

FACTORIZATION ALGEBRAS AND CHIRAL ALGEBRAS (OCT 29, 2020)

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1. REVIEW OF FACTORIZATION ALGEBRAS

Let X be a curve. Jacob defined *factorization algebras* as: a collection of quasicoherent sheaves $\mathcal{A}^{(n)}$ on X^n , equipped with isomorphisms upon restricting to the diagonal or to the disjoint locus, e.g.

- $\Delta^*(\mathcal{A}^{(2)}) \xrightarrow{\sim} \mathcal{A}^{(1)}$ and
- $j^*(\mathcal{A}^{(2)}) \xrightarrow{\sim} \mathcal{A}^{(1)} \boxtimes \mathcal{A}^{(1)}|_{X \times X - \Delta}$.

To give more examples, on X^3 the data of a factorization algebra includes isomorphisms

- (1) $\Delta_{x_1=x_2}^*(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A}^{(2)}$,
- (2) $\Delta_{x_1=x_3}^*(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A}^{(2)}$
- (3) $\Delta_{x_2=x_3}^*(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A}^{(2)}$
- (4) $\Delta_{x_1=x_2=x_3}(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A}^{(1)}$.
- (5) $j_{x_1 \neq x_2, x_3}^*(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A} \boxtimes \mathcal{A}^{(2)}|_{x_1 \neq x_2, x_3}$
- (6) $j^*(\mathcal{A}^{(3)}) \xrightarrow{\sim} \mathcal{A} \boxtimes \mathcal{A} \boxtimes \mathcal{A}|_{X^3 - \Delta}$.

There are also compatibilities on these isomorphisms when the loci intersect, e.g. (2) and (5).

If $\mathcal{A}^{(n)}$ are in the abelian category of quasi-coherent sheaves, then everything is recovered from the data over X^2 .

1.1. Unital factorization algebras. Jacob also introduced the notion of a *unital structure* on a factorization algebra. That is a system of maps $\mathcal{O}_{X^n} \rightarrow \mathcal{A}^{(n)}$ compatible with the identifications above. He also explained that the unital structure equips all the $\mathcal{A}^{(n)}$ with a connection, making them into left D -modules on X^n .

For $f: Y_1 \rightarrow Y_2$ we have a commutative diagram

$$\begin{array}{ccc} \mathrm{Dmod}^l(Y_1) & \xleftarrow{f^\dagger} & \mathrm{Dmod}^l(Y_2) \\ \downarrow & & \downarrow \\ \mathrm{QCoh}(Y_1) & \xleftarrow{f^*} & \mathrm{QCoh}(Y_2) \end{array}$$

Example 1.1. Let $\mathcal{A} \in \mathrm{CommAlg}(\mathrm{Dmod}^l(X))$. Then we can associate a factorization algebra $\mathrm{Fact}(\mathcal{A})$ such that $\mathcal{A}^{(1)} = \mathcal{A}$.

Suppose you have a closed embedding $f: Y_1 \hookrightarrow Y_2$. There is $f^\dagger: \mathrm{Dmod}^l(Y_2) \rightarrow \mathrm{Dmod}^l(Y_1)$.

There is also $f_* = f_!: \mathrm{Dmod}(Y_1) \rightarrow \mathrm{Dmod}(Y_2)$, normalized to be t -exact. Unfortunately, with these normalizations the functors are not adjoint. Rather, f^\dagger is right adjoint to $f_* = f_!$, up to shift. (So f^\dagger agrees with $f^!$ up to a shift.)

Example 1.2. For an open/closed decomposition, the Cousin sequence is

$$f_! f^\dagger(M)[- \mathrm{codim}] \rightarrow M \rightarrow j_* j^* M$$

Consider this applied to $\mathcal{M} = \mathcal{A}^{(2)}$ on X^2 . Then the Cousin complex is

$$\Delta_! \Delta^\dagger[-1] \mathcal{A}^{(2)} \rightarrow \mathcal{A}^{(2)} \rightarrow j_* j^* (\mathcal{A}^{(2)}).$$

So unwinding the normalizations and identifications gives a distinguished triangle

$$\mathcal{A}^{(2)} \rightarrow j_* j^* (\mathcal{A} \boxtimes \mathcal{A}) \rightarrow \Delta_! \mathcal{A}^{(1)}.$$

So $\mathcal{A}^{(2)} = \ker(j_* j^* (\mathcal{A} \boxtimes \mathcal{A}) \rightarrow \Delta_! \mathcal{A}^{(1)})$.

1.2. Left vs right D -modules. The category of left D -modules is a bit inconvenient, so we'll want to switch to right D -modules. This is given by tensoring with the dualizing sheaf.

A better way to think about this is that there is one category of D -modules, but it has two forgetful functors to quasicohherent sheaves, called oblv^l and oblv^r .

$$\begin{array}{ccc} & \mathrm{Dmod}(X) & \\ \mathrm{oblv}^l \swarrow & & \searrow \mathrm{oblv}^r \\ \mathrm{QCoh}(X) & \xrightarrow{- \otimes \Omega_X} & \mathrm{QCoh}(X) \end{array}$$

The right normalization is better for functoriality. Given $f: Y_1 \rightarrow Y_2$, the diagram commutes

$$\begin{array}{ccc} \mathrm{Dmod}(Y_1) & \xleftarrow{f^!} & \mathrm{Dmod}(Y_2) \\ \downarrow \mathrm{oblv}^r & & \downarrow \mathrm{oblv}^r \\ \mathrm{QCoh}(Y_1) & \xleftarrow{f^!} & \mathrm{QCoh}(Y_2) \end{array}$$

