# FACTORIZATION ALGEBRAS (OCT 8, 2020)

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# 1. Affine Grassmannian

Let G be a reductive algebraic group over C. For now we will assume  $G = GL_n$ , and later on we'll switch to  $G = SL_n$ .

**Definition 1.1.** The affine Grassmannian for  $G = GL_n$  is the quotient

$$\operatorname{Gr} := \operatorname{GL}_n(\mathbf{C}((t)) / \operatorname{GL}_n(\mathbf{C}[[t]]))$$

So far we're just thinking of this as a set. But we're going to explain that it has the structure of an increasing union of algebraic varieties.

Fix the trivial *n*-dimensional vector space  $\mathbf{C}((t))^n$ . Consider the lattice  $L_0 = \mathbf{C}[[t]]^n \subset \mathbf{C}((t))^n$ . Clearly  $\mathrm{GL}_n(\mathbf{C}((t)))$  acts transitively on the set of lattices in  $\mathbf{C}((t))^n$ , and  $\mathrm{GL}_n(\mathbf{C}[[t]])$  is the stabilizer of  $L_0$ .

Fix an integer  $d \ge 0$  and effne  $\operatorname{Gr}(d)$  to be the set of lattices L such that  $t^d L_0 \subseteq L \subseteq t^{-d} L_0$ . Then  $\operatorname{Gr} = \varinjlim \operatorname{Gr}(d)$ . Now, the  $\operatorname{Gr}(d)$  are finite-dimensional. To analyze it, note that  $L \in \operatorname{Gr}(d)$  is determined by its image in  $t^{-d} L_0 / t^d L_0$ . So  $\operatorname{Gr}(d)$  maps injectively into the set of complex subspaces of  $V := t^{-d} L_0 / t^d L_0$ , which is  $\coprod_{0 \le m \le 2nd} \operatorname{Gr}(m, V)$ .

The image of  $\operatorname{Gr}(d)$  is the collection of complex subspaces of  $V = t^{-d}L_0/t^dL_0$  which are  $\mathbf{C}[[t]]$ -submodules, i.e. stable under t. This is a closed condition, so  $\operatorname{Gr}(d)$  is a projective variety.

## 2. The Beilinson-Drinfeld Grassmannian

We fix X to be a smooth algebraic curve over  $\mathbf{C}$ . Let

$$\operatorname{Gr}_{x} := \left\{ (\mathcal{P}, \gamma) \colon \begin{array}{c} \mathcal{P} = \operatorname{rank} n \text{ vector bundle on } X \\ \gamma = \operatorname{trivialization of } \mathcal{P}|_{X-x} \end{array} \right\} / \operatorname{isom.}$$

Fix  $x \in X$  and a local coordinate t at x, which induces an isomorphism  $\widehat{X}_x \cong \operatorname{Spf} \mathbf{C}[[t]]$ . We can write

$$X = (X - \{x\}) \cup_{\widehat{X}_x - x} \widehat{X}_x$$

Using this to get a "gluing" description of vector bundles on X, we get an identification (depending on the choice of local coordinate)  $\operatorname{Gr}_x \cong \operatorname{Gr}$ .

Now if R is a C-algebra, let  $\operatorname{Gr}_{x}(R)$  be the set of pairs  $(\mathcal{P}, \gamma)$ , where  $\mathcal{P}$  is a rank n vector bundle on  $X \times \operatorname{Spec} R$  and  $\gamma$  is a trivialization on  $(X - \{x\}) \times \operatorname{Spec} R$ , up to isomorphism.

**Example 2.1.** Let's compare this to the "naïve definition"  $\operatorname{GL}_n(R((t)))/\operatorname{GL}_n(R[[t]])$ . This is contained in  $\operatorname{Gr}_x(R)$ , and is bijective when R is local, or more generally when every projective R-module is free, but not in general.

