# Cofinalities of ultrafilters

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A  $\subseteq$ \*-base ( $\subseteq$ -base) for an ultrafilter U is a a set  $B \subseteq U$  such that for every  $X \in U$  there is  $Y \in B$  such that  $Y \subseteq$ \* X ( $Y \subseteq X$ ).

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- **2** The Tukey-type of U.

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In general, (1) is more precise than (2).

The isomorphism class of a  $\subseteq^*$ -generating set for U

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Relative to a supercomapct cardinal, it is consistent to have a measurable cardinal  $\kappa$ , carrying a normal ultrafilter which is a simple  $P_{\lambda}$ -point.

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## Theorem 8 (B.-Goldberg '25 [5])

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If  $a \in [\gamma]^{<\omega}$ ,  $E_0(a) \subseteq U_a$ , where  $U_a$  is the ultrafilter derived from  $j_U$  and k(a). Let  $f_a : \kappa \to [\kappa]^{|a|}$  witness  $U_a \leq_{RK} U$ .

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- ⇒ Note that  $f_a, B_a, P^K(\kappa) \in M_U$  from which we can compute  $E_0(a) \in M_U$ . Moreover,  $E_0 \upharpoonright \alpha \in M_U$  for each  $\alpha < \gamma$ . (indeed the critical point from  $j_{E_0(\alpha)}$  to  $j_{E_0}$  is greater than  $\alpha$ , and  $j_{E_0(\alpha)} \upharpoonright P^K(\kappa) \in M_U$ ).

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The contradiction is obtained by showing that  $E_0$  is definable inside  $M_U$ .

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- ⇒ Note that  $f_a, B_a, P^K(\kappa) \in M_U$  from which we can compute  $E_0(a) \in M_U$ . Moreover,  $E_0 \upharpoonright \alpha \in M_U$  for each  $\alpha < \gamma$ . (indeed the critical point from  $j_{E_0(\alpha)}$  to  $j_{E_0}$  is greater than  $\alpha$ , and  $j_{E_0(\alpha)} \upharpoonright P^K(\kappa) \in M_U$ ).
- ⇒ Using the  $P_{\kappa^{++}}$ -pointness again, there is  $B \in U$  such that for every  $a \in [\gamma]^{<\omega}$ ,  $B \subseteq^* B_a$ . In  $M_U$ , let U' be the filter on  $\kappa$  generated by B.

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These condition ensure that  $j_U \upharpoonright K = k_F \circ j_F$  for some factor map  $k_F$  such that  $crit(k_F) \ge (2^{\kappa})^{+M_F} \ge \alpha$ . Hence  $F = E_0 \upharpoonright \alpha$ .  $\Box$ 

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### Question

What is the consistency strength of a  $P_{\kappa^{++}}$ -point?

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Let  $\mathbb{D}$  be a directed poset. We say that an ultrafilter U over  $\kappa \geq \omega$  is a simple  $P_{\mathbb{D}}$ -point if there is a  $\subseteq^*$ -base  $\mathcal{B} \subseteq U$ , such that  $(\mathcal{B}, \supseteq^*) \simeq \mathbb{D}$ .

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Suppose that  $\kappa$  is a supercompact cardinal, then there is a forcing extension where  $\kappa$  is supercompact and for every  $\kappa^+$ -directed, well-founded poset  $\mathbb{D}$  there is a  $< \kappa$ -directed  $\kappa^+$ -cc forcing in which there is a normal ultrafilter U which is a simple  $P_{\mathbb{D}}$ -point.

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Same result for  $\kappa$  is measurable and **the club filter** being a simple  $P_{\mathbb{D}}$ -point (i.e. has a generating sequence isomorphic to  $\mathbb{D}$ ).

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The Tukey order

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# Let $(P,\leq_P), (Q,\leq_Q)$ be two partially ordered (directed) sets. Define

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 $(P, \leq_P) \leq_T (Q, \leq_Q)$  iff  $\exists$  a Tukey map  $f: P \to Q$ .

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- ⇒  $U \leq_T V$  where U, V are ult. iff there is a monotone map  $f : V \to U$  such that Im(f) is cofinal in U (i.e.  $\forall X \in U \exists Y \in V f(Y) \subseteq X$ ).

