1. Introduction

In this paper we synthesize two threads of research in the theory of algebraic cycles. The first thread comes from the lineage of the Birch and Swinnerton-Dyer Conjecture, and broadly speaking concerns the relationship between algebraic cycles on arithmetic moduli spaces and special values of \( L \)-functions. The second thread comes from the lineage of the Milnor Conjecture, through the \( A^1 \)-homotopy theory introduced by Voevodsky, and concerns a cohomological approach to motives. As these two domains have traditionally had little overlap, the Introduction will be aimed more broadly than usual so as to be accessible to audiences from both.

1.1. Number theory background. The Birch and Swinnerton-Dyer Conjecture, and its generalizations such as the Beilinson–Bloch Conjecture and Bloch–Kato Conjecture\(^1\) predict a deep relation between algebraic cycles and \( L \)-functions. (See \cite{Liu16} §1.2 for a brief introduction to these Conjectures, or \cite{BK90} for a more extensive reference.) The classical work of Riemann \cite{Rie69} and Hecke \cite{Hec37} founded the paradigm of accessing special values of \( L \)-functions through integral representations as periods of automorphic forms. The work of Gross–Zagier \cite{GZ86} introduced the idea of accessing the first derivative of \( L \)-functions at special

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\(^1\)There is another “Bloch–Kato Conjecture” proved by Voevodsky, which generalizes the Milnor Conjecture about the relationship between Galois cohomology and Milnor K-theory. This is not what we are referring to here, although it will become relevant later through the connection to \( A^1 \)-homotopy theory. We will exclusively use the phrase “Norm residue isomorphism” to refer to the Bloch–Kato Conjecture proved by Voevodsky.
points\footnote{In turn, Gross emphasized to us the importance of Stark’s work, in which the derivatives of Artin $L$-functions appear, as an inspiration for \cite{LZ20}.} as “periods” of geometric incarnations of automorphic forms on arithmetic moduli spaces called Shimura varieties. This opened the door to the rank 1 case of the Birch and Swinnerton-Dyer Conjecture; the higher rank case remains wide open.

Theta functions are certain examples of automorphic forms built as generating functions for counting problems associated to lattices. Kudla introduced the concept of arithmetic theta functions as an incarnation of theta functions in the arithmetic geometry of Shimura varieties. The so-called Kudla program outlined in \cite{Kud04} (building on \cite{Kud97a, Kud97b}) refers to a strategy to represent the first derivative of standard $L$-functions of cuspidal automorphic representations in terms of arithmetic geometry, giving a higher-dimensional generalization of the Gross–Zagier formula. This program involves several major conjectures:

(1) The arithmetic Siegel–Weil formula, which would relate the arithmetic volumes of arithmetic theta functions to the first derivatives of Siegel–Eisenstein series.

(2) The modularity of arithmetic theta functions, which would enable the construction of arithmetic theta lifts.

(3) The arithmetic inner product formula, which would relate heights of arithmetic theta lifts to the first derivative of standard $L$-functions.

Remarkably, all of these problems have seen major progress in recent years. The works of Li–Zhang \cite{LZ20, LZ22a}, Garcia–Sankaran \cite{GS19}, and Liu \cite{Liu11} establish the local arithmetic Siegel-Weil formula for the non-singular Fourier coefficients. The modularity has been proved in many cases; we postpone a more detailed discussion to \S 4.3. The arithmetic inner product formula was proved in many situations by Li–Liu \cite{LL21, LL22}. A modern introduction to these ideas, along with a more complete survey of recent developments, can be found in \cite{Li22}.

In the number field context, the “higher Gross–Zagier formula” of Yun–Zhang \cite{YZ17, YZ19} revealed the possibility of accessing not only zeroth and first derivatives, but all higher derivatives of $L$-functions as periods of geometric incarnations of automorphic forms on moduli spaces of shtukas. In \cite{FY21}, a higher Siegel–Weil formula was established for non-singular terms, constituting the first step of a “higher” version of Kudla’s program in this context. In \cite{FY21}, higher theta series were constructed, and in this paper we prove their generic modularity (following the strategy of \cite{FY23}, which proved the generic modularity of the \ell-adic realization). This opens the door to “higher theta-lifting”, of which one possible next application could be a “higher arithmetic inner product formula”, but we view the modularity property as interesting in its own right. We note that the number field analogue of the modularity theorem has already had diverse applications in arithmetic geometry unrelated to the Kudla program.

1.2. Main result. Let $X' \to X$ be an étale double cover of smooth projective curves over a finite field $\mathbf{F}_q$ of characteristic $p > 2$. Fix integers $n \geq m \geq 1$, and $r \geq 0$.

We recall the following definitions from \cite{FY21} \S 4.5:

- Let $\text{Bun}_{GU(2m)}$ be the moduli stack of triples $(\mathcal{G}, \mathcal{M}, h)$ where $\mathcal{G}$ is a vector bundle of rank $2m$ over $X'$, $\mathcal{M}$ is a line bundle over $X$, and $h$ is a skew-Hermitian isomorphism $h : \mathcal{G} \to \sigma^* \mathcal{G}^* \otimes \nu^* \mathcal{M} = \sigma^* \mathcal{G}^* \otimes \nu^*(\omega_X \otimes \mathcal{M})$.

- Let $\text{Bun}_{\mathcal{P}_m}$ be the moduli stack of quadruples $(\mathcal{G}, \mathcal{M}, h, \mathcal{E})$ where $(\mathcal{G}, \mathcal{M}, h) \in \text{Bun}_{GU(2m)}$ and $\mathcal{E} \subset \mathcal{G}$ is a Lagrangian sub-bundle (of rank $m$).

- Let $\text{Sht}_{GU(n)}^r$ be the moduli stack of rank $n$ similitude Hermitian shtukas with $r$ legs.

In \cite{FY21} \S 4, we constructed the higher theta series

$$Z_m^r : \text{Bun}_{\mathcal{P}_m}(k) \to \text{CH}_{r(n-m)}(\text{Sht}_{GU(n)}^r),$$

a function assigning a cycle class on $\text{Sht}_{GU(n)}^r$ to every quadruple $(\mathcal{G}, \mathcal{M}, h, \mathcal{E})$ as above.

1.2.1. The Modularity Conjecture. The map $\text{Bun}_{\mathcal{P}_m}(k) \to \text{Bun}_{GU(2m)}(k)$, given by forgetting the Lagrangian sub-bundle $\mathcal{E} \subset \mathcal{G}$, is surjective; and \cite{FY21} Modularity Conjecture 4.15 predicts that $Z_m^r$ descends through

$$X' \to X$$
this map to a function $Z_m^r : \Bun_{GU(2m)}(k) \to \CH_{r(n-m)}(\text{Sh}_G^{GU(n)})$, as in the diagram below.

\[
\begin{array}{ccc}
\Bun_{GU(2m)}(k) & \xrightarrow{Z_m^r} & \Bun_{GU(2m)}(k) - \xrightarrow{Z_m^r} - \xrightarrow{} \CH_{r(n-m)}(\text{Sh}_G^{GU(n)})
\end{array}
\]

In other words, the Modularity Conjecture says that the function $Z_m^r$, which a priori depends on $(G, \mathcal{M}, h, E)$, is actually independent of the Lagrangian sub-bundle $E \subset G$.

1.2.2. The generic locus. The stack $\text{Sh}_G^{r(n)}(n)$ admits a “leg map” $\text{Sh}_G^{r(n)}(n) \to (X')^r$. Let $\eta = \text{Spec } F' \to X'$ be the generic point. Let $\eta' = \text{Spec } (F' \otimes_k \cdots \otimes_k F') \to (X')^r$. Note that $\eta'$ contains the generic point of $(X')^r$ but it also contains many more points such as the generic point of the diagonal $X' \to (X')^r$. We refer to $\text{Sh}_G^{r(n)}(n) \times (X')^r \eta'$ as the “generic locus” of $\text{Sh}_G^{r(n)}(n)$.

1.2.3. The generic modularity theorem. We have a restriction map

$$\CH_{r(n-m)}(\text{Sh}_G^{r(n)}) \to \CH_{r(n-m)}(\text{Sh}_G^{r(n)} \times (X')^r \eta').$$

**Theorem 1.2.1.** (Modularity on the generic locus). The composition

$$\Bun_{\text{GU}(2m)}(k) \xrightarrow{Z_m^r} \CH_{r(n-m)}(\text{Sh}_G^{r(n)}) \to \CH_{r(n-m)}(\text{Sh}_G^{r(n)} \times (X')^r \eta')$$

descends through $\Bun_{\text{GU}(2m)}(k) \to \Bun_{\text{GU}(2m)}(k)$. In other words, its value on $(G, \mathcal{M}, h, E) \in \Bun_{\text{GU}(2m)}(k)$ is independent of the Lagrangian sub-bundle $E \subset G$.

**Remark 1.2.2.** For application to the Kudla program as outlined in §1.1, the generic form of modularity established in Theorem 1.2.1 is sufficient for arithmetic theta lifting and the arithmetic inner product formula, according to the paradigm of [LL21, LL22].

1.3. Discussion. We discuss some related results to Theorem 1.2.1. Modularity of arithmetic theta series in the Chow group of Shimura varieties (of the generic fiber), which is analogous to the case $r = 1$ of Theorem 1.2.1, is known for unitary Shimura varieties of signature $(n - 1, 1)$ and orthogonal Shimura varieties of signature $(n - 2, 2)$ when the underlying CM field is norm-Euclidean, thanks to work of Borcherds [Bor99, Zhang [Zha09], and Bruinier–Westerholt-Raum [BWR15]. However, the methods behind those results seem completely inapplicable in our situation (there is a brief discussion of this in [FYZ23, §1.2]), hence the proofs are essentially disjoint, even at the level of ideas.

The cohomological version of Theorem 1.2.1, modularity of the $\ell$-adic realization on the generic locus, is established in [FY23], and our proof very much builds on the ideas of loc. cit., as will be discussed further in §1.4. In the Shimura variety context, the analogous modularity of the Betti realization was proved much earlier in work of Kudla–Millson [KM90], and in complete generality. By contrast, modularity in the Chow group of the generic fiber is more difficult and the known results more restrictive. This is because there are various tools for accessing cohomology, whereas modularity in the Chow group amounts to the fundamentally difficult problem of producing motivic data. The cases in which this has been accessible are limited to those where the Shimura variety supports special cycles that are divisors, for then one can use the (intricate!) theory of Borcherds lifting to write down explicit functions which attest to the necessary relations between divisors. Beyond the case where the Shimura variety supports divisors, nothing seems to be known towards modularity; the motivic data that must be produced is of a more complicated and subtler nature. We note that Kudla has observed in [Kud21] that assuming (presumably difficult) conjectures of Beilinson–Bloch on the injectivity of Abel–Jacobi maps, and due to an incidental miracle of Hodge diamonds, the modularity in the orthogonal case (on the generic fiber) is implied by modularity in Betti cohomology: Maeda proved an analogous statement in the unitary case [Mar22]. We do not know if any such phenomenon occurs in the function field context; our proof completely bypasses such questions.

In the function field context there is no analogue of Borcherds lifting, and we do not know any direct way to write down the explicit functions that attest to the necessary relations. We note that the arithmetic

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3 These results were then refined to obtain modularity in the Chow groups of integral models, for unitary Shimura varieties of signature $(n - 1, 1)$ by Bruinier–Howard–Kudla–Rapoport–Yang [BHK+20] in the divisor case, and for orthogonal Shimura varieties of signature $(n - 2, 2)$ by Howard–Madapusi [HM22] in all codimensions.
theta series live in codimension $mr$, so when $r > 1$ we are beyond the existence of codimension 1 special cycles, putting us in the realm where the modularity is completely unknown in the Shimura variety context. Nevertheless, our proof produces the necessary relations. Implicitly we are constructing motivic data; for example, for $r = 1$ and $m = 1$ our proof implies the existence of certain rational functions on moduli of shtukas that should perhaps be considered as the correct analogues of Borcherds products. But instead of writing down this motivic data explicitly, we produce it as the output of a machine that we call the motivic sheaf-cycle correspondence.

It could be interesting to investigate potential applications of the motivic data produced in this way, such as the functions produced in the $m = 1, r = 1$ case, which seem to play the role of Borcherds products on moduli of shtukas. This approach to constructing units is vaguely reminiscent of the approach to modular units and Beilinson–Flach classes via the Manin–Drinfeld Theorem.

1.4. Commentary on the proof. The proof of Theorem 1.2.1 is patterned on the proof of [FYZ23, Theorem 1.1.1], which established modularity after $\ell$-adic realization. In fact we refer the reader to the Introduction and §2.4 of loc. cit. for a guide to the strategy of the proof. We will only describe the improvements of this present paper relative to [FYZ23].

1.4.1. Motivic sheaf-cycle correspondence. The classical sheaf-function correspondence is a formalism for extracting functions from sheaves via the trace of Frobenius. One innovation of [FYZ23] is a “sheaf-cycle" correspondence that extracts the $\ell$-adic realization of cycles from sheaves. More precisely, the strategy of [FYZ23] is to express the $\ell$-adic realization of higher theta series as the “trace" of a cohomological correspondence between $\ell$-adic sheaves, and then to deduce modularity from some appropriate form of modularity for cohomological correspondences. By definition the trace operation produces elements of Ext groups between $\ell$-adic sheaves, hence can only see $\ell$-adic realizations.

In this paper we upgrade the $\ell$-adic sheaf-cycle correspondence of [FYZ23] to a motivic sheaf-cycle correspondence that directly extracts Chow classes from a suitable notion of motivic sheaves. Specifically, we will work with the triangulated category of motivic sheaves introduced by V. Voevodsky in the course of his proofs of the Norm Residue isomorphism and the Beilinson–Lichtenbaum Conjecture. After further developments by J. Ayoub, D.-C. Cisinski, F. Déglise, F. Morel, and others, we have at our disposal a robust theory of triangulated categories of motivic sheaves over arbitrary base schemes, equipped with Grothendieck’s six operations. We may regard Voevodsky’s category as the derived category of the hypothetical abelian category of perverse motivic sheaves; while Grothendieck’s Standard Conjectures obstruct the existence of this abelian category, or equivalently of the perverse motivic $t$-structure (see [Bei12]), Voevodsky’s insight was that the putative derived category can in fact be constructed independently of intractable questions about algebraic cycles. For the purposes of our construction of a motivic sheaf-cycle correspondence, the key property of the derived category of motives is that the Ext groups calculate (higher) Chow groups.

We discuss some differences between motivic sheaves and $\ell$-adic sheaves. In the language of Ayoub [Ayo14], $\ell$-adic sheaves are a “transcendental" invariant: they have strong finiteness properties, behave well in families, and are relatively computable; but their relationship to algebraic cycles is tenuous (highly conjectural at best). By contrast, motivic cohomology is what Ayoub calls an “algebrao-geometric invariant”, which is built directly out of objects of interest in algebraic geometry (e.g., algebraic cycles), but behaves “chaotically" : it does not have good finiteness properties, it varies violently in families, and it is not amenable to computation. In particular, it is (a priori) ill-defined to form the trace of an endomorphism on motivic cohomology groups, since these groups are usually infinite-dimensional. This presents a challenge for the sheaf-cycle correspondence, which is implemented by formation of trace.

The solution is to expand the meaning of the trace. Dold–Puppe [DP80] codified the “trace of an endomorphism of a dualizable object in a symmetric monoidal category" as a generalization of the trace in linear algebra. In the category of vector spaces (over a given field), the dualizable objects are precisely the finite-dimensional vector spaces, and the categorical trace in the sense of Dold–Puppe is equivalent to the usual trace. However, in a more general symmetric monoidal category, the trace is divorced from its linear algebraic origins, and can be formed without finite-dimensionality conditions. This is precisely how we make sense of the trace for motivic sheaves. We define a motivic version of the Lu–Zheng 2-category from [LZ22b], in which universally strongly locally acyclic motives form dualizable objects; dualizability provides

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4This was conjectured by Bloch–Kato, but we avoid calling it the Bloch–Kato Conjecture since it is completely different from the other Bloch–Kato Conjecture which appeared in [1.1]
the analogue of a finiteness property which allows to form the trace even without finite-dimensionality of motivic cohomology. We use Lu–Zheng’s approach to prove a “relative Verdier–Lefschetz formula”, which supplies compatibility of the motivic sheaf-cycle correspondence with proper pushforwards. We also introduce a dual version of the Lu–Zheng category to prove a “relative local term formula”, which supplies compatibility of the motivic sheaf-cycle correspondence with smooth pullbacks; we note that derived algebraic geometry is crucial to formulate the pullback compatibility.

1.4.2. Motivic Fourier analysis. The modularity of ℓ-adic cohomological correspondences in [FYZ23] comes from a derived generalization of Deligne–Laumon’s ℓ-adic Fourier transform, which allows to execute a sheaf-theoretic version of Poisson’s argument for modularity of the classical theta function. To carry out such arguments, we need to develop a theory of this “derived Fourier analysis” for motivic sheaves.

While the ℓ-adic Fourier transform requires an Artin-Schreier sheaf, hence only exists on spaces in characteristic $p$, there is a variant of Fourier analysis which is more robust, in the sense of being defined in very general geometric and sheaf-theoretic contexts. This variant is based on Laumon’s theory of the homogeneous Fourier transform [Lau03]. In anticipation of future applications, we invest effort into developing the homogeneous Fourier transform in great generality in §8 encompassing motivic sheaves but also all other known 6-functor formalisms. It turns out that the homogeneous Fourier theory is enough for our applications to the modularity of higher theta functions. (Jakob Scholbach informed us that he is writing up a motivic lift of the Deligne-Laumon Fourier theory; this would also be enough for our applications in the present paper, but at least one of the authors has followup applications in mind that require our more general context.)

We note that, as in [FYZ23], we need a derived expansion of this theory, which encompasses generalizations of vector bundles called derived vector bundles. This derived generalization presents significant technical difficulties, for which we refer to §8 and [FYZ23] §6 and Appendix A for further discussion.

1.5. Outline. We give a brief outline of the paper. §3 establishes some preliminaries on motivic sheaves, especially the notion of universal strong local acyclicity (USLA) and its consequences. Then §4–7 develop the motivic sheaf-cycle correspondence and the tools to calculate with it. Next §8 constructs the derived homogeneous Fourier transform and establishes its properties, and §9 studies its interaction with the motivic sheaf-cycle correspondence. Finally, §10 assembles everything to prove Theorem 1.2.1.

1.6. Acknowledgements. TF thanks Aravind Asok and Elden Elmanto for conversations about motivic sheaves, and especially Zhiwei Yun and Wei Zhang for their many insights shared in the collaboration on [FYZ23], on which this paper builds.

AK thanks Denis-Charles Cisinski and Tasuki Kinjo for invaluable discussions about Fourier transforms, and Fangzhou Jin for answering questions about his paper [Jin24].

We are grateful to Joseph Ayoub, Benedict Gross, Chao Li, Yifeng Liu, Jakob Scholbach, and Wei Zhang for comments and corrections on a draft of this paper.

TF was supported by the NSF (DMS-2302520). AK acknowledges support from the grants AS-CDA-112-M01 (Academia Sinica), NSTC 110-2115-M-001-016-MY3, and NSTC 112-2628-M-001-0062030.

2. Notation and conventions

The notation is consistent with that of [FYZ23] (and therefore inconsistent with [FYZ24] [FYZ21] in some ways, as noted there.)

2.1. Spaces. Unless noted otherwise, we always work in the category of derived Artin stacks. Hence when we say “Cartesian square” we mean what might be called “derived Cartesian square” (sometimes we keep the adjective “derived” for emphasis), unless noted otherwise (the exception is in §7).

For a derived Artin stack $A$, we denote by $A_{cl}$ its classical truncation. By definition, a map of derived Artin stacks is a closed embedding or proper if the induced map of classical truncations has this property. For example, the inclusion of the classical truncation $A_{cl} \hookrightarrow A$ is a closed embedding.

2.2. Perfect complexes. Let $S$ be a derived Artin stack. We let $\text{Perf}(S)$ be the ∞-category of perfect complexes on $S$. For $E \in \text{Perf}(S)$ we write $E^* = R\text{Hom}_S(E, O_S)$ for the linear dual of $E$.

By a cochain complex of locally free sheaves on $S$ of amplitude $[a, b]$, we will mean a diagram

$$E^a \overset{d^a}{\longrightarrow} \cdots \overset{d^2}{\longrightarrow} E^{-1} \overset{d^{-1}}{\longrightarrow} E^0 \overset{d^0}{\longrightarrow} E^1 \overset{d^1}{\longrightarrow} \cdots \overset{d^{b-1}}{\longrightarrow} E^b$$
in Perf(S) where each $E^i$ is of tor-amplitude $[0,0]$, together with null-homotopies $d^i \circ d^{i-1} \cong 0$ for all $i$. A morphism of cochain complexes $\phi: E^\bullet \to F^\bullet$ is a collection of morphisms $\phi^i: E^i \to F^i$ (extending by zero if the complexes are not of the same amplitude), together with a homotopy $\phi^{i+1} \circ d^i \cong d^i \circ \phi^i$ as well as compatibilities between the null-homotopies $\phi^{i+1} \circ (d^i \circ d^{i-1}) \cong 0$ and $(d^i \circ d^{i-1}) \circ \phi^{i-1} \cong 0$.

By taking iterated cofibres, a cochain complex $E^\bullet$ gives rise to a perfect complex $E \in$ Perf(S) of tor-amplitude $[a,b]$ (note that we are using cohomological grading even for tor-amplitude). Similarly, a morphism of cochain complexes gives rise to a morphism of perfect complexes. We refer to the cochain complex $E^\bullet$ as a global presentation for $E$. More generally, we refer to a diagram of cochain complexes as a global presentation for the induced diagram of perfect complexes.

When $S$ is affine, or more generally admits the derived resolution property in the sense of [Kha22, §1.7], every perfect complex admits a global presentation. For a general derived Artin stack $S$, every perfect complex $E \in$ Perf(S) admits a global presentation smooth-locally on $S$.

2.3. Cotangent complexes. For a map $f: X \to Y$ of derived Artin stacks, we denote by $L_f := L_{X/Y} \in$ Perf(X) the relative cotangent complex.

Let $f: X \to Y$ be a map of derived Artin stacks that is locally finitely presented on classical truncations. The map $f$ is étale if the relative cotangent complex $L_f$ vanishes (i.e., is isomorphic to $0 \in$ Perf(X)). The map $f$ is smooth (resp. quasi-smooth) if the relative cotangent complex $L_f$ is perfect of tor-amplitude $[0, \infty)$ (resp. $[-1, \infty)$).