We will introduce an object  $\operatorname{Gr}_X$ , the *Beilinson-Drinfeld Grassmannian*, which puts the  $\operatorname{Gr}_x$  into a family over X. First we define

$$\operatorname{Gr}_{X}(\mathbf{C}) := \left\{ \begin{array}{ll} \mathcal{P} = \operatorname{rank} n \text{ vector bundle on } X \\ (\mathcal{P}, x, \gamma) \colon & x \in X(\mathbf{C}) \\ \gamma = \operatorname{trivialization of } \mathcal{P}|_{X-x} \end{array} \right\} / \sim .$$

More generally, we define  $\operatorname{Gr}_X(R)$ .

$$\operatorname{Gr}_X(R) := \left\{ \begin{array}{ll} \mathcal{P} = \operatorname{rank} n \text{ vector bundle on } X_R \\ (\mathcal{P}, x, \gamma) \colon & x \in X(R) \\ \gamma = \text{ trivialization of } \mathcal{P}|_{X_R - \Gamma_x} \end{array} \right\} / \sim$$

This allows us to view  $\operatorname{Gr}_X$  as a stack. We have a map  $\operatorname{Gr}_X \to X$  sending  $(\mathcal{P}, \gamma, x) \mapsto x$ , whose fiber over  $\{x\}$  is  $\operatorname{Gr}_x$ .

There is a version  $\operatorname{Gr}_{X^2}(R)$ , parametrizing  $\{(\mathcal{P}, x, y, \gamma)\}$  where  $\mathcal{P}$  is a vector bundle of rank n on  $X_R$ ,  $x, y \in X(R)$ , and  $\gamma$  is a trivialization of  $\mathcal{P}_{X_R-\Gamma_x-\Gamma_y}$ . There is a map  $\operatorname{Gr}_{X^2} \to X^2$ , whose fiber over (x, y) is  $\operatorname{Gr}_x$  if x = y, and  $\operatorname{Gr}_x \times \operatorname{Gr}_y$  if  $x \neq y$ .

More generally, there's a space  $\operatorname{Gr}_{X^n} \to X^n$  whose fiber over  $(x_1, \ldots, x_n)$  is  $\prod_{y \in \{x_1, \ldots, x_n\}} \operatorname{Gr}_y$ .

2.1. What just happened? This was an example of "factorization". The slogan is that "Gr is a factorizable ind-scheme".

#### 3. The determinant bundle

3.1. Determinant bundle on the affine Grassmannian. Fix integers  $0 \le d \le m$ . We have a Grassmannian  $\operatorname{Gr}(d,m)$ . It has a Plucker embedding into  $\mathbb{P}^{\binom{m}{d}-1}$ , by sending  $V \subset \mathbb{C}^m$  to  $\wedge^d V \subset \wedge^d \mathbb{C}^m$ .

Consider  $f^*\mathcal{O}(1)$ , an ample line bundle on  $\operatorname{Gr}(d, m)$ . Now, we had  $\operatorname{Gr} = \varinjlim \operatorname{Gr}(d)$ . On  $\operatorname{Gr}(d)$  we have a line bundle, sending  $V \subset t^{-d}L_0/t^dL_0$  to  $(\wedge^{\operatorname{top}}V)^{-1} = (\det V)^{-1}$ . In other words, it takes  $L \mapsto \det(L/t^dL_0)^{-1}$ . We would like to normalize this to be independent of d, so we tensor with  $\det(L_0/t^dL_0)$ . Now, these line bundles are comapatible as d varies and so define a line bundle  $\mathcal{L}_{\operatorname{det}}$  on Gr.

3.2. Determinant bundle on the BD Grassmannian. Now let X be a *complete* smooth algebraic curve. We define  $\operatorname{Gr}_X = \{(\mathcal{P}, x, \gamma)\}/\sim$  as before. Given  $(\mathcal{P}, x, \gamma)$ , we can make a 1-dimensional C-vector space by the global version of the preceding construction:

$$\frac{\det H^1(X,\mathcal{P})\otimes \det H^0(X,\mathcal{P})^{-1}}{\det H^1(X,\mathcal{O}^n)\otimes \det H^0(X,\mathcal{O}^n)^{-1}}$$

Warning 3.1. The determinant of a vector space is regarded as a Z-graded vector space, where the grading is the Euler characteristic. You need to keep track of this (at least mod 2).

More generally, given a vector bundle  $\mathcal{P}$  on  $X \times \text{Spec } R$ , we form

$$\frac{\det R\Gamma(X_R, \mathcal{P})}{\det R\Gamma(X_R, \mathcal{O}^n)^{-1}}.$$

This defines a line bundle on the moduli stack of G-bundles, and we are pulling it back to  $\operatorname{Gr}_X$ .