For a factorization algebra $\mathcal{A}^{(n)}$, we denote $\mathcal{A}_{\mathrm{Fact}}^{(n)} \in \mathrm{Dmod}^r(X^{(n)})$ the corresponding right D -modules. So now the factorization axioms read

$$j^* (\mathcal{A}_{\mathrm{Fact}}^{(2)}) \xrightarrow{\sim} \mathcal{A}_{\mathrm{Fact}}^{(1)} \boxtimes \mathcal{A}_{\mathrm{Fact}}^{(1)}|_{X^2 - \Delta}$$

and

$$\Delta_! (\mathcal{A}_{\mathrm{Fact}}^{(2)}) \cong \mathcal{A}_{\mathrm{Fact}}^{(1)}.$$

Also

$$\mathcal{A}_{\mathrm{Fact}}^{(2)} = \ker \left(j_* j^* (\mathcal{A}_{\mathrm{Fact}}^{(1)} \boxtimes \mathcal{A}_{\mathrm{Fact}}^{(1)}) \rightarrow \Delta_! \mathcal{A}_{\mathrm{Fact}}^{(1)}[1] \right).$$

2. CHIRAL ALGEBRAS

2.1. Chiral algebras from factorization algebras. We will now define the chiral algebra associated to the factorization algebra $\mathcal{A}^{(n)}$: it is the collection $\mathcal{A}_{\text{ch}}^{(n)} := \mathcal{A}_{\text{Fact}}^{(1)}[-n]$, so in particular $\mathcal{A}_{\text{ch}}^{(1)} = \mathcal{A}_{\text{Fact}}^{(1)}[-1]$. Hence in this normalization

$$\mathcal{A}_{\text{ch}}^{(2)} = \ker \left(j_* j^* (\mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)}) \rightarrow \Delta! \mathcal{A}_{\text{ch}}^{(1)} \right).$$

The point is that this can be normalized to lie in the heart of the t-structure.

The map

$$j_* j^* (\mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)}) \rightarrow \Delta! \mathcal{A}_{\text{ch}}^{(1)} \quad (2.1)$$

is almost like a thing with a binary operation, so that's reminiscent of what an algebra is. Let's call (2.1) the "chiral bracket".

Proposition 2.1. *The chiral bracket satisfies the conditions of a Lie bracket.*

Why is it skew-symmetric? Because the shift by 1 affects the sign rule. (Symmetry under S_2 goes to skew-symmetric under S_2 after shifting.)

Now for the "Jacobi identity", we'll write three ways to go from $j_* j^* (\mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)})$ to $\Delta! (\mathcal{A}_{\text{ch}}^{(1)})$ on X^3 . First we can map via chiral bracket $\boxtimes \text{Id}$ to $\Delta_{(1=2) \neq 3!} (j_* j^* (\mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)}))^1$. Then we map via chiral bracket again to $\Delta! (\mathcal{A}_{\text{ch}}^{(1)})$.

So we have

$$\mathcal{A}^{(3)} \rightarrow j_* j^* (\mathcal{A}^{(3)}) \rightarrow \Delta_{1=2!} j_* (j^* \Delta_{12}^! (\mathcal{A}^{(3)})) \oplus \dots \rightarrow \Delta_{123!} \Delta_{123}^! (\mathcal{A}^{(3)})$$

This is acyclic because it is just the Cousin complex. The fact that the composition

$$j_* j^* (\mathcal{A}^{(3)}) \rightarrow \Delta_{1=2!} j_* (\mathcal{A} \boxtimes \mathcal{A}) \oplus \dots \rightarrow \Delta! (\mathcal{A})$$

is 0 is just the fact that Cousin complex is a complex, and is the Jacobi identity in this context.

(This is related to the fact that there is a functor from \mathbb{E}_2 algebras to Lie algebras, by shifting.)

Definition 2.2. A *chiral algebra* is a right D -module \mathcal{A}_{ch} on X equipped with a bracket

$$j_* j^* (\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta! \mathcal{A}_{\text{ch}}$$

satisfying the Lie axioms.

A *unit* in a chiral algebra \mathcal{A} is a map

$$u: \Omega_X \rightarrow \mathcal{A}_{\text{ch}}$$

such that the diagram below commutes:

$$\begin{array}{ccc} j_* j^* (\Omega_X \boxtimes \mathcal{A}) & \xrightarrow{\text{can}} & \Delta! \mathcal{A}_{\text{ch}} \\ \downarrow u \boxtimes \text{Id} & & \downarrow \text{Id} \\ j_* j^* (\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) & \longrightarrow & \Delta! \mathcal{A}_{\text{ch}} \end{array}$$

¹Explanation of intermediate steps: we have a map $j_* j^* (\mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)}) \rightarrow (j_{1,2 \neq 3})_* ((j_{1 \neq 2})_* (j_{1 \neq 2})^* \mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)} \boxtimes \mathcal{A}_{\text{ch}}^{(1)})$. Via chiral bracket on the first two factors, this maps to $(j_{1,2 \neq 3})_* (\Delta_{1=2!} \mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}})$. Then using the chiral bracket again goes to $\Delta_{1=2=3!} \mathcal{A}_{\text{ch}}$. So I'm not sure we actually pass through the intermediate step above.

Here Ω_X is the line bundle of 1-forms on X , which is the shift of the dualizing sheaf, viewed right as a right D -module. Explanation of “can”: note that the Cousin sequence for $\Omega_X \boxtimes \mathcal{A}_{\text{ch}}$ is

$$\Omega_X \boxtimes \mathcal{A}_{\text{ch}} \rightarrow j_* j^*(\Omega_X \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_! \mathcal{A}_{\text{ch}}$$

The rightmost map is “can”.