Note that unlike properness, these properties cannot in general be detected on classical truncations. Moreover, while a smooth map is also smooth on classical truncations, quasi-smoothness is typically destroyed by classical truncation. See [KR19, §2] for some background on quasi-smoothness.

When $L_f$ is perfect, we write $d(f)$ for its virtual rank (or Euler characteristic), and call it the relative dimension of $f$.

2.4. Derived vector bundles. Let $S$ be a derived Artin stack.

Given a perfect complex $E \in$ Perf(S), we denote by Tot($E$) the derived stack of sections of $E$, as in [FY23, §6.1.1]. We refer to Tot($E$) as the derived vector bundle associated with $E^\bullet$. In terms of the functor of points, Tot($E$) is the derived stack over $S$ sending an $S$-scheme $u: T \to S$ to the mapping space $\text{Map}_{\text{QCoh}(T)}(O_T, u^*E)$.

If $E$ is of tor-amplitude $\geq 0$, then we have

$$\text{Tot}(E) \cong \text{Spec}_S(\text{Sym}_{O_S}(E^\bullet)).$$

Thus in that case the projection Tot($E$) $\to$ $S$ is affine (but smooth if and only if $E$ is of tor-amplitude $[0,0]$).

On the other hand, if $E$ is of tor-amplitude $\leq 0$, then the projection Tot($E$) $\to$ $S$ is smooth (but representable if and only if $E$ is of tor-amplitude $[0,0]$).

The $\infty$-category $\text{DVec}(S)$ of derived vector bundles over $S$ is the essential image of the fully faithful functor $E \mapsto \text{Tot}(E)$ from Perf(S) to the $\infty$-category of derived stacks over $S$ with $\mathbb{G}_m$-action.

Throughout we use calligraphic letters such as $E$ for perfect complexes, and Roman letters such as $E$ for the corresponding total spaces. We will denote the dual derived vector bundle to $E = \text{Tot}(E)$ by $\tilde{E} = \text{Tot}(E^\bullet)$.

Using the equivalence $\text{Tot}(\cdot): \text{Perf}(S) \xrightarrow{\sim} \text{DVec}(S)$, we can make sense of global presentations of (diagrams of) derived vector bundles just as in [2.2]. That is, a global presentation for $E = \text{Tot}(E)$ is a global presentation for $E \in$ Perf(S).

2.5. $\infty$-categories. In an $\infty$-category $\mathcal{C}$, we use the notation $\text{Map}(c,c')$ for the mapping space between objects $c,c' \in \mathcal{C}$. We use the notation $\text{Hom}(c,c') := \pi_0 \text{Map}(c,c')$, which is the group of morphisms from $c$ to $c'$ in the homotopy category of $\mathcal{C}$. We denote $\text{Ext}^1(c,c') := \text{Hom}(c,c'[1])$.

2.6. Motives. We refer to §3.1 for the precise definition of motivic sheaves adopted in this paper, and then §3.2 for additional relevant notation.

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We caution that some other sources (including [Kha23]) use the dual convention, using Grothendieck’s $\mathbf{V}(\cdot)$ construction.
3. Motivic sheaf theory

In this section we establish some general material on motivic sheaves and motivic cohomology. We define the notion of (universally) strongly locally acyclic motivic sheaves and their properties; this part is similar to work of Jin [Jin21] which is itself a motivic version of work of Lu–Zheng [LZ22b]. However, these earlier works focus on the case of schemes while for applications we need the generality of derived Artin stacks, so we formulate the statements in this generality, and give proofs when they need to be modified from the case of schemes.

3.1. The derived category of motives. For a derived Artin stack $S$, we have the stable $\infty$-category $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ of motivic sheaves on $S$ with rational coefficients.

Recall that for a scheme $S$, the motivic stable homotopy category $\text{SH}(S)$ along with the six-functor formalism for the assignment $S \mapsto \text{SH}(S)$ was constructed by Morel and Voevodsky [Voe99, MV99, Del01] and developed further by Ayoub [Ayo07a, Ayo07b] and Cisinski–Dégile [CD19] (see also [Hoy15, App. C] or [Kha21] for non-noetherian bases). The six-functor formalism descends to the étale-localized and rationalized categories $\text{SH}_\text{et}(S; \mathbb{Q})$, and we take $\mathcal{D}_{\text{mot}}(-; \mathbb{Q}) := \text{SH}_\text{et}(-; \mathbb{Q})$ by definition on schemes. This is also known as Ayoub’s category $\text{DA}_\text{et}(S; \mathbb{Q})$ of étale motives with rational coefficients (see [Ayo14] for an introduction). This category has been defined and studied in various other guises, which are described and compared in [CD19]:

- (Beilinson motives) By [CD19] Theorem 16.2.13, $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ is equivalent to the category of Beilinson motives over $S$ in the sense of [CD19] §14. In particular, if $S$ is noetherian and finite-dimensional, then by [CD16] Theorem 5.2.2 $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ is equivalent to the category $\text{DM}_h(S; \mathbb{Q})$ of $h$-motives (with rational coefficients).
- (Morel motives) By [CD19] Theorem 16.2.18, $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ is equivalent to the category of Morel motives over $S$ in the sense of [CD19] §16.2.
- (Voevodsky motives) If $S$ is excellent and geometrically unibranch, then by [CD19] Theorem 16.1.4 $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ is equivalent to the category of Voevodsky motives over $S$ with rational coefficients.
- (HQ-linear motivic spectra) For a commutative ring $\Lambda$, let $H\Lambda S \in \text{SH}(S)$ denote the $\Lambda$-linear motivic Eilenberg–MacLane spectrum as defined in [Spi18]. For $\Lambda = \mathbb{Q}$, $H\mathbb{Q}S$ is isomorphic to the Beilinson motivic cohomology spectrum of [CD19] Definition 14.1.2 by [Spi18] Theorem 7.14. In particular, by [CD19] Theorem 14.2.9, the $\infty$-category $\mathcal{D}_{H\Lambda S}(S)$ of modules over $H\Lambda S$ is equivalent to the $\infty$-category of Beilinson motives over $S$, and hence to $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$.

The generalization of $\mathcal{D}_{\text{mot}}(-; \mathbb{Q})$ to derived algebraic spaces and derived Artin stacks is developed in [Kha19b Appendix A]. To explicate this, we remark that $\text{SH}(S)$ and hence $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ is invariant under passing to the classical truncation $S_{\text{cl}}$ by [Kha19a]. Then $\mathcal{D}_{\text{mot}}(-; \mathbb{Q})$ is extended from derived schemes to derived Artin stacks by right Kan extension. Explicitly, this means that if $S$ is a derived Artin stack then

$$\mathcal{D}_{\text{mot}}(S; \mathbb{Q}) = \lim_{\rightarrow} \mathcal{D}_{\text{mot}}(T; \mathbb{Q})$$

where the limit is over the category of smooth morphisms $T \to S$ from derived schemes $T$. If $T \to S$ is a smooth atlas from a derived scheme, then $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$ agrees with the category of Cartesian sheaves on the simplicial derived scheme $T_\bullet = \{T \times_S \ldots \times_S T\}$. The six-functor formalism also extends to derived Artin stacks by [Kha19b Thm. A.5].

3.2. Notations for motives. For a derived Artin stack $A$, we denote by $\mathbb{Q}_A$ (or just $\mathbb{Q}$ if the context is clear) the unit of the symmetric monoidal category $\mathcal{D}_{\text{mot}}(A; \mathbb{Q})$.

The category $\mathcal{D}_{\text{mot}}(-; \mathbb{Q})$ contains a “Tate motive” $\mathbb{Q}(1)$. For $\mathcal{K} \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$, we write $\mathcal{K}(i) := \mathcal{K}[2i](i)$ for the indicated shift and Tate twist.

For $\mathcal{K}, \mathcal{K}' \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$, we abbreviate

$$\text{Hom}_A(\mathcal{K}, \mathcal{K}') := \text{Hom}_{\mathcal{D}_{\text{mot}}(A; \mathbb{Q})}(\mathcal{K}, \mathcal{K}')$$

For a map $f: A \to S$ of derived Artin stacks, we denote by $\mathcal{D}_{A/S}(-)$ the relative Verdier dual functor,

$$\mathcal{D}_{A/S}(\mathcal{K}) := \mathcal{R}\text{Hom}_A(\mathcal{K}, f^!\mathbb{Q}_S)$$

Since some older references operate with triangulated categories or model categories, we clarify that we will always use the infinite categorical incarnation of $\mathcal{D}_{\text{mot}}(S; \mathbb{Q})$. See e.g. [Kha21] for the construction of the six operations at the infinite categorical level.
We also abbreviate $D_{A/S} := D_{A/S}(Q_A)$ for the relative dualizing complex of $f$.

3.3. **Geometric motives.** Given a smooth map of derived Artin stacks $f: T \to S$, there is a functor

$$f^* : D_{mot}(T; Q) \to D_{mot}(S; Q)$$

which is left adjoint to the pullback $f^* : D_{mot}(S; Q) \to D_{mot}(T; Q)$. If $S$ is a derived scheme, the subcategory $D_{mot, gm}(S; Q) \subset D_{mot}(S; Q)$ of geometric motives is the thick subcategory generated by $f^*Q_{Y(i)}$ as $f: T \to S$ ranges over smooth morphisms of derived schemes and $i$ ranges over all integers.

If $S$ is a derived stack, then we say that a motive $K \in D_{mot}(S; Q)$ is geometric if it is geometric after pullback to some (equivalently, any) atlas $S' \to S$ where $S'$ is a derived scheme. We denote by $D_{mot, gm}(S; Q) \subset D_{mot}(S; Q)$ the full subcategory of geometric motives.

**Example 3.3.1.** For any derived Artin stack $A$, the unit $Q_A \in D_{mot, gm}(A; Q)$ is geometric.

**Remark 3.3.2** (Preservation under six functors). For $f: S' \to S$ a map of derived schemes of finite type over a quasi-excellent scheme, the property of being geometric is preserved by the functors $f_!, f_*, f^*, f^!$ (see [CD19, Th. 15.2.1]). It then follows that for a map $f: A' \to A$ of derived Artin stacks locally of finite type over a quasi-excellent scheme, geometricity is preserved by the functors $f^*$ and $f^!$; and geometricity is preserved by the functors $f_!$ and $f_*$ if $f$ is representable in derived schemes.

Finally, we note that $R\text{Hom}(−, −)$ and $− \otimes −$ preserve geometric motives on schemes, and are compatible with smooth base change, hence they preserve geometric motives on derived Artin stacks.

3.4. **The effective homotopy t-structure.** For a derived scheme $S$, let $D_{mot}(S; Q)^{≤0} \subset D_{mot}(S; Q)$ denote the full subcategory generated under colimits and extensions by objects of the form $a_0a_1^!(Q_S)$, for $a: X \to S$ a smooth morphism from a scheme. This forms the connective part of the effective homotopy $t$-structure on $D_{mot}(S; Q)$ (see [BH21, Sect. 13, App. B]). The cocomplete part $D_{mot}(S; Q)^{≥0}$ is thus spanned by those $K ∈ D_{mot}(S; Q)$ for which the groups

$$H^{−n}(X; K) \cong \text{Hom}_{D_{mot}(S; Q)}(a_0a_1^!(Q_S)[n], K)$$

vanish for all $n > 0$ and all smooth morphisms $a: X \to S$ with $X$ a scheme.

For a derived Artin stack $S$, we say that an object $K \in D_{mot}(S; Q)$ belongs to $D_{mot}(S; Q)^{≤0}$, resp. $D_{mot}(S; Q)^{≥0}$, if $u^*(K)$ belongs to $D_{mot}(U; Q)^{≤0}$, resp. $D_{mot}(U; Q)^{≥0}$ for some smooth atlas $u: U \to S$. The proof of [KR22, Prop. 5.3] applies verbatim to show that this defines a $t$-structure on $D_{mot}(S; Q)$.

**Lemma 3.4.1.** For every derived Artin stack $S$ locally of finite type over a field $k$, the unit $Q_S \in D_{mot}(S; Q)$ belongs to the heart of the effective homotopy $t$-structure.

**Proof.** We may assume that $S$ is a scheme. We have $Q_S \in D_{mot}(S; Q)^{≤0}$ by definition, so it remains to show that for every scheme $Y$ which is smooth over $S$, the spectrum

$$R\text{Hom}(Y, Q_Y)$$

is connective, i.e., $H^{−n}(Y; Q_Y) \cong 0$ for $n > 0$. For any prime $ℓ ≠ \text{char}(k)$, there exists by de Jong–Gabber an $\ell$-dh-hypercover $Y_0' \to Y$ where each $Y_0'$ is a regular scheme. Since motivic cohomology with rational coefficients satisfies $\ell$dh descent by [Gei14, Thm. 1.2], and connectivity is stable under limits, we may assume that $Y$ is regular. In this case we have

$$H^{−n}(Y; Q) \cong H^{−n}(Y; K_{\text{GL}^0}) \cong \text{Gr}^0_n(Y)$$

where $K_n(Y)_Q := π_n(K(Y[0]) \otimes Q$ and $K(Y)$ is the algebraic $K$-theory spectrum of $Y$ (see [CD19, Thm. 14.1]). But $K^0_n(Y)_Q = K_n(Y)_Q$ holds for $n > 0$ by definition of the augmented $λ$-ring structure on $K_n(Y)_Q$ (see e.g. [Wei13, IV, §5, p. 345]).

3.5. **Chow groups as motivic Borel-Moore homology.** Let $A$ be a derived Artin stack locally of finite type over a field $F$.

We define the Chow groups of $A$ (with rational coefficients) by

$$CH_i(A) := H^{−2i}(A; π^Q_{\text{Spec}(F)}(−i)) \cong H^0(A; π^Q_{\text{Spec}(F)}(−i)) \quad \text{for } i \in \mathbb{Z}$$
where \( \pi: A \to \text{Spec}(F) \) is the structural morphism. This definition agrees with the rationalization of the classical definition of Chow groups under assumptions that \( A \) is “reasonable”\(^7\) More precisely, according to [Kha19b Example 2.10], when \( A \) is a classical 1-Artin stack of finite type over \( k \) with affine stabilizers, this recovers the Chow group (with \( \mathbb{Q} \)-coefficients) of Kresch [Kres99]. If \( A \) is a derived Artin stack, then by the derived invariance of \( D_{\text{mot}}(A; \mathbb{Q}) \), the inclusion of the classical truncation \( A_{cl} \hookrightarrow A \) induces isomorphisms \( \text{CH}_i(A_{cl}) \cong \text{CH}_i(A) \).

We define the Chow cohomology groups of \( A \) (with rational coefficients) by

\[
\text{CH}^i(A) := H^{2i}(A; \mathbb{Q}_A(i)) \cong H^0(A; \mathbb{Q}_A(i)), \quad \text{for } i \in \mathbb{Z}.
\]

We caution that these map to, but are typically not the same as, Fulton’s operational Chow cohomology groups [Ful98 §17], even for classical quasi-projective schemes (unless \( A \) is smooth).

More generally, for a locally of finite type morphism \( f: A \to B \) of derived Artin stacks over a field \( F \), we define the relative Chow groups of \( f \) to be

\[
\text{CH}_i(A/B) := H^{-2i}(A; f^! \mathbb{Q}_B(-i)) \cong H^0(A; f^! \mathbb{Q}_B(-i)), \quad \text{for } i \in \mathbb{Z}.
\]

We have \( \text{CH}_i(A/A) \cong \text{CH}^{-i}(A) \) for all \( i \). Again, these are a refinement of the operational or bivariant Chow groups of [Ful98 §17].

### 3.6. Functoriality of Chow groups.

#### 3.6.1. Proper pushforward. The Chow groups are covariantly functorial with respect to proper morphisms. That is, if \( f: A \to B \) is a proper morphism of derived Artin stacks, then we have pushforward maps

\[
f_*: \text{CH}_i(A) \to \text{CH}_i(B)
\]

and more generally \( f_*: \text{CH}_i(A/C) \to \text{CH}_i(B/C) \) if \( f \) is defined over some \( C \). In terms of the six functors, these are induced by the natural transformation \( f_*, f^! \to \text{id}, \text{counit of the adjunction} (f_*, f^!) \).

#### 3.6.2. Gysin pullback. Let \( f: A \to B \) be a quasi-smooth map of derived Artin stacks, of relative dimension \( d(f) \). There are (virtual) Gysin pullback maps

\[
f^!: \text{CH}_i(B) \to \text{CH}_{i+d(f)}(A),
\]

and more generally \( f^!: \text{CH}_i(B/C) \to \text{CH}_{i+d(f)}(A/C) \) if \( B \) is defined over \( C \). These are functorial and satisfy a base change formula with respect to proper pushforwards.

The maps [3.6.2] are induced by a natural transformation

\[
gys_f: f^* \to f^!(−d(f))
\]

called the Gysin transformation, constructed in [Kha19b §3]. It satisfies various natural compatibilities detailed in [Kha19b §3.2] or [FYZ23 §3.4]. For example, when \( f \) is smooth, the Gysin transformation recovers the Poincaré duality isomorphism \( f^* \cong f^!(−d(f)) \).

In particular, one has a relative (virtual) fundamental class

\[
[A/B] := [f] \in \text{CH}_{d(f)}(A/B)
\]

defined as the Gysin pullback of the unit in \( \text{CH}_0(B/B) \cong \text{CH}^0(B) \). Equivalently, it is determined by the morphism

\[
\mathbb{Q}_A \cong f^! \mathbb{Q}_B \xrightarrow{[f]} f^! \mathbb{Q}_B(−d(f))
\]

obtained by evaluating the Gysin transformation [3.6.3] on \( \mathbb{Q}_B \).

---

\(^7\)Our point of view is that when \( A \) is unreasonable, then our definition of \( \text{CH}_i(A) \) is the “correct” one, being well-behaved from various technical perspectives.
3.7. USLA motives. Let $S$ be a derived Artin stack locally of finite type over a field.

**Definition 3.7.1.** Let $f: A \to S$ be a map of derived Artin stacks. Following [Jin21, Definition 3.1.1], we say that $K_A \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$ is strongly locally acyclic (SLA) over $S$ if for any schematic map of derived Artin stacks $g: T \to S$, inducing the Cartesian square

$$
\begin{array}{ccc}
B & \xrightarrow{g'} & A \\
\downarrow{f'} & & \downarrow{f} \\
T & \xrightarrow{g} & S \\
\end{array}
$$

(3.7.1)

and any $K_T \in \mathcal{D}_{\text{mot}}(T; \mathbb{Q})$, the canonical map

$$
K_A \otimes f^*g_*K_T \to g'_*((g')^*K_A \otimes (f')^*K_T)
$$

(3.7.2)

is an isomorphism.

We say that $K_A \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$ is universally strongly locally acyclic (USLA) over $S$ if for any morphism $S' \to S$, the $*$-pullback of $K_A$ to $S' \times_S A$ is SLA over $S'$. The property of being (U)SLA can be checked locally in the smooth topology on the source and target.

**Lemma 3.7.2.** Maintain the notation of Definition 3.7.1.

1. Let $h: A' \to A$ be a smooth, surjective morphism of derived Artin stacks. Then $K_A$ is (U)SLA over $S$ if and only if $h^*K_A$ is (U)SLA over $S$.

2. Let $h: S' \to S$ be a smooth, surjective morphism of derived Artin stacks. Let $h_A$ be the base change of $h$ to $A$. Then $K_A$ is (U)SLA over $S$ if and only if $h^*_A K_A$ is (U)SLA over $S'$.

**Proof.** (1) Since $h$ is surjective, (3.7.2) is an isomorphism if and only if

$$
h^*(K_A \otimes f^*g_*K_T) \to h^*g'_*((g')^*K_A \otimes (f')^*K_T)
$$

(3.7.3)

is an isomorphism. Given a schematic map $g: T \to S$ of derived Artin stacks, we have a commutative diagram

$$
\begin{array}{ccc}
B' & \xrightarrow{g''} & A' \\
\downarrow{h'} & & \downarrow{h} \\
B & \xrightarrow{g} & A \\
\downarrow{f'} & & \downarrow{f} \\
T & \xrightarrow{g} & S \\
\end{array}
$$

(3.7.4)

where all squares are derived Cartesian and $h, h'$ are smooth. Using smooth base change, this induces a commutative diagram

$$
\begin{array}{cccc}
h^*(K_A \otimes f^*g_*K_T) & \xrightarrow{\sim} & h^*g'_*((g')^*K_A \otimes (f')^*K_T) & \xrightarrow{\sim} \\
\downarrow{\sim} & & \downarrow{\sim} & \\
h^*K_A \otimes h^*f^*g_*K_T & \xrightarrow{\sim} & g''_*((g')^*K_A \otimes (f')^*K_T) & \xrightarrow{\sim} \\
\downarrow{\sim} & & \downarrow{\sim} & \\
(h^*K_A) \otimes (f \circ h)^*g_*K_T & \xrightarrow{\sim} & g'_*((g'')^*(h^*K_A) \otimes (f' \circ h')^*K_T) &
\end{array}
$$

and comparing the top and bottom rows shows that (3.7.3) is equivalent to $h^*K_A$ being SLA over $S$. Running the same argument over all base changes shows that $K_A$ is USLA over $S$ if and only if $h^*K_A$ is USLA over $S$.

(2) The argument is similar.

**Example 3.7.3.** If $S$ is a point (by which we mean the spectrum of a field) then every object of $\mathcal{D}_{\text{mot}}(A; \mathbb{Q})$ is USLA over $S$. Indeed, if $A$ is a derived scheme this follows from [JY21, 2.1.14], and the general case follows by induction and cohomological descent.

The (U)SLA property is preserved by direct image along proper morphisms.

**Lemma 3.7.4.** Let $h: A' \to A$ be a proper morphism of derived Artin stacks over $S$. Let $K'_A \in \mathcal{D}_{\text{mot}}(A'; \mathbb{Q})$ be (U)SLA over $S$. Then $h^*_A K'_A \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$ is (U)SLA over $S$. 

