

# Applications of the fusion technique

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# Motivation

## Measures in forcing extensions

Let  $\mathbb{P} \in V$  be a forcing notion,  $G \subseteq \mathbb{P}$  generic over  $V$ , and assume that  $\kappa$  is a measurable cardinal in  $V[G]$ .

Let  $W \in V[G]$  be a  $\kappa$ -complete ultrafilter on  $\kappa$ , and denote by  $j_W: V[G] \rightarrow M[H]$  its ultrapower embedding.  $j_W$  is determined from:

- 1 the embedding  $j_W \upharpoonright V: V \rightarrow M$ .
- 2 the  $j_W(\mathbb{P})$ -generic (over  $M$ ) set  $H = j_W(G)$ .

Thus, in order to characterize measures in forcing extensions, we need to analyze the two above components.

In the recent years, a large body of work was invested in developing methods to limit, restrict and control each of the components; this naturally leads to a simple measure structure in the generic extension.

# Classifying ultrafilters in forcing extensions - continued

For the analysis of  $j_W \restriction V$ , we mention two useful tools:

- Schindler's theorem about iterates of the core model (2006):  
assuming that  $V = \mathcal{K}$  is the core model,  $j_W \restriction V: V \rightarrow M$  is an iterate of it (along the main branch of an iteration tree).
- Hamkins' Gap-forcing theorem (1998): if  $\delta < \kappa$  and  $\mathbb{P} = \mathbb{P}_0 * \dot{\mathbb{P}}_1$  where  $\mathbb{P}_0$  is nontrivial,  $|\mathbb{P}_0| < \delta$  and  $\Vdash_{\mathbb{P}_0} \text{"}\dot{\mathbb{P}}_1 \text{ is } (\delta + 1)\text{-strategically-closed"}$  then, for every definable (in  $V[G]$ , from a parameter)  $j: V[G] \rightarrow M[H]$  with  $\text{crit}(j) = \kappa$ ,  $j \restriction V: V \rightarrow M$  is definable in  $V$ .

Once all the possible restrictions  $j_W \restriction V: V \rightarrow M$  for measures  $W \in V[G]$  are identified, the focus turns to classifying all possible generics  $H \subseteq j_W(\mathbb{P})$  with  $j_W[G] \subseteq H$  in  $V[G]$ .

# Examples - Normal measures in forcing extensions

A very partial list of examples for analysis of **normal measures** in forcing extensions:

- ① Friedman-Magidor (2008): starting from a measurable cardinal, produced a model with exactly two normal measures (or any  $0 \leq \tau \leq \kappa^{++}$ , where  $\kappa$  is the measurable cardinal, and, say, GCH holds). Similar results, starting from stronger assumptions, were obtained with the violation of GCH on a measurable cardinal.
- ② Ben-Neria (2016): any well-founded order can be realized as the Mitchell order on a measurable cardinal.
- ③ Apter-Cummings (2023): a model in which GCH is violated on a strong cardinal, and the Mitchell order on it is linear.
- ④ Ben-Neria (2014), and later K. (2024): analysis of all normal measures after performing the Magidor iteration of Prikry forcings.

# Examples - Non-normal measures in forcing extensions

Examples of analysis of **non-normal measures** in forcing extension:

- ① Hayut-Poveda (2022): analysis of all  $\kappa$ -complete ultrafilters on  $\kappa$  after a nonstationary support iteration of (tree) Prikry forcings over  $L[\vec{U}]$ .
- ② Benhamou-Goldberg (2024): analysis of all lifts of sums of normal measures after forcing a discrete Magidor iteration. Forcing the weak Ultrapower Axiom with the negation of the Ultrapower Axiom.
- ③ Ben-Neria, K. (2024): forcing the violation of GCH on a measurable cardinal  $\kappa$  with a single normal measure  $U$ , where every  $\sigma$ -complete ultrafilter is equivalent to  $U^n$  for some  $n < \omega$ .

# Main example - the Friedman-Magidor forcing

## Theorem (Friedman-Magidor)

Consistently from a measurable cardinal, there exists a forcing extension with exactly two normal measures.

To achieve this, an iterated forcing  $\mathbb{P}_{\kappa+1} = \langle \mathbb{P}_\alpha, \dot{\mathbb{Q}}_\alpha : \alpha < \kappa + 1 \rangle$  was performed over  $V = L[U]$  (where  $\kappa$  is the measurable).