Theorem 2.3. *The functor from unital factorization algebras to unital chiral algebras is an equivalence.*

Proof. Let’s explain the inverse. We can recover

$$\mathcal{A}_{\text{ch}}^{(2)} := \ker(j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_! \mathcal{A}_{\text{ch}}).$$

Remark: the unit implies the right term is surjection. This also exhibits $j^* \mathcal{A}_{\text{ch}}^{(2)} \xrightarrow{\sim} \mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}$ and $\Delta^!(\mathcal{A}_{\text{ch}}^{(2)}) = \mathcal{A}_{\text{ch}}^{(1)}[-1]$.

To recover $\mathcal{A}_{\text{ch}}^{(3)}$, we set

$$\mathcal{A}_{\text{ch}}^{(3)} := H^0(j_*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_{1=2!} j_*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \oplus \dots \rightarrow \Delta_{123!}(\mathcal{A}_{\text{ch}})).$$

□

Remark 2.4. This explains why the factorization algebra was determined by the data on X^2 , satisfying the conditions on X^3 . The data on X^2 gives the chiral bracket, and the data on X^3 showed that it satisfies the Lie axioms.

Remark 2.5. The theorem stated only applies to unital chiral algebras. There are certainly other kinds of chiral algebras; for example, you can take the chiral bracket $j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_! \mathcal{A}_{\text{ch}}$ to just be 0. However, it works without the unit if we consider derived objects. So the unit is something that allows you to stay in the heart of the t-structure.

2.2. Commutative chiral algebras. Let $\mathcal{A}^l \in \text{CommAlg}(\text{Dmod}^l(X))$. We then turn it into a right D -module in the same as before: $\mathcal{A}_{\text{ch}} = (\mathcal{A}^l \otimes \text{dualizing})[-1] = \mathcal{A}^l \otimes \Omega_X$. We will then construct a chiral bracket on it.

$$j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \dashrightarrow \Delta_! \mathcal{A}_{\text{ch}}.$$

We have an exact triangle

$$\Delta_!(\mathcal{A}_{\text{ch}} \overset{\dagger}{\boxtimes} \mathcal{A}_{\text{ch}})[-1] \rightarrow \mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}} \rightarrow j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}})$$

This gives

$$j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_!(\mathcal{A}_{\text{ch}} \overset{\dagger}{\boxtimes} \mathcal{A}_{\text{ch}}) \xrightarrow{\text{mult}} \Delta_!(\mathcal{A}_{\text{ch}}).$$

We then define $\mathcal{A}_{\text{ch}}^{(2)} = \ker(j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_!(\mathcal{A}_{\text{ch}}))$.

Definition 2.6. A chiral algebra is said to be *commutative* if the composition

$$\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}} \rightarrow j_* j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}}) \rightarrow \Delta_!(\mathcal{A}_{\text{ch}})$$

is zero. (The composite map is called the “Lie- $*$ ” bracket, so in other words a chiral algebra is commutative iff the Lie- $*$ bracket vanishes.)

Proposition 2.7. *The above construction is an equivalence between $\text{ComAlg}(\text{Dmod}^l(X))$ and commutative chiral algebras.*

Warning 2.8. In a higher categorical situation, commutativity becomes a *structure* – one needs to specify nullhomotopies.

3. EXAMPLES

3.1. The Beilinson-Drinfeld Grassmannian. Recall our first example of factorization algebra: for the Beilinson-Drinfeld $\pi: \text{Gr}_{X^n} \rightarrow X^n$ and \mathcal{L}_{X^n} a line bundle with factorization structure, we have $\mathcal{A}^{(n)} := \pi_!(\mathcal{L}_{X^n}) \in \text{QCoh}(X^n)$.

For an ind-scheme $\mathcal{Y} = \varinjlim Y_i$, the cohomology is a pro-object $\Gamma(\mathcal{Y}; \mathcal{L}) = \varprojlim \Gamma(Y_i, \mathcal{L})$. It's better to use cosections to get an ind-object, $\Gamma(\mathcal{Y}; \mathcal{L})^\vee = \varinjlim \Gamma(Y_i, \mathcal{L})^\vee$.

We can rewrite this succinctly: $\Gamma(Y_i, \mathcal{L})^\vee = \Gamma(Y_i, \mathcal{L}^{-1} \otimes \omega_{Y_i})$. We have that $\omega_{\mathcal{Y}} \cong \varinjlim \omega_{Y_i}$. So $\Gamma_c(\mathcal{Y}, \mathcal{L})^\vee = \Gamma(\mathcal{Y}, \mathcal{L}^{-1} \otimes \omega_{\mathcal{Y}})$.

Remark 3.1. Jacob assumed that \mathcal{L} was ample, so we get sections and no higher cohomology. The point is if Y_i are smooth, the dualizing is a shift of the canonical bundle. But for an ind-scheme, ω lives in cohomological degree $-\infty$.

The Gr_{G, X^n} comes with a section (trivial bundle with tautological trivialization). Consider the formal completion $\text{Gr}_{G, X^n}^\wedge$ along this section. We can then restrict \mathcal{L}^{-1} to the formal completion. Define $\mathcal{A}^{(n)} = \pi_!^\wedge(\mathcal{L}^{-1})$ to be the direct image of \mathcal{L}^{-1} on $\text{Gr}_{G, X^n}^\wedge$ to X^n .

3.2. Lie-* algebras.

Definition 3.2. A *Lie-* algebra* is a D -module L on X equipped with

$$L \boxtimes L \rightarrow \Delta_! L$$

satisfying the Lie axioms.