We claim that the determinant line bundle  $\mathcal{L}_{\text{Det},X^n}$  on  $\text{Gr}_{X^n}$  "factorizes". For example, on  $\text{Gr}_{X^2}$  there is a line bundle  $\mathcal{L}_{\det,X^2}$ .

We claim that  $\mathcal{L}_{det}$  on  $\operatorname{Gr}_{X^2}$  restricts to  $\mathcal{L}_{det} \boxtimes \mathcal{L}_{det}$  on  $X^2 - \Delta$  and  $\mathcal{L}_{det}$  on X.

Why? An *R*-point of  $\operatorname{Gr}_{X^2}$  is  $(\mathcal{P}, x, y, \gamma)$ . We have  $\gamma \colon \mathcal{O}^n \to \mathcal{P}$  away from x and y. Suppose  $\gamma$  happens to extend over x and y (not necessarily isomorphically). Then

$$\det(R\Gamma(X_R,\mathcal{P})) \cong \det(R\Gamma(X_R,\mathcal{O}^n)) \otimes \det(R\Gamma(X_R,\mathcal{P}/\mathcal{O}^n))$$

and since  $\mathcal{P}/\mathcal{O}^n$  is supported on the distinct points x, y, the det $(R\Gamma(X_R, \mathcal{P}/\mathcal{O}^n))$  factors into contributions from x, y.

3.3. Cohomology of the determinant bundle. From now on, fix  $\ell \geq 0$ . We contemplate " $H^0(\operatorname{Gr}, \mathcal{L}_{det}^{\otimes \ell})$ ". What does this even mean? We have  $\operatorname{Gr} = \varinjlim_d \operatorname{Gr}(d)$ , so it's reasonable to define  $H^0(\operatorname{Gr}, \mathcal{L}_{det}^{\otimes \ell}) = \varprojlim H^0(\operatorname{Gr}(d), \mathcal{L}_{det}^{\otimes \ell})$ . Since the  $\operatorname{Gr}(d)$  are algebraic varieties, each  $H^0(\operatorname{Gr}(d), \mathcal{L}_{det}^{\otimes \ell})$  is a finite-dimensional vector space over **C**. But the inverse limit is infinite-dimensional, and has an inverse limit topology.

We don't want to deal with topological vector spaces, so instead we contemplate

$$\mathcal{A} := \varinjlim_{d} H^0(\mathrm{Gr}(d), \mathcal{L}_{\mathrm{det}}^{\otimes \ell})^{\vee}.$$

This is now a **C**-vector space of countable dimension. It is an example of a factorization algebra.

More generally, for each  $n \ge 0$ , we have  $\operatorname{Gr}_{X^n} \to X^n$  and there is a determinant bundle  $\mathcal{L}_{\det,X^n}$  on  $\operatorname{Gr}_{X^n}$ . We define  $\mathcal{A}_{X^n}$  to be the "pre-dual" of  $\pi_* \mathcal{L}_{\det,X^n}^{\otimes \ell}$ .

What does this mean more concretely, e.g. in terms of finite-dimensional algebraic geometry? We have  $\operatorname{Gr}_{X^n} = \varinjlim_d \operatorname{Gr}_{X^n}(d)$ , and  $\pi \colon \operatorname{Gr}_{X^n}(d) \to X^n$ . Take  $\pi_*(\mathcal{L}_{\det,X^n}^{\otimes \ell}|_{\operatorname{Gr}_{X^n}(d)})$ . Questions:

- Does this give a vector bundle?
- Is it compatible with the construction over a point?

It turns out that the answer is *yes* (to both questions) for well-chosen approximations  $\operatorname{Gr}_{X^n}(d)$ . (They should be flat over  $X^n$ , and the content is that the higher cohomology groups vanish.)

Now we can formally define  $\mathcal{A}_{X^n}$ . Let  $\mathcal{A}_{X^n} = \underset{d}{\underset{d}{\underset{d}{\underset{d}{\underset{d}}}}} \left( \pi_* (\mathcal{L}_{\det,X^n}^{\otimes \ell}|_{\mathrm{Gr}_{X^n}(d)})^{\vee} \right)$ . This is a direct limit of vector bundles, so a flat quasicoherent sheaf on  $X^n$ . Its fiber at x looks like  $\mathcal{A} = H^0(\mathrm{Gr}, \mathcal{L}_{\det}^{\otimes \ell})$ .