\textbf{Proof.} By proper base change, it suffices to show that \( f_! K'_A \) is SLA over \( S \) if \( K'_A \) is SLA over \( S \). Consider the commutative diagram \((3.7.4)\) where all squares are derived Cartesian and \( h, h' \) are proper. We want to show that the map
\[
h_! K'_A \otimes f^* g_* K_T \to g'_! ((g')^* h_! K'_A \otimes (f')^* K_T)
\]
is an isomorphism. We have a commutative diagram
\[
\begin{array}{ccc}
h_! K'_A \otimes f^* g_* K_T & \xrightarrow{\text{proper base change}} & g'_! ((g')^* h_! K'_A \otimes (f')^* K_T) \\
h_! (h'_! (g'')^* K'_A \otimes (h')^* K_T) \downarrow \sim \downarrow & & \downarrow \sim \downarrow \\
h_! g'_! ((g'')^* K'_A \otimes (h')^* K_T) & \xrightarrow{h \; \text{proper}} & h_! g'_! ((g'')^* K'_A \otimes (h')^* K_T)
\end{array}
\]
The bottom horizontal map is an isomorphism by definition of \( K'_A \) being SLA over \( S \), hence so is the top horizontal map. \( \square \)

3.8. \textbf{Relative Künneth formulae.} Let \( S \) be a derived Artin stack.

**Notation 3.8.1.** Let \( A_0, A_1 \) be derived stacks over \( S \) and \( K_0 \in D_{\mot}(A_0; \mathbb{Q}) \), \( K_1 \in D_{\mot}(A_1; \mathbb{Q}) \). We write \( K_0 \boxtimes S K_1 := pr'_S K_0 \otimes pr^1_S K_1 \in D_{\mot}(A_0 \times_S A_1; \mathbb{Q}) \).

**Lemma 3.8.2.** Let \( f_0: A_0 \to B_0 \) and \( f_1: A_1 \to B_1 \) be locally finite type morphisms of derived Artin stacks over \( S \). Then the commutative diagram
\[
\begin{array}{ccc}
A_0 & \xleftarrow{f_0} & A_1 \\
\downarrow f_0 \times_S f_1 & & \downarrow f_1 \\
B_0 & \xleftarrow{f_0 \times_S f_1} & B_1
\end{array}
\]
induces an isomorphism
\[
f_0 K_0 \boxtimes S f_1 K_1 \xrightarrow{\sim} (f_0 \times_S f_1):(K_0 \boxtimes S K_1), \tag{3.8.1}
\]
natural in \( K_0 \in D_{\mot}(A_0; \mathbb{Q}) \) and \( K_1 \in D_{\mot}(A_1; \mathbb{Q}) \).

**Proof.** The proof of [Y21, Lemma 2.2.3] works verbatim. \( \square \)

Suppose we have a commutative diagram of derived Artin stacks
\[
\begin{array}{ccc}
T \times_S A & \xrightarrow{f} & S' \times_S A \\
\downarrow & & \downarrow \\
T & \xrightarrow{f} & S
\end{array}
\]
There is a natural map
\[
f_* K_T \boxtimes_S K_A \to (f \times S \Id_A)_* K_T \boxtimes_S K_A \in D_{\mot}(S' \times_S A; \mathbb{Q}) \tag{3.8.3}
\]
defined by adjunction from the composition of maps
\[
(f \times S \Id_A)^* (f_* K_T \boxtimes_S K_A) \cong f^* f_* K_T \boxtimes_S K_A \xrightarrow{\text{counit}} K_T \boxtimes_S K_A \in D_{\mot}(T \times_S A; \mathbb{Q}).
\]

**Lemma 3.8.3.** Let notation be as in diagram \((3.8.4)\). Let \( K_A \in D_{\mot}(A; \mathbb{Q}) \) be USLA over \( S \).

(1) If \( f: T \to S' \) is schematic, then for any \( K_T \in D_{\mot}(T; \mathbb{Q}) \), the canonical morphism
\[
f_* K_T \boxtimes_S K_A \to (f \times S \Id_A)_* K_T \boxtimes_S K_A \in D_{\mot}(S' \times_S A; \mathbb{Q}) \tag{3.8.3}
\]
is an isomorphism.

(2) If \( f: T \to S' \) is locally of finite type, then for any \( K_{S'} \in D_{\mot}(S'; \mathbb{Q}) \), the natural morphism (adjoint to \((3.8.1)\))
\[
f^! K_{S'} \boxtimes_S K_A \to (f \times S \Id_A)^! K_{S'} \boxtimes_S K_A \in D_{\mot}(T \times_S A; \mathbb{Q})
\]
is an isomorphism.

Proof. The proof is the essentially the same as that of [Jin24, Lemma 3.1.4].

(1) This is exactly the definition of \( \mathcal{K}_A \) being SLA after base change along \( S' \to S \).

(2) The statement can be checked smooth-locally on \( T \). Thus we may assume \( f \) is schematic and moreover factors through a closed immersion and a smooth morphism. If \( f \) is smooth, then the result follows from the Poincaré duality isomorphism [3.6] and its compatibility with base change. If \( f \) is a closed embedding, then write \( j: U \to S' \) for the complementary open. Abbreviate \( f_A := (f \times \text{Id}_A) \) and \( j_A := (j \times \text{Id}_A) \). We have a map of excision sequences in \( D_{\text{mot}}(T \times_S A; \mathbb{Q}) \):

\[
(f^! \mathcal{K}_{S'}) \boxtimes_S \mathcal{K}_A \to (f^* \mathcal{K}_S) \boxtimes_S (f^*_A) \mathcal{K}_A \to (f^* j_* j^! \mathcal{K}_S) \boxtimes_S \mathcal{K}_A
\]

The middle vertical map is obviously an isomorphism. The right vertical map is an isomorphism by item (1) applied to \( U \to S' \). Therefore the left vertical map is an isomorphism. □

Corollary 3.8.4. Let \( \mathcal{K}_A \in D_{\text{mot}}(A; \mathbb{Q}) \) be USLA over \( S \). Then for every derived Artin stack \( T \) over \( S \), the canonical map

\[
D_{T/S} \boxtimes_S \mathcal{K}_A \to \text{pr}_A^! \mathcal{K}_A \in D_{\text{mot}}(T \times_S A; \mathbb{Q})
\]

is an isomorphism.

Proof. Apply Lemma [3.8.3(2)] with \( S' = S \), \( f \) the morphism \( T \to S \), and \( S = \mathbb{Q}_S \). □

Proposition 3.8.5. Let \( \mathcal{K}_A \in D_{\text{mot}}(B; \mathbb{Q}) \) be USLA over \( S \) and \( \mathcal{K}_B \in D_{\text{mot, gm}}(B; \mathbb{Q}) \). Then the canonical morphism

\[
(D_{B/S} \mathcal{K}_B) \boxtimes_S \mathcal{K}_A \to \mathcal{R}\text{Hom}_{B \times_S A}(\text{pr}_B^* \mathcal{K}_B, \text{pr}_A^! \mathcal{K}_A)
\]

is an isomorphism.

Proof. By smooth base change, the map [3.8.4] can be checked to be an isomorphism smooth locally on \( A \) and \( B \). Since the hypotheses are also stable under smooth base change (using [3.7,2]), we may assume that \( A \) and \( B \) are schemes. By the definition of geometric motives, it suffices to check this on for \( \mathcal{K}_B \) of the form \( f_T^* \mathcal{Q}_T \) for smooth \( f: T \to B \) (since the statement is evidently compatible with shifts and Tate twists). We refer to the commutative diagram

\[
\begin{array}{ccc}
T \times_S A & \xrightarrow{f_A} & B \times_S A \\
\text{pr}_T & \downarrow & \text{pr}_A \\
T & \xrightarrow{f} & B
\end{array}
\]

Using that \( f_T^* \mathcal{Q}_T \cong f_A^! f_T^! \mathcal{Q}_B \cong f_A^! (f_T^* \mathcal{Q}_T(\text{d}(f))) \), proper base change gives

\[
\mathcal{R}\text{Hom}_{B \times_S A}(\text{pr}_B^* f_T^* \mathcal{Q}_T, \text{pr}_A^! \mathcal{K}_A) \cong \mathcal{R}\text{Hom}_{B \times_S A}(f_A^! \text{pr}_T^* \mathcal{Q}_T(\text{d}(f)), \text{pr}_A^! \mathcal{K}_A) \\
\cong f_A^* \mathcal{R}\text{Hom}_{T \times_S A}(\text{pr}_T^* \mathcal{Q}_T(\text{d}(f)), f_A^! \text{pr}_A^! \mathcal{K}_A)
\]

where we write \( f_A: T \times_S A \to B \times_S A \) for the pullback of \( f \). Since \( \mathcal{K}_A \) is assumed to be USLA over \( S \), from Corollary [3.8.4] we have

\[
\mathcal{R}\text{Hom}_{T \times_S A}(\text{pr}_T^* \mathcal{Q}_T(\text{d}(f)), f_A^! \text{pr}_A^! \mathcal{K}_A) \cong D_{T/S}(f_A^! \mathcal{Q}_B) \boxtimes_S \mathcal{K}_A.
\]

Again since \( \mathcal{K}_A \) is USLA over \( S \), Lemma [3.8.3(1)] applies to give

\[
f_A^*(D_{T/S}(f_A^! \mathcal{Q}_B) \boxtimes_S \mathcal{K}_A) \cong (f_A D_{T/S} f_A^! \mathcal{Q}_B) \boxtimes_S \mathcal{K}_A \cong D_{B/S}(f_A f_T^! \mathcal{Q}_B) \boxtimes_S \mathcal{K}_A,
\]

as desired. □
4. Cohomological correspondences

In this section we establish some general material related to cohomological correspondences. In §4.2, we recall the notion of “pushable” and “pullable” squares from [FYZ23 §4, §5] and the base change natural transformations that they entail. In §4.1 we recall the notion of cohomological correspondence, and in §4.3 we formulate the notion of pushforward and pullback for cohomological correspondences. Finally in §4.4 we state the Base Change Theorem for cohomological correspondences. The constructions and proofs carry over verbatim from \(\ell\)-adic sheaves as considered in [FYZ23 §3, §4] to \(\mathcal{D}_{\text{mot}}(-; \mathbb{Q})\), so we just formulate the statements without proof.

4.1. Cohomological correspondences. Let \(A_0\) and \(A_1\) be derived Artin stacks. A correspondence between \(A_0\) and \(A_1\) is a diagram of derived Artin stacks

\[
\begin{array}{ccc}
A_0 & \xleftarrow{c_0} & C \xrightarrow{c_1} A_1 \\
\end{array}
\]

where \(c_1\) is locally of finite type. A map of correspondences from \((A_0 \xleftarrow{c_0} C \xrightarrow{c_1} A_1)\) to \((B_0 \xleftarrow{d_0} D \xrightarrow{d_1} B_1)\) is a commutative diagram

\[
\begin{array}{ccc}
A_0 & \xleftarrow{c_0} & C \xrightarrow{c_1} A_1 \\
\downarrow & & \downarrow \\
B_0 & \xleftarrow{d_0} & D \xrightarrow{d_1} B_1
\end{array}
\]

Let \(K_0 \in \mathcal{D}_{\text{mot}}(A_0; \mathbb{Q})\), \(K_1 \in \mathcal{D}_{\text{mot}}(A_1; \mathbb{Q})\). A cohomological correspondence from \(K_0\) to \(K_1\) supported on \(C\) is a map \(c_0^*K_0 \to c_1^!K_1\) in \(\mathcal{D}_{\text{mot}}(C; \mathbb{Q})\). The vector space of such is denoted

\[
\text{Corr}_C(K_0, K_1) := \text{Hom}_C(c_0^*K_0, c_1^!K_1).
\] (4.1.1)

4.1.1. Fixed points of a self-correspondence. Suppose that we have a fixed isomorphism \(A_0 \xrightarrow{\sim} A_1\), which we will sometimes use to identify \(A_0\) with \(A_1\); however, it will also be convenient to distinguish them at times. Let \(\Delta: A_0 \to A_0 \times A_1\) be the diagonal embedding. Define \(\text{Fix}(C)\) as the fibered product

\[
\begin{array}{ccc}
\text{Fix}(C) & \xrightarrow{\Delta'} & C \\
\downarrow & & \downarrow \\
A_0 & \xrightarrow{\Delta} & A_0 \times A_1
\end{array}
\] (4.1.2)

where \(c = (c_0, c_1)\).

4.2. Base change transformations. In order to discuss the functoriality of cohomological correspondences, we make a brief detour on base change transformations.

4.2.1. Pushable and pullable squares. The notions of pushable and pullable squares were defined in [FYZ23 Definition 3.1.1] in order to codify situations where base change natural transformations can be constructed. Later we realized that the notion of pushable square appears at least implicitly in [Zhe15] for the same reason. We repeat the definitions for the convenience of the reader.

Let

\[
\begin{array}{ccc}
A & \xrightarrow{g'} & B \\
\downarrow f' & & \downarrow f \\
C & \xrightarrow{g} & D
\end{array}
\] (4.2.1)
be a commutative square of derived Artin stacks. Denote by $\tilde{B} = C \times_D B$ the derived fibered product so that the square (4.2.1) decomposes into a commutative diagram

\[
\begin{array}{c}
A \\
| \downarrow a \quad \downarrow g' \\
\tilde{B} \quad \tilde{B}
\end{array}
\quad \begin{array}{c}
\downarrow \bar{g} \\
\downarrow f' \\
B \quad D
\end{array}
\]

where the bottom right square is derived Cartesian.

**Definition 4.2.1.** The square (4.2.1) is called
- **pushable**, if $a$ is proper.
- **pullable**, if $a$ is quasi-smooth. In this case, the *defect* of the square is by definition the relative dimension $d(a)$.

**Remark 4.2.2.** Note that pushability is a purely topological notion: it can be checked on classical truncations (and even on underlying reduced stacks). By contrast, pullability is sensitive to the derived structure, and most of the pullable squares that arise for us would not be pullable on classical truncations.

**Example 4.2.3.** If $f$ is separated and $f'$ is proper, then (4.2.2) is pushable. If $f$ is smooth and $f'$ is quasi-smooth, then (4.2.2) is pullable.

**Remark 4.2.4.** We will also have occasion to consider the following variant: we say (4.2.1) is *topologically pullable* if the morphism $a$ is a finite radicial surjection (e.g. if it is an isomorphism on reduced classical truncations). In this case the defect is zero by convention.

### 4.2.2. Proper base change

Suppose (4.2.1) is Cartesian after taking classical truncations and then underlying reduced stacks. Then there is a proper base change natural isomorphism

\[
g^* f_1 \overset{\phi}{\Rightarrow} f'_1 (g')^* \tag{4.2.3}
\]

of functors $\mathcal{D}_{\text{mot}}(B; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(C; \mathbb{Q})$ which we label by “$\phi$”. We use the same notation for the natural isomorphism

\[
f'_*(g')^! \overset{\phi}{\Rightarrow} g^! f_*.
\]

By adjunction, (4.2.3) induces natural transformations

\[
f_!(g')_* \overset{\phi}{\Rightarrow} g_* f'_!
\]

and

\[
(g')^* f_! \overset{\phi}{\Rightarrow} (f'_!)^* g^*
\]

which we will also label by “$\phi$”.

### 4.2.3. Push-pull base change transformation

Suppose (4.2.1) is pushable. Then we have a natural transformation of functors $\mathcal{D}_{\text{mot}}(B; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(C; \mathbb{Q})$

\[
g^* f_1 \overset{\phi}{\Rightarrow} f'_1 (g')^* \tag{4.2.4}
\]

defined as the composition

\[
g^* f_1 \overset{\phi}{\Rightarrow} f'_1 (g')^* \overset{\text{unit}(a)}{\Rightarrow} f_1 a^* g^* = f'_1 a^* (g')^* = f'_1 (g')^*.
\]

Here we used that $\text{fsupp}_a: a_! \to a_*$ is invertible because $a$ is proper. We sometimes denote this base change transformation by $\nabla$. 
4.2.4. **Push-pull base change transformations.** Suppose \( \text{[4.2.1]} \) is pushable. Then we have a natural transformation of functors \( \mathcal{D}_{\text{mot}}(A; \mathbf{Q}) \to \mathcal{D}_{\text{mot}}(D; \mathbf{Q}) \)

\[
f'_1 g'_1 \to g_* f'_1
\]  
\( \text{(4.2.5)} \)
defined as the composition

\[
f g'_1 = f g_1 a_* \Rightarrow g_* f_1 a_* = g_* f_1 \to g_* f'_1.
\]

Again we used that \( a_* \) : \( a_i \to a_* \) is invertible because \( a \) is proper. We sometimes denote this base change transformation by \( \nabla \).

4.2.5. **Pull-pull base change transformation.** Suppose that \( \text{[4.2.1]} \) is pullable with defect \( \delta \). Then we have a natural transformation of functors \( \mathcal{D}_{\text{mot}}(D; \mathbf{Q}) \to \mathcal{D}_{\text{mot}}(A; \mathbf{Q}) \)

\[
(f')^* g'^1 \overset{\Delta}{\to} (g')^! f^*(-\delta)
\]  
\( \text{(4.2.6)} \)
defined as the composition

\[
(f')^* g'^1 = a^* \tilde{f}^* g' \overset{\alpha}{\to} a^* \tilde{g} f^* \overset{[a]}{\to} a^* g f^*(-\delta) = (g')^! f^*(-\delta).
\]

We often denote such a natural transformation induced by a pullable square by \( \Delta \).

**Remark 4.2.5.** If \( \text{[4.2.1]} \) is pullable, the map \( \text{[4.2.6]} \) induces by adjunction a map

\[
g'_! (f')^* \overset{\Delta}{\to} f^* g'_! (-\delta)
\]  
\( \text{(4.2.7)} \)

**Remark 4.2.6.** If \( \text{[4.2.1]} \) is topologically pullable as in Remark 4.2.4, then we have a canonical isomorphism \( a^* \cong a^! \) by topological invariance (see [FYZ23, Remark 2.1.13]). Therefore we may define a natural transformation \( \Delta : (f')^* g'^1 \to (g')^! f^* \) just as in \( \text{[4.2.6]} \).

4.2.6. **Compatibility with compositions.** The natural transformations \( \nabla \) and \( \Delta \) are compatible with compositions in the following sense. Suppose we have a commutative diagram

\[
\begin{array}{cccc}
A & \overset{g''}{\longrightarrow} & B \\
\downarrow f' & & \downarrow f \\
C & \overset{g'}{\longrightarrow} & D \\
\downarrow h' & & \downarrow h \\
E & \overset{g}{\longrightarrow} & F
\end{array}
\]  
\( \text{(4.2.8)} \)

According to [FYZ23, Lemma 3.2.2] and [FYZ23, Lemma 3.5.3]:

(a) If both the upper square and the lower square are pushable, then the outer square formed by \( (A, B, E, F) \) is also pushable.

(b) If both the upper square and the lower square are pullable, say of defects \( \delta_{\text{upp}} \) and \( \delta_{\text{low}} \), then the outer square is also pullable, with defect \( \delta_{\text{out}} := \delta_{\text{upp}} + \delta_{\text{low}} \).

Suppose the outer and lower squares in \( \text{[4.2.8]} \) are pushable. Then by the same argument as in proof of [FYZ23, Proposition 3.2.3], we have the following commutative diagrams of natural transformations \( \mathcal{D}_{\text{mot}}(B; \mathbf{Q}) \to \mathcal{D}_{\text{mot}}(E; \mathbf{Q}) \), resp. \( \mathcal{D}_{\text{mot}}(A; \mathbf{Q}) \to \mathcal{D}_{\text{mot}}(F; \mathbf{Q}) \):

\[
\begin{array}{cccc}
g^* h f_1 & \overset{\nabla f_1}{\longrightarrow} & h'_i (g')^* f_1 & \overset{h'_i \nabla}{\longrightarrow} & h'_i f'_1 (g'')^* \\
\downarrow & & \downarrow & & \downarrow \\
& & h_1 f g'' & \overset{h_1 \nabla}{\longrightarrow} & h_1 g'_f f'_1 \\
\downarrow & & \downarrow & & \downarrow \\
g^* (h \circ f)^1 & \overset{\nabla}{\longrightarrow} & (h' \circ f')^1 (g'')^* & \overset{h' \nabla}{\longrightarrow} & g_* h'_i f'_1
\end{array}
\]  
\( \text{(4.2.9)} \)

Suppose the upper and lower squares in \( \text{[4.2.8]} \) are pullable, of defects \( \delta_{\text{upp}} \) and \( \delta_{\text{low}} \). Then by the same argument as in proofs of [FYZ23, Proposition 3.3.4], we have the following commutative diagram of natural
transformations $\mathcal{D}_{\text{mot}}(F; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(A; \mathbb{Q})$:

$$
\begin{array}{c}
(f')^* (h')^* g^! & \xrightarrow{f'^* \triangle} & (f')^* (g')^! h^* (\delta_{\text{out}}) \\
\downarrow \hspace{1cm} \downarrow \hspace{1cm} \downarrow & & \downarrow \hspace{1cm} \downarrow \\
(g''(h \circ f))^! g^! & \xrightarrow{\triangle} & (g'')^! (h \circ f)^* (\delta_{\text{out}})
\end{array}
$$

(4.2.10)

4.3. **Functoriality for cohomological correspondences.** We tabulate some situations where cohomological correspondences can be pushed forward or pulled back.

4.3.1. **Pushforward functoriality for cohomological correspondences.** Suppose we have a map of correspondences $A_0 \overset{c_0}{\leftarrow} C \overset{c_1}{\rightarrow} A_1$

$$
\begin{array}{c}
A_0 \xleftarrow{f_0} & C \xrightarrow{f} & A_1 \\
B_0 \xrightarrow{d_0} & D \xrightarrow{d_1} & B_1
\end{array}
$$

(4.3.1)

**Definition 4.3.1.** The map of correspondences (4.3.1) is called *left pushable* if the square with vertices $(C, A_0, D, B_0)$ is pushable in the sense of Definition 4.2.1.

Assume (4.3.1) is left pushable. Then for any cohomological correspondence $c_0^* \mathcal{K}_0 \xrightarrow{\triangle} c_1^* \mathcal{K}_1$, there is a “pushforward correspondence” $f_1(\epsilon) : d_0^* f_0^* \mathcal{K}_0 \rightarrow d_1^* f_1^* \mathcal{K}_1$, defined as the composition

$$
d_0^* f_0^* \mathcal{K}_0 \xrightarrow{\forall} f_1 c_0^* \mathcal{K}_0 \xrightarrow{\epsilon} f_1 c_1^* \mathcal{K}_1 \rightarrow d_1^* f_1^* \mathcal{K}_1
$$

where the rightmost map is the natural base change transformation. Thus $\epsilon \mapsto f_1(\epsilon)$ defines a linear map

$$
f_1 : \text{Corr}_{C}(\mathcal{K}_0, \mathcal{K}_1) \rightarrow \text{Corr}_{D}(f_0^* \mathcal{K}_0, f_1^* \mathcal{K}_1).
$$

(4.3.2)

4.3.2. **Pullback functoriality for cohomological correspondences.** Consider the diagram of correspondences in (4.3.1).

