_W(D) = \Omega_S(D)$ . So, for example, in any particular case where this latter point was verified, the intersection theorem would be immediate (see e.g. [17] for the case of  $SL(n, \mathbb{H})$ ).

## 8 Groups of hermitian type

Let  $G_0$  be of hermitian type. Write  $\mathcal{B}$  for the bounded symmetric domain  $G_0/K_0$  with a fixed choice of invariant complex structure. Drop the colocation convention leading to (6.1), so that now the cycle space  $\Omega_W(D)$  really consists of cycles as in [22] and [24]. It has been conjectured (see [24]) that, whenever  $D$  is an open  $G_0$ -orbit in a complex flag manifold  $Z = G/Q$ , there are just two possibilities:

1. A certain double fibration (see [24]) is holomorphic, and  $\Omega_W(D)$  is biholomorphic either to  $\mathcal{B}$  or to  $\overline{\mathcal{B}}$ , or
2. both  $\Omega_W(D)$  and  $\mathcal{B} \times \overline{\mathcal{B}}$  have natural biholomorphic embeddings into  $G/K$ , and there  $\Omega_W(D) = \mathcal{B} \times \overline{\mathcal{B}}$ .

The first case is known ([22], [24]), and the second case has already been checked [24] in the cases where  $G_0$  is a classical group.

The inclusion  $\Omega_W(D) \subset \mathcal{B} \times \overline{\mathcal{B}}$  was proved in general ([24]; or see [25]). It is also known [8] that  $\mathcal{B} \times \overline{\mathcal{B}} = \Omega_{AG}$ . Combine this with  $\Omega_{AG} \subset \Omega_I$  ([15]; or see Theorem 6.5), with  $\Omega_W(D) = \Omega_S(D)$  (Corollary 4.7), and with  $\Omega_I \subset \Omega_S(D)$  (compare definitions (2.1) and (6.2)) to see that

$$(8.1) \quad \Omega_S(D) = \Omega_W(D) \subset (\mathcal{B} \times \overline{\mathcal{B}}) = \Omega_{AG} \subset \Omega_I \subset \Omega_S(D).$$

Now we have proved the following result. (Also see [25].)

**Theorem 8.2** *Let  $G_0$  be a simple noncompact group of hermitian type. Then either (1) a certain double fibration (see [24]) is holomorphic, and  $\Omega_W(D)$  is biholomorphic to  $\mathcal{B}$  or to  $\overline{\mathcal{B}}$ , or (2)  $\Omega = G/K$  and  $\Omega_W(D) = \Omega_S(D) = \Omega_I = \Omega_{AG} = (\mathcal{B} \times \overline{\mathcal{B}})$ .*

**Remark 8.3** Since the above argument already uses the inclusion  $\Omega_W(D) \subset (\mathcal{B} \times \overline{\mathcal{B}})$  of [24], it should be noted that the construction for the proof of Corollary 4.7 can be replaced by the following treatment. Using Corollary 3.4, given  $p \in \text{bd}(\Omega_W(D))$ , one has an Iwasawa–Borel subgroup  $B \subset G$  and a  $B$ -invariant incidence variety  $A_Y$  such that  $p \in A_Y \subset \Omega \setminus \Omega_W(D)$ . Since the open  $B$ -orbit in  $\Omega$  is affine, it follows that  $A_Y$  is contained in a  $B$ -invariant hypersurface  $H$ . But  $\Omega_W(D) \subset \Omega_{AG} \subset \Omega_I$ , and  $H \subset \Omega \setminus \Omega_I$  by definition of the latter. Thus  $\Omega_W(D) = \Omega_I = \Omega_{AG} = (\mathcal{B} \times \overline{\mathcal{B}})$ .

## 9 Appendix: Lifting the cycle space to $G/K$

As mentioned in connection with the definitions (4.1) and (4.2), we can view the cycle space  $\Omega_W(D)$  inside  $G/K$  because of

**Theorem 9.1** *The projection  $\pi : G/K \rightarrow G/\widetilde{K}$  restricts to a  $G_0$ -equivariant holomorphic cover  $\pi : \widetilde{\Omega}_W(D) \rightarrow \Omega_W(D)$ , and  $\pi : \widetilde{\Omega}_W(D) \rightarrow \Omega_W(D)$  is one to one.*

We show that  $\widetilde{\Omega}_W(D)$  is homeomorphic to a cell, and then we apply [11, Corollary 5.3].

Without loss of generality we may assume that  $G$  is simply connected. Let  $G_u$  denote the  $\theta$ -stable compact real form of  $G$  such that  $G_u \cap G_0 = K_0$ , connected. Then  $G_u$  is simply connected because it is a maximal compact subgroup of the simply connected group  $G$ . It follows that  $G_u/K_0$  is simply connected. We view  $G_u/K_0$  as a riemannian symmetric space  $M_u$ , using the negative of the Killing form of  $G_u$  for metric and  $\theta|_{G_u}$  for the symmetry at  $1 \cdot K_0$ . It is connected and simply connected.