Now consider how it looks like on restricting: a formal consequence of what we have said is that  $\mathcal{A}_{X^2}|_{X^2-\Delta} \cong (\mathcal{A}_X \boxtimes \mathcal{A}_X)|_{X^2-\Delta}$ , while  $\mathcal{A}_{X^2}|_{\Delta X} \cong \mathcal{A}_X$ .

 $\mathcal{A}_X$  is an example of a (super) factorization algebra on X. We are going to axiomatize the structure we see here to give the definition of factorization algebras. Actually, at this point we change  $\mathrm{GL}_n$  to  $\mathrm{SL}_n$  (because of Warning 3.1) and then the analogous  $\mathcal{A}_X$  is an honest factorization algebra on X.

#### 4. FACTORIZATION ALGEBRAS

Let X be a smooth algebraic curve over  $\mathbf{C}$ .

**Definition 4.1.** A non-unital factorization algebra on X is a rule which assigns to every commutative C-algebra R and every finite subset  $S \subset X(R)$ , a flat R-module  $\mathcal{A}_S$  plus the following data:

- (1) For any C-algebra homomorphism  $R \to R'$ , letting  $S' \subset X(R')$  be the image of  $S \subset X(R)$ , then we have an isomorphism  $\mathcal{A}_{S'} \xrightarrow{\sim} R' \otimes_R \mathcal{A}_S$ .
- (2) If  $S = \coprod_{i \in I} S_i$  which are "geometrically disjoint" (i.e. disjoint after any base change to any *R*-algebra), then we have  $\mathcal{A}_S \xrightarrow{\sim} \bigotimes_{S_i} \mathcal{A}_{S_i}$ .
- (3) Compatibility isomorphisms for the above data.

Assume for simplicity that X = Spec A is affine. We can take  $R = A, S = \{\text{Id}\}$ : Spec  $R \to X$  the identity map. Then  $\mathcal{A}_{\{\text{Id}\}}$  is  $\mathcal{A}_X$ .

Next consider  $R = A \otimes_{\mathbf{C}} A$  and  $\pi_1, \pi_2$ : Spec  $R \rightrightarrows X$ . Then  $A_{\{\pi_1, \pi_2\}} =: A_{X^2}$  is a quasicoherent sheaf on  $X^2$ . How are these related?

- Consider  $\Delta \colon X \to X^2$ . This corresponds to  $A \otimes_{\mathbf{C}} A \xrightarrow{m} A$ . The points  $\pi_1, \pi_2 \in X(A \otimes_{\mathbf{C}} A)$  are both sent to Id in X(A). Compatibility with base change says that we have an isomorphism  $\Delta^* \mathcal{A}_{X^2} \xrightarrow{\sim} \mathcal{A}_X$ .
- Inside  $X^2 \Delta =:$  Spec B, the points  $\pi_1, \pi_2$  become disjoint. So we should have  $\mathcal{A}_{X^2|_{X^2-\Delta}} \cong (\mathcal{A}_X \boxtimes \mathcal{A}_X)|_{X^2-\Delta}$ .

In fact, we claim that all the structure required to build the factorization algebra are recovered from the data here.

Say  $\mathcal{A}$  is a non-unital factorization algebra. We have  $\mathcal{A}_X$  flat quasicoherent on X, and  $\mathcal{A}_{X^2}$  flat quasicoherent on  $X^2$ . The flatness implies that for  $j: (X^2 - \Delta) \hookrightarrow X^2$ ,

$$\mathcal{A}_{X^2} \hookrightarrow j_*(\mathcal{A}_X \boxtimes \mathcal{A}_X|_{X^2 - \Delta}).$$

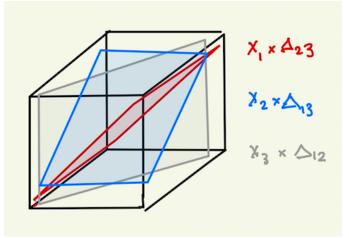
Last time we said that  $\mathcal{A}_X$  is a sheaf whose sections could be considered as "observables". Note that  $\mathcal{A}_X \boxtimes \mathcal{A}_X \hookrightarrow j_*(\mathcal{A}_X \boxtimes \mathcal{A}_X|_{X^2-\Delta})$  as well. The properness of this inclusion is saying that you can't observe two observables at the same point. The sheaf  $\mathcal{A}_{X^2}$  is telling what regularization is needed to do this.