**Definition 4.3.2.** The diagram of correspondences (4.3.1) is called *right pullable* if the square with vertices $(C, A_1, D, B_1)$ is pullable in the sense of Definition 4.2.1.

In this case, we also say that the map of correspondences $f : C \rightarrow D$ is right pullable, with *defect* $\delta_f$ defined to be the defect of the square $(C, A_1, D, B_1)$, i.e., the relative dimension of the quasi-smooth map $\bar{c}_1 : C \rightarrow D \times_{A_1} B_1$.

Suppose (4.3.1) is right pullable. Then for any cohomological correspondence $d_0^* \mathcal{K}_0 \xrightarrow{\triangle} d_1^* \mathcal{K}_1$ there is a “pullback correspondence” $f^*(\epsilon) : c_0^* f_0^* \mathcal{K}_0 \rightarrow c_1^* f_1^* \mathcal{K}_1(-\delta_f)$ defined as the composition

$$
c_0^* f_0^* \mathcal{K}_0 \xrightarrow{\epsilon} f^* d_0^* \mathcal{K}_0 \xrightarrow{\epsilon} f^* d_1^* \mathcal{K}_1 \xrightarrow{\delta_f} c_1^* f_1^* \mathcal{K}_1(-\delta_f).
$$

Thus $\epsilon \mapsto f^*(\epsilon)$ defines a linear map

$$
f^* : \text{Corr}_{D}(\mathcal{K}_0, \mathcal{K}_1) \rightarrow \text{Corr}_{C}(f_0^* \mathcal{K}_0, f_1^* \mathcal{K}_1(-\delta_f)).
$$

(4.3.3)

**Remark 4.3.3.** Similarly, we say the map of correspondences $f : C \rightarrow D$ is *right topologically pullable* (with defect $\delta_f = 0$) if the square with vertices $(C, A_1, D, B_1)$ is topologically pullable in the sense of Remark 4.2.3. We can then similarly define a pullback operation

$$
f^* : \text{Corr}_{D}(\mathcal{K}_0, \mathcal{K}_1) \rightarrow \text{Corr}_{C}(f_0^* \mathcal{K}_0, f_1^* \mathcal{K}_1)
$$

(4.3.4)

using Remark 4.2.6.

4.4. **Base change for cohomological correspondences.** In this subsection we formulate a base change result for cohomological correspondences (Theorem 4.4.2), following [PYZ23] §5.
4.4.1. **Setup.** Suppose we are given a commutative diagram of derived Artin stacks

\[
\begin{array}{cccccccccccc}
U_0 & \xrightarrow{\pi_0} & C_U & \xrightarrow{\pi_1} & U_1 \\
\downarrow{f_0} & & \uparrow{f} & & \downarrow{f_1} \\
V_0 & \xrightarrow{\pi_0} & C_V & \xrightarrow{\pi_1} & V_1 \\
\downarrow{g_0} & & \uparrow{g} & & \downarrow{g_1} \\
S_0 & \xrightarrow{z_0} & C_S & \xrightarrow{z_1} & S_1 \\
\downarrow{z_0} & & \uparrow{z} & & \downarrow{z_1} \\
W_0 & \xrightarrow{\pi_0} & C_W & \xrightarrow{\pi_1} & W_1 \\
\end{array}
\]

satisfying the following conditions:

(a) The middle vertical parallelogram

\[
\begin{array}{cccc}
C_U & \xrightarrow{f} & C_V \\
\downarrow{\pi} & & \uparrow{\pi} \\
C_S & \xrightarrow{z} & C_W \\
\end{array}
\]

is derived Cartesian.  
(b) The three squares in the following diagram are pushable:

\[
\begin{array}{cccc}
U_0 & \xrightarrow{\pi_0} & C_U & \xrightarrow{\pi_1} & U_1 \\
\downarrow{f_0} & & \uparrow{f} & & \downarrow{f_1} \\
V_0 & \xrightarrow{\pi_0} & C_V & \xrightarrow{\pi_1} & V_1 \\
\downarrow{g_0} & & \uparrow{g} & & \downarrow{g_1} \\
S_0 & \xrightarrow{z_0} & C_S & \xrightarrow{z_1} & S_1 \\
\downarrow{z_0} & & \uparrow{z} & & \downarrow{z_1} \\
W_0 & \xrightarrow{\pi_0} & C_W & \xrightarrow{\pi_1} & W_1 \\
\end{array}
\]

(c) The three squares in the following diagram are pullable:

\[
\begin{array}{cccc}
C_U & \xrightarrow{\pi_0} & U_1 \\
\downarrow{\pi} & & \uparrow{\pi} \\
C_V & \xrightarrow{\pi_1} & V_1 \\
\downarrow{\pi} & & \uparrow{\pi} \\
C_W & \xrightarrow{\pi_1} & W_1 \\
\end{array}
\]

Moreover, the right square \((U_1, V_1, S_1, W_1)\) above has defect zero.
4.4.2. We view $C_S$ as a correspondence between $S_0$ and $S_1$, and similarly for $C_U, C_V$ and $C_W$. Let $K_i \in \mathcal{D}_{\text{mot}}(S_i; \mathbb{Q})$ for $i \in \{0, 1\}$ and $s \in \text{Corr}_{C_S}(K_0, K_1)$.

4.4.3. **Push \circ pull.** By assumption, the back face of $(4.4.1)$ is pullable as a map of correspondences $\pi : C_U \to C_S$, so the map 

$$\pi^* : \text{Corr}_{C_S}(K_0, K_1) \to \text{Corr}_{C_U}(\pi_0^* K_0, \pi_1^* K_1(-\delta_\pi))$$  \hspace{1cm} (4.4.5)

is defined (where the defect $\delta_\pi$ is defined in Definition 4.3.1). By assumption, the top face of $(4.4.1)$ is pushable as a map of correspondences $f : C_U \to C_V$, so the map 

$$f_i : \text{Corr}_{C_U}(\pi_0^* K_0, \pi_1^* K_1(-\delta_\pi)) \to \text{Corr}_{C_V}(f_0 \pi_0^* K_0, f_1 \pi_1^* K_1(-\delta_\pi))$$ \hspace{1cm} (4.4.6)

is defined. The composition of $(4.4.5)$ and $(4.4.6)$ applied to $s \in \text{Corr}_{C_S}(K_0, K_1)$ gives an element 

$$f_0 \pi^*(s) \in \text{Corr}_{C_V}(f_0 \pi_0^* K_0, f_1 \pi_1^* K_1(-\delta_\pi)).$$ \hspace{1cm} (4.4.7)

4.4.4. **Pull \circ push.** Similarly, since the bottom face of the diagram $(4.4.1)$ is left pushable and the front face is right pushable, the cohomological correspondence 

$$g^* z_i (s) \in \text{Corr}_{C_V}(g_0 \pi_0^* K_0, g_1 \pi_1^* K_1(-\delta_\pi))$$ \hspace{1cm} (4.4.8)

is defined.

4.4.5. We are now ready to formulate the base change theorem, expressing the compatibility of push \circ pull and pull \circ push.

By assumption, the square $(U_0, V_0, S_0, W_0)$ in $(4.4.1)$ is pushable, so we get a base change natural transformation

$$g_0^* z_0 \triangleleft f_0 \pi_0^* : \mathcal{D}_{\text{mot}}(S_0; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(V_0; \mathbb{Q}).$$ \hspace{1cm} (4.4.9)

By assumption, the square $(U_1, V_1, S_1, W_1)$ in $(4.4.1)$ is pullable with defect zero, so we get a base change natural transformation 

$$\pi_1^* g_1^* \triangleleft f_1 \pi_1^* : \mathcal{D}_{\text{mot}}(S_1; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(V_1; \mathbb{Q}).$$ \hspace{1cm} (4.4.10)

By adjunction (cf. Remark 4.2.5), $(4.4.10)$ gives a base change natural transformation 

$$f_1 \pi_1^* g_1^* \triangleleft g_1^* z_1 : \mathcal{D}_{\text{mot}}(S_1; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(V_1; \mathbb{Q}).$$ \hspace{1cm} (4.4.11)

We have an equality of defects $\delta_\pi = \delta_\gamma$ [FY23; Lemma 5.1.1].

**Example 4.4.1.** Suppose $(U_0, V_0, S_0, W_0)$ and $(U_1, V_1, S_1, W_1)$ are derived Cartesian. In this case, the sources and targets of $f_0 \pi^*(s)$ and $g_1^* z_i(s)$ are identified by the proper base change isomorphisms 

$$f_0 \pi_0^* K_0 \overset{\sim}{\to} g_0^* z_0 K_0 \quad \text{and} \quad f_1 \pi_1^* K_1(-\delta_\pi) \overset{\sim}{\to} g_1^* z_1 K_1(-\delta_\pi).$$ \hspace{1cm} (4.4.12)

**Theorem 4.4.2** (Base change for cohomological correspondences). Let the notation be as in $(4.4.1)$. Then for every $K_0 \in \mathcal{D}_{\text{mot}}(S_0; \mathbb{Q})$, $K_1 \in \mathcal{D}_{\text{mot}}(S_1; \mathbb{Q})$, and $s \in \text{Corr}_{C_S}(K_0, K_1)$, the following diagram commutes:

$$\begin{array}{ccc}
\mathcal{D}_{\text{mot}}(S_0; \mathbb{Q}) & \xrightarrow{g^* z_i(s)} & \mathcal{D}_{\text{mot}}(S_1; \mathbb{Q}) \\
\downarrow f_0 \pi_0^* K_0 & & \downarrow f_1 \pi_1^* K_1(-\delta_\pi) \\
\mathcal{D}_{\text{mot}}(V_0; \mathbb{Q}) & \xrightarrow{g_1^* z_1} & \mathcal{D}_{\text{mot}}(V_1; \mathbb{Q}) \\
\end{array}$$ \hspace{1cm} (4.4.13)

(Here we use [FY23; Lemma 5.1.1] to match the twists.)

In particular, when both $(U_0, V_0, S_0, W_0)$ and $(U_1, V_1, S_1, W_1)$ are derived Cartesian, we have an equality of cohomological correspondences on $C_V$

$$f_0 \pi^*(s) = g_1^* z_1(s)$$ \hspace{1cm} (4.4.14)

under the isomorphisms $(4.4.12)$.

**Proof.** The proof of [FY23; Theorem 5.1.3] works verbatim. \qed
5. The Lu–Zheng Categorical Trace

In this section we adapt the framework of Lu–Zheng [LZ22b], which gave a new perspective on ULA sheaves and Lefschetz-Verdier formulas in the ℓ-adic setting, to the derived category of motives. For a derived Artin stack $S$ over a field, we define symmetric monoidal 2-categories $\text{LZ}(S)_!$ and $\text{LZ}(S)^!$, in which objects are motivic sheaves on derived Artin stacks over $S$, morphisms are cohomological correspondences, and 2-morphisms are either pushforward or pullback of cohomological correspondences. Strongly universally locally acyclic motives are dualizable, so one can define the categorical trace of their endomorphisms. We use this to study relative Lefschetz-Verdier pairings and their behavior under pullback and pushforward.

Compared to [LZ22b] we introduce some technical enhancements, which would apply equally well to the ℓ-adic setting and simplify some proofs in [FYZ23].

- We introduce an extended version of the Lu–Zheng category whose morphisms include higher Exts. This means that the trace of an endomorphism (of a dualizable object) can be valued in higher degree Chow groups, which is responsible for eventually promoting the sheaf-function correspondence to a sheaf-cycle correspondence.
- We introduce a variant of the Lu–Zheng category adapted to pullbacks instead of pushforwards. This is eventually used to prove the compatibility of the sheaf-cycle correspondence with pullbacks.
- We work with derived Artin stacks (as opposed to schemes), a generality which is needed in applications.

5.1. Motivic Lu–Zheng Categories. Let $S$ be a locally finite type derived Artin stack over a field. Following the work of Lu–Zheng [LZ22b], we will define two 2-categories, which we denote $\text{LZ}(S)_!$ and $\text{LZ}(S)^!$.

5.1.1. Objects and morphisms. Both $\text{LZ}(S)_!$ and $\text{LZ}(S)^!$ have the following objects and 1-morphisms:

- The objects are pairs $(A,K_A)$ where $A$ is a locally finite type derived Artin stack over $S$, and $K_A \in D_{mot}(A;\mathbb{Q})$.
- A morphism from $(A_0,K_0)$ to $(A_1,K_1)$ is a triple $(c,i,\epsilon)$ where $c = (A_0 \xrightarrow{c_0} C \xrightarrow{c_1} A_1)$ is a correspondence, $i \in \mathbb{Z}$, and $\epsilon \in \text{Corr}_C(K_0,K_1(-i))$ is a cohomological correspondence from $K_0$ to $K_1(-i)$ with respect to $c$.

In particular, $\text{LZ}(S)_!$ and $\text{LZ}(S)^!$ have the same objects and 1-morphisms.

Notation 5.1.1. We will generally use a lower-case Roman letter such as $c$ or $d$, with no subscripts, as shorthand for a correspondence involving the corresponding upper-case Roman letter, such as $C$ or $D$.

The composition of morphisms $(c, i, \epsilon) : (A_0,K_0) \to (A_1,K_1)$ and $(d, j, \delta) : (A_1,K_1) \to (A_2,K_2)$ is $(c, i+j, \epsilon)$, where $e$ is the outer correspondence in the diagram

```
C o-- E o-- D
|    |    |
| c_0 | c_1 |
A_0 o-- A_1 o-- A_2
```

where the diamond is (derived) Cartesian, and $\epsilon$ is the composition

$$(d_0^*c_0)K_0 \xrightarrow{\epsilon} (d_0^*c_1)K_1(-i) \xrightarrow{\delta} (c_1)\delta_0K_1(-i) \xrightarrow{\delta} (c_1)d_1^*K_2(-i-j).$$

5.1.2. 2-morphisms. The 2-morphisms of $\text{LZ}(S)_!$ and $\text{LZ}(S)^!$ differ:

- In $\text{LZ}(S)_!$: Given two morphisms $(c, i, \epsilon)$ and $(d, j, \delta)$ from $(A_0,K_0)$ to $(A_1,K_1)$, a 2-morphism $(c, i, \epsilon) \to (d, j, \delta)$ in $\text{LZ}(S)_!$ is a map of correspondences

```
\begin{align*}
A_0 & \xleftarrow{c_0} C \xrightarrow{c_1} A_1 \\
A_0 & \xrightarrow{d_0} D \xrightarrow{d_1} A_1 \\
\end{align*}
```

\hspace{1cm} (5.1.1)
in which $f$ is proper (hence the map of correspondences is left pushable by Example 4.2.3, and such that $d = f_! c$ in the sense of §4.3.1 (so that $i = j$).

- In $\text{LZ}(S)^*$: Given two morphisms $(c, i, c)$ and $(d, j, d)$ from $(A_0, K_0)$ to $(A_1, K_1)$, a 2-morphism $(c, i, c) \to (d, j, d)$ is a map of correspondences in $\text{LZ}(S)^*_{\text{defect}}$ in which $f$ is quasi-smooth (hence the map of correspondences is right pullable by Example 4.2.3, and such that $c = f^* d$ (so that $i - j$ equals the defect $\delta_f$).

The composition of 2-morphisms is given by the obvious construction.

**Remark 5.1.2.** The category $\text{LZ}(S)_!$ is a graded motivic version of the category $\mathcal{C}_S$ from [LZ22b, Construction 2.6]. This category is adapted to the purpose of proving relative Lefschetz-Verdier formulas, which concern the compatibility of pushforwards with the Lefschetz-Verdier pairing (cf. §5.4). The category $\text{LZ}(S)^*$ (which to our knowledge has not been previously considered) is adapted to proving compatibility of pullbacks with the Lefschetz-Verdier pairing.

**Example 5.1.3.** Let $f : A \to A'$ be a morphism of derived Artin stacks over $S$. Then for $K \in D_{\text{mot}}(A; \mathbb{Q})$, we have a 1-morphism in $\text{LZ}(S)_!$ or $\text{LZ}(S)^*$

$$f_2 : (A, K) \to (A', f_* K)$$

given by the correspondence

$$
\begin{array}{ccc}
A & \xrightarrow{f} & A' \\
\downarrow f_0 & & \downarrow f_1 \\
A_0 & \xleftarrow{c_0} & C \xrightarrow{c_1} A_1 \\
\end{array}
$$

equipped with the cohomological correspondence $K = \text{Id}^* K \xrightarrow{\text{unit}} f_! f_* K$.

For $K' \in D_{\text{mot}}(A'; \mathbb{Q})$, we have a 1-morphism in $\text{LZ}(S)_!$ or $\text{LZ}(S)^*$

$$f_3 : (A', K') \to (A, f^* K)$$

given by the correspondence

$$
\begin{array}{ccc}
A' & \xleftarrow{f} & A & \xrightarrow{f} & A' \\
\end{array}
$$

equipped with the tautological cohomological correspondence $f^* K' \to \text{Id}^!(f^* K')$.

**Example 5.1.4.** Let

$$
\begin{array}{ccc}
A_0 & \xleftarrow{c_0} & C & \xrightarrow{c_1} & A_1 \\
\downarrow f_0 & & \downarrow f & & \downarrow f_1 \\
A'_0 & \xleftarrow{c'_0} & C' & \xrightarrow{c'_1} & A'_1 \\
\end{array}
$$

be a commutative diagram of derived Artin stacks over $S$.

Suppose Example 5.1.2 is left pushable as a map of correspondences. Let $(c, i, c) : (A_0, K_0) \to (A_1, K_1)$ be a morphism in $\text{LZ}(S)_!$. Then, unraveling the definitions, there is tautologically a unique 2-morphism in $\text{LZ}(S)_!$ fitting into a commutative diagram with the pushforward cohomological correspondence $f_! c$ from §4.3.1

$$
\begin{array}{ccc}
(A_0, K_0) & \xrightarrow{(c, i, c)} & (A_1, K_1) \\
\downarrow f_0 & & \downarrow f_1 \\
(A'_0, f_0 K_0) & \xrightarrow{(c'_i, i, f_! c)} & (A'_1, f_1 K_1) \\
\end{array}
$$

Now suppose instead that Example 5.1.2 is right pullable as a map of correspondences. Let $(c', i', c') : (A'_0, K'_0) \to (A'_1, K'_1)$ be a morphism in $\text{LZ}(S)^*_!$. Then, unraveling the definitions, there is tautologically a unique 2-morphism in $\text{LZ}(S)^*_!$ fitting into a commutative diagram with the pullback cohomological correspondence $f^* c'$ from §4.3.2

$$
\begin{array}{ccc}
(A_0, f_0 K_0) & \xrightarrow{(c, i, f^* c')} & (A_1, f_1 K_1) \\
\downarrow f_0 & & \downarrow f_1 \\
(A'_0, K'_0) & \xrightarrow{(c', i', c')} & (A'_1, K'_1) \\
\end{array}
$$

where $i = i' + \delta_f$. 


5.1.3. Symmetric monoidal structure. We construct a symmetric monoidal structure on $\text{LZ}(S)^*$ and $\text{LZ}(S)_!$. In both cases, we define the tensor product of objects as

$$(A, K_A) \otimes (B, K_B) := (A \times_S B, K_A \boxtimes_S K_B)$$

where we recall that $K_A \boxtimes_S K_B := \text{pr}_A^* K_A \otimes \text{pr}_B^* K_B$ for the projection maps $A \xrightarrow{\text{pr}_A} A \times_B B$. The tensor product of 1-morphisms $(c, i, c) : (A_0, K_0) \rightarrow (A_1, K_1)$ and $(d, j, d) : (B_0, L_0) \rightarrow (B_1, L_1)$ is the product (over $S$) correspondence $c \times_S d$ equipped with the cohomological correspondence

$$(co \times_S do)^* (K_0 \boxtimes_S L_0) \cong c_0^* (K_0) \boxtimes_S d_0^* (L_0) \xrightarrow{\text{coev}_c \otimes \text{coev}_d} c_1^* (K_1) \boxtimes_S d_1^* (L_1) \xrightarrow{(-i-j)} (c_1 \times_S d_1)^* (K_1 \boxtimes_S L_1)$$

where the last map is adjoint to the Künneth formula

$$(c_1 \times_S d_1)(K_1 \boxtimes_S L_1') \cong c_1 K_1' \boxtimes_S d_1 L_1'$$

from Lemma 3.8.2.

In both $\text{LZ}(S)^*$ and $\text{LZ}(S)_!$, the tensor product of 2-morphisms is induced by product of morphisms of stacks over $S$.

Example 5.1.5. The monoidal unit in both $(\text{LZ}(S)_!, \otimes)$ and $(\text{LZ}(S)^*, \otimes)$ is the object $(S, Q_S)$.

5.2. Dualizable objects. Any symmetric monoidal category $(\mathcal{C}, \otimes)$ has a notion of dualizable object: this means an object $c \in \mathcal{C}$ such that there exists a dual $c^\vee \in \mathcal{C}$ and evaluation (resp. coevaluation) morphisms $\text{ev}_c : c^\vee \otimes c \rightarrow 1_\mathcal{C}$ (resp. $\text{coev}_c : 1_\mathcal{C} \rightarrow c \otimes c^\vee$) such that the composites

$$c \xrightarrow{\text{coev}_c \otimes \text{id}} c \otimes c^\vee \xrightarrow{\text{id}_c \otimes \text{ev}_c} c, \quad c^\vee \xrightarrow{\text{id}_{c^\vee} \otimes \text{coev}_{c^\vee}} c^\vee \otimes c \otimes c^\vee \xrightarrow{\text{ev}_c \otimes \text{id}_{c^\vee}} c^\vee$$

are isomorphic to the respective identity morphisms.

Proposition 5.2.1. Let $(A, K)$ be a dualizable object of $\text{LZ}(S)_!$ or $\text{LZ}(S)^*$. Then the dual of $(A, K)$ is $(A, D_{A/S} K)$.

Proof. The proof of [LZ22b, Proposition 2.11] works verbatim. □

Corollary 5.2.2. Let $(A, K)$ be a dualizable object of $\text{LZ}(S)_!$ or $\text{LZ}(S)^*$. Then the canonical map $K \rightarrow D_{A/S}(D_{A/S} K)$ is an isomorphism.

Proof. In any symmetric monoidal category, any dualizable object is isomorphic to its double dual. □

Proposition 5.2.3. Let $K \in \mathcal{D}_{\text{mot.gm}}(A; Q)$ be USLA over $S$. Then $(A, K)$ is dualizable in $\text{LZ}(S)_!$ or $\text{LZ}(S)^*$.

