

Determinacy of games of fixed countable length

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This is joint work with Juan P. Aguilera (TU Wien).



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The **Gale–Stewart game** $G_{\omega \cdot \theta}(A)$ on \mathbb{N} of length $\omega \cdot \theta$ with payoff set A is defined as follows: two players take turns choosing natural numbers.

$$\begin{array}{c|c|c|c|c|c} \text{I} & & n_0 & & n_2 & \dots \\ \hline \text{II} & & & n_1 & & n_3 & \dots \end{array}$$

I wins the game if and only if $\langle n_\xi \mid \xi < \omega \cdot \theta \rangle \in A$.

We say that a game (or its payoff set) is determined if one of the players has a winning strategy in the game.

Main Theorem (Aguilera–G. and et al.)

For any $\alpha < \omega_1$, the following are equivalent:

- ① For all $x \in \mathbb{R}$ coding α , $M_\alpha^{\sharp}(x)$ exists and is ω_1 -iterable.
- ② $G_{\omega \cdot (1+\alpha)}(A)$ are determined for all $< \omega^2$ - Π_1^1 sets $A \subseteq \mathbb{N}^{\omega \cdot (1+\alpha)} \simeq \mathbb{R}^{1+\alpha}$.

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Difference hierarchy

For a countable ordinal α , $A \subseteq \mathbb{R}$ is α - Γ if there is a sequence $\langle A_\beta \mid \beta < \alpha \rangle$ with each $A_\beta \in \Gamma$ such that for all $x \in \mathbb{R}$,

$$x \in A \iff \text{the least } \beta \text{ such that } x \notin A_\beta \vee \beta = \alpha \text{ is odd.}$$

We write $<\alpha$ - Γ for $\bigcup_{\beta < \alpha} \beta$ - Γ .

Theorem (Martin)

Π_1^1 determinacy implies $<\omega^2$ - Π_1^1 determinacy (for games on \mathbb{N} of length ω).

A small digression: Recently Aguilera obtained the optimal determinacy transfer theorem.

Theorem (Aguilera)

Let $\Gamma = \text{LU}(\Sigma_2^0, <\omega^2$ - $\Pi_1^1, \Pi_1^1)$ and let $\Delta = \{A \subseteq \mathbb{R} \mid A, \mathbb{R} \setminus A \in \Gamma\}$. Then Π_1^1 -determinacy implies Δ -determinacy, but not Γ -determinacy (for games on \mathbb{N} of length ω).

Here, $W \in \Gamma$ if there are $A_n \in \Sigma_2^0$ that are pairwise disjoint, $B_n \in <\omega^2$ - Π_1^1 (that are not necessarily pairwise disjoint), and $C \in \Pi_1^1$ such that

$$W = \left(\bigcup_{n < \omega} A_n \cap B_n \right) \cup \left(C \setminus \bigcup_{n < \omega} A_n \right).$$

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The forward direction (Mice \Rightarrow Determinacy):

- $\alpha = 0$: Martin.
- $0 < \alpha < \omega$: Neeman and Woodin, building on Martin–Steel’s work.
- $\alpha = \omega$: Woodin. (I think)
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Regarding the additively decomposable cases, the following were already known:

Theorem (Aguilera–Müller, “Consistency strength of long projective games”)

The following schemata are equiconsistent:

- ① $\text{ZFC} + \{ \text{There are } \omega^\alpha + n \text{ Woodin cardinals} \mid n < \omega \}.$
- ② $\text{ZFC} + \{ G_{\omega^{1+\alpha}}(A) \text{ are determined for all } \Pi_n^1 \text{ sets } A \subseteq \mathbb{R}^\alpha \mid n < \omega \}.$

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The following are equivalent:

- ① $G_{\omega^2}(A)$ are determined for all *projective* sets $A \subseteq \mathbb{R}^\omega$.
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In the proof of the main theorem, what we have actually shown the equivalence of three items.

Theorem

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- ❶ *For all $x \in \mathbb{R}$, $M_\alpha^\sharp(x)$ exists and is ω_1 -iterable.*
- ❷ *$G_{\omega \cdot (1+\alpha)}(A)$ are determined for all $A \subseteq \mathbb{R}^{1+\alpha}$ that are $< \omega^2$ - Π_1^1 .*
- ❸ *$M_{-\omega+\alpha}^\sharp(\mathbb{R})$ exists, satisfies AD, and is countably ω_1 -iterable.*

The proof is by induction on α .