For each inaccessible  $\alpha \leq \kappa$ , the forcing  $\mathbb{Q}_\alpha$  was a two step iteration:

- the first one adds a (Sacks) subset to  $\alpha$ .
- the second one ensures that  $\mathbb{Q}_\alpha$  is 'self coding': it codes information by ruining / preserving stationary sets from a pre-chosen list  $\langle \mathcal{S}_i^\alpha : i < \alpha^+ \rangle$  of pairwise disjoint stationary subsets of  $\alpha^+$ .

The goal of the above components of  $\mathbb{Q}_\alpha$  is to limit the possibilities for generics  $H$  over  $M_U$  (in the above notations); we omit the details here, since we would like to focus on a different aspect of the forcing, that further restricts possible generics  $H$ .

- If in  $\mathbb{P} = \mathbb{P}_\kappa$  the limits are taken with respect to the commonly used Easton support, we encounter the issue that, given  $G * g \subseteq \mathbb{P}_{\kappa+1}$  generic over  $V = L[U]$ , there are multiple  $j_U(\mathbb{P})$ -generics  $H \in V[G * g]$  over  $M_U$ , with  $H \restriction \kappa + 1 = G * g$ . There is no significant restriction on  $H \restriction (\kappa, j_U(\kappa))$  when the Easton support iteration is used.
- The solution that Friedman and Magidor found was the use of a different support - the **nonstationary support** (to the best of our knowledge, similar ideas appeared prior to their work in a work of Jensen).
- The nonstationary support has a fusion property that limits the generics  $H \restriction (\kappa + 1, j_U(\kappa))$ , and also limits the ground model  $M$  of  $\text{Ult}(V[G, g], W) \simeq M[H]$ .

## Nonstationary support iterations/products

## Definition

We say that a set of ordinals  $A$  is **nonstationary in inaccessibles** if for every inaccessible cardinal  $\lambda$ ,  $A \cap \lambda$  is a nonstationary subset of  $\lambda$ .

## Framework

Let  $\kappa$  be a Mahlo cardinal. Assume that  $\mathbb{P} = \langle \mathbb{P}_\alpha, \dot{\mathbb{Q}}_\alpha : \alpha < \kappa \rangle$  is a **nonstationary support** iterated forcing of length  $\kappa$ . That is, for every inaccessible  $\alpha \leq \kappa$ ,  $\mathbb{P}_\alpha$  is taken to be the **nonstationary-support a limit** of  $\langle \mathbb{P}_\beta : \beta < \alpha \rangle$ , in which the conditions  $p \in \mathbb{P}_\alpha$  are those who satisfy that the set

$$\text{supp}(p) = \alpha \setminus \{\beta < \alpha : p \restriction \beta \Vdash p(\beta) = 1_{\dot{\mathbb{Q}}_\beta}\}$$

is nonstationary in inaccessibles.

For every  $\alpha$  which is not an inaccessible,  $\mathbb{P}_\alpha$  is the inverse limit of its predecessors.

## Framework - continued

We further assume that:

- for every inaccessible  $\alpha < \kappa$ ,  $\text{rank}(\dot{\mathbb{Q}}_\alpha)$  is below the next inaccessible after  $\alpha$ , and

$\Vdash_{\mathbb{P}_\alpha} \text{"}\dot{\mathbb{Q}}_\alpha \text{ is an } \alpha\text{-closed forcing notion."}$

- If  $\alpha$  is not an inaccessible,  $\Vdash_{\mathbb{P}_\alpha} \text{"}\dot{\mathbb{Q}}_\alpha \text{ is trivial"}$ .

# The fusion lemma

## The fusion lemma

Assume that  $\vec{D} = \langle D(\nu) : \nu < \kappa \rangle$  is a sequence of dense open subsets of  $\mathbb{P}$  and  $p \in \mathbb{P}$ . Then there exists  $p^* \in \mathbb{P}$  extending  $p$  and a club  $C \subseteq \kappa$  such that, for every  $\nu \in C$ ,

$$\{q \in \mathbb{P}_{\nu+1} : q \restriction p^* \setminus (\nu+1) \in D(\nu)\}$$

is a dense subset of  $\mathbb{P}_{\nu+1}$ .