Proof. We will show that $(A, D_{A/S} (K))$ is dual to $(A, K)$ by explicitly constructing the evaluation and coevaluation morphisms (satisfying the necessary properties). In fact, the construction of the evaluation morphism does not invoke the USLA hypothesis: it is given by the correspondence

$$\Delta : A \rightarrow A \times_S A$$

equipped with the tautological cohomological correspondence

$$\Delta^* (K \boxtimes_S D_{A/S} K) \cong K \otimes_S D_{A/S} (K) \rightarrow D_{A/S}.$$  

The coevaluation morphism will have underlying correspondence

$$\Delta : A \rightarrow A \times_S A$$

Using Proposition 3.8.5 we have the following isomorphisms in $\mathcal{D}_{\text{mot}}(A; Q)$:

$$\Delta^* (K \boxtimes_S D_{A/S} K) \xrightarrow{\sim \text{Prop } 3.8.5} \Delta^* \text{Hom}_{A \times_S A}(pr_1^* K, pr_0^* K) \cong \text{Hom}_A(K, K).$$

Thus $\text{Id}_K \in \text{Hom}_A(K, K)$ induces a map $Q_A \rightarrow \Delta^* (K \boxtimes_S D_{A/S} K)$, which defines the coevaluation morphism.
The composite \((\text{Id}_c \otimes \text{ev}_c) \circ (\text{coev}_c \otimes \text{Id}_c)\) is supported on the outer correspondence in

\[
\begin{array}{ccc}
A \times_S A & \xrightarrow{pr_0} & A \\
\text{pr}_0 \times \text{Id} & \quad & \quad \\
\end{array}
\]

and unraveling the definitions shows that the resulting cohomological correspondence is isomorphic to the identity morphism. A similar analysis applies to \((\text{ev}_c \otimes \text{Id}_c) \circ (\text{Id}_c \otimes \text{coev}_c)\).

\[\square\]

5.3. **Categorical traces.** Recall that any endomorphism \(t \in \text{End}(c)\) of a dualizable object \(c\) in any symmetric monoidal category \((C, \otimes)\) with unit \(1_C\) has a notion of trace \(\text{Tr}(t) \in \text{End}(1_C)\), defined as the composite

\[
1_C \xrightarrow{\text{coev}_c} c \otimes c^\vee \xrightarrow{t \otimes \text{Id}} c \otimes c^\vee \cong c^\vee \otimes c \xrightarrow{\text{ev}_c} 1_C.
\]

If \(C\) is a 2-category, then \(\text{End}(1_C)\) forms a 1-category, denoted \(\Omega C\).

Specializing this construction, let \(S\) be a derived Artin stack locally of finite type over a field. We obtain two categories \(\Omega \text{LZ}(S)\) and \(\Omega \text{LZ}(S)^*\) with the same objects: in both cases the objects are triples \((F, i, \alpha)\) where \(F\) is a derived Artin stack locally of finite type over \(S\) (identified with the correspondence \(S \leftarrow F \to S\)), \(i \in \mathbb{Z}\), and \(\alpha \in \text{Corr}_F(Q_S, Q_{S(-i)}) = \text{CH}_i(F/S)\) is a relative Chow cycle over \(S\) of degree \(i\).

The morphisms in \(\Omega \text{LZ}(S)\) and \(\Omega \text{LZ}(S)^*\) differ:

- \(\Omega \text{LZ}(S)\) has morphisms \((F, i, \alpha) \to (F', j, \beta)\) are proper maps \(f: F \to F'\) over \(S\) such that \(f_\ast \alpha = \beta \in \text{CH}_j(F'/S)\) (so that \(i = j\)).

- \(\Omega \text{LZ}(S)^*\) has morphisms \((F, i, \alpha) \to (F', j, \beta)\) are quasi-smooth maps \(f: F \to F'\) over \(S\) such that \(f_\ast \beta = \alpha \in \text{CH}_i(F/S)\) (so that \(i - j = d(f)\) is the relative dimension of \(f\)).

Given a dualizable object \((A, K) \in \text{LZ}(S)_!\) or \(\text{LZ}(S)^*_!\), an endomorphism of \((A, K)\) in \(\text{LZ}(S)_!\) or \(\text{LZ}(S)^*_!\) consists of a correspondence \((A \xrightarrow{\xi_{c^0}} C \xrightarrow{\xi_c} A)\), an integer \(i \in \mathbb{Z}\), and a cohomological correspondence \(c \in \text{Hom}_C(c^i_0K, c^i_1K(-i))\). The *trace* of such an endomorphism is the triple \((\text{Fix}(C), i, \alpha \in \text{CH}_i(\text{Fix}(C)/S))\).

We will refer to \(\alpha\) as the *trace* of \(\xi_c\), and write

\[
\text{Tr}_C(c) := \alpha \in \text{CH}_i(\text{Fix}(C)/S). \quad (5.3.1)
\]

In other words, for every correspondence \((A \xrightarrow{\xi_{c^0}} C \xrightarrow{\xi_c} A)\) of derived Artin stacks over \(S\) and every \(K \in \mathcal{D}_{\text{mot}}(A; Q)\), we obtain a canonical linear map

\[
\text{Tr}_C: \text{Corr}_C(K, K(-i)) \to \text{CH}_i(\text{Fix}(C)/S). \quad (5.3.2)
\]

In particular, if \(S = \text{Spec}(F)\), we obtain a trace map valued in cycle classes on \(\text{Fix}(C)\).

5.4. **Lefschetz-Verdier pairings.** The general theory of pairings in symmetric monoidal 2-categories is documented in [LZ22b], §1. In particular, given a symmetric monoidal 2-category \((C, \otimes)\) and morphisms \(u: c \to d\) and \(v: d \to c\) in \(C\), with \(c\) dualizable, we have the pairing

\[
\langle u, v \rangle := \text{Tr}(v \circ u) \in \Omega C. \quad (5.4.1)
\]

**Example 5.4.1.** If \(d = c\) and \(v = \text{Id}_c\), we have

\[
\langle u, \text{Id}_c \rangle = \text{Tr}(u) \in \Omega C.
\]

Suppose we have a diagram in \(C\)

\[
\begin{array}{ccc}
c & \xrightarrow{u} & d & \xrightarrow{v} & c \\
\downarrow f & & & & \downarrow f \\
c' & \xrightarrow{\beta} & d' & \xrightarrow{\alpha} & c'
\end{array}
\]

with \(c\) and \(c'\) dualizable. Then by [LZ22b] Construction 1.8 we have a morphism

\[
\langle u, v \rangle \to \langle u', v' \rangle \in \Omega C. \quad (5.4.3)
\]
5.4.1. Compatibility with pushforward. Let

\[
\begin{array}{cccccc}
A_0 & \overset{c_0}{\rightarrow} & C & \overset{c_1}{\rightarrow} & A_1 & \overset{d_1}{\rightarrow} & D & \overset{d_0}{\rightarrow} & A_0 \\
\downarrow f_0 & & \downarrow f & & \downarrow g & & \downarrow f_0 & & \\
A'_0 & \overset{c'_0}{\leftarrow} & C' & \overset{c'_1}{\leftarrow} & A'_1 & \overset{d'_1}{\leftarrow} & D' & \overset{d'_0}{\leftarrow} & A'_0
\end{array}
\]  \tag{5.4.4}
\]

be a commutative diagram of derived Artin stacks over \(S\). Write \(E\) (resp. \(E'\)) for the composite correspondence of \(C\) and \(D\) (resp. \(C'\) and \(D'\)) and \(h\) for the induced map \(h : E \to E'\).

**Theorem 5.4.2.** Assume in (5.4.4) that \(f\) is proper, each \(f_i\) is separated, and the square with vertices \(A_1, A'_1, D, D'\) is pushable. Let \(K_0 \in \mathcal{D}_{\text{mot}, \text{gm}}(A_0; \mathbb{Q})\) be such that \(K_0\) is USLA over \(S\) and \(f_0K_0 \in \mathcal{D}_{\text{mot}, \text{gm}}(A_0; \mathbb{Q})\) is USLA over \(S\).\(^8\) Let \(K_1 \in \mathcal{D}_{\text{mot}, \text{gm}}(A_1; \mathbb{Q})\) and \(u : c_0^0K_0 \to c_1^1K_1(-i)\) and \(v : d_1^1K_1 \to d'_0K_0(-j)\). View \(u\) and \(v\) as morphisms in \(\text{Hom}_{\text{LZ}(S)}((A_0, K_0), (A_1, K_1))\) and \(\text{Hom}_{\text{LZ}(S)}((A_1, K_1), (A_0, K_0))\), respectively.

Then \(\text{Fix}(h) : \text{Fix}(E) \to \text{Fix}(E')\) is proper and \(\text{Fix}(h)_* (u, v) = (f_*u, g_*v) \in \text{CH}_{i+j}(\text{Fix}(E'))\).

**Proof.** The analogous result for schemes and \(\ell\)-adic coefficients is [LZ22b, Theorem 2.21], and the argument is the same in essence. From the assumed left pushability of \(g\) regarded as a map of correspondences, applying (5.1.3) gives a commutative diagram

\[
(A_1, K_1) \overset{v}{\rightarrow} (A_0, K_0) \\
\downarrow f_{13} \quad \not\exists \quad \downarrow f_{03} \\
(A'_1, f_{13}K_1) \overset{g_*v}{\rightarrow} (A'_0, f_{03}K_0)
\]  \tag{5.4.5}

Decompose the left side of (5.4.4) as

\[
\begin{array}{cccccc}
A_0 & \overset{c_0}{\rightarrow} & C & \overset{c_1}{\rightarrow} & A_1 \\
\downarrow f_0 & & \downarrow f & & \downarrow f_0 & & \\
A'_0 & \overset{c'_0}{\leftarrow} & C' & \overset{c'_1}{\leftarrow} & A'_1
\end{array}
\]  \tag{5.4.6}

The bottom left square is pushable by the assumption that \(f\) is proper. The top left square is pushable by the assumption that \(f_0\) is separated. Hence we may apply (5.1.3) to obtain a commutative diagram

\[
(A_0, K_0) \overset{u}{\rightarrow} (A_1, K_1) \\
\downarrow f_{03} \quad \not\exists \quad \downarrow f_{13} \\
(A'_0, f_{03}K_0) \overset{w}{\rightarrow} (A'_1, f_{13}K_1)
\]  \tag{5.4.7}

\(^8\)This second assumption is automatic if \(f_0\) is proper, by Lemma 3.7.4 which will be satisfied in all the situations where we will apply Theorem 5.4.2.

\(^9\)In forming this trace, we are implicitly using that \(f_0K\) is geometric by Remark 3.3.2 (since \(f_0\) is assumed to be representable).
Concatenating (5.4.7) with (5.4.5) gives the commutative diagram

\[
\begin{array}{ccc}
(A_0, \mathcal{K}_0) & \xrightarrow{a} & (A_1, \mathcal{K}_1) \\
\downarrow f_0 & & \downarrow f_1 \\
(A'_0, f'_0\mathcal{K}_0) & \xrightarrow{g} & (A'_1, f'_1\mathcal{K}_1) \end{array}
\]

Then by (5.4.3) we have a map \(\text{Fix}(E), (u, v) \to \text{Fix}(E'), (f_1u, g_1v)\) in \(\Omega \text{LZ}(S)_1\), which by definition entails the equality \(\text{Fix}(f)_*(u, v) = (f_1u, g_1v)\).

4.2. Compatibility with pullback. Consider the same diagram (5.4.4) and again write \(E\) (resp. \(E'\)) for the composite correspondence of \(C\) and \(D\) (resp. \(C'\) and \(D'\)) and \(h\) for the induced map \(E \to E'\).

**Theorem 5.4.3.** Assume in (5.4.4) that \(f\) is quasi-smooth and each \(f_i\) is smooth, and the square with vertices \(D, D', A_0, A'_0\) is pullable. Let \(\mathcal{K}'_0 \in \mathcal{D}_{\text{mot, gm}}(A'_0; Q)\) be such that \(\mathcal{K}'_0\) is USLA over \(S\) (hence \(f'_0\mathcal{K}'_0 \in \mathcal{D}_{\text{mot, gm}}(A'_0; Q)\) is USLA over \(S\) by Lemma 3.7.2). Let \(\mathcal{K}'_1 \in \mathcal{D}_{\text{mot, gm}}(A'_1; Q)\) and \(\mathcal{K}'_1\) be USLA over \(S\) by Lemma 3.7.2, and \(u': (c'_0)^*\mathcal{K}'_0 \to (c'_1)^*\mathcal{K}'_1\) and \(v': (d'_0)^*\mathcal{K}'_1 \to (d'_1)^*\mathcal{K}'_1\). Identify \(u'\) and \(v'\) with the corresponding morphisms in \(\text{Hom}\text{LZ}(S')((A'_0, \mathcal{K}'_0), (A'_1, \mathcal{K}'_1))\) and \(\text{Hom}\text{LZ}(S')((A_0, \mathcal{K}_0), (A_1, \mathcal{K}_0))\), respectively.

Then \(\text{Fix}(h): \text{Fix}(E) \to \text{Fix}(E')\) is quasi-smooth and \(\text{Fix}(h)^*(u', v') \in \Omega \text{LZ}(S)_1\).

**Proof.** From the pullability of the right half one has a 2-morphism in \(\text{LZ}(S)_1\), applying (5.1.4) gives a commutative diagram

\[
\begin{array}{ccc}
(A_1, f'_1\mathcal{K}'_1) & \xrightarrow{g^*v'} & (A_0, f'_0\mathcal{K}'_0) \\
\downarrow f'_1 & & \downarrow f'_0 \\
(A'_1, \mathcal{K}'_1) & \xrightarrow{v'} & (A'_0, \mathcal{K}'_0) \end{array}
\]

Decompose the left side of (5.4.4) as

\[
\begin{array}{ccc}
A_0 & \xrightarrow{co} & C \\
\downarrow f_0 & & \downarrow f_1 \\
A'_0 & \xrightarrow{c'_0} & C' \end{array}
\]

\[
\begin{array}{ccc}
& & \xrightarrow{f} \\
& C' & \xrightarrow{c'_1} \end{array}
\]

\[
\begin{array}{ccc}
A_0 & \xrightarrow{co} & C \\
\downarrow f_0 & & \downarrow f_1 \\
A'_0 & \xrightarrow{c'_0} & C' \end{array}
\]

The bottom right square is pullable by the assumption that \(f\) is quasi-smooth. The top right square is pullable by the assumption that \(f_1\) is smooth. Hence we may apply (5.1.4) to obtain a commutative diagram

\[
\begin{array}{ccc}
(A_0, f'_0\mathcal{K}'_0) & \xrightarrow{f^*u'} & (A_1, f'_1\mathcal{K}'_1) \\
\downarrow f'_0 & & \downarrow f'_1 \\
(A_0, \mathcal{K}'_0) & \xrightarrow{u} & (A_1, \mathcal{K}'_1) \\
\downarrow f'_0 & & \downarrow f'_1 \\
(A'_0, \mathcal{K}'_0) & \xrightarrow{u} & (A'_1, \mathcal{K}'_1) \end{array}
\]

\(^{10}\text{In forming this trace, we are implicitly using that } f_0^*\mathcal{K} \text{ is geometric by Remark 3.3.2}\).
6. Motivic sheaf-cycle correspondence

In this section we develop the motivic sheaf-cycle correspondence, which is based on the trace map constructed in §5.3. This material is a motivic lift of [FYZ23, §4], much of which carries over verbatim (in those cases we omit the proofs). The only aspect that is substantially different is Proposition 6.2.2, which we prove using the motivic Lu–Zheng categorical trace.

6.1. The trace. Let

\[ A \xleftarrow{c_0} C \xrightarrow{c_1} A \]

be a correspondence of derived Artin stacks over a field \( \mathbf{F} \). For every \( \mathcal{K} \in \mathcal{D}_{\text{mot.gm}}(A; \mathbf{Q}) \) and \( i \in \mathbb{Z} \), the construction of §5.3 gives a trace map

\[ \operatorname{Tr}_C : \operatorname{Corr}_C(\mathcal{K}, \mathcal{K}(-i)) \to \operatorname{CH}_i(\operatorname{Fix}(C)). \]

(6.1.2)

Indeed, since \( \mathcal{K} \) is geometric (by assumption) and USLA over Spec(\( k \)) (Example 3.7.3), Proposition 5.2.3 implies that \( (A, \mathcal{K}) \) is dualizable in \( \mathcal{LZ}(\mathbf{F})^* \) or \( \mathcal{LZ}(\mathbf{F})_i \).

6.2. Functoriality of the trace. We study the compatibility of pushforward and pullback on cohomological correspondences and Chow groups (see §4.3) under formation of traces. We consider a map of correspondences of derived Artin stacks over a field \( \mathbf{F} \):

\[ A \xleftarrow{c_0} C \xrightarrow{c_1} A \]

(6.2.1)

6.2.1. Proper pushforward. The following result is a motivic lift of [FYZ23, Proposition 4.5.1].

**Proposition 6.2.1.** With notation as in (6.2.1), assume that \( f_0, f \) are proper and let \( \varphi \in \operatorname{Corr}_C(\mathcal{K}, \mathcal{K}(-i)) \) where \( \mathcal{K} \in \mathcal{D}_{\text{mot.gm}}(A; \mathbf{Q}) \). Then \( \operatorname{Fix}(f) : \operatorname{Fix}(C) \to \operatorname{Fix}(D) \) is proper and we have

\[ \operatorname{Tr}_D(f_1 \varphi) = \operatorname{Fix}(f)_* (\operatorname{Tr}_C(\varphi)) \in \operatorname{CH}_i(\operatorname{Fix}(D)). \]

**Proof.** Apply Theorem 5.4.2 with \( S := \text{Spec } \mathbf{F}, A_0 = A_1 := A, K_0 = K_1 := \mathcal{K}, u := \varphi, v := \text{Id}. \)

6.2.2. Quasi-smooth pullback. The following result is a motivic lift of [FYZ23, Proposition 4.5.4].

**Proposition 6.2.2.** With notation as in (6.2.1), assume that \( f_1 \) is smooth and \( f \) is quasi-smooth. Let \( \delta = d(f) - d(f_1) \). Then \( \mathcal{K} \in \mathcal{D}_{\text{mot.gm}}(B; \mathbf{Q}) \). Then \( \operatorname{Fix}(f) : \operatorname{Fix}(C) \to \operatorname{Fix}(D) \) is quasi-smooth and we have

\[ \operatorname{Tr}_C(f^* \delta) = \operatorname{Fix}(f)_1 (\operatorname{Tr}_D(\delta)) \in \operatorname{CH}_{i+\delta}(\operatorname{Fix}(C)). \]

**Proof.** Apply Theorem 5.4.3 with \( S := \text{Spec } \mathbf{F}, A_0 = A_1 := A, K_0 = K_1 := \mathcal{K}, u := \delta, v := \text{Id}. \)
6.3. The fundamental class as a trace. Let $A \xrightarrow{c_0} C \xrightarrow{c_1} A$ be a correspondence of derived Artin stacks over $\mathbb{F}$. If $c_1$ is quasi-smooth and $A$ is smooth over $\mathbb{F}$, then $\text{Fix}(C)$ is quasi-smooth over $\mathbb{F}$ of relative dimension $d(c_1)$, so there is a fundamental class (§3.6)

$$[\text{Fix}(C)] \in \text{CH}_{d(c_1)}(\text{Fix}(C)).$$

On the other hand, regarding the relative fundamental class $[c_1] \in \text{CH}_{d(c_1)}(C/A)$ as a map $c_1^* \mathbb{Q}_A \to c_1^* \mathbb{Q}_{A(-d(c_1))}$ in $\mathcal{D}_{\text{mot}}(C; \mathbb{Q})$, the composite

$$c_0^* \mathbb{Q}_A \cong \mathbb{Q}_A \cong [c_1] c_1^* \mathbb{Q}_{A(-d(c_1))}$$

defines a cohomological correspondence $c_A \in \text{Corr}_C(\mathbb{Q}_A, \mathbb{Q}_A(−d(c_1)))$.

**Proposition 6.3.1.** If $c_1$ is quasi-smooth and $A$ is smooth, then we have

$$[\text{Fix}(C)] = \text{Tr}_C(c_A) \in \text{CH}_{d(c_1)}(\text{Fix}(C)).$$

**Proof.** Consider the map of correspondences

$$
\begin{array}{ccc}
A & \xrightarrow{c_0} & C \\
\downarrow \pi_0 & & \downarrow \pi \\
\text{pt} & \xrightarrow{c_1} & \text{pt}
\end{array}
\quad \text{(6.3.2)}
$$

where $\text{pt} = \text{Spec}(\mathbb{F})$. By assumption $\pi_1$ is smooth and $c_1$ is quasi-smooth, so $\pi$ is quasi-smooth and (6.3.2) is right pullable. Unravelling definitions, we can write $c_A$ as the pullback of the trivial cohomological correspondence $c_\text{pt} \in \text{Corr}_{\text{pt}}(\mathbb{Q}, \mathbb{Q})$ (cf. [FYZ23, Lemma 9.4.2]):

$$\pi^* c_\text{pt} = c_A \in \text{Corr}_C(\mathbb{Q}_A, \mathbb{Q}_A(−d(c_1))).$$

By Proposition 6.2.2 we have

$$\text{Tr}_C(\pi^* c_\text{pt}) = \text{Fix}(\pi)^\dagger(\text{Tr}_\text{pt}(c_\text{pt})) = \text{Fix}(\pi)^\dagger[\text{pt}] = [\text{Fix}(C)] \in \text{CH}_{d(c_1)}(\text{Fix}(C)),
$$

whence the claim. \(\square\)

6.4. Frobenius-twisted trace.

6.4.1. Frobenius. We take $\mathbb{F} = \mathbb{F}_q$ to be a finite field. Any derived Artin stack over $\mathbb{F}_q$ is equipped with a Frobenius endomorphism $\text{Frob}$, which in terms of the functor of points is the absolute Frobenius $\text{Frob}_q$ on the test scheme. That is, for any derived Artin stack $X$ over $\mathbb{F}_q$, we denote by $\text{Frob} : X \to X$ the morphism sending an $R$-point $x : \text{Spec}(R) \to X$ to the composite

$$\text{Spec}(R) \xrightarrow{\text{Frob}_q} \text{Spec}(R) \xrightarrow{x} X$$

for every commutative $\mathbb{F}_q$-algebra $R$.