There is a forgetful functor from chiral algebras to Lie-* algebras, as given a chiral bracket $j_* j^*(\mathcal{A} \boxtimes \mathcal{A}) \rightarrow \Delta_! \mathcal{A}$ we can just inflate via $\mathcal{A} \boxtimes \mathcal{A} \rightarrow j_* j^*(\mathcal{A} \boxtimes \mathcal{A})$ to get a Lie-* bracket. This is analogous to the forgetful functor from \mathbb{E}_2 algebras to shifted Lie algebras.

Key observation: the above functor admits a left adjoint $L \mapsto U_{\text{ch}}(L)$, called the ‘‘chiral universal envelope’’. That gives many important examples of chiral algebras. This fact also has a topological analog.

Example 3.3. Let \mathfrak{g} be a finite-dimensional Lie algebra, and consider $L := \mathfrak{g} \otimes D_X$ as a right D -module. We have to write down

$$(\mathfrak{g} \boxtimes D_X) \boxtimes (\mathfrak{g} \boxtimes D_X) \rightarrow \Delta_!(\mathfrak{g} \boxtimes D_X)$$

In other words we have to give a map

$$\mathfrak{g} \otimes \mathfrak{g} \rightarrow \Gamma(X \times X, \Delta_!(\mathfrak{g} \boxtimes D_X)).$$

There is a map $\Gamma(X, \mathfrak{g} \otimes D_X) \rightarrow \Gamma(X \times X, \Delta_!(\mathfrak{g} \boxtimes D_X))$. We then compose this with $\mathfrak{g} \otimes \mathfrak{g} \xrightarrow{[\cdot, \cdot]} \mathfrak{g} \rightarrow \Gamma(X, \mathfrak{g} \otimes D_X)$.

Example 3.4. We consider $T_X \otimes_{\mathcal{O}_X} D_X$. We try repeating the same construction, but the problem is that the Lie bracket on T_X is not \mathcal{O}_X -linear.

Given two quasicohherent sheaves M_1, M_2 on X we can talk about differential operators $M_1 \rightarrow M_2$; these are the same as \mathcal{O}_X -linear maps $M_1 \rightarrow M_2 \otimes_{\mathcal{O}_X} D_X$.

We have $\Delta_!(M \otimes_{\mathcal{O}_X} D_X) = \Delta_*(M) \otimes_{\mathcal{O}_{X \times X}} \mathcal{D}_{X \times X}$. So we want to define

$$(T_X \otimes_{\mathcal{O}_X} D_X) \boxtimes (T_X \otimes_{\mathcal{O}_X} D_X) \rightarrow \Delta_!(T_X \otimes_{\mathcal{O}_X} D_X) \xrightarrow{\sim} \Delta_*(T_1) \otimes_{\mathcal{O}_{X \times X}} \mathcal{D}_{X \times X}.$$

Such a latter map $T_X \boxtimes T_X \rightarrow \Delta_*(T_X) \otimes_{\mathcal{O}_{X \times X}} \mathcal{D}_{X \times X}$ is the same as a differential operator, which we can take to be the Lie bracket $T_X \boxtimes T_X \rightarrow \Delta_*(T_X)$.

Lemma 3.5. $U_{\text{ch}}(\mathfrak{g} \otimes D_X) = \pi_!^\wedge \mathcal{O}$ where $\pi_!^\wedge: \text{Gr}_{X^n}^\wedge \rightarrow X^n$.

Remark 3.6. For this construction \mathfrak{g} can be any Lie algebra (not necessarily reductive).

4. MODULES FOR CHIRAL ALGEBRAS

Let \mathcal{A}_{ch} be a chiral algebra.

Definition 4.1. A *chiral* \mathcal{A}_{ch} -module on X is a D -modules M on X equipped a map²

$$j_*j^*(\mathcal{A}_{\text{ch}} \boxtimes M) \rightarrow \Delta_!M$$

plus a Jacobi identity (Lie algebra acting on a module) for the three maps

$$j_*j^*(\mathcal{A}_{\text{ch}} \boxtimes \mathcal{A}_{\text{ch}} \boxtimes M) \rightarrow \Delta_!M.$$

We say that M is *unital* if the diagram below commutes:

$$\begin{array}{ccc} j_*j^*(\Omega_X \boxtimes M) & \longrightarrow & \Delta_!M \\ \downarrow & & \downarrow \text{Id} \\ j_*j^*(\mathcal{A}_{\text{ch}} \boxtimes M) & \longrightarrow & \Delta_!M \end{array}$$

Example 4.2. \mathcal{A}_{ch} is a chiral module over itself.

Remark 4.3. An important class of examples comes from chiral A -modules supported at $x \in X$.

Example 4.4. If $M \in \mathcal{A}\text{-Mod}^{\text{ch}}(X)$, then $i_{x!}i_x^!(M)[1]$ is a chiral \mathcal{A} -module at a point x . So “fibers of chiral modules are chiral modules.”

Example 4.5. How do \mathcal{A}_{ch} -modules look like for \mathcal{A}_{ch} commutative?

Let $A^l \in \text{CommAlg}(D\text{mod}^l(X))$. Then $i_x^*(A) \in \text{CommAlg}(\text{Vect})$. We claim that there is a functor from $i_x^*(A^l)$ -modules to chiral \mathcal{A}_{ch} -modules.

“Chiral modules supported at $x \in X$ only care about the chiral algebra away from x .” To see an example of what this means we can modify A^l at a point. Let $\phi: (A')^l \hookrightarrow A^l$ be an isomorphism away from x . Then we also get a functor from $i_x^*((A')^l)$ -modules to chiral \mathcal{A}_{ch} -modules.