# Some ideas behind the proof: fusion sequences

## Definition

Let  $\mathbb{P}$  be a nonstationary support iteration as above. A **fusion sequence** is a sequence of conditions  $\langle p_i : i < \kappa \rangle$ , joint with an increasing, continuous, cofinal in  $\kappa$  sequence of ordinals  $\langle \nu_i : i < \kappa \rangle$  such that, for every  $i \leq j < \kappa$ ,

- $p_j$  extends  $p_i$ .
  - $p_j \restriction \nu_i + 1 = p_i \restriction \nu_i + 1$ .
  - $\nu_j \notin \text{supp}(p_i)$ .
- 
- Note that fusion sequences have limits: we can define  $p^* = \bigcup_{i < \kappa} p_i \restriction \nu_i + 1$ . We obtained a legitimate condition since  $\text{supp}(p^*)$  is nonstationary in inaccessibles (specifically, in  $\kappa$ ,  $\{\nu_i : i < \kappa\}$  is a club in  $\kappa$  disjoint to the support of  $p^*$ ).
  - In order to prove the fusion lemma, successor elements in the fusion sequence need to "capture" dense sets: we want the set  $\{q \in \mathbb{P}_{\nu_i+1} : q \restriction p_{i+1} \in D(\nu_i)\}$  to be a dense subset of  $\mathbb{P}_{\nu_i+1}$  for all  $i < \kappa$ .

## Construction of Fusion sequences

Let  $\vec{D} = \langle D(i) : i < \kappa \rangle$  be a sequence of dense open subsets of  $\mathbb{P}_\kappa$ . We construct a fusion sequence  $\langle p_i : i < \kappa \rangle$ ,  $\langle \nu_i : i < \kappa \rangle$  that "captures"  $\vec{D}$ . Simultaneously, we construct an inclusion-decreasing sequence of clubs in  $\kappa$ ,  $\langle C_i : i < \kappa \rangle$ , each  $C_i$  disjoint from  $\text{supp}(p_i)$ .

- ① Start from any condition  $p_0 = p \in \mathbb{P}_\kappa$  and  $\nu_0 < \kappa$ . Let  $C_0 \subseteq \kappa$  be a club disjoint from  $\text{supp}(p_0)$ .
- ② **Successor steps:** given  $p_i, \nu_i, C_i$ , pick any  $\nu_{i+1} \in C_i \setminus \nu_i + 1$ . Let  $p_{i+1}$  be such that:
  - $p_{i+1} \restriction \nu_{i+1} = p_i \restriction \nu_{i+1}$ .
  - $\nu_{i+1} \notin \text{supp}(p_{i+1})$ .
  - the set  $\{q \in \mathbb{P}_{\nu_{i+1}+1} : q \restriction p_{i+1} \setminus \nu_{i+1} + 1 \in D(\nu_{i+1})\}$  is dense in  $\mathbb{P}_{\nu_{i+1}+1}$  below  $p_{i+1} \restriction \nu_{i+1} + 1$ .

Finally let  $C_{i+1} \subseteq C_i$  be a club disjoint to  $\text{supp}(p_i)$ .

## Construction of Fusion sequences

① **Limit steps:** for a limit  $i < \kappa$ , let  $\nu_i = \sup\{\nu_j : j < i\}$ . Let  $p_i$  be such that:

- $p_i \restriction \nu_i = \bigcup_{j < i} p_j \restriction \nu_j + 1$ .
- $\nu_i \notin \text{supp}(p_i)$ .
- $p_i \restriction \nu_i + 1 \Vdash "p_i \restriction \nu_i + 1 \text{ extends } p_j \restriction \nu_j + 1 \text{ for all } j < i."$

As before, let  $C_i \subseteq \bigcap_{j < i} C_j$  be a club disjoint from  $\text{supp}(p_i)$ .

# Generating a generic set over an ultrapower

- Let  $U \in V$  be a normal measure on  $\kappa$ .
- Assume that we managed to find  $g \in V[G]$  which is generic for  $j_U(\mathbb{P})(\kappa)$  over  $M_U[G]$  (this sometimes requires an additional forcing over  $V[G]$ ).
- The fusion lemma ensures that the set

$$j_U[G] \setminus \kappa + 1 = \{j_U(p) \setminus \kappa + 1 : p \in G\}$$

generates a generic for  $j_U(\mathbb{P}) \setminus \kappa + 1$  over  $M_U[G * g]$ .