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### Theorem 12 ( Isbell [7] '65)

There exists a Tukey-top ultrafilter on  $\omega$ .

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Definition 13 (Cohesive ultrafilters/ Galvin's property)

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- **2** *U* is  $\mu$ -Tukey-top i.e. *U* is Tukey above every  $\mu$ -directed poset of cardinality  $2^{\kappa}$ .

In particular, if U is a  $\kappa$ -complete ultrafilter over  $\kappa$  which is not  $(\kappa, 2^{\kappa})$ -cohesive implies that U is maximal in the Tukey order in the class of  $\kappa$ -complete ultrafilters.

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**2** In L[U] every ultrafilter is  $(\kappa, \kappa^+)$ -cohesive.

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Given W over X,  $(W_x)_{x \in X}$  are over Y. Set

$$\sum_{W} W_x = \big\{ A \subseteq X \times Y \mid \{ x \in X \mid (A)_x \in W_x \} \in W \big\}$$

where  $(A)_x = \{y \in Y \mid \langle x, y \rangle \in A\}.$ 

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• If U is an iterated sum of p-points, then U is  $(\kappa, \kappa^+)$ -cohesive.

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 If there is no inner model with a superstrong cardinal, then in the Mitchell-Steel's L[E] every ultrafilter is (κ, κ<sup>+</sup>)-cohesive.

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## Question

Can they exist in the canonical inner models?

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# Theorem 19 (B.-Goldberg [6] '23)

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#### Theorem 20 (Gitik '23)

Consistently, there is a  $\kappa$ -complete  $(\kappa, \kappa^+)$ -cohesive ultrafilter which is not an iterated sum of p-points.

In  $L[\mathbb{E}]$ , the following are equivalent for every  $\kappa$ -complete ultrafilter U over  $\kappa$ :

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#### Theorem 22 (Gitik '23)

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### Definition 23

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 $\{ j_U(S) \cap \lambda \mid S \in P(\kappa) \} \subseteq A.$ 

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• Suppose  $U \leq_{RK} W$ .  $\Diamond_{thin}^{-}(U) \Rightarrow \Diamond_{thin}^{-}(W)$ .

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- Suppose  $U \leq_{RK} W$ .  $\Diamond_{\text{thin}}^{-}(U) \Rightarrow \Diamond_{\text{thin}}^{-}(W)$ .
- Suppose that U is an ultrafilter on λ ≤ κ and ⟨W<sub>ξ</sub> | ξ < λ⟩ is a sequence of ultrafilters over κ such that for every ξ, δ<sup>-</sup><sub>thin</sub>(W<sub>ξ</sub>), then δ<sup>-</sup><sub>thin</sub>(Σ<sub>U</sub> W<sub>ξ</sub>).

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#### Theorem 24

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#### Theorem 24

If U is  $(\kappa, \kappa^+)$ -cohesive then  $\Diamond_{thin}^-(U)$  fails.

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An ultrafilter U is  $\alpha$ -sound if the map  $j^{\alpha} : P(\kappa) \to M_U$  defined by  $j^{\alpha}(S) = j_U(S) \cap \alpha$  is in  $M_U$ .

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#### Proposition 1

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Assume U is  $\lambda$ -sound and for all  $f : \kappa \to \kappa$ ,  $j_U(f)(\kappa) < \lambda$ , then  $\Diamond_{thin}^-(U)$  holds.

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Assume U is  $\lambda$ -sound and for all  $f : \kappa \to \kappa$ ,  $j_U(f)(\kappa) < \lambda$ , then  $\Diamond_{thin}^-(U)$  holds.

#### Fact 26

An ultrafilter U is  $\alpha$ -sound if the map  $j^{\alpha} : P(\kappa) \to M_U$  defined by  $j^{\alpha}(S) = j_U(S) \cap \alpha$  is in  $M_U$ . In particular  $\{j_U(S) \cap \alpha \mid S \in P(\kappa)\} \in U$ . U is called Dodd-sound if it is  $[id]_U$ -sound.

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For a  $\kappa$ -complete ultrafilter U over  $\kappa$ , U is a p-point if and only if there is a function  $f : \kappa \to \kappa$  such that  $j_U(f)(\kappa) \ge [id]_U$ .