Lemma 4.6. Any chiral \mathcal{A}_{ch} -module is a union of ones of the above form.

Example 4.7. If \mathcal{A} is the (commutative) chiral algebra of functions on jets into a space, then functions on loops are chiral modules.

5. LIE-* MODULES

Definition 5.1. Let L be a Lie-* algebra on X . A *Lie-* module* over L is a D -module M on X with a map $L \boxtimes M \rightarrow \Delta_!M$ satisfying a Jacobi identity. We denote the category of such as $L\text{-Mod}^{\text{Lie-*}}(X)$.

A *chiral* L -module is a D -module M on X with a map $j_*j^*(L \boxtimes M) \rightarrow \Delta_!M$ satisfying a Jacobi identity for the three maps

$$j_*j^*(L \boxtimes L \boxtimes M) \rightarrow \Delta_!M$$

given by $j_*j^*(L \boxtimes L \boxtimes M) \rightarrow \Delta_{x_1=x_2!}(j_*j^*(L \boxtimes M)) \rightarrow \Delta_!(M)$ and its variations obtained by permuting $\{1, 2, 3\}$. We denote the category of such by $L\text{-Mod}^{\text{ch}}(X)$.

There is an obvious functor $L\text{-Mod}^{\text{Lie-*}}(X) \leftarrow L\text{-Mod}^{\text{ch}}(X)$, given by pre-composing with $L \rightarrow j_*j^*L$. This functor has a left adjoint.

Given a chiral module, we can form the de Rham cohomology $\Gamma_{\text{dR}}(D_x, M)$. This is a topological vector space, since D_x is an ind-scheme.

²Another approach: it is a split square-zero extension $\mathcal{A}_{\text{ch}} \oplus \epsilon M$.

Example 5.2. Suppose $M = M_0 \otimes_{\mathcal{O}_X} \mathcal{D}_X$ for M_0 a quasicoherent sheaf. Then $\Gamma_{\text{dR}}(D_x, M) = \Gamma(D_x, M_0)$. In particular, if $M = \mathcal{D}_X$ then $\Gamma_{\text{dR}}(D_x, M) = \widehat{\mathcal{O}}_x$.

We can also take $\Gamma_{\text{dR}}(D_x^\circ, M)$. This is also a topological vector space.

Example 5.3. We have $\Gamma_{\text{dR}}(D_x, M_0 \otimes \mathcal{D}_X) = \Gamma(D_x^\circ, M_0)$. For $M = \mathcal{D}_X$, we have $\Gamma_{\text{dR}}(D_x, M) = \widehat{K}_x$.

Remark 5.4. If L is a Lie- $*$ algebra, then $\Gamma_{\text{dR}}(D_x, L)$ and $\Gamma_{\text{dR}}(D_x^\circ, L)$ are topological Lie algebras.

Lemma 5.5. Let $L - \text{Mod}_x^{\text{Lie}-*}$ be the category of Lie- $*$ modules over L which are supported at $x \in X$. Then $L - \text{Mod}_x^{\text{Lie}-*}$ is equivalent to the category of discrete modules for $\Gamma_{\text{dR}}(D_x, L)$ and $L - \text{Mod}_x^{\text{ch}}$ is equivalent to the category of discrete modules for $\Gamma_{\text{dR}}(D_x^\circ, L)$.

Example 5.6. For $L = \mathfrak{g} \otimes \mathcal{D}_x$, we have

$$\Gamma_{\text{dR}}(D_x, L) = \mathfrak{g} \otimes \widehat{\mathcal{O}}_x = \mathfrak{g}[[t]],$$

and

$$\Gamma_{\text{dR}}(D_x^\circ, L) = \mathfrak{g} \otimes \widehat{K}_x = \mathfrak{g}((t)).$$

Then $(\mathfrak{g} \otimes \mathcal{D}_x) - \text{Mod}_x^{\text{Lie}-*}$ is equivalent to the category of discrete $\mathfrak{g}[[t]]$ -modules, and $\mathfrak{g} \otimes \mathcal{D}_x - \text{Mod}_x^{\text{ch}}$ is equivalent to the category of discrete $\mathfrak{g}((t)) - \text{Mod}$.

Example 5.7. There is an equivalence between $T_x \otimes \mathcal{D}_X - \text{Mod}_x^{\text{Lie}-*}$ and modules over $\text{Span}(L_{-1}, L_0, L_1 \dots)$ -modules, where $L_{-1} = \partial_t$, $L_0 = t\partial_t$, $L_1 = t^2\partial_t$, etc.

On the other hand, chiral modules over $T_x \otimes \mathcal{D}_X$ are modules over span of L_i for all $i \in \mathbf{Z}$.

The cokernel of $\Gamma_{\text{dR}}(D_x, L) \hookrightarrow \Gamma_{\text{dR}}(D_x^\circ, L)$ is $i_x^!(L)[1]$. The map $\Gamma_{\text{dR}}(D_x, L) \hookrightarrow \Gamma_{\text{dR}}(D_x^\circ, L)$ describes restriction and induction functors.

(We are working our ways up to the description of the chiral universal envelope.)

Let L be a Lie- $*$ algebra and $U_{\text{ch}}(L)$ its universal envelope. We have a map as Lie algebras $L \rightarrow U_{\text{ch}}(L)$.

Proposition 5.8. The restriction functor $U_{\text{ch}} L - \text{Mod}^{\text{ch}}(X) \rightarrow L - \text{Mod}^{\text{ch}}(X)$ is an equivalence.