# Generating a generic set over an ultrapower

## Proof

Given a  $j_U(\mathbb{P}) \restriction \kappa + 1$ -name for dense open set  $\dot{E} \subseteq j_U(\mathbb{P}) \setminus \kappa + 1$ , let

$$\vec{\dot{e}} = \langle \dot{e}(\nu) : \nu < \kappa \rangle$$

be a sequence such that  $\dot{E} = [\nu \mapsto \dot{e}(\nu)]_U$ . We can assume that each  $\dot{e}(\nu)$  is a  $\mathbb{P}_{\nu+1}$ -name for a dense open subset of  $\mathbb{P} \setminus \nu + 1$ .

The fusion lemma implies that for some condition  $p \in G$  and a club  $C \subseteq \kappa$ , for every  $\nu \in C$ ,

$$\Vdash_{\mathbb{P}_{\nu+1}} p \setminus \nu + 1 \in \dot{e}(\nu).$$

Since  $U$  is normal,  $C \in U$ , and thus, over  $M_U$ ,

$$\Vdash_{j_U(\mathbb{P}) \restriction \kappa + 1} j_U(p) \setminus \kappa + 1 \in \dot{E}$$

as desired.

# Evaluating functions by old ones

An typical consequence of the fusion lemma is the following.

## Lemma

Let  $f: \kappa \rightarrow \text{Ord}$  be a function in  $V[G]$ . Then there exists a club  $C \subseteq \kappa$  and a function  $F: \kappa \rightarrow V$  such that  $F \in V$  and for every inaccessible  $\nu \in C$ ,

$$f(\nu) \in F(\nu) \text{ and } |F(\nu)| \leq |\mathbb{P}_{\nu+1}|.$$

## Example

Let  $V[G * g]$  be a generic extension for the Friedman-Magidor forcing over  $V = L[U]$ . Then for every normal measure  $W \in V[G * g]$  on  $\kappa$ ,  $j_W \restriction V = j_U$ .

## Proof sketch

The above Lemma, joint with properties of the forcing  $\dot{\mathbb{Q}}_\kappa$  we omit here, imply that every  $f: \kappa \rightarrow \kappa$  in  $V[G * g]$  is dominated by ground model function  $g: \kappa \rightarrow \kappa$ .

Write  $j_W \restriction V$  as an iteration of  $V = L[U]$ . We argue that the length of the iteration is 1. Indeed, assume otherwise, write  $j_W \restriction L[U] = k \circ j_U$  for some  $k: M_U \rightarrow M$  with  $\text{crit}(k) = j_U(\kappa)$ .

Let  $f \in V[G * g]$  be a function  $f: \kappa \rightarrow \kappa$  such that  $j_U(\kappa) = [f]_W$ . Let  $g: \kappa \rightarrow \kappa$  in  $V$  be a function that dominates  $f$ .

Then:

$$j_U(\kappa) = [f]_W < [g]_W = (k \circ j_U)(g)(\kappa) = k(j_U(g)(\kappa)) < j_U(\kappa)$$

which is a contradiction.

# Applications

# A model with exactly two normal measures, with the same ultrapower

## Theorem (Ben-Neria, K.)

Let  $\mathbb{P} = \prod_{\alpha < \kappa} \mathbb{Q}_\alpha$  be a nonstationary support product, such that, for every inaccessible  $\alpha < \kappa$ ,  $\mathbb{Q}_\alpha = \{1_{\mathbb{Q}_\alpha}, 0, 1\}$  is an atomic forcing where  $0, 1$  are incompatible extensions of  $1_{\mathbb{Q}_\alpha}$ . Let  $G \subseteq \mathbb{P}$  be generic over  $V$ . Then in  $V[G]$ , every normal measure  $U \in V$  on  $\kappa$  lifts to exactly two normal measures on  $\kappa$ , which have the same ultrapower.

## Corollary

Forcing over  $V = L[U]$ , the obtained generic extension is a model in which  $\kappa$  is the unique measurable cardinal, it carries exactly two normal measures, and both of them have the same ultrapower.