This makes it seem like you have to specify a whole lot of data in order to get a factorization algebra. In fact, the claim is that everything is determined by the data:

• 
$$\mathcal{A}_X$$
,  
•  $\mathcal{A}_{X^2} \hookrightarrow j_*(\mathcal{A}_X \boxtimes \mathcal{A}_X|_{X^2 - \Delta}),$ 

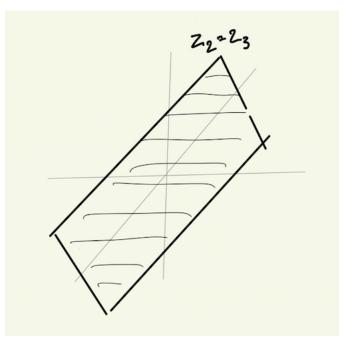
• 
$$e: \mathcal{A}_{X^2}|_{\Delta} \cong \mathcal{A}_X.$$

(However there are conditions.) We explain this through a representative example. Suppose you want to understand  $\mathcal{A}_{X^3}$  as a sheaf on  $X^3$ .



Picture: three divisors  $X_1 \times \Delta_{23}, X_2 \times \Delta_{13}, X_3 \times \Delta_{12}$ . They meet in the diagonal copy of X. Now,  $\mathcal{A}_{X^3}$  is flat on the smooth variety  $X^3$ . So removing a codimension  $\geq 2$  subset doesn't change anything, so we can remove the diagonal copy of X. Generically it looks like  $\mathcal{A}_X \boxtimes \mathcal{A}_X \boxtimes \mathcal{A}_X$ . On  $X_1 \times \Delta$ , it looks like  $\mathcal{A}_X \boxtimes \mathcal{A}_{X^2}$ .

To convince you that this works, we will give explicit formulas for  $X = \mathbb{A}^1 = \text{Spec } \mathbb{C}[z]$ . Write  $X^3 = \text{Spec } \mathbb{C}[z_1, z_2, z_3]$ . We will explain how to reconstruct  $\mathcal{A}_{X^3}$ . By the flatness,  $\mathcal{A}_{X^3} \subset \mathcal{A}_X \boxtimes \mathcal{A}_X \boxtimes \mathcal{A}_X[(z_1 - z_2)^{-1}, (z_2 - z_3)^{-1}, (z_3 - z_1)^{-1}]$ . It is cut out by the condition of extending over the three divisors  $\{z_1 = z_3\}, \{z_1 = z_2\}, \text{ and } \{z_2 = z_3\}$ .



The condition of extending over the divisor  $\{z_2 = z_3\}$  is that it lie in

$$\mathcal{A}_X \boxtimes \mathcal{A}_{X^2}[(z_1 - z_2)^{-1}, (z_1 - z_3)^{-1}] \subset \mathcal{A}_X \boxtimes \mathcal{A}_X \boxtimes \mathcal{A}_X [(z_1 - z_2)^{-1}, (z_1 - z_3)^{-1}].$$

Therefore,  $\mathcal{A}_{X^3}$  is the intersection inside  $\mathcal{A}_X^{\boxtimes 3}[(z_1-z_2)^{-1}, (z_2-z_3)^{-1}, (z_3-z_1)^{-1}]$  of  $\mathcal{A}_{X_1} \boxtimes \mathcal{A}_{X_2 \times X_3}[(z_1-z_2)^{-1}, (z_1-z_3)^{-1}]$  and the two other submodules obtained by permuting coordinates.

# 5. UNITAL FACTORIZATION ALGEBRAS<sup>1</sup>

We have just defined non-unital factorization algebras. Now we will define a *unital* factorization algebra. In particular we ask for the same data as before, *plus* functoriality in S. That is:

**Definition 5.1.** A *unital factorization algebra* is a rule which assigns to every commutative **C**-algebra R and every finite subset  $S \subset X(R)$ , a flat R-module  $\mathcal{A}_S$  plus the following data:

- (1) For any C-algebra homomorphism  $R \to R'$ , letting  $S' \subset X(R')$  be the image of  $S \subset X(R)$ , then we have an isomorphism  $\mathcal{A}_{S'} \xrightarrow{\sim} R' \otimes_R \mathcal{A}_S$ . (2) If  $S = \coprod_{i \in I} S_i$  which are "geometrically disjoint" (i.e. disjoint after any base change
- to any *R*-algebra), then we have  $\mathcal{A}_S \xrightarrow{\sim} \bigotimes_{S_i} \mathcal{A}_{S_i}$ .
- (3) Compatibility isomorphisms for the above data.
- (4) (Unitality) For all  $S \subset S' \subset X(R)$ , a map  $\mathcal{A}_S \to \mathcal{A}_{S'}$ .