Suppose \mathcal{A} is a chiral algebra. Write $\mathcal{A}_x = i_x^!(\mathcal{A})[1]$. We can think of \mathcal{A}_x as a chiral module for \mathcal{A} at x . We want to describe it what it looks like for $U_{\text{ch}}(L)_x$, as a module for $\Gamma_{\text{dR}}(D_x^\circ, L)$. The unit gives a map $\mathbf{C} \rightarrow U_{\text{ch}}(L)_x$, and the axioms (namely, that the unit is killed by Lie- $*$ bracket) show that it is annihilated by $\Gamma_{\text{dR}}(D_x, L)$.

So we get a map

$$\text{Ind}_{\Gamma_{\text{dR}}(D_x, L)}^{\Gamma_{\text{dR}}(D_x^\circ, L)}(\mathbf{C}) \rightarrow U_{\text{ch}}(L)_x. \quad (5.1)$$

The LHS is a ‘‘vacuum representation’’.

Theorem 5.9. This map (5.1) is an isomorphism.

Remark 5.10. Jacob asks: given a chiral algebra \mathcal{A} on a punctured curve $X - x$, what is the relation between extending \mathcal{A} to X and putting a module at the puncture? Answer: given an extension, the fiber $(\mathcal{A}_x) \in \mathcal{A}_{X-x} - \text{Mod}_x^{\text{ch}}$ has the universal property:

$$\text{Hom}_{\mathcal{A}_{X-x} - \text{Mod}_x^{\text{ch}}}((\mathcal{A}_x), M) = \{m \in M : \text{annihilated by the Lie-}^* \text{ bracket}\}.$$

6. FACTORIZATION MODULES

We have a correspondence between $\mathcal{A}_{\text{Fact}}$ and \mathcal{A}_{ch} .

Definition 6.1. A *factorization module* over a factorization algebra $\mathcal{A}_{\text{Fact}}$ is a sequence of D -modules (because we're over a curve) $M = M^{(0,1)} \in \text{Dmod}(\text{pt} \times X)$, $M^{(1,1)} \in \text{Dmod}(X \times X)$, $M^{2,1} \in \text{Dmod}(X^2 \times X)$, ... with isomorphisms

$$\Delta^!(M^{(1,1)}) \xrightarrow{\sim} M^{0,1}$$

$$j^!M^{(1,1)} \xrightarrow{\sim} \mathcal{A}_{\text{Fact}}^{(1)} \boxtimes M^{(0,1)}.$$

plus identifications $M^{(2,1)}|_{x_1 \neq x_3, x_2 \neq x_3} = \mathcal{A}^{(2)} \boxtimes M^{(0,1)}$ and $M^{(2,1)}|_{x_2 = x_3} = M^{(1,1)}$, etc. (Think of the last coordinate as being the module coordinate, and the rest being algebra coordinates.)

So we have an exact triangle

$$M^{(1,1)}[\text{shift?}] \rightarrow j_*j^*(\mathcal{A}_{\text{Fact}} \boxtimes M) \rightarrow \Delta_!M$$

The equivalence between $\mathcal{A}_{\text{Fact}}$ and \mathcal{A}_{ch} intertwines an equivalence between factorization modules and chiral modules.

We can define $\mathcal{A}_{\text{Fact}} - \text{Mod}^{\text{Fact}}(X^2)$ as a sequence $M^{(0,2)}, M^{(1,2)}, M^{(2,2)}$ on $X^2, X \times X^2, X^2 \times X^2$, etc. with isomorphisms

$$M^{(1,2)}|_{x_1 \neq x_2, x_1 \neq x_3} = \mathcal{A}_{\text{Fact}} \boxtimes M^{(0,2)}.$$

and

$$M^{(1,2)}|_{x_1 = x_2} = M^{(0,2)}.$$

etc.

There is a lot of structure on the category of factorization modules.

- We have $\Delta_! : \mathcal{A}\text{-Mod}(X) \rightarrow \mathcal{A}\text{-Mod}(X^2)$.
- We also have $\mathcal{A}\text{-Mod}(X) \otimes \mathcal{A}\text{-Mod}(X) \rightarrow \mathcal{A}\text{-Mod}(X^2)$ taking M_1, M_2 to $j_*j^*(M_1 \boxtimes M_2)$.
- For $M \in \mathcal{A}\text{-Mod}(X^n)$ and $\mathcal{F} \in \text{Dmod}(X^n)$, we have $\mathcal{F} \overset{!}{\otimes} M \in \mathcal{A}\text{-Mod}(X^n)$.
- We also have maps $j_*j^*(M_1 \boxtimes M_2) \rightarrow \Delta_!M_3$ in $\mathcal{A}\text{-Mod}(X^2)$. This is mimicking the formalism of nearby cycles. We want to define $M_1 \dot{\otimes} M_2 = \Psi(j^*(M_1 \boxtimes M_2))$. But we don't know that this is co-representable. And the associativity seems to fail, $(M_1 \dot{\otimes} M_2) \dot{\otimes} M_3 \neq M_1 \dot{\otimes} (M_2 \dot{\otimes} M_3)$.

Instead of trying to force a monoidal category, it's better to consider the union of $\mathcal{A}_{\text{Fact}} - \text{Mod}^{\text{Fact}}(X^n)$ as a *factorization category*.

7. CHIRAL UNIVERSAL ENVELOPE

We will now say more about the chiral universal envelope of a Lie-* algebra L . Recall that a Lie-* module is a D -module M with a map $L \boxtimes M \rightarrow \Delta_!(M)$, satisfying axioms. A chiral module is an M with a map $j_*j^*(L \boxtimes M) \rightarrow \Delta_!(M)$, satisfying Lie axioms. There is an obvious functor from chiral modules to Lie-* modules, it has a left adjoint.