## Proof.

Denote, for every inaccessible  $\alpha < \kappa$ , by  $G(\alpha)$ , the generic bit in  $\{0, 1\}$  chosen by  $G$  at step  $\alpha$ . The proof goes in two steps:

- 1 Fix  $i < 2$ . The set—

$$U \cup \{\{\alpha < \kappa : G(\alpha) = i\}\}$$

generates a normal,  $\kappa$ -complete ultrafilter  $U_i^*$  in  $V[G]$ . Moreover,  $U_0^*, U_1^*$  have the same ultrapower.

- 2 Let  $W \in V[G]$  be a normal measure of  $\kappa$ , and let  $U = W \cap V$ . By Hamkins' Gap forcing theorem,  $U \in V$ . Let  $i = j_W(G)(\kappa)$ . Then  $W = U_i^*$  since  $W$  contains the relevant generating set.

We just need to justify the first step.

## Proof - continued

Let  $\dot{X}$  be a  $\mathbb{P}$ -name for a subset of  $\kappa$ . Apply fusion on the dense sets  $\vec{D} = \langle D_\nu : \nu < \kappa \rangle$ ,

$$D_\nu = \{p \in \mathbb{P} : p \parallel \check{\nu} \in \dot{X}\}.$$

Find a condition  $p \in G$  and a club  $C \subseteq \kappa$  such that for every  $\nu \in C$ ,

$$\{q \in \mathbb{P}_{\nu+1} : q \restriction \nu \cap p \setminus \nu + 1 \parallel \check{\nu} \in \dot{X}\}$$

is dense in  $\mathbb{P}_{\nu+1}$ .

Since  $C \in U$ ,

$$\{q \in j_U(\mathbb{P}) \restriction \kappa + 1 : q \restriction j_U(p) \setminus \kappa + 1 \parallel \check{\kappa} \in j_U(\dot{X})\}$$

is dense in  $j_U(\mathbb{P}) \restriction \kappa + 1$ .

In particular, by extending  $p$  inside  $G$ ,

$$j_U(p) \cup \{(\kappa, i)\} \parallel \check{\kappa} \in j_U(\dot{X}).$$

If, say,

$$j_U(p) \cup \{(\kappa, i)\} \Vdash \check{\kappa} \in j_U(\dot{X})$$

then, in  $V[G]$ ,

$$\{\nu < \kappa: p \cup \{(\nu, i)\} \Vdash \check{\nu} \in \dot{X}\} \cap \{\nu < \kappa: G(\nu) = i\} \subseteq X$$

and the former set belongs to  $U$ .

It follows that—

$$U_i^* = \{(\dot{X})_G: \exists p \in G, j_U(p) \cup \{(\kappa, i)\} \Vdash \check{\kappa} \in j_U(\dot{X})\}$$

is an ultrafilter in  $V[G]$  generated by  $U \cup \{\{\nu < \kappa: G(\nu) = i\}\}$ . Using a similar argument, it's not hard to verify that  $U_i^*$  is a normal measure on  $\kappa$ . Finally, the above analysis shows that  $\text{Ult}(V[G], U_i^*)$  has the form

$$M_U[(\cup j_U[G]) \cup \{(\kappa, i)\}]$$

so  $U_0^*, U_1^*$  have the same ultrapower.

# Non-normal measures and weak UA

## Theorem

Let  $\mathbb{P}$  be the same forcing as above. Let  $G \subseteq \mathbb{P}$  be generic over  $V = L[U]$ .  $V[G]$  satisfies the weak Ultrapower Axiom: given  $U, W \in V[G]$   $\sigma$ -complete ultrafilters, there are  $U^* \in M_W \simeq \text{Ult}(V[G], W)$  and  $W^* \in M_U \simeq \text{Ult}(V[G], U)$  such that  $\text{Ult}(M_W, U^*) \simeq \text{Ult}(M_U, W^*)$ . Furthermore, in our  $V[G]$  one of  $U^*, W^*$  can be taken to be trivial.

## Sketch

For every  $\sigma$ -complete ultrafilter  $W \in V[G]$ ,

$$\text{Ult}(V[G], W) \simeq M_{U^n}[(\cup j_{U^n}[G]) \cup f_W]$$

for a function  $f_W: \{\kappa, j_U(\kappa), \dots, j_{U^{n-1}}(\kappa)\} \rightarrow 2$ . In particular, the model  $\text{Ult}(V[G], W)$  depends only on  $n$ , and all the relevant generic extensions of  $M_{U^n}$  give rise to the same extension. Now one can easily "weakly compare" two  $\sigma$ -complete ultrafilters of  $V[G]$  by internal ultrapowers.