Example 5.2. Continuing our example from last time, we had a non-unital factorization algebra given by the rule

$$x \in X(\mathbf{C}) \mapsto \text{cosections of } \mathcal{L}_{\det}^{\otimes \ell} \text{ on } \mathrm{Gr}_x.$$

More generally, for  $S \subset X(\mathbf{C})$  we have  $\mathcal{A}_S = \bigotimes_{x \in S} \mathcal{A}_x$ .

<sup>&</sup>lt;sup>1</sup>Continued on Oct. 15.

Now suppose we have  $S \subset S'$ . Now  $\operatorname{Gr}_S$  parametrizes *G*-bundles on *X* trivialized outside *S*, so it admits a map to  $\operatorname{Gr}_{S'}$  by restricting the domain of the trivialization. That induces a map from cosections of  $\mathcal{L}_{det}^{\otimes \ell}$  on  $\operatorname{Gr}_S$  to cosections of  $\mathcal{L}_{det}^{\otimes \ell}$  on  $\operatorname{Gr}_{S'}$ . So this gives unitality data.

**Example 5.3.** Consider  $S = \emptyset$  and  $S' = \{x\}$ . Then  $\operatorname{Gr}_S = \operatorname{Spec} \mathbf{C}$  and  $\operatorname{Gr}_{S'} = \operatorname{Gr}_x$ . The map  $\operatorname{Gr}_S \to \operatorname{Gr}_{S'}$  is the basepoint at the trivial bundle with its tautological trivialization.

Let  $\mathcal{A}$  be a non-unital factorization algebra. Recall that we had isomorphisms  $\mathcal{A}_{X^2}|_{\Delta} \xrightarrow{\sim} \mathcal{A}_X$  and  $\mathcal{A}_{X^2}|_{X^2-\Delta} \xrightarrow{\sim} \mathcal{A}_X \boxtimes \mathcal{A}_X|_{X^2-\Delta}$ . This allowed to reconstruct the rest of the data of a factorization algebra (but there are conditions).

What does it take to make  $\mathcal{A}$  into a *unital* factorization algebra? We need to give  $\mathcal{A}_{\emptyset} \to \mathcal{A}_{\{x\}}$  for  $x \in X(R)$ . This glues into a section  $\mathbb{1}: \mathcal{O}_X \to \mathcal{A}_X$ . What conditions does it satisfy?

Given R and  $x, y \in X(R)$ , we consider  $\{x\} \subset \{x, y\}$ . As x, y vary, this glues to a map of quasicoherent sheaves  $f: \pi^* \mathcal{A}_X \to \mathcal{A}_{X^2}$ . The compatibility conditions imply that:

• on  $X^2 - \Delta$ , f restricts to a map

$$\mathcal{A}_X \boxtimes \mathcal{O}_X|_{X^2 - \Delta} \cong (\pi^* \mathcal{A}_X)|_{X^2 - \Delta} \to (\mathcal{A}_{X^2})|_{X^2 - \Delta} = \mathcal{A}_X \boxtimes \mathcal{A}_X|_{X^2 - \Delta}$$

which must be identified with  $\mathrm{Id}_{\mathcal{A}_X} \boxtimes \mathbb{1}$ .

• On  $\Delta$ , f restricts to a map

$$\mathcal{A}_X \cong \Delta^* \pi^* \mathcal{A}_X \to \Delta^* \mathcal{A}_{X^2} \xrightarrow{\sim} \mathcal{A}_X$$

which must be identified with  $\mathrm{Id}_{\mathcal{A}_X}$ .

**Remark 5.4.** Think about sections as being observables. We can't multiply such things in general. But 1 is allowed to be multiplied with other observables.