7.1. Fiberwise description. We said restriction induces $L\text{-Mod}^{\text{ch}} \xleftarrow{\sim} U_{\text{ch}}L\text{-Mod}^{\text{ch}}$. We said $L\text{-Mod}_x$ is equivalent to discrete modules for $\Gamma_{\text{dR}}(D_x, L)$. This gives a description of $L\text{-Mod}_x^{\text{Lie-}*} \rightarrow L\text{-Mod}_x^{\text{ch}}$, as induction functor $M \mapsto \text{Ind}_{\Gamma_{\text{dR}}(D_x, L)}^{\Gamma_{\text{dR}}(D_x^\circ, L)}(M)$.

We have an exact sequence

$$0 \rightarrow \Gamma_{\text{dR}}(D_x, L) \rightarrow \Gamma_{\text{dR}}(D_x^\circ, L) \rightarrow i_x^!(L)[1] \rightarrow 0$$

for $i_x: \text{pt} \rightarrow X$. Hence $\text{Ind}_{\Gamma_{\text{dR}}(D_x, L)}^{\Gamma_{\text{dR}}(D_x^\circ, L)}(M)$ has a PBW filtration with associated graded $M \otimes \text{Sym}(i_x^!(L)[1])$.

The unit $\mathbf{C} \rightarrow U_{\text{ch}}(L)$ induces by adjunction a map

$$\text{Ind}_{\Gamma_{\text{dR}}(D_x, L)}^{\Gamma_{\text{dR}}(D_x^\circ, L)}(\mathbf{C}) \rightarrow U_{\text{ch}}(L)_x, \quad (7.1)$$

and it turns out to be an isomorphism. This gives:

Theorem 7.1. *$U_{\text{ch}}(L)$ has a PBW filtration with associated graded $\text{Sym}^!(L[1])[-1]$ as commutative chiral algebras.*

7.2. Construction of the chiral universal envelope as a factorization algebra. Now we give a construction of $U_{\text{ch}}(L)$. It is local on the curve, so we may assume X is affine. It will be easier to construct the incarnation as a factorization algebra $U_{\text{ch}}(L)_{\text{Fact}}^{(1)} \in \text{Dmod}(X)$.

We consider $p_1, p_2: X \times X \rightarrow X$. Let $j: X \times X - \Delta \hookrightarrow X$ be the inclusion of the complement of the diagonal. We consider $p_{1*}(p_2^!(L)) = \omega_X \otimes \Gamma_{\text{dR}}(X, L)$. So the Lie- $*$ algebra on L gives this a structure of Lie algebra in the category $\text{Dmod}(X)$. Let's call it L_X .

We can also consider $p_{1*}(j_*j^*p_2^!(L)) \in \text{LieAlg}(\text{Dmod}(X))$, which we call L_X° . What does it look like? By base change the fiber over $x \in X$ is $i_x^!(L_X^\circ) = \Gamma_{\text{dR}}(X - x, L)$. We have $U_{\text{ch}}(L)_{\text{Fact}}^{(1)} = \text{Ind}_{L_X^\circ}^{L_X}(\omega_X)$.

Why is this compatible with (7.1)? We have a fiber square

$$\begin{array}{ccc} \Gamma_{\text{dR}}(X, L) & \longrightarrow & \Gamma_{\text{dR}}(X - x, L) \\ \downarrow & & \downarrow \\ \Gamma_{\text{dR}}(D_x, L) & \longrightarrow & \Gamma_{\text{dR}}(D_x^\circ, L) \end{array}$$

This implies that induction along the top is compatible with induction along the bottom.

We also need to specify $U_{\text{ch}}(L)_{\text{Fact}}^{(n)} \in \text{Dmod}(X^n)$. We consider a similar setup with $p_1: X^n \times X \rightarrow X^n$ and $p_2: X^n \times X \rightarrow X$, and j be the complement of the incidence divisor. We define $L_{X^n} = p_{1*}p_2^!(L)$ and $L_{X^n}^\circ = p_{1*}j_*j^*p_2^!(L)$. These lie in $\text{LieAlg}(\text{Dmod}(X^n))$, and we set $U_{\text{ch}}(L)_{\text{Fact}}^{(n)} := \text{Ind}_{L_{X^n}^\circ}^{L_{X^n}}(\omega_{X^n})$.

The PBW filtration in Theorem 7.1 comes from the exact triangle $0 \rightarrow L_X \rightarrow L_X^\circ \rightarrow L \rightarrow 0$ in $\text{Dmod}(X)$.

7.3. Local definition. If you like dislike the choice of global curve X involved in the above construction, we will give a curve-free definition.

We can define a formal scheme $D_{X^n}^\wedge = (X^n \times X)^\wedge_H$. We also have the ‘‘spec version’’ D_{X^n} . Finally, we can define $D_{X^n}^\circ = D_{X^n} - H$. We can replace the global situation with the one

in the diagram below.

$$\begin{array}{ccc}
 D_{X^n}^\circ & & \\
 \searrow j & & \\
 & D_{X^n} & \xrightarrow{\widehat{p}_2} X \\
 & \downarrow \widehat{p}_1 & \\
 & X^n &
 \end{array}$$

We can define \widehat{L}_{X^n} and $\widehat{L}_{X^n}^\circ$ by the analogous formulas, and then $U_{\text{ch}}(L)_{\text{Fact}}^{(n)} = \text{Ind}_{\widehat{L}_{X^n}^\circ}^{\widehat{L}_{X^n}}(\omega_{X^n})$.