# Nonstationary support iterations of Prikry-type forcings

## Prikry-type forcings

A forcing notion  $\mathbb{Q}$  is a **Prikry-type** forcing notion if there exists a suborder  $\leq_{\mathbb{Q}}^* \subseteq \leq_{\mathbb{Q}}$  such that, for every statement  $\sigma$  in the forcing language and a condition  $q \in \mathbb{Q}$ , there exists a  $\leq_{\mathbb{Q}}^*$  extension  $q^*$  of  $q$  that decides  $\sigma$ .

The sub-order  $\leq_{\mathbb{Q}}^*$  is called the direct extension order.

We remark that every forcing notion  $\mathbb{Q}$  can be seen as a Prikry-type forcing, by simply setting  $\leq_{\mathbb{Q}}^* = \leq_{\mathbb{Q}}$ . However, interesting Prikry-type forcings are those in which  $\leq_{\mathbb{Q}}^*$  satisfies additional properties, for instance being  $\kappa$ -closed (in which case, we will say that  $\langle \mathbb{Q}, \leq_{\mathbb{Q}}, \leq_{\mathbb{Q}}^* \rangle$  is a  $\kappa$ -closed Prikry-type forcing).

# Iterations of Prikry-type forcings

- Iterations of Prikry forcings were introduced and originally applied by Magidor in his famous work about Identity crisis.
- Gitik generalized the technique to iterations of Prikry-type forcings (with the full support (Magidor iteration) and Easton support (Gitik iteration)).
- Ben-Neria and Unger were the first to define and use the nonstationary support iteration of Prikry-type forcings.
- The **nonstationary support iteration**  $\mathbb{P} = \langle \mathbb{P}_\alpha, \dot{Q}_\alpha : \alpha < \kappa \rangle$  of Prikry-type forcings is defined similarly to the standard nonstationary support, with an additional requirement that, when extending a condition  $p \in \mathbb{P}_\alpha$  (for  $\alpha \leq \kappa$ ), only finitely many coordinates inside  $\text{supp}(p)$  can be non-directly extended.

# Formal definition of the nonstationary support iteration of Prikry-type forcings

More formally, let  $\mathbb{P} = \langle \mathbb{P}_\alpha, \dot{\mathbb{Q}}_\alpha : \alpha < \kappa \rangle$  be a nonstationary support iteration of Prikry type forcings  $\langle \dot{\mathbb{Q}}_\alpha, \dot{\leq}_{\dot{\mathbb{Q}}_\alpha}, \dot{\leq}_{\dot{\mathbb{Q}}_\alpha}^* \rangle$ .

For  $p, q \in \mathbb{P}_\alpha$  for some  $\alpha \leq \kappa$ , we say that  $q$  extends  $p$  if:

- $\text{supp}(p) \subseteq \text{supp}(q)$ .
- for all  $\beta \in \text{supp}(p)$ ,  $q \restriction \beta \Vdash "q(\beta) \text{ extends } p(\beta)."'$
- there exists a finite  $b \subseteq \alpha$ , such that, for every  $\beta \in \text{supp}(p) \setminus b$ ,  $q \restriction \beta \Vdash "q(\beta) \text{ extends } p(\beta) \text{ in the sense of } \dot{\leq}_{\dot{\mathbb{Q}}_\beta}^* "'$ .

If  $b = \emptyset$ , we say that  $q$  is a **direct extension** of  $p$ . This defines a direct extension order  $\dot{\leq}_{\mathbb{P}_\alpha}^*$  on each  $\mathbb{P}_\alpha$ , which turns  $\langle \mathbb{P}_\alpha, \dot{\leq}_{\mathbb{P}_\alpha}, \dot{\leq}_{\mathbb{P}_\alpha}^* \rangle$  to a Prikry-type forcing notion.

We maintain the assumption that for all  $\alpha < \kappa$ ,  $\langle \dot{\mathbb{Q}}_\alpha, \dot{\leq}_{\dot{\mathbb{Q}}_\alpha}, \dot{\leq}_{\dot{\mathbb{Q}}_\alpha}^* \rangle$  is an  $\alpha$ -closed Prikry-type forcing, whose rank is strictly below the next inaccessible above  $\alpha$ .