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### Corollary 27

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### Corollary 27

If U is a Dodd-sound ultrafilter which is not p-point, then  $\Diamond_{thin}^{-}(U)$  holds (and in particular U is not  $(\kappa, \kappa^{+})$ -cohesive).

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An ultrafilter U is *irreducible* if it is *RF*-minimal among non-principal ultrafilters. Equivalently, there is no ultrapower embedding which factors  $j_U$  using an internal ultrapower.

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### Definition 28

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- **(**) *W* is an iterated sum of p-points ultrafilters over  $\kappa$ .
- **2** W is  $(\kappa, \kappa^+)$ -cohesive.

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$$\neg \diamondsuit_{thin}^{-}(W).$$

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⇒ From previous results,  $(1) \Rightarrow (2) \Rightarrow (3)$ . We shall prove that  $(3) \Rightarrow (1)$  and let W be an ultrafilter which is not an *n*-fold sum of  $\kappa$ -complete *p*-points.

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and  $j_W = j_{D^*}^{M_n} \circ j_{0,n}$ , where in  $M_k$ ,  $j_{k,k+1}$  is the ultrapower by a  $\kappa_k$ -complete *p*-point  $U_k$  over  $\kappa_k = j_{0,k}(\kappa)$ . Namely, that  $U = \sum_{U_0} U_1$ .

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⇒ Let  $\kappa \leq \rho^* = [\xi \mapsto \rho_{\xi}]_U \leq [\xi \mapsto \delta_{\xi}]_U = \delta^* \leq \kappa_n$  and  $D^* = [\xi \mapsto D_{\xi}]_U$ . Then  $D^*$  is a  $\rho^*$ -complete  $M_U$ -ultrafilter over  $\delta^*$ .

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$$M_0 \xrightarrow{j_{0,m}} M_m \xrightarrow{j_{D^*}^{M_m}} M_{D^*}^{M_m} \xrightarrow{j_{D^*}^{M_m}(j_{m,n})} M_W$$

so that  $j_W = j_{D^*}^{M_m}(j_{m,n}) \circ j_{D^*}^{M_m} \circ j_{0,m-1}$ .

$$M_0 \stackrel{j_{0,m}}{\longrightarrow} M_m \stackrel{j_{D^*}^{M_m}}{\longrightarrow} M_{D^*}^{M_m} \stackrel{j_{D^*}^{M_m}(j_{m,n})}{\longrightarrow} M_W$$

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$$M_0 \stackrel{j_{0,m}}{\longrightarrow} M_m \stackrel{j_{D^*}^{M_m}}{\longrightarrow} M_{D^*}^{M_m} \stackrel{j_{D^*}^{M_m}(j_{m,n})}{\longrightarrow} M_W$$

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(2) Assume that  $\rho^* = \delta^* = \kappa_m$ . Working in  $M_m$ ,  $D^*$  is a  $\kappa_m$ -complete ultrafilter over  $\kappa_m$  and by the maximality of U,  $D^*$  cannot be a *p*-point.

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(2) Assume that ρ<sup>\*</sup> = δ<sup>\*</sup> = κ<sub>m</sub>. Working in M<sub>m</sub>, D<sup>\*</sup> is a κ<sub>m</sub>-complete ultrafilter over κ<sub>m</sub> and by the maximality of U, D<sup>\*</sup> cannot be a p-point. Since D<sup>\*</sup> is irreducible, it is Dodd-sound, and therefore M<sub>U</sub> ⊨ ◊<sup>-</sup><sub>thin</sub>(D<sup>\*</sup>). Hence ◊<sup>-</sup><sub>thin</sub>(∑<sub>U</sub> D<sup>\*</sup>). As wanted.

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#### Conjecture 1

If U is a normal ultrafilter on  $P_{\kappa}(\lambda)$  then U is not  $(\kappa^+, \lambda^+)$ -cohesive

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#### Theorem 31 (B.-Weltsch 25+)

If U is a normal ultrafilter on  $P_{\kappa}(\kappa^+)$  then U is  $(\kappa, 2^{\kappa^+})$ -cohesive.

Thank you for your attention!

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