6.4.2. Fix vs. Sht. For a correspondence

$$
\begin{array}{ccc}
A & \xleftarrow{c_0} & C \\
\downarrow \pi_0 & & \downarrow \pi_1 \\
\text{pt} & \xrightarrow{c_1} & \text{pt}
\end{array}
\quad \text{(6.3.2)}
$$

over $\mathbb{F}_q$, we will let $\text{Sht}(C)$ (or sometimes $\text{Sht}_A$) be the derived fibered product

$$\text{Sht}(C) \longrightarrow C \quad \text{(6.4.1)}$$

This derived fibered product can be also be presented by the derived Cartesian square

$$\text{Sht}(C) \longrightarrow C \quad \text{(6.4.2)}$$

which is the “fixed point Cartesian square” for the correspondence

$$A \overset{\text{Frob}_{c_0}}{\longrightarrow} C^{(1)} \overset{c_1}{\longrightarrow} A \quad \text{(6.4.3)}$$
where \( C^{(1)} := C \) but with the left map twisted by \( \text{Frob} \). In other words, we have a canonical identification
\[
\text{Sht}(C) = \text{Fix}(C^{(1)}).
\] (6.4.4)

6.4.3. \textit{Sht-valued trace}. Given \( \mathcal{K}_0, \mathcal{K}_1 \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q}) \) and a cohomological correspondence \( c : c_0^* \mathcal{K}_0 \to c_1^* \mathcal{K}_1 \) on \( C \), plus the canonical Weil structure \( \text{Frob}^* \mathcal{K}_0 \cong \mathcal{K}_0 \) (because \( A \) is defined over \( \mathbb{F}_q \)), we have a cohomological correspondence \( \epsilon^{(1)} : (\text{Frob} \circ c_0)^* \mathcal{K}_0 \to c_1^* \mathcal{K}_1 \). In this way we obtain a linear isomorphism
\[
\text{Corr}_C(\mathcal{K}_0, \mathcal{K}_1) \xrightarrow{\sim} \text{Corr}_{C^{(1)}}(\mathcal{K}_0, \mathcal{K}_1)
\]
sending \( \epsilon \mapsto \epsilon^{(1)} \). If \( \mathcal{K}_0 \) is geometric and \( \mathcal{K}_1 = \mathcal{K}_0(-i) \), then we define
\[
\text{Tr}_{C}^{\text{Sht}}(\epsilon) := \text{Tr}_{C}(\epsilon^{(1)}) \in \text{CH}_i(\text{Fix}(C^{(1)})) = \text{CH}_i(\text{Sht}(C)).
\]
This determines a linear map
\[
\text{Tr}_{C}^{\text{Sht}} : \text{Corr}_C(\mathcal{K}_0, \mathcal{K}_0(-i)) \to \text{CH}_i(\text{Sht}(C)).
\] (6.4.5)

6.4.4. \textit{The fundamental class of \text{Sht}(C)}. In the situation of [6.4.2] Proposition 6.3.1 yields:

**Corollary 6.4.1.** If \( c \) is quasi-smooth and \( A \) is smooth over \( \mathbb{F}_q \), then we have
\[
\text{Tr}_{C}^{\text{Sht}}(\epsilon_A) = [\text{Sht}(C)] \in \text{CH}_{d(c_1)}(\text{Sht}(C))
\]
where \( \mathbb{Q}_A \) is equipped with its natural Weil structure.

**Remark 6.4.2.** It is interesting to ask in what generality Corollary 6.4.1 holds without the smoothness of \( A \). Indeed, it is shown in [FYZ23, Lemma 4.2.1] that \( \text{Sht}(C) \) is quasi-smooth (and hence admits a fundamental class) as long as \( c_1 \) is quasi-smooth.

6.5. \textit{Shift and twist}.

6.5.1. Let \( A \xleftarrow{c_0} C \xrightarrow{c_1} A \) be a correspondence over a field \( \text{Spec } \mathbb{F} \). Given \( \mathcal{K} \in \mathcal{D}_{\text{mot}}(A; \mathbb{Q}) \) and \( \epsilon \in \text{Corr}_C(\mathcal{K}_0, \mathcal{K}_1) \), the map \( \epsilon : c_0^* \mathcal{K}_0 \to c_1^* \mathcal{K}_1 \) induces for every \( m, n \in \mathbb{Z} \) a map
\[
c_0^* \mathcal{K}_0[m](n) \to c_1^* \mathcal{K}_1[m](n)
\]
which we denote by \( T_{[m](n)} \epsilon \). The assignment \( \epsilon \mapsto T_{[m](n)} \epsilon \) defines an isomorphism
\[
\tilde{T}_{[m](n)} : \text{Corr}_C(\mathcal{K}_0, \mathcal{K}_1) \xrightarrow{\sim} \text{Corr}_C(\mathcal{K}_0[m](n), \mathcal{K}_1[m](n)).
\]

6.5.2. The trace map \( \text{Tr}_C : \text{Corr}_C(\mathcal{K}, \mathcal{K}(-i)) \to \text{CH}_i(\text{Fix}(C)) \) satisfies the identity
\[
\text{Tr}_C(\tilde{T}_{[m](n)} \epsilon) = (-1)^m \cdot \text{Tr}_C(\epsilon) \in \text{CH}_i(\text{Fix}(C)).
\]

6.5.3. \textit{Sht-valued trace}. Suppose \( \mathbb{F} = \mathbb{F}_q \) and consider the map \( \text{Tr}_{C}^{\text{Sht}} : \text{Corr}_C(\mathcal{K}, \mathcal{K}(-i)) \to \text{CH}_i(\text{Sht}(C)) \) (6.4.3). We have the identity
\[
\text{Tr}_{C}^{\text{Sht}}(\tilde{T}_{[m](n)} \epsilon) = (-1)^m q^{-n} \cdot \text{Tr}_{C}^{\text{Sht}}(\epsilon) \in \text{CH}_i(\text{Sht}(C)).
\] (6.5.1)

7. \textit{Specialization and motivic local terms}

The main result of this section is Theorem 7.5.1 which says that for a correspondence \( c = (Y \leftarrow C \to Y) \) of derived Artin stacks over a field \( \mathbb{F} \), the trace of a cohomological correspondence supported on \( c \) can be calculated after restriction to a closed substack \( Z \to Y \), provided that \( c \) is “contracting near \( Z \)”. We refer to Definition 7.2.1 for the meaning of the latter condition; for now we just mention that it is a condition on classical truncations. In fact, the entirety of this section deals only with properties and constructions of underlying classical truncations. Hence for this section alone, we change our default conventions so that all constructions (fibered products, etc.) occur within classical algebraic geometry.

The results in this section have previously appeared for schemes and \( \ell \)-adic coefficients in [Var07], and then for schemes and motivic coefficients in [Jim23]. Our only contribution is of a technical nature: we generalize their arguments to (higher) Artin stacks and motivic coefficients. This is needed in applications, in the present paper as well as in in other work-in-progress.
7.1. Ayoub’s nearby cycles functor. We work over a field $\mathbb{F}$. Let $i : s \hookrightarrow \mathbb{A}_F^1$ be the origin and $j : \eta \hookrightarrow \mathbb{A}_F^1$ its complement. Suppose we have a morphism of Artin stacks $f : Y \to \mathbb{A}_F^1$. For $? \in \{s, \eta\}$ the subscript ? will denote base change to ?. Thus we have a commutative diagram

$$
\begin{array}{ccc}
Y_s & \xrightarrow{i_Y} & Y & \xleftarrow{j_Y} & Y_\eta \\
\downarrow{f_s} & & \downarrow{f} & & \downarrow{f_\eta} \\
s & \xrightarrow{i} & \mathbb{A}_F^1 & \xleftarrow{j} & \eta
\end{array}
$$

(7.1.1)

where the squares are Cartesian.

Ayoub constructed and analyzed the motivic nearby cycles functor on schemes, in [Ayo07a, Ayo07b, Ayo14]. In [HL22 §A.2], Ayoub’s construction of the tame nearby cycles functor (part of the total motivic nearby cycles) is extended to Artin stacks for $D_{mot}(\cdot; \mathbb{Q})$, essentially by repeating Ayoub’s construction verbatim. We denote this functor

$$
\Psi_Y : D_{mot}(Y_\eta; \mathbb{Q}) \to D_{mot}(Y_s; \mathbb{Q}).
$$

It satisfies the following properties:

(a) $\Psi_Y$ is lax-monoidal, so in particular there are binatural transformations

$$
\Psi_Y^1(\mathcal{K}) \otimes \Psi_Y^1(\mathcal{K}') \to \Psi_Y^1(\mathcal{K} \otimes \mathcal{K}') \in D_{mot}(Y_s; \mathbb{Q})
$$

(7.1.2)

for all $\mathcal{K}, \mathcal{K}' \in D_{mot}(Y_\eta; \mathbb{Q})$. If $Y_0, Y_1$ are Artin stacks over $\mathbb{A}_F^1$, then as a special case of (7.1.2) we have natural maps

$$
\Psi_Y^1(K_0 \boxtimes_s K_1) \to \Psi_Y^1(K_0 \boxtimes_{\eta} K_1) \in D_{mot}(Y_0 \times_s Y_1; \mathbb{Q})
$$

(7.1.3)

for all $\mathcal{K}_i \in D_{mot}(Y_i; \mathbb{Q})$.

(b) For any morphism $g : Y' \to Y$, there are natural transformations

$$
g_s^* \circ \Psi_Y^1 \to \Psi_{Y'}^1 \circ g_\eta^* : D_{mot}(Y_\eta; \mathbb{Q}) \to D_{mot}(Y'_\eta; \mathbb{Q})
$$

(7.1.4)

and

$$
\Psi_{Y'}^1 \circ g_\eta^* \to g_s^* \circ \Psi_Y^1 : D_{mot}(Y'_\eta; \mathbb{Q}) \to D_{mot}(Y_s'; \mathbb{Q}),
$$

(7.1.5)

which are both isomorphisms if $g$ is smooth.

(c) The are natural transformations

$$
\Psi_Y^1 \circ g_{ss}^* \to g_{ss}^* \circ \Psi_Y^1 : D_{mot}(Y_\eta'; \mathbb{Q}) \to D_{mot}(Y_s'; \mathbb{Q})
$$

(7.1.6)

and

$$
g_{st}^* \circ \Psi_Y^1 \to g_{st}^* \circ \Psi_Y^1 : D_{mot}(Y_\eta'; \mathbb{Q}) \to D_{mot}(Y_s'; \mathbb{Q}),
$$

(7.1.7)

which are both isomorphisms if $g$ is proper.

(d) $\Psi_Y^1$ commutes with shifts and Tate twists.

Lemma 7.1.1. The functor $\Psi_Y^1$ preserves geometricity (in the sense of §3.3).

Proof. By (7.1.4) and the definition of geometricity, the statement can be checked after base change to a smooth atlas $Y' \to Y$ where $Y'$ is a derived scheme. Then the claim is [Jin24 Lemma 6.1.9(2)] (see also [Ayo07b] Theorem 3.5.14) for the case where $F$ is of characteristic zero. \hfill \Box

7.2. Contracting correspondences. Let $c = (Y \leftarrow C \rightarrow Y)$ be a correspondence of locally noetherian (classical) Artin stacks. The following definitions generalize those of Varshavsky in [Var07] Definition 1.5.1 and Definition 2.1.1.

Definition 7.2.1 (Contracting correspondences). Let $Z \hookrightarrow Y$ be a closed embedding defined by an ideal sheaf $\mathcal{I}_Z \subset \mathcal{O}_Y$.

(a) We say that $Z$ is $c$-invariant if $c_0^{-1}(Z)$ is set-theoretically contained in $c_0^{-1}(Z)$.

(b) We say that $c$ stabilizes $Z$ if $c_0^0(\mathcal{I}_Z) \subset c_0^1(\mathcal{I}_Z)$ (i.e., $c_1^{-1}(Z)$ is scheme-theoretically contained in $c_0^{-1}(Z)$).

(c) We say that $c$ is contracting near $Z$ if $c$ stabilizes $Z$ and there exists $n \in \mathbb{N}$ such that $c_0^n(\mathcal{I}_Z)^n \subset c_0^1(\mathcal{I}_Z)^{n+1}$.

\footnote{If we worked with the total nearby cycles functor instead of the tame part, then these maps would be isomorphisms.}
Note that (c) \implies (b) \implies (a).

**Example 7.2.2** (Frobenius contracts). Suppose \( Y \) is defined over a finite field \( F = F_q \) and \( c = (c_0, c_1): C \to Y \times Y \) is a correspondence stabilizing \( Z \hookrightarrow Y \). Then the Frobenius-twisted correspondence \( c^{(1)} := (\text{Frob} \circ c_0, c_1): C \to Y \times Y \) is contracting near \( Z \). Indeed, working locally on \( Y \) we may assume \( Y \) is noetherian, in which case this is proven in [Var07, Lemma 2.2.3].

**Construction 7.2.3** (Restricting correspondences to stable substacks). For any closed substack \( Z \) such that \( c \) stabilizes \( Z \), define the base-changed correspondence \( c_Z \) by the upper row in the commutative diagram

\[
\begin{array}{c}
Z \\
C \\
Y
\end{array}
\quad 
\begin{array}{c}
C_Z \\
C \\
Y
\end{array}
\]

where the right square is Cartesian. (The hypothesis that \( c \) stabilizes \( Z \) is used to ensure that the pullback \( C_Z \to C \xrightarrow{\cong} Y \) factors over \( Z \).)

**Lemma 7.2.4.** Let

\[
\begin{array}{c}
Z' \\
Z
\end{array}
\quad 
\begin{array}{c}
Y' \\
Y
\end{array}
\]

be a commutative diagram of locally noetherian Artin stacks. Then the induced map of normal cones \( N_{Z'}(Y') \to N_Z(Y) \) has set-theoretic image in the zero-section \( Z \subset N_Z(Y) \) if and only if there exists \( n \) such that \( f^*(I^n_Z) \subset I^{n+1}_Z \).

**Proof.** The same proof as in [Var07, Lemma 1.4.3(b)] works verbatim. \( \square \)

7.3. **Specialization.** Next we discuss specialization of Chow groups and cohomological correspondences in families.

7.3.1. **Specialization on Chow groups.** For a commutative diagram in which all squares are Cartesian, there is constructed in [DJK21] \S 4.5.6 a specialization map on relative Chow groups,

\[
\Phi_Y: CH_*(Y_\eta/\eta) \to CH_*(Y_s/s).
\]

7.3.2. **Specialization on cohomological correspondences.** Suppose we have a correspondence of Artin stacks \( Y_0 \xleftarrow{c_0} C \xrightarrow{c_1} Y_1 \) over \( A^1_F \) and a cohomological correspondence \( c \in \text{Corr}_{C_\eta}(K_0, K_1) \) supported on \( C_\eta \), where \( K_i \in D_{\text{mot}}(Y_{i\eta}, Q) \). Then we have a cohomological correspondence

\[
\Psi_C^*(c): c_{0*} \Psi_{Y_0}^1(K_0) \to c_{1*} \Psi_{Y_1}^1(K_1)
\]
defined as the composition

\[
c_{0*} \Psi_{Y_0}^1(K_0) \xrightarrow{\Phi_{C_\eta}(c)} \Psi_{C_\eta}^1(c_{0*} K_0) \xrightarrow{\phi_{C_\eta}(c)} \Psi_{C_\eta}^1(c_{1*} K_1) \xrightarrow{\psi_{C_\eta}(c)} c_{1*} (\Psi_{Y_1}^1(K_1)).
\]

The assignment \( c \mapsto \Psi_C^*(c) \) defines a map

\[
\Psi^*: \text{Corr}_{C_\eta}(K_0, K_1) \to \text{Corr}_{C_\eta}(\Psi_{Y_0}^1(K_0), \Psi_{Y_1}^1(K_1)).
\]

\[12\] Strictly speaking, [DJK21] operated in the schematic context, but [Kha19b] generalizes all the ingredients of the construction to derived Artin stacks.

\[13\] We emphasize again that the subscripts \( ? \in \{\eta, s\} \) indicate base change to \( ? \).
7.3.3. **Specialization vs. trace.** Under favorable conditions, the specialization of cohomological correspondences is compatible with formation of trace. This was shown by Varshavsky for \(\ell\)-adic sheaves on schemes in [Var07, Proposition 1.3.5], and Jin adapted the argument to motivic sheaves on schemes in [Jin24, Lemma 6.2.4]. We record the statement in our more general context.

**Proposition 7.3.1.** Let \(\iota: Z \hookrightarrow Y\) be a correspondence of derived Artin stacks over \(\mathbf{A}^1_F\). If \(\mathcal{K} \in \mathcal{D}_{\text{mot,gm}}(Y; \mathbb{Q})\) is USLA over \(\eta\), then the following diagram commutes:

\[
\begin{array}{ccc}
\text{Corr}_{C_s}(\mathcal{K}; \mathcal{K}(\iota)) & \xrightarrow{\Psi^i_{C_s}} & \text{Corr}_{C_s}(\Psi^i_{C_s} \mathcal{K}, \Psi^i_{C_s} \mathcal{K}(\iota)) \\
\downarrow_{\text{Tr}_{C_s}} & & \downarrow_{\text{Tr}_{C_s}} \\
\text{CH}_i(\text{Fix}(C_s)/\eta) & \xrightarrow{s_{C_s}} & \text{CH}_i(\text{Fix}(C_s)/s)
\end{array}
\]

(7.3.4)

**Proof.** By the same formal diagram chase as in the proof of [Jin24, Lemma 6.2.4], this is reduced to the lax-monoidality of \(\Psi^i\). \(\square\)

7.4. **Specialization to the normal cone.** Let \(\iota: Z \hookrightarrow Y\) be a closed immersion of Artin stacks over \(\mathbf{F}\). Then there is a deformation to the normal cone \(D_Z(Y)\), which is a family of Artin stacks over \(\mathbf{A}^1_F\) which restricts to the constant family \(Y \times \mathbf{G}_m\) over \(\eta = \mathbf{G}_m\) and the normal cone \(N_Z(Y)\) over \(s\). It may be constructed by forming the blow-up of \(Y \times \mathbf{A}^1\) along \(Z \times \{0\}\) and taking out the blow-up of \(Y \times \{0\}\) along \(Z \times \{0\}\). (We emphasize that we are considering the classical deformation to the normal cone rather than the derived version.)

The construction of \(D_Z(Y)\) is functorial in \(Z\) and \(Y\). Following Varshavsky [Var07], we use the notation \((\_\_\) for constructions induced by deformation to the normal cone, and \((\_\_\) or \((\_\_\) for the base changes to \(\eta\) or \(s\), respectively. For example, given a commutative diagram

\[
\begin{array}{ccc}
Z' & \xrightarrow{i'} & Y' \\
\downarrow h & & \downarrow g \\
Z & \xrightarrow{\iota} & Y
\end{array}
\]

(7.4.1)

we get a map \(\widetilde{g}: D_{Z'}(Y') \to D_Z(Y)\).

7.4.1. **Specialization of cycle classes.** Applying the specialization construction of [7.3.2] to \(D_Z(Y)\), we get a map

\[
s_{p,D_Z(Y)}: \text{CH}_*(Y \times \eta/\eta) \to \text{CH}_*(N_Z(Y)).
\]

(7.4.2)

Composing with the pullback map \(\text{CH}_*(Y) \to \text{CH}_*(Y \times \eta/\eta)\), we get a map\(^{15}\)

\[
s_{p,Y,Z}: \text{CH}_*(Y) \to \text{CH}_*(N_Z(Y)).
\]

(7.4.3)

7.4.2. **Specialization of sheaves.** Formation of nearby cycles with respect to \(D_Z(Y)\) gives rise to a functor of specialization to the normal cone,

\[
s_{p,Y,Z}: \mathcal{D}_{\text{mot}}(Y; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(N_Z(Y); \mathbb{Q})
\]

(7.4.4)

defined by the formula

\[
s_{p,Y,Z}(\mathcal{K}) := \Psi^i_{D_Z(Y)}(\text{pr}^* \mathcal{K})
\]

(7.4.5)

where \(\text{pr}: Y \times \mathbf{G}_m \to Y\) is the projection to the first factor.

Given a commutative square (7.4.1), (7.1.4) gives a natural transformation

\[
\widetilde{g} \circ s_{p,Y,Z} \to s_{p,Y',Z'} g^*: \mathcal{D}_{\text{mot}}(Y; \mathbb{Q}) \to \mathcal{D}_{\text{mot}}(N_Z(Y'); \mathbb{Q}).
\]

(7.4.6)

\(^{14}\)To form the trace, we are implicitly using that \(\Psi^i_{Y_m} \mathcal{K}\) is dualizable in \(\text{LZ}(S)\) and \(\text{LZ}(S)^*\). This is because \(\Psi^i_{Y_m} \mathcal{K}\) is USLA, by Example [3.7.3] and geometric, by Lemma [7.1.1] hence dualizable by Proposition [5.2.3].

\(^{15}\)This can be defined more directly as in [DJK21, Def. 3.2.4] or [Kha19b, Constr. 3.1], but this alternative description will be convenient for us.
Example 7.4.1. If \( \iota: Z \hookrightarrow Y \) is an isomorphism, then \( D_Z(Y) \) is the constant family \( Z \times \mathbb{A}^1 \), so \( sp_{Y,Z} = \text{Id} \) in that case. Taking \( Z' \to Y' \) to be the identity map \( Z = Z \) in (7.4.1), we get a closed embedding \( \iota: Z \times \mathbb{A}^1 \to D_Z(Y) \), which restricts to the constant embedding \( Z \times \mathbb{G}_m \to Y \times \mathbb{G}_m \) over \( \eta \) and the zero section \( Z \hookrightarrow N_Z(Y) \) over \( s \). Hence in this case, (7.4.6) gives a map
\[
\iota^* sp_{Y,Z}(K) \to \iota^* K \in D_{\text{mot}}(Z; \mathbb{Q}).
\] (7.4.7)

Proposition 7.4.2 (Verdier, Jin). For all \( K \in D_{\text{mot}}(Y; \mathbb{Q}) \), the map \( \text{7.4.7} \) is an isomorphism.