- $(1) \Rightarrow (2)$ is due to Neeman.
- To show $(2) \Rightarrow (3)$, we use the existence of $M_{-\omega+\alpha}^\sharp(x)$ for all $x \in \mathbb{R}$, so the inductive proof would break down if $\alpha \geq \omega^2$, in which case $-\omega + \alpha = \alpha$.

Before explaining the proof of $(2) \Rightarrow (3)$, I'd like to talk about another related result.

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Before explaining the proof of $(2) \Rightarrow (3)$, I'd like to talk about another related result.

Characterization of $\mathfrak{D}^{(n+1)}(<\omega^2-\mathfrak{N}_1^1)$

For $A \subseteq \mathbb{R} \times \mathbb{R}$ and $x \in \mathbb{R}$, $A_x := \{y \in \mathbb{R} \mid \langle x, y \rangle \in A\}$ and

$$\mathfrak{D}A = \{x \in \mathbb{R} \mid \text{I wins the game } G_\omega(A_x)\}$$

For any pointclass Γ , $\mathfrak{D}\Gamma$ is the pointclass of all $\mathfrak{D}A$ such that $A \in \Gamma$.
We also write $\mathfrak{D}^{(n)}$ for $\mathfrak{D} \cdots \mathfrak{D}$ (n times).

Theorem

Let $n \in \omega$ and assume \mathfrak{N}_{n+1}^1 -determinacy (of games on \mathbb{N} of length ω).

$$\mathfrak{D}^{(n+1)}(<\omega^2-\mathfrak{N}_1^1) = \bigcup_{m \in \omega} \Gamma_{n,m},$$

where $A \in \Gamma_{n,m}$ if and only if there is a formula $\phi(v_0, \dots, v_m)$ such that

$$\forall x \in \mathbb{R} (x \in A \iff M_n(x) \models \phi[x, \gamma_0, \dots, \gamma_{m-1}]),$$

where $\gamma_0, \dots, \gamma_{m-1}$ are Silver indiscernibles for $M_n(x)$.

Martin showed the theorem for $n = 0$ and Neeman showed the \subseteq direction for $n > 0$.

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Theorem (Martin)

Assume that x^\sharp exists for all $x \in \mathbb{R}$. Then for all $x \in \mathbb{R}$ and all formulas $\phi(u, v_0, \dots, v_{m-1})$, there is a game $G_{x,\phi}$ on \mathbb{N} of length ω with payoff in $<\omega^2\text{-}\Pi_1^1(x)$ such that

- ① if I has a winning strategy in $G_{x,\phi}$, then

$$L(x) \models \phi[x, \gamma_0, \dots, \gamma_{m-1}]$$

- ② if II has a winning strategy in $G_{x,\phi}$, then

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Moreover, the definition of $G_{x,\phi}$ is uniform in x and ϕ .

By $<\omega^2\text{-}\Pi_1^1$ determinacy, the lemma implies $\bigcup_{m < \omega} \Gamma_{1,m} \subseteq \partial(<\omega^2\text{-}\Pi_1^1)$.

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We generalize Martin's argument:

Theorem

Let $n < \omega$ and assume that $M_n^\sharp(x)$ exists for all $x \in \mathbb{R}$. Then for all $x \in \mathbb{R}$ and all formulas $\phi(u, v_0, \dots, v_{m-1})$, there is a game $G_{x,\phi}^n$ on \mathbb{N} of length $\omega \cdot (n+1)$ with payoff in $<\omega^2 - \Pi_1^1(x)$ such that

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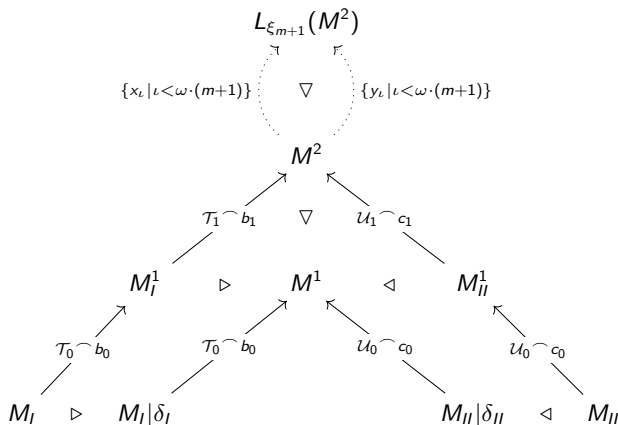
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Models played in the game $G_{x,\phi}^2$