# Hamkins' Cover and approximation properties

## Definition

Let  $\delta$  be a regular uncountable cardinal, and let  $N \subseteq V$  be a transitive inner model containing the ordinals.

- ①  $N$  has the  $\delta$ -**cover property** if for every  $A \in V$ ,  $A \subseteq N$  such that  $|A| < \delta$ , there exists  $B \in N$  with  $|B|^N < \delta$  such that  $A \subseteq B$ .
- ②  $N$  has the  $\delta$ -**approximation property** if for every  $A \in V$ ,  $A \subseteq N$ , the following are equivalent:
  - ①  $A \in N$ .
  - ②  $A$  is  $\delta$ -approximated in  $N$ : that is, for every  $X \in N$  with  $|X|^N < \delta$ ,  $A \cap X \in N$ .

## Weak Universality lemma

In the above notations, assume that  $N \subseteq V$  has the  $\delta$ -approximation property. Let  $W \in N$  be a  $\delta$ -complete ultrafilter whose underlying set is some  $X \in N$ . Then  $W \cap N \in N$ .

# The cover and approximation properties

## Theorem (Gitik, K.)

Assume GCH. Let  $\kappa$  be an Mahlo cardinal, and let  $\mathbb{P} = \langle \mathbb{P}_\alpha, \dot{\mathbb{Q}}_\alpha : \alpha < \kappa \rangle$  be an iteration of Prikry-type forcings (with either Full, Nonstationary or Easton support taken). Assume that  $\dot{\mathbb{Q}}_\alpha$  is forced to be trivial, unless  $\alpha < \kappa$  is inaccessible, and then—

$$\Vdash_{\mathbb{P}_\alpha} \langle \dot{\mathbb{P}}_\alpha, \leq_{\dot{\mathbb{Q}}_\alpha}^* \rangle \text{ is } \alpha\text{-closed and directed, and } \dot{\mathbb{Q}}_\alpha \in V_\kappa.$$

Let  $G \subseteq \mathbb{P}$  be generic over  $V$ . Then:

- 1 The extension  $V \subseteq V[G]$  has the  $\kappa$ -cover and the  $\kappa$ -approximation properties. Consequently:
- 2 For every  $\kappa$ -complete ultrafilter  $W \in V[G]$  whose underlying set is a set  $X \in V$ ,  $W \cap V \in V$ .

# Characterization of normal measures after iterating Prikry-type forcings

## Corollary

Let  $\mathbb{P} = \mathbb{P}_\kappa$  be a nonstationary support iteration of Prikry-type forcings, satisfying the above properties. Assume, in addition, that  $\Delta \subseteq \kappa$  is a stationary set of inaccessibles, and, for every  $\alpha < \kappa$  it is forced by  $\mathbb{P}_\alpha$  that:

- if  $\alpha \notin \Delta$ ,  $\dot{\mathbb{Q}}_\alpha$  is trivial,
- if  $\alpha \in \Delta$ ,  $\dot{\mathbb{Q}}_\alpha$  singularizes  $\alpha$ .

Then every normal measure  $U \in V$  on  $\kappa$  which does not concentrate on  $\Delta$  generates a normal measure  $U^* \in V[G]$  on  $\kappa$ . furthermore, for every normal measure  $W \in V[G]$  on  $\kappa$ ,  $U = W \cap V \in V$ ,  $\Delta \notin U$  and  $W = U^*$ .

# An identity crisis with a unique normal measure

## Corollary (Gitik, K.)

Let  $\kappa$  be a supercompact, assume GCH and assume that Mitchell order is linear on  $\kappa$ . Assume also that there are no measurables above  $\kappa$ .

Let  $\mathbb{P}_\kappa$  be a nonstationary support iteration of Prikry forcings; that is, for all  $\alpha < \kappa$  measurable in  $V$ ,  $\dot{Q}_\alpha$  is the Prikry forcing with a  $\mathbb{P}_\alpha$ -name for a normal measure  $\dot{W}_\alpha$  on  $\kappa$  in  $V^{\mathbb{P}_\alpha}$ . Then  $\kappa$  is strongly compact in  $V[G]$ , it is the only measurable cardinal, and it carries a unique normal measure.

Thank you for your attention!