Proof. The statement can be checked smooth locally on \( Y \), so it reduces to the case where \( Y \) (and hence \( Z \)) is a scheme. Then it is \[ \text{Jin24, Proposition 6.3.14}. \]

7.4.3. Specialization of cohomological correspondences. Let \( c = (Y \overset{\iota_0}{\leftarrow} C \overset{\iota_1}{\to} Y) \) be a correspondence of Artin stacks locally of finite type over a field \( F \). Suppose \( c \) stabilizes a closed substack \( Z \hookrightarrow Y \). Then \( c \) can be restricted to a correspondence \( (Z \leftarrow C_Z \to Z) \) by Construction 7.2.3. We consider the correspondence \( \tilde{c} \)
\[
D_Z(Y) \xrightarrow{\tilde{c}_0} D_C(C) \xrightarrow{\tilde{c}_1} D_Z(Y)
\] (7.4.8)
over \( \mathbb{A}^1_F \), defined by deformation to the normal cone with respect to the vertical closed embeddings in (7.2.1). Its fibers away from the origin are isomorphic to \( c \) and over \( s : \text{Spec}(F) \to \mathbb{A}^1_F \) it degenerates to the correspondence of normal cones:
\[
N_Z(Y) \xleftarrow{N(c_0)} N_{C_Z}(C) \xrightarrow{N(c_1)} N_Z(Y)
\] (7.4.9)
Given \( K_0, K_1 \in D_{\text{mot}}(Y; \mathbb{Q}) \), consider the specialization map on cohomological correspondences
\[
sp_{C,C_Z}: \text{Corr}_{C}(K_0, K_1) \to \text{Corr}_{N_{C_Z}(C)}(sp_{Y,Z}(K_0), sp_{Y,Z}(K_1))
\] (7.4.10)
defined as the composite of the pullback \( pr^*: \text{Corr}_{C}(K_0, K_1) \to \text{Corr}_{C \times \mathbb{G}_m}(pr^* K_0, pr^* K_1) \) and the map \( \Psi^*_{D_C(C)}(7.3.3) \).

7.4.4. Specialization vs. trace. Applied to the deformation to the normal cone, Proposition 7.3.1 yields the following compatibility between the specialization maps of \( \text{7.4.1} \) and \( \text{7.4.3} \).

Corollary 7.4.3. Let \( c = (Y \overset{\iota_0}{\leftarrow} C \overset{\iota_1}{\to} Y) \) be a correspondence of Artin stacks locally of finite type over a field \( F \) and let \( Z \hookrightarrow Y \) be a closed substack stabilized by \( c \). Then for every \( K \in D_{\text{mot},\text{gm}}(Y; \mathbb{Q}) \), the following diagram commutes:
\[
\begin{array}{ccc}
\text{Corr}_{C}(K, K(-i)) & \xrightarrow{sp_{C,C_Z}} & \text{Corr}_{N_{C_Z}(C)}(sp_{C_Z}(C)K, sp_{C_Z}(C)K(-i)) \\
\downarrow \text{Tr}_C & & \downarrow \text{Tr}_{N_{C_Z}(C)} \\
\text{CH}_i(\text{Fix}(C)) & \xrightarrow{sp_{C,C_Z}} & \text{CH}_i(\text{Fix}(N_{C_Z}(C))).
\end{array}
\] (7.4.11)

Proof. Since \( K \) is USLA over \( \text{Spec}(F) \) (Example 3.7.3), \( pr^* K \) is USLA over \( \mathbb{G}_m \) where \( pr : Y \times \mathbb{G}_m \to Y \) is the projection (Lemma 3.7.2). Hence we may apply Proposition 7.3.1.

7.5. Motivic local terms. We will now prove the following result, which appears in the case of \( \ell \)-adic sheaves on schemes in [Var07, Theorem 2.1.3] and in the case of motivic sheaves on schemes in [Jin24, Theorem 5.2.14].

Given a correspondence \( (Y \overset{\iota_0}{\leftarrow} C \overset{\iota_1}{\to} Y) \) of Artin stacks locally of finite type over a field \( F \) and a closed substack \( \iota: Z \hookrightarrow Y \) stabilized by \( c \), we consider again the base-changed correspondence \( (Z \overset{\iota_0Z}{\leftarrow} C_Z \overset{\iota_1Z}{\to} Z) \) as in (7.2.1). Since the right square in (7.2.1) is Cartesian, \( \iota \) induces a right topologically pullable map of correspondences in the sense of Remark 4.3.3 and there is a pullback operation \( \iota^* \) on cohomological correspondences (see 4.3.2).

---

16For schemes and \( \ell \)-adic sheaves, the analogous statement appears in work of Verdier [Ver83, §8] with a sketch of proof. A full proof is given by Varshavsky in [Var07, §3].
Theorem 7.5.1. Let \( c = (c_0, c_1) : C \to Y \times Y \) be a correspondence of locally finite type Artin stacks over \( F \). Let \( \iota : Z \to Y \) be a closed substack such that \( C \) is contracting near \( Z \). Let \( K \in \mathcal{D}_{\text{mot, gm}}(Y; Q) \). Then \( \text{Fix}(C_Z) \to \text{Fix}(C) \) is open-closed, and for any \( c \in \text{Corr}_C(K, K(-i)) \), we have
\[
\text{Tr}_C(c^*|_{\text{Fix}(C_Z)}) = \text{Tr}_{C_Z}(\iota^* c) \in \text{CH}_d(\text{Fix}(C_Z)).
\]

Lemma 7.5.2. The correspondence \( c \) is contracting near \( Z \) if and only if it stabilizes \( Z \) and the set-theoretic image of \( \bar{c}_0 = N(c_0) \) is contained in the zero-section \( Z \to \text{N}_Z(Y) \).

Proof. Follows from Lemma 7.2.4. See also [Jin24, Lemma 6.4.2(1)]. \( \square \)

Lemma 7.5.3. Continuing to assume that \( c \) stabilizes \( Z \), the commutative cube

\[
\begin{array}{ccc}
\text{Fix}(C_Z) & \xrightarrow{\iota} & C_Z \\
\downarrow & & \downarrow \\
\text{Fix}(C) & \xrightarrow{\iota} & C \\
\downarrow & & \downarrow \\
Z & \xrightarrow{\Delta} & Y \times Y
\end{array}
\]

has all squares Cartesian.

Proof. Since \( Z \to Y \) is a closed embedding, we have \( Z \cong Z \times_Y Z \cong (Z \times Z) \times_Y Y \), so the bottom square is Cartesian. The back face is Cartesian by definition. Hence the diagonal square with vertices \( \text{Fix}(C_Z), C_Z, Y, Y \times Y \) is Cartesian. The front face is Cartesian by definition, hence the top face is also Cartesian.

The right face is Cartesian by the assumption that \( c \) stabilizes \( Z \). Hence the diagonal square with vertices \( \text{Fix}(C_Z), C, Z, Y \times Y \) is Cartesian. As the front face is Cartesian, the left face is also Cartesian. We have now checked that all squares are Cartesian. \( \square \)

Proposition 7.5.4. If \( c \) is contracting near \( Z \), then the map \( \text{Fix}(C_Z) \to \text{Fix}(C) \) is open-closed on reduced substacks.

Proof. In the schematic case this is [Var07, Theorem 2.1.3(a)], and the argument is the same in essence. By passing to an open subset of \( C \), we may assume that \( \text{Fix}(C) \) is connected and noetherian and \( \text{Fix}(C_Z) \) is non-empty. Since \( c \) is contracting near \( Z \), the map \( \bar{c}_0 = N(c_0) \) has set-theoretic image contained in the zero-section \( Z \to \text{N}_Z(Y) \) by Lemma 7.5.2. Hence the same holds for the composite map
\[
\text{N}_{\text{Fix}(C_Z)}(\text{Fix}(C)) \to \text{N}_{C_Z}(C) \xrightarrow{\text{N}(c_0)} \text{N}_Z(Y).
\]

Under the identification \( \text{Fix}(C_Z) \cong c^{-1}(Z) \) where \( c' : \text{Fix}(C) \to Y \) (Lemma 7.5.3), it follows by Lemma 7.2.4 that \( (c')^* T_2^n \subset (\mathcal{I}_{c'-1}(Z))^{n+1} \) for some \( n \). Since \( (c')^* T_2^n = (\mathcal{I}_{c'-1}(Z))^n \), we deduce that \( (\mathcal{I}_{c'-1}(Z))^n \) for some \( n \). The noetherianity and connectedness then imply that \( (\mathcal{I}_{c'-1}(Z))^n = 0 \), so \( \text{Fix}(C)_{\text{red}} \cong c^{-1}(Z)_{\text{red}} \cong \text{Fix}(C_Z)_{\text{red}} \).

Proposition 7.5.5. If \( c \) is contracting near \( Z \), then \( \text{Fix}(D_{C_Z}(C))_{\text{red}} \) is isomorphic to the constant family \( \text{Fix}(C_Z)_{\text{red}} \) over \( \mathbb{A}^1 \).

Proof. In the schematic case this is [Var07, Theorem 2.1.3(b)], and the argument is the same in essence. By passing to an open subset of \( C \), we may assume that \( \text{Fix}(C) \) is connected and noetherian and \( \text{Fix}(C_Z) \) is non-empty. Then thanks to Proposition 7.5.4 we have \( \text{Fix}(C)_{\text{red}} \cong \text{Fix}(C_Z)_{\text{red}} \). As \( (c_Z)_{\text{red}} \) is a constant family of correspondences over \( \mathbb{A}^1 \), we have \( \text{Fix}(C)_{\text{red}} \times \mathbb{A}^1 \cong \text{Fix}(D_{C_Z}(C))_{\text{red}} \), which by Lemma 7.5.3 admits a closed embedding into \( \text{Fix}(D_{C_Z}(C))_{\text{red}} \), which is moreover an isomorphism over \( \eta \subset \mathbb{A}^1 \). It then suffices to show that the special fiber \( \text{Fix}(N_{C_Z}(C)) \) is set-theoretically supported within \( \text{Fix}(C) \).
By Lemma 7.5.2 \( \bar{c}_{0a}[\text{Fix}(NC_{Z}(C))] \) has set-theoretic image contained in the zero-section \( Z \hookrightarrow N_{Z}(Y) \). Hence the same is true for \( \bar{c}_{1a}[\text{Fix}(NC_{Z}(C))] \), so \( \text{Fix}(NC_{Z}(C)) \) has set-theoretic image contained in the zero-section \( C_{Z} \hookrightarrow NC_{Z}(C) \) under both \( \bar{c}_{0a} \) and \( \bar{c}_{1a} \). But the restriction of \( \bar{c}_{s} \) to \( c_{1}^{-1}(Z) \) equals the correspondence \( c_{Z} \), so we deduce that \( \text{Fix}(NC_{Z}(C)) \) is set-theoretically contained in \( \text{Fix}(C_{Z}) \subset \text{Fix}(C) \), as desired. \( \square \)

Recall the specialization to the normal cone map \( sp_{C,C_{Z}} \) on cohomological correspondences defined in \( \S 7.4.3 \)

**Proposition 7.5.6.** Suppose \( c \) is contracting near \( Z \). Let \( K \in D_{\text{mot},gm}(Y; \mathbb{Q}) \) and let \( \epsilon \in \text{Corr}_{C}(K, K_{(-i)}) \) be a cohomological correspondence. If \( sp_{C,C_{Z}}(\epsilon) = 0 \), then we have

\[
\text{Tr}_{C}(\epsilon)|_{\text{Fix}(C_{Z})} = 0 \in CH_{i}(\text{Fix}(C_{Z})).
\]

**Proof.** By Corollary 7.4.3 we have

\[
sp_{C,C_{Z}}(\text{Tr}_{C}(\epsilon)) = \text{Tr}_{NC_{Z}(C)}(sp_{C,C_{Z}}(\epsilon)) = 0.
\]

Since the map \( sp_{C,C_{Z}} \) is an isomorphism in this case by Proposition 7.5.5, we conclude that \( \text{Tr}_{C}(\epsilon) = 0 \). \( \square \)

**Corollary 7.5.7.** Suppose \( c \) is contracting near \( Z \). Let \( K \in D_{\text{mot},gm}(Y; \mathbb{Q}) \) and let \( \epsilon \in \text{Corr}_{C}(K, K_{(-i)}) \) be a cohomological correspondence. If \( i^{*}K \cong 0 \in D_{\text{mot}}(Z; \mathbb{Q}) \), then we have

\[
\text{Tr}_{C}(\epsilon)|_{C_{Z}} = 0 \in CH_{i}(\text{Fix}(C_{Z})).
\]

**Proof.** By Proposition 7.4.2 we have \( sp_{Y,Z}(K)|_{Z} \cong K|_{Z} \cong 0 \). By Lemma 7.5.2 the map \( \bar{c}_{0a} \) has set-theoretic image contained in the zero-section \( Z \subset N_{Z}(Y) \), hence also \( \bar{c}_{0a} sp_{Y,Z}(K) \cong 0 \). In particular, \( sp_{C,C_{Z}}(\epsilon) = 0 \). We conclude by Proposition 7.5.6. \( \square \)

**Proof of Theorem 7.5.1** Since the diagram (7.2.1) is left pushable (as the vertical maps are closed embeddings, hence proper, cf. Example 4.2.3), \( i^{*} \epsilon \in \text{Corr}_{C}(i^{*}K_{0}, i^{*}K_{(-i)}) \) is defined. By Proposition 6.2.1 we have

\[
\text{Tr}_{C}(i^{*} \epsilon) = \text{Tr}_{C_{Z}}((i^{*} \epsilon)_{j^{*}}).
\]

Let \( j : V \hookrightarrow Y \) be the open embedding complementary to \( i \). Since \( Z \) is \( c \)-invariant, we have \( c_{0}^{-1}(V) \subset c_{1}^{-1}(V) \). Let \( C_{V} := c_{0}^{-1}(V) \). Then the map of correspondences

\[
\begin{array}{ccc}
V & \xleftarrow{c_{0}^{V}} & C_{V} \xrightarrow{c_{1}^{V}} V \\
Y & \xleftarrow{c_{0}} & C \xrightarrow{c_{1}} Y
\end{array}
\]

(7.5.1)

is right pullable (since the vertical maps are open embeddings, hence smooth, cf. Example 4.2.3), so \( j^{*} \epsilon \in \text{Corr}_{C_{V}}(j^{*}K, j^{*}K_{(-i)}) \) is defined. Since the left square of (7.5.1) is Cartesian, it is also left pushable, so \( j^{*}i^{*} \epsilon \in \text{Corr}_{C}(j^{*}j^{*}K, j^{*}j^{*}K_{(-i)}) \) is defined. Since \( i^{*}j^{*}K \cong 0 \in D_{\text{mot}}(Z; \mathbb{Q}) \), we have \( \text{Tr}_{C}(j^{*}i^{*} \epsilon)|_{\text{Fix}(C_{Z})} = 0 \) by Corollary 7.5.7.

We have

\[
\text{Tr}_{C}(\epsilon) = \text{Tr}_{C}(i^{*} \epsilon) + \text{Tr}_{C}(j^{*}i^{*} \epsilon) = \text{Fix}(i^{*})(\text{Tr}_{C_{Z}}(i^{*} \epsilon))
\]

(7.5.2)

by additivity of the trace (see [Jin24, Lemma 5.2.7], whose proof works verbatim for stacks). Then restricting to \( \text{Fix}(C_{Z}) \), and we conclude using that \( \text{Tr}_{C}(j^{*}i^{*} \epsilon)|_{\text{Fix}(C_{Z})} = 0 \) as found in the preceding paragraph. \( \square \)

8. Derived homogeneous Fourier transform

Let \( E \) be a vector bundle over a scheme \( S \) and \( \widehat{E} \) the dual vector bundle. Laumon [Lau03] introduced a geometric Fourier transform

\[
D^{G_{m}}(E; \mathbb{Q}_{l}) \rightarrow D^{G_{m}}(\widehat{E}; \mathbb{Q}_{l})
\]

on bounded constructible derived categories of homogeneous (i.e., \( G_{m} \)-equivariant) \( \ell \)-adic sheaves. It can be regarded as a uniform version of the \( \ell \)-adic Fourier transform of Deligne-Laumon [Lau7] (for base fields of characteristic \( p > 0 \)) and the \( D \)-module Fourier transform of Brylinski–Malgrange–Verdier (for base fields of characteristic 0).

\[\text{We also use the notation } E^{*} \text{ for the dual vector bundle to } E. \text{ However, this leads to an inconvenient notation for the dual of the map, so we avoid it when discussing the Fourier transform. We note that the notation } E^{\vee} \text{ is also reserved for the Serre dual of } E.\]
We will describe an extension of this construction from vector bundles to derived vector bundles, i.e., total spaces of perfect complexes (cf. [FYZZ36 §6.1]). As explained in §2.4, the total space of a perfect complex $E$ exists naturally as a derived Artin stack $\text{Tot}(E)$, which can exhibit both derived and stacky behavior depending on the amplitude of the complex. Thus in this context, the Fourier transform manifests a duality between derived and stacky phenomena.

For this section (only), the scope of the sheaf theory will be expanded significantly. In fact, we will show that the homogeneous Fourier transform is well-behaved in the context of any reasonable six functor formalism. More precisely, we will work in the generality of any topological weave in the sense of [Kha]. This includes classical six functor formalisms such as the derived $\infty$-category of sheaves of abelian groups (over $\mathbb{C}$) or the derived $\infty$-category of $\ell$-adic sheaves (over a base on which $\ell$ is invertible), but also various motivic $\infty$-categories: Voevodsky motives, MGL-modules, or motivic spectra (not even orientability is required). We include this added generality because the arguments are uniform, and also for the sake of forthcoming applications in different sheaf-theoretic contexts.

Here is an outline of this section. We begin in §8.2 by defining the homogeneous Fourier transform for derived vector bundles and stating our results about it. §8.3 recalls the Contraction Principle and its consequences. §8.4 carries out some technical computations. In §8.4 we redo some straightforward computations from [Lau13] that don’t involve derived vector bundles, adapting the arguments from op. cit. to the generality we work in here. In §8.5 we prove the easier properties that are independent of involutivity. The proof of involutivity is achieved in §8.6 – §8.8. Finally the remaining properties of the Fourier transform are derived from involutivity in §8.9.

8.1. Conventions and notation.

8.1.1. Sheaves. Throughout the section we fix a topological weave $D$, which is an axiomatization of a sheaf theory with the six functor formalism introduced in [Kha]. Roughly speaking this is a six-functor formalism with the following properties:

(a) **Localization:** The $\infty$-category $D(\emptyset)$ is zero. For any derived Artin stack $Y$ and any closed-open decomposition $i : Z \to Y, j : Y \setminus Z \to Y$, there is a canonical exact triangle of functors

$$j_! j^* \to \text{id} \to i_* i^*. \tag{8.1.1}$$

(b) **Homotopy invariance:** For any derived Artin stack $Y$ and vector bundle $\pi : E \to Y$, the unit morphism $\text{id} \to \pi_! \pi^*$ is invertible.

Note that localization implies derived invariance: for a derived Artin stack $Y$, the inclusion of the classical truncation $Y_{cl} \hookrightarrow Y$ induces an equivalence $D(Y) \cong D(Y_{cl})$ which commutes with each of the six functors. We also have Poincaré duality, which for a topological weave gives a canonical isomorphism

$$f^!(\cdot) \cong f^*(-)(T_f) \tag{8.1.2}$$

for $f$ any smooth morphism with relative tangent bundle $T_f$. (Here $(T_f)$ is the Thom twist by $T_f$.) If $D$ admits an orientation (as in the first five examples below), we may identify $(T_f) \cong (d) := (d)[2d]$ where $d$ is the relative dimension of $f$.

On (derived) schemes, examples of weaves are as follows:

(a) **Betti sheaves (over $\mathbb{C}$):** The assignment $Y \mapsto D(Y)$ sending $Y$ to the derived $\infty$-category $D(Y(\mathbb{C}); \mathbb{Z})$ of sheaves of abelian groups on the topological space $Y(\mathbb{C})$.

(b) **Étale sheaves (finite coefficients, over $k$):** The assignment $Y \mapsto D(Y)$ sending $Y$ to the derived $\infty$-category $D_{\text{ét}}(Y; \mathbb{Z}/n\mathbb{Z})$ of sheaves of $\mathbb{Z}/n\mathbb{Z}$-modules on the small étale site of $Y$.

(c) **Étale sheaves ($\ell$-adic coefficients, over $k$):** The assignment $Y \mapsto D(Y)$ sending $Y$ to the $\ell$-adic derived $\infty$-category $D_{\text{ét}}(X; \mathbb{Z}_\ell)$ of sheaves on the small étale site of $Y$, i.e., the limit of $D_{\text{ét}}(Y; \mathbb{Z}/\ell^n\mathbb{Z})$ over $n > 0$.

(d) **Motives:** For any commutative ring $\Lambda$, the assignment $Y \mapsto D(Y)$ sending $Y$ to the $\infty$-category $D_{\text{mot}}(Y; \Lambda) := D_{\text{HA}}(Y)$ of modules over the $\Lambda$-linear motivic Eilenberg–MacLane spectrum $HA_Y$ (defined as in [Spi18]).

(e) **Cobordism motives:** The assignment $Y \mapsto D(Y)$ sending $Y$ to the $\infty$-category $D_{\text{MGL}}(Y)$ of modules over Voevodsky’s algebraic cobordism spectrum $\text{MGL}_Y$. 
(f) **Motive spectra:** The assignment $X \mapsto D(Y)$ sending $Y$ to the $\infty$-category $\text{SH}(Y)$ of motive spectra over $Y$.

The categories $D(Y)$ are symmetric monoidal, and we denote by $1_Y$ (or just $1$ when context is clear) the monoidal units.

When the weave satisfies étale descent, it can be extended to (derived) Artin stacks following the method of \[LZ17\] (see also \[Kha19b\] App. A). This is the case for the first three examples, as well as for the weave of interest in the rest of this paper, $Y \mapsto D_{\text{mot}}(Y; \mathbb{Q})$.

In general, $D$ only satisfies Nisnevich descent. In that case the six-functor formalism extends to Nis-Artin stacks (see \[Kha\] §4, \[KR22\] §1). For $\tau \in \{\text{Nis}, \text{ét}\}$ we define $(\tau, n)$-Artin and $\tau$-Artin stacks as in \[KR22\] 0.2.2:

(i) A stack is $(\text{ét}, 0)$-Artin, resp. $(\text{Nis}, 0)$-Artin, if it is an algebraic space (resp. a decent algebraic space). Here an algebraic space is *decent* if it is Zariski-locally quasi-separated, or equivalently Nisnevich-locally a scheme.

(ii) For $n > 0$, $X$ is $(\tau, n)$-Artin if it has $(\tau, n - 1)$-representable diagonal and admits a smooth morphism $U \to X$ with $\tau$-local sections from some scheme $U$. A stack is $\tau$-Artin if it is $(\tau, n)$-Artin for some $n$.