Theorem

Let $n < \omega$. Suppose that there is a club $C \subseteq \mathcal{P}_{\omega_1}(\mathbb{R})$ such that for all $A \in C$,

- $M_n^\sharp(A)$ exists and is ω_1 -iterable,
- $\mathbb{R} \cap M_n(A) = A$.

Then for all $x \in \mathbb{R}$ and all formulas ϕ , there is a game $G_{x,\phi}^{n,\mathbb{R}}$ on \mathbb{R} of length ω with payoff in $\mathfrak{D}^{(n)}(<\omega^2\text{-}\aleph_1^1)$ such that

- 1 if Player I has a winning strategy in $G_{x,\phi}^{n,\mathbb{R}}$, then

$$\forall^* A \in C \left(M_n(A) \models \phi[x, A, \gamma_0, \dots, \gamma_{m-1}] \right),$$

- 2 if Player II has a winning strategy in $G_{x,\phi}^{n,\mathbb{R}}$, then

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where $\gamma_0, \dots, \gamma_{m-1}$ are Silver indiscernibles of $M_n(A)$. Furthermore, the definition of $G_{x,\phi}^{n,\mathbb{R}}$ is uniform in x and ϕ .

Comments on the proof of (2) \Rightarrow (3)

Now we combine the previous result with the following theorem:

Theorem (Aguilera–Müller)

Let $\alpha = \omega + n$. Assume that $G_{\omega \cdot (1+\alpha)}(A)$ are determined for all $< \omega^2 \cdot \aleph_1^1$ sets $A \subseteq \mathbb{R}^{1+\alpha}$. Then there is a club $C \subseteq \mathcal{P}_{\omega_1}(\mathbb{R})$ such that for all $A \in C$,

- $M_n^\sharp(A)$ exists and is ω_1 -iterable,
- $\mathbb{R} \cap M_n(A) = A$, and
- $M_n(A) \models \text{ZF} + \text{AD}$.

Aguilera–Müller used weaker determinacy assumption to show this, but the above statement is enough to get the following corollary.

Corollary

Assume that $G_{\omega \cdot (\omega+n)}(A)$ are determined for all $< \omega^2 \cdot \aleph_1^1$ sets $A \subseteq \mathbb{R}^{\omega+n}$. Then $M_n^\sharp(\mathbb{R})$ exists, satisfies AD, and is countably ω_1 -iterable.

One could generalize this result for games of length $\omega \cdot (m \cdot \omega + n)$.

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More about $M_n^\#(\mathbb{R})$

Aguilera–Müller showed the equivalence of projective determinacy of games on \mathbb{R} of length ω and the existence of $M_n^\#(\mathbb{R})$ for all $n < \omega$. The following theorem is its refinement.

Theorem

For each $n < \omega$, the following are equivalent:

- ① *All games on \mathbb{R} of length ω with payoff in $\mathcal{D}^{(n)}(<\omega^2-\aleph_1^1)$ are determined.*
- ② *$M_n^\#(\mathbb{R})$ exists and is countably ω_1 -iterable.*

Also, we extend Martin–Steel's result:

Theorem

Suppose that $M_n^\#(\mathbb{R})$ exists and is countably ω_1 -iterable. Then

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The case $n = 0$ is due to Martin–Steel.

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Let $\alpha < \omega^2$. Suppose that $M_{-\omega+\alpha}^\#(\mathbb{R})$ exists, satisfies AD, and is countably ω_1 -iterable. Then for all $x \in \mathbb{R}$, $M_\alpha^\#(x)$ exists and is ω_1 -iterable.

- The standard argument shows that there are suitable countable premice \mathcal{P} with ω many Woodin cardinals that is iterable in a weak sense.
- One can find \mathcal{P} such that $M_n(\mathcal{P})$ has $\omega + n$ many Woodin cardinals and is ω_1 -iterable.