#### 6. Connection structure

The data of the unit gives a lot of extra structure: it turns the quasicoherent sheaves into quasicoherent sheaves with a flat connection as a quasicoherent  $\mathcal{O}_{X^n}$ -module.

This means that if is a unital factorization algebra, then we get

$$\nabla \colon \mathcal{A}_{X^n} \to \mathcal{A}_{X^n} \boxtimes \Omega^1_{X^n}$$

satisfying some vanishing condition on curvature. Another way of expressing this is by saying that  $\mathcal{A}_{X^n}$  has the structure of an algebraic  $\mathcal{D}_{X^n}$ -module.

We will adopt a different perspective, modeled on the notion of parallel transport. We say that  $x, y \in X(R)$  are *infinitesimally close* if they have the same image in  $X(R^{\text{red}} := R/\sqrt{R})$ . The following definition is due to Grothendieck.

**Definition 6.1** (Flat connection as crystal on the infinitesimal site). If  $\mathcal{E}$  is a quasicoherent sheaf on X, then a *flat connection* is a rule which assigns to every pair  $x, y \in X(R)$  which are infinitesimally close, isomorphisms of R-modules  $x^*\mathcal{E} \xrightarrow{\sim} y^*\mathcal{E}$ .

Let A be a unital factorization algebra and  $x, y \in X(R)$ . Then  $\mathcal{A}_{\{x\}} = x^* \mathcal{A}_X$  and  $\mathcal{A}_{\{y\}} = y^* \mathcal{A}_X$ . Consider (x, y): Spec  $R \to X^2$ . So we have maps

We claim that these are isomorphisms. Indeed, x, y have the same image in X(R/I) for I a nilpotent ideal. So we have maps of flat R-modules which become isomorphisms after quotienting out by a nilpotent ideal – then they must already be isomorphisms of R-modules.

**Example 6.2.** In the case of  $\mathcal{A}$  being cosections of the determinant line bundle on Gr,  $\operatorname{Gr}_x$  parametrizes *G*-bundles on *X* plus a trivialization on X - x. We even have an isomorphism  $\operatorname{Gr}_x \cong \operatorname{Gr}_y$  for infinitesimally close x, y. So there is a "connection" on the spaces, even before we take cosections – this is one advantage of Grothendieck's formulation of a connection (that it makes sense even for non-linear objects).

## 7. Commutative factorization algebras

We give an example of a "commutative factorization algebra". Let X be an algebraic curve over **C** and Y = Spec B be a smooth affine variety. Given a point  $x \in X$ , we can form the formal completion  $\widehat{X}_x = \text{Spf}(\widehat{\mathcal{O}}_{X,x})$ . Then  $\text{Map}(\widehat{X}_x, Y) = \text{Hom}_{\mathbf{C}}(B, \widehat{\mathcal{O}}_{X,x})$ .

**Example 7.1.** Suppose  $Y = \mathbb{A}^1$ , so  $B = \mathbb{C}[t]$ . Then  $\operatorname{Map}(\widehat{X}_x, Y) = \widehat{\mathcal{O}}_{X,x} = \varprojlim \widehat{\mathcal{O}}_{X,x}/\mathfrak{m}^n$ . Each  $\widehat{\mathcal{O}}_{X,x}/\mathfrak{m}^n$  is an affine space. So this looks like an inverse limit of copies of affine space, with transition maps given by forgetting higher order terms. So in this case the mapping space  $\operatorname{Map}(\widehat{X}_x, Y)$  is  $\mathbb{A}^{\infty} \cong \operatorname{Spec} \mathbb{C}[a_0, a_1, a_2, \ldots]$ .

Given Y, we can make a factorization algebra  $\mathcal{A}$  on X such that  $\mathcal{A}_x$  is the coordinate ring of Map $(\hat{X}_x, Y)$ .

More generally, if R is C-algebra and  $S \subset X(R)$  is a finite set,  $\mathcal{A}_S$  is even a commutative R-algebra, and its spectrum is

$$\operatorname{Spec}(\mathcal{A}_S) = \operatorname{Map}((X \times \operatorname{Spec} R)^{\wedge}_{\Gamma_S}, Y)$$

Note that if the points S are disjoint, then the formal completion is a disjoint union. So  $\mathcal{A}_S$  factors as a tensor product. This corresponds to the factorization axiom.

The multiplication on  $\mathcal{A}_x$  is the same as provided by the factorization structure.