Example 7.2. We have

$$\begin{array}{ccc}
 \text{Gr}_{X^n} & \longleftrightarrow & \text{Gr}_{X^n}^\wedge \\
 \downarrow \pi & \swarrow \widehat{\pi} & \\
 X^n & &
 \end{array}$$

We claim that

$$\widehat{\pi}_!(\omega_{\text{Gr}_{X^n}^\wedge}) \cong U_{\text{ch}}(\mathfrak{g} \otimes \mathcal{D}_X)_{\text{Fact}}^{(n)}. \quad (7.2)$$

We have that $\widehat{L}_{X^n}^\circ$ acts on $\text{Gr}_{X^n}^\wedge$, with $L_{X^n}^\wedge$ preserving the unit section. We have a map $\omega_{X^n} \rightarrow \widehat{\pi}_!(\omega_{\text{Gr}_{X^n}^\wedge})$ as modules for $\widehat{L}_{X^n}^\circ$. The image of ω_{X^n} is annihilated by \widehat{L}_{X^n} . So that gives by adjunction

$$\text{Ind}_{\widehat{L}_{X^n}^\circ}^{\widehat{L}_{X^n}}(\omega_{X^n}) \xrightarrow{\sim} \widehat{\pi}_!(\omega_{\text{Gr}_{X^n}^\wedge}).$$

The fact that it is an isomorphism follows from PBW: for $y \hookrightarrow Y$, the associated graded on $\omega_{Y_y^\wedge}$ is $\text{Sym}(T_y Y)$. Concretely in this example, on the fiber over a point of X we are saying $\text{Ind}_{\mathfrak{g}[[t]]}^{\mathfrak{g}((t))}(\mathbf{C})$ is the dualizing sheaf on Gr_G^\wedge . Take the associated graded, it looks like Sym of the ‘‘tangent space’’ to Gr_G .

8. CENTRAL CHARGE

Give a central extension

$$0 \rightarrow \mathbf{C}\zeta \rightarrow \mathfrak{g}' \rightarrow \mathfrak{g} \rightarrow 0.$$

We consider $U(\mathfrak{g}') := U(\mathfrak{g}') \otimes_{\mathbf{C}[\mathbf{C}\zeta]} \mathbf{C}$, where $\mathbf{C}\zeta$ is the center. In other words, it is $U(\mathfrak{g}')/‘‘1 = 1’’$. So we have $\text{gr}(U(\mathfrak{g}')) = \text{Sym}(\mathfrak{g})$. There is an equivalence $U(\mathfrak{g}') - \text{Mod}$ with \mathfrak{g}' -modules on which $1 \in \mathbf{C}$ acts as the identity.

There is an analogous construction for Lie algebras. Suppose we have

$$0 \rightarrow \Omega_X \rightarrow L' \rightarrow L \rightarrow 0.$$

We can then form $U_{\text{ch}}(L')/‘‘\Omega_X = \Omega_X’’ =: U_{\text{ch}}(L)'$. We have $\text{gr}(U_{\text{ch}}(L)') = \text{Sym}^1(L[1])[-1]$.

Note that $U_{\text{ch}}(L)' - \text{Mod}^{\text{ch}}(X)$ can be described as chiral modules for L' on which Ω_X acts in the canonical way, i.e. the restriction of the action map to $j_* j^*(\Omega_X \boxtimes M) \rightarrow \Delta_! M$ is the canonical map.

Example 8.1. Let $L = \mathfrak{g} \otimes \mathcal{D}_X$. We pick an invariant form $\kappa : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathbf{C}$. We have an extension

$$0 \rightarrow \Omega_X \rightarrow L'_\mathfrak{g} \rightarrow \mathfrak{g} \otimes \mathcal{D}_X \rightarrow 0.$$

As a D -module it’s split, $L'_\mathfrak{g} = \mathfrak{g} \otimes \mathcal{D}_X \oplus \omega_X$. To specify the Lie- $*$ algebra structure, we just have to specify the map

$$(\mathfrak{g} \otimes \mathcal{D}_X) \boxtimes (\mathfrak{g} \otimes \mathcal{D}_X) \rightarrow \Delta_! \Omega_X \text{ on } X^2.$$

This is equivalent to specifying $\mathfrak{g} \otimes \mathfrak{g} \rightarrow \Gamma(X \times X, \Delta_! \Omega_X)$. It will factor through $\kappa: \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathbf{C}$, so it is specified by specifying an element of $\Gamma(X \times X, \Delta_! \Omega_X)$. Recall $\Delta_! \Omega_X$ is $j_* j^*(\Omega_X \boxtimes \Omega_X) / \Omega_X \boxtimes \Omega_X$ as a quasicoherent sheaf. We consider the short exact sequence for the diagonal

$$0 \rightarrow \Omega_X \rightarrow \frac{\Omega_X \boxtimes \Omega_X(2\Delta_X)}{\Omega_X \boxtimes \Omega_X} \rightarrow \mathcal{O}_X \rightarrow 0.$$

There is a canonical element which projects to $1 \in \mathcal{O}_X$ and whose residues along the diagonal are 0. In coordinates it is $\frac{dx_1 \wedge dx_2}{(x_1 - x_2)^2}$.

Example 8.2. We can try the same for the Virasoro algebra, but the extension

$$0 \rightarrow \Omega_X \rightarrow \text{Vir} \rightarrow \Gamma_X \otimes_{\mathcal{O}_X} \mathcal{D}_X \rightarrow 0$$

is not split as modules, canonically or on a global curve.