**Definition 9.2** Let  $x_0$  denote the base point  $1 \cdot K_0 \in G_u/K_0 = M_u$ . Let  $L \subset T_{x_0}(M_u)$  denote the conjugate locus at  $x_0$ , all tangent vectors  $\xi$  at  $x_0$  such that  $d\exp_{x_0}$  is nonsingular at  $t\xi$  for  $0 \leq t < 1$  but singular at  $\xi$ . Then we define

$$\frac{1}{2}M_u := \{ \exp_{x_0}(t\xi) \mid \xi \in L \text{ and } 0 \leq t < \frac{1}{2} \}.$$

The conjugate locus  $L$  and the cut locus are the same for  $M_u$  [9], so  $\frac{1}{2}M_u$  consists of the points in  $M_u$  at a distance from  $x_0$  less than half way to  $\exp_{x_0}(L)$ . For example, if  $G_0/K_0$  is a bounded symmetric domain  $\mathcal{B}$ , then a glance at the polysphere that sweeps out  $M_u$  under the action of  $K_0$  shows that  $\frac{1}{2}M_u = \mathcal{B}$ .

**Proposition 9.3** *The lifted cycle space  $\widetilde{\Omega}_W(D) = G_0 \cdot \frac{1}{2}M_u \subset G/K$ . It is  $G_0$ -equivariantly diffeomorphic to  $(G_0/K_0) \times M_u$ . In particular it is homeomorphic to a cell.*

**Proof.** According to [10, Theorem 5.2.6], the lifted cycle space  $\widetilde{\Omega}_W(D) \subset G/K$  coincides with the Akhiezer–Gindikin domain  $\Omega_{AG}$ . The restricted root description [1] of  $\Omega_{AG}$  is (in our notation)

$$\Omega_{AG} = G_0 \cdot \exp(\{ \xi \in \mathfrak{a}_u \mid |\alpha(\xi)| < \pi/2 \ \forall \alpha \in \Delta(\mathfrak{g}, \mathfrak{a}) \})K/K,$$

where  $\mathfrak{a}_u$  is a maximal abelian subspace of  $\{ \xi \in \mathfrak{g}_u \mid \theta(\xi) = -\xi \}$  and  $\Delta(\mathfrak{g}, \mathfrak{a})$  is the resulting family of restricted roots. A glance at Definition 9.2 shows that

$$\frac{1}{2}M_u = K_0 \cdot \exp(\{ \xi \in \mathfrak{a}_u \mid |\alpha(\xi)| < \pi/2 \ \forall \alpha \in \Delta(\mathfrak{g}, \mathfrak{a}) \})K/K.$$

Thus  $\widetilde{\Omega}_W(D) = \Omega_{AG} = G_0 \cdot \exp(\{ \xi \in \mathfrak{a}_u \mid |\alpha(\xi)| < \pi/2 \ \forall \alpha \in \Delta(\mathfrak{g}, \mathfrak{a}) \})K/K = G_0 \cdot \frac{1}{2}M_u$ . That is the first assertion.

For the second assertion note that  $\widetilde{\Omega}_W(D)$  fibers  $G_0$ -equivariantly over  $G_0/K_0$  by  $gx \mapsto gK_0$  for  $g \in G_0$  and  $x \in \frac{1}{2}M_u$ . For the third assertion note that  $G_0/K_0$  and  $\frac{1}{2}M_u$  are homeomorphic to cells.  $\square$

**Proof of Theorem.** As  $\widetilde{\Omega}_W(D)$  is a cell,  $H^q(\widetilde{\Omega}_W(D); \mathbb{Z}) = 0$  for  $q > 0$  and the Euler characteristic  $\chi(\widetilde{\Omega}_W(D)) = 1$ . Let  $\gamma$  be a covering transformation for  $\pi : \widetilde{\Omega}_W(D) \rightarrow \Omega_W(D)$  and let  $n$  be its order. Then the cyclic group  $\langle \gamma \rangle$  acts freely on  $\widetilde{\Omega}_W(D)$  and the quotient manifold  $\widetilde{\Omega}_W(D)/\langle \gamma \rangle$  has Euler characteristic  $\chi(\widetilde{\Omega}_W(D))/n$  [11, Corollary 5.3], so  $n = 1$ . Now the covering group of  $\pi : \widetilde{\Omega}_W(D) \rightarrow \Omega_W(D)$  is trivial, so  $\pi$  is one to one.  $\square$

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