This argument is deeply related to the HOD analysis in $M_n(\mathbb{R})$ (without assuming the existence of $M_{\omega+n}^\#$). Indeed, we use some idea in Sargsyan–Müller’s work on HOD in $M_n[x, g]$.

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Games of length $< \omega^3$

To deal with determinacy of games of length ω^3 , we need to use

$$(L(\mathbb{R}, \mu), \in, \mu) := (L(\mathbb{R})[\mathcal{F}], \in, \mathcal{F} \cap L(\mathbb{R})[\mathcal{F}]),$$

where \mathcal{F} is the club filter on $\wp_{\omega_1}(\mathbb{R})$. This is called the **Solovay model** (of determinacy).

Theorem (Neeman & Trang–Woodin)

The following are equivalent:

- 1 For all reals x , $M_{\omega_2}^\sharp(x)$ exists and is ω_1 -iterable.
- 2 $G_{\omega^3}(A)$ are determined for all $< \omega^2$ - Π_1^1 sets $A \subseteq \mathbb{R}^{\omega^2}$.
- 3 $L(\mathbb{R}, \mu) \models \text{"AD} + \omega_1 \text{ is } \mathbb{R}\text{-supercompact"}$ and $(\mathbb{R}, \mu)^\sharp$ exists.

Based on this result, we can show:

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To deal with even longer games, we use **generalized Solovay models** of the form

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Using these models, Trang–Woodin obtained the same type of equivalence theorem for games of length $\omega^{1+\alpha}$ for any $\alpha < \omega_1$. Building on their work, we could show the following.

Theorem

For any $\alpha = \omega^\beta + \gamma < \omega_1$, where $\gamma < \omega^\beta$, the following are equivalent:

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To deal with even longer games, we use **generalized Solovay models** of the form

$$(L(\mathbb{R}, \mu_{<\alpha}), \in, \mu_{<\alpha}) := (L(\mathbb{R})[\mathcal{F}_{<\alpha}], \in, \mathcal{F}_{<\alpha} \cap L(\mathbb{R})[\mathcal{F}_{<\alpha}]),$$

where $\mathcal{F}_{<\alpha} = \langle \mathcal{F}_\beta \mid \beta < \alpha \rangle$ is a sequence of the club filters \mathcal{F}_β on $\wp_{\omega_1}(\mathbb{R})^{\omega^\beta}$. (Here, the club filter \mathcal{F}_β is defined by a certain game on \mathbb{R} of length $\omega^{1+\beta}$.)

Using these models, Trang–Woodin obtained the same type of equivalence theorem for games of length $\omega^{1+\alpha}$ for any $\alpha < \omega_1$. Building on their work, we could show the following.

Theorem

For any $\alpha = \omega^\beta + \gamma < \omega_1$, where $\gamma < \omega^\beta$, the following are equivalent:

- ① *For all $x \in \mathbb{R}$ coding α , $M_\alpha^\sharp(x)$ exists and is ω_1 -iterable.*
- ② *$G_{\omega \cdot (1+\alpha)}(A)$ are determined for all $<\omega^2$ - Π_1^1 sets $A \subseteq \mathbb{N}^{\omega \cdot (1+\alpha)} \simeq \mathbb{R}^{1+\alpha}$.*
- ③ *$M_\gamma^\sharp(\mathbb{R}, \mu_{<\beta})$ exists, is countably ω_1 -iterable, and satisfies “AD + $\forall \beta < \alpha$ (μ_β is an ultrafilter on $\wp_{\omega_1}(\mathbb{R})^{\omega^\beta}$).”*

Conjecture

For all $1 \leq \alpha < \omega_1$, the following are equivalent:

- ① $G_{\omega \cdot \alpha}(A)$ are determined for all \aleph_1^1 sets $A \subseteq \mathbb{R}^\alpha$.
- ② $G_{\omega \cdot \alpha}(A)$ are determined for all $<\omega^2\text{-}\aleph_1^1$ sets $A \subseteq \mathbb{R}^\alpha$.

The conjecture is true for $\alpha < \omega$ and additively indecomposable ordinals α .

Thank you for your attention!

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The conjecture is true for $\alpha < \omega$ and additively indecomposable ordinals α .

Thank you for your attention!