For $\tau = \text{ét}$, these are the usual notions of $n$-Artin stacks and Artin stacks, while e.g. $(\text{Nis}, 1)$-Artin stacks are the same as quasi-separated 1- Artin stacks with separated diagonal by \[LMB00\] §6.7.

If our chosen weave $D$ does not satisfy étale descent, then we adopt the convention that $Artin$ means “Nis-Artin”.

### 8.1.2. $G_m$-Equivariant sheaves

If $X$ is a derived Artin stack with $G_m$-action, we define the $\infty$-category of $G_m$-equivariant sheaves on $X$ as the $\infty$-category of sheaves on the quotient stack:

$$D^{G_m}(X) := D([X/G_m]).$$

There is a forgetful functor

$$D^{G_m}(X) \to D(X)$$

defined by $*$-pullback along the (smooth) quotient morphism $X \to [X/G_m]$.

For any $G_m$-equivariant morphism $f : X \to Y$ the four operations $f^*, f_* , f_! , f^!$ are defined on the $G_m$-equivariant category using the induced morphism $[X/G_m] \to [Y/G_m]$. Since each operation commutes with smooth $*$-inverse image, they each commute with forgetting equivariance.

Note that, when the weave $D$ does not satisfy étale descent, we are implicitly using the fact that the group scheme $G_m$ is special in the sense of Serre (see \[Ser58\] §4.1], so that $X \to [X/G_m]$ admits Zariski-local sections and hence the quotients are Nis-Artin whenever $X$ is.

### 8.1.3. Derived vector bundles

In addition to \[Z24\] we will use the following notations and properties of derived vector bundles.

(a) We write $E[n] := \text{Tot}(\mathcal{E}[n])$ for every integer $n$.

(b) We say $E$ is of amplitude $\geq 0$ (resp. $\leq 0$, $[a, b]$) if $E$ is of tor-amplitude $\geq 0$ (resp. $\leq 0$, $[a, b]$). Note that since $E$ is perfect, $E$ is of some finite amplitude.

**Notation 8.1.1.** Given a derived vector bundle $E$ over a derived Artin stack $S$, we denote by $\pi_E : E \to S$ the projection and $0_E : S \to E$ the zero section.

**Notation 8.1.2.** For every $E \in \text{DVect}(S)$, it will be convenient to denote the quotient by the $G_m$-scaling action by:

$$^1E := [E/G_m].$$

(8.1.3)

Given a morphism of derived vector bundles $\phi : E' \to E$, we also write $^1\phi : ^1E' \to ^1E$ for the induced morphism. We will also use the same notation for $G_m$-invariant subsets of $E$, e.g., $^1G_{m,S} = S$. If the weave $D$ does not satisfy étale descent, we need the following:

**Proposition 8.1.3.** Let $S$ be a derived stack and $E \in \text{DVect}(S)$ a derived vector bundle over $S$. If $E$ is of amplitude $\geq 0$, then the projection $\pi_E : E \to S$ is affine. If $E$ is of amplitude $\geq -n$, where $n > 0$, then $\pi_E$ is $(n, \text{Nis})$-Artin.

---

\[18\] We remind that we are using the cohomological indexing here.
Proof. The proof is the same as that of \(n\)-Artiness which is well-known. We recall it anyway for the reader’s convenience. Suppose \(E\) is of amplitude \(\geq -n\) where \(n = 0\) (resp. \(n > 0\)). It is enough to show that if \(S\) is affine, then \(E\) is affine (resp. \((n, \text{Nis})\)-Artin). In the \(n = 0\) case we have \(E = \text{Tot}(\mathcal{E}) \cong \text{Spec}(\text{Sym}(\mathcal{E}^*))\) (§2.4). Thus assume \(n > 0\).

Let us assume the statement known for \(n - 1\) and argue by induction. Since \(E[-1]\) is of amplitude \(\geq -n+1\), it is \((\text{Nis}, n-1)\)-Artin by inductive hypothesis. This implies that \(E\) has \((\text{Nis}, n-1)\)-representable diagonal.

We now construct a \(\text{Nis}\)-atlas for \(E\). Since \(S\) is affine we may choose a presentation of \(E\) as a cochain complex of vector bundles (as in §2.2) and let \(\mathcal{E}^{\leq 0}\) and \(\mathcal{E}^{> 0}\) denote the brutal truncations so that we have an exact triangle \(\mathcal{E}^{> 0} \to \mathcal{E} \to \mathcal{E}^{\leq 0}\). Taking total spaces we have a derived Cartesian square

\[
\begin{array}{ccc}
E^{\leq 0} & \to & E \\
\downarrow & & \downarrow \\
S & \to & E^{> 0}.
\end{array}
\]

We claim that \(0: S \to E^{> 0}\) is smooth and admits Nisnevich-local sections. Since \(E^{\leq 0}\) is affine by the \(n = 0\) case, \(i: E^{\leq 0} \to E\) will then be a \(\text{Nis}\)-atlas for \(E\).

Let \(T\) be an affine derived scheme over \(S\) and \(f: T \to E^{> 0}\) an \(S\)-morphism. Since \(E^{> 0}\) is of amplitude \(< 0\) and \(T\) is affine, we have \(\pi_0 \text{Maps}_S(T, E^{> 0}) \cong \{0\}\) by the definition of \(\text{Tot}(\cdot)\) in §2.4. In other words, \(f\) factors through the zero section \(0: S \to E^{> 0}\). The base change of \(0: S \to E^{> 0}\) along \(f\) is therefore identified with the projection \(E^{> 0}[1] \times_S T \to T\). The latter clearly admits a section over \(T\) (e.g. the zero section), and is smooth because \(E^{> 0}[1]\) is of amplitude \([-n+1, 0]\). The claim follows. \(\square\)

Remark 8.1.4. In fact one can easily show: \(E\) is of amplitude \(\geq 0\) if and only if \(\pi_E\) is affine, if and only if \(\pi_E\) is representable, if and only if \(0_E\) is a closed immersion. Similarly, \(E\) is of amplitude \(\leq 0\) if and only if \(\pi_E\) is smooth, if and only if \(\pi_E\) is affine.

8.2. Definition and properties of the derived homogeneous Fourier transform.

8.2.1. Homogeneous Fourier kernel. The quotient stack \(\dag E\) classifies pairs \((L, \phi: L \to E)\). Consider the homogeneous evaluation morphism

\[
ev_E : \dag E \times_S \dag E \to \dag A_S^1
\]  

(8.2.1)
sending a pair

\[
((L, \phi: L \to \hat{E}), (L', \psi: L' \to E))
\]
to

\[
(L \otimes L', L \otimes L') \xrightarrow{\phi \otimes \psi} \hat{E} \otimes_S E \xrightarrow{ev} A_S^1.
\]

We define

\[
\mathcal{P}_E := ev_E^⋆(\dag j_*(1)) \in \mathbf{D}(\dag E \times_S \dag E)
\]  

(8.2.2)

where \(j : G_{m,S} \to \dag A_S^1\) is the inclusion and \(\dag j : S = \dag G_{m,S} \to \dag A_S^1\) is the induced map of quotient stacks.

8.2.2. Homogeneous Fourier transform. Let \(pr_1\) and \(pr_2\) denote the respective projections

\[
\begin{array}{ccc}
\dag \hat{E} & \to & \dag E \\
\downarrow & & \downarrow \\
\dag E & \to & \dag E
\end{array}
\]

The homogeneous Fourier transform on \(E\) is the functor

\[
\text{FT}_E : \mathbf{D}^G_m(E) \to \mathbf{D}^G_m(\hat{E})
\]
defined by

\[
\mathcal{K} \mapsto pr_1^界(pr_2^*pr_1\mathcal{K} \otimes \mathcal{P}_E)[-1].
\]  

(8.2.3)

At times it will be convenient to think of \(\text{FT}_E\) equivalently as a functor \(\mathbf{D}(\dag E) \to \mathbf{D}(\dag \hat{E})\).
8.2.3. Geometricity. We define the full subcategory $D_{gm}(-)$ as in §3.3.

(a) For $S$ a derived scheme, $D_{gm}(S)$ is the thick subcategory of $D(S)$ generated by all Thom twists of all $f_!(1_T)$ as $f : T \to S$ ranges over smooth schemes over $S$, where $f_!$ is the left adjoint of $f^*$.

(b) For $S$ a derived Artin stack, $D_{gm}$ is the full subcategory of objects that become geometric on any (equivalently all) atlas from a derived scheme.

The arguments in the proof of [CD19] Theorem 4.2.29 show that:

**Theorem 8.2.1.** Let $D$ be a topological weave on derived schemes locally of finite type over a quasi-excellent scheme. If $D$ is $\mathbb{Q}$-linear and satisfies étale descent and topological invariance (e.g. $D = D_{mot}(-; \mathbb{Q})$), then the six operations restrict to $D_{gm}$.

Under the assumptions of Theorem 8.2.1 consider the lisse extension of $D$ to derived Artin stacks (locally of finite type over a quasi-excellent scheme). It is then immediate that the six operations preserve geometricity, with the possible exception of the operations $f_*$ and $f_!$ for $f$ a non-representable morphism, just as in Remark 3.3.2. We will see in Corollary 8.4.2 that $FT$ also preserves geometricity in this case.

8.2.4. Zero bundle. By abuse of notation, we denote the zero bundle $\text{Tot}_S(0) = S$ by $0_S \in DVect(S)$.

**Proposition 8.2.2.** Let $o : B G_{m,S} \to B G_{m,S}$ be the involution $L \mapsto \widehat{L}$. There are natural isomorphisms $FT_{0_S} \cong o^* \cong o_* \cong o_! \cong o'$.

The proof is in §8.5.1.

8.2.5. Involutivity. In the most general case, our statement of involutivity is up to twisting with a canonical $\otimes$-invertible object.

**Lemma 8.2.3.** Let $E \in DVect(S)$. The object

$$\mathcal{L}^E := \pi_{E!} FT_{\widehat{E}}(1_{\widehat{E}}) \cong 0_E^E FT_{\widehat{E}}(1_{\widehat{E}}) \in D^{G_m}(S)$$  \hspace{1cm} (8.2.4)

is $\otimes$-invertible.

The proof is in §8.8.

**Theorem 8.2.4.** For every $E \in DVect(S)$, there is a canonical isomorphism

$$(-) \otimes \pi_{E!} \mathcal{L}^E \to FT_{\widehat{E}} \circ FT_E(-)$$  \hspace{1cm} (8.2.5)

of functors $D^{G_m}(E) \to D^{G_m}(E)$.

The proof is assembled at the beginning of §8.8.

**Notation 8.2.5.** Let $E \in DVect(S)$. Tensoring with $\mathcal{L}^E$ defines an auto-equivalence

$$(-) \{E\} := (-) \otimes \mathcal{L}^E \text{ of } D^{G_m}(S).$$

We denote its inverse by $(-)\{-E\}$. We also write $(-)\{E\} := (-) \otimes f^*(\mathcal{L}^E)$ as an endofunctor of $D^{G_m}(X)$ where $f : X \to S$ is any derived Artin stack over $S$ with $G_m$-action. In this notation 8.2.5 reads:

$$(-)\{-E\} \to FT_{\widehat{E}} \circ FT_E(-).$$  \hspace{1cm} (8.2.6)

**Corollary 8.2.6.** For every $E \in DVect(S)$, the functor $FT_{\widehat{E}}(\bullet)\{-E\}$ determines a canonical inverse to $FT_E$.

8.2.6. Base change.

**Proposition 8.2.7.** For every morphism $f : S' \to S$, denote by $f_E : E' \to E$ and $f_{\widehat{E}} : \widehat{E}' \to \widehat{E}$ its base changes. Then there are canonical isomorphisms

$$f_{\widehat{E}}^* \circ FT_E \cong FT_{E'} \circ f_E^*, \quad (BC^*)$$

$$f_{\widehat{E}}^* \circ FT_{E'} \cong FT_E \circ f_{E!}, \quad (BC_*)$$

$$f_{\widehat{E}}^! \circ FT_E \cong FT_{E'} \circ f_{E^!}, \quad (BC!)$$

$$f_{\widehat{E}}^! \circ FT_{E'} \cong FT_E \circ f_{E}^!, \quad (BC'_!)$$

The proof is in §8.5.2 and §8.9.
Lemma 8.2.8. Let $E \in \text{DVect}(S)$. For every morphism $f : S' \to S$, we have a canonical isomorphism

\[ f^* \mathcal{L}^E \cong \mathcal{L}^{E'} . \tag{8.2.7} \]

Moreover, if $f_E : E' \to E$ denotes the base change, there are canonical isomorphisms

\[ f^*_E((-)\{E\}) \cong f^*_E((-)\{E'\}) , \tag{8.2.8} \]
\[ f^*_E((-)\{E\}) \cong f^*_E((-)\{E'\}) , \tag{8.2.9} \]
\[ f^{E*}((-)\{E'\}) \cong f^{E*}((-)\{E\}) , \tag{8.2.10} \]
\[ f^{E'}((-)\{E'\}) \cong f^{E'}((-)\{E\}) , \tag{8.2.11} \]

and similarly for $f_E : \hat{E}' \to \hat{E}$.

The proof is in §8.5.4 and §8.9.6.

8.2.7. Functoriality.

Proposition 8.2.9. For every morphism of derived vector bundles $\phi : E' \to E$ over $S$, there are canonical isomorphisms

\[ \text{Ex}^{\text{FT}^*} : \phi^* \circ \text{FT}_{E'} \to \text{FT}_E \circ \phi ! \] \quad \text{(Fun}^*)
\[ \text{Ex}^{\text{FT}!} : \text{FT}_{E'} \circ \phi ! \to \phi^* \circ \text{FT}_E \] \quad \text{(Fun}_* \text{)}
\[ \text{Ex}^{1, \text{FT}^*} : \phi^* \circ \text{FT}_{E'}\{E'\} \to \text{FT}_E\{E\} \circ \phi ! \] \quad \text{(Fun}_1 \text{)}
\[ \text{Ex}^{1, \text{FT}!} : \text{FT}_{E'}\{E'\} \circ \phi ! \to \phi^* \circ \text{FT}_E\{E\} . \] \quad \text{(Fun}_1 \text{)}

The proof is in §8.5.3 and §8.9.

Example 8.2.10. Let $E \in \text{DVect}(S)$. Since the projection $\pi_E : E \to S$ and zero section $0_E : S \to E$ are dual to $0_{\hat{E}} : S \to \hat{E}$ and $\pi_{\hat{E}} : \hat{E} \to S$, respectively, we get canonical isomorphisms

\[ \text{FT}_{0_S} \circ \pi_{E!} \cong 0^E_{\hat{E}} \circ \text{FT}_E \tag{8.2.12} \]
\[ \text{FT}_E \circ 0_{E!} \cong 0^{E*}_{\hat{E}} \circ \text{FT}_{0_S} . \tag{8.2.13} \]

8.2.8. Outline of proof: support and cosupport properties. We will see that the proof of involutivity (Theorem 8.2.4) eventually boils down to what we call the “cosupport property” for the object $\text{FT}^E_1(1_{\hat{E}}) \in \text{DG}^m(E)$.

When $E$ is of amplitude $\geq 0$, the object $\text{FT}^E_1(1_{\hat{E}})$ is supported on the zero section of $E$ (which is a closed immersion):

Proposition 8.2.11 (Support property). Let $E \in \text{DVect}(S)$. If $E$ is of amplitude $\geq 0$, then we have:

(i) There is a canonical isomorphism

\[ 0^E_{\hat{E}} \circ \text{FT}^E_1(1) \cong 1_S(-\hat{E}) . \tag{8.2.14} \]

(ii) The canonical morphism

\[ \text{FT}^E_1(1) \xrightarrow{\text{unit}} 0_{E*} 0^E_{\hat{E}} \text{FT}^E_1(1) \cong 0_{E*}(1_S)(-\hat{E}) \tag{8.2.15} \]

is invertible.

In particular, there is a canonical isomorphism

\[ 1_S\{E\} \cong 0^E_{\hat{E}} \circ \text{FT}^E_1(1) \cong 1_S(-\hat{E}) . \tag{8.2.16} \]

The proof is in §8.7. In general, the zero section $0_E$ is not a closed immersion, so that $0_{E!}$ does not agree with $0_{E*}$. Nevertheless, the following dual version of Proposition 8.2.11 holds for $E$ of arbitrary amplitude:

Proposition 8.2.12 (Cosupport property). For every $E \in \text{DVect}(S)$, the object $\text{FT}^E_1(1_{\hat{E}}) \in \text{DG}^m(E)$ lies in the essential image of the fully faithful functor $0_{E!}$. More precisely, the canonical morphism

\[ 0_{E!}(1_S\{E\}) \cong 0_{E!} 0^E_{\hat{E}}(\text{FT}^E_1(1_{\hat{E}})) \xrightarrow{\text{unit}} \text{FT}^E_1(1_{\hat{E}}) \tag{8.2.17} \]

is invertible.

The proof is in §8.1. Involutivity will then follow from:
Lemma 8.2.13. Let $E \in \text{DVect}(S)$. If $E$ satisfies the cosupport property, then there is a canonical isomorphism

$$(−){E} \to \text{FT}_E \text{FT}_E(−).$$

The proof is in §8.6.2.

8.2.9. Identification of the twist. We do not know whether the twist $\mathcal{L}^E \cong 1_S\{E\}$ can be identified with the Thom twist $1_S(−E)$ in general. If $E$ admits a global presentation as a cochain complex of vector bundles, one can with some care build such an isomorphism from the vector bundle case. To glue together these local isomorphisms (choosing presentations locally on $S$) we would need to show they are compatible up to coherent homotopy. Assuming the existence of a suitable t-structure this question is reduced to the heart, where we just need to check a cocycle condition. This line of argument leads to a proof of the following statement, by the same argument as in [FY23 §A.3.5].

Proposition 8.2.14. Suppose that the weave $\mathcal{D}$ admits an orientation and a t-structure in which the unit is discrete, i.e., $1_S \in \mathcal{D}(S)^{\text{Q}}$ for all derived Artin stacks $S$. Then for every $E \in \text{DVect}(S)$ there exists a canonical isomorphism

$$\mathcal{L}^E \cong 1_S\{E\} \cong 1_S(−r) \quad (8.2.18)$$

where $r = \text{rk}(E)$.

Remark 8.2.15. Proposition 8.2.14 applies to the weave $\mathcal{D} = \mathcal{D}_{\text{mot}}(−; \mathbb{Q})$, by Lemma 3.4.1.

8.3. The contraction principle. The following contraction principle is well-known in the case of a separated morphism of schemes. In the context of $D$-modules it appears in [DG15 Theorem C.5.3], and the proof works for an arbitrary topological weave.

Proposition 8.3.1 (Contraction principle). Let $\text{pr} : Y \to S$ be a morphism of derived Artin stacks and $s : S \to Y$ a section. Suppose there is an $\mathbb{A}^1$-homotopy $Y \times \mathbb{A}^1 \to Y$ between $\text{id}_Y$ and $s \circ \text{pr}$, so that the diagram

$$\begin{array}{ccc}
Y & \xrightarrow{s \circ \text{pr}} & Y \\
\downarrow{\text{id}_Y} & & \downarrow{\text{id}_Y} \\
Y \times \mathbb{A}^1 & \xrightarrow{\text{pr}_*} & Y
\end{array}$$

commutes. Then the canonical morphisms

$$\text{pr}_* \xrightarrow{\text{unit}} \text{pr}_* \text{pr}_s \text{pr}_* s^* \cong s^*, \quad s^! \cong \text{pr}_s \text{pr}_! \text{pr}_s ! \text{counit} \xrightarrow{} \text{pr}_!$$

are invertible on $\mathbb{G}_m$-equivariant sheaves.

Corollary 8.3.2. For every derived Artin stack $Y$ and every derived vector bundle $E$ over $Y$, the natural transformations

$$\begin{array}{ccc}
\pi_{E*} & \xrightarrow{\text{unit}} & \pi_{E*} \pi_{E!} 0_E 0_E^* \cong 0_E^* \\
0_E^! & \cong & \pi_{E!} 0_E 0_E^! \xrightarrow{\text{counit}} \pi_{E!}
\end{array}$$

are invertible on $\mathbb{G}_m$-equivariant sheaves. In particular, the functors $\pi_{E*}^!, \pi_{E!}^!, 0_{E*},$ and $0_{E!}$ are all fully faithful on $\mathbb{G}_m$-equivariant sheaves.

Proof. The first claim is a special case of Proposition 8.3.1. For every $\mathcal{K} \in \mathcal{D}^{G=}(Y)$ there is a commutative diagram

$$\begin{array}{ccc}
\mathcal{K} & \xrightarrow{\text{unit}_{E*}} & \pi_{E*} \pi_{E!}^!(\mathcal{K}) \\
\downarrow{\text{unit}_{E!}} & & \downarrow{\text{unit}_{E!}} \\
0_E^! \pi_{E!}^!(\mathcal{K}) & &
\end{array}$$

19. The gluing is quite subtle. This is due to the fact that if $E$ is a vector bundle, the isomorphisms 8.2.16 for $E$ and $\hat{E}$ only agree up to a sign.
where the vertical arrow is invertible by the first claim. This shows that unit : id → \( \pi_E \pi_E^* \) is invertible on \( G_m \)-equivariant sheaves. Similarly, on \( G_m \)-equivariant sheaves, the counit \( \pi_E \pi_E^* \) → id is identified with the tautological isomorphism \( 0^* \pi^* \cong \text{id} \); the counit \( 0_E^* \pi_E^* \) → id is identified with \( \pi_\text{E}, 0_{\text{E}} \cong \text{id} \); and the unit id → \( 0_E \pi_E^* \) is identified with \( \text{id} \cong \pi_\text{E} 0_{\text{E}} \).

\[ \text{Corollary 8.3.3.} \text{ Let } E \in \text{DVec}(S). \text{ For any Cartesian square} \]

\[
\begin{array}{ccc}
Y_0 & \xrightarrow{i} & Y \\
\downarrow f_0 & & \downarrow f \\
S & \xrightarrow{0_E} & E
\end{array}
\]

where \( f \) is smooth, the unit \( 1_Y \to i^* j^*(1_Y) \) is invertible.

\[ \text{Proof.} \text{ Apply } f_0^* \text{ on the left to the isomorphism unit : id } \to 0_E^* 0_{\text{E}}^! \text{ (Corollary 8.3.2). Under the isomorphisms} \]

\[ \text{Ex}_!^* \text{ and } \text{Ex}^* \text{ (the latter since } f \text{ is smooth), the result is identified with unit : } f_0^* \to i^* \pi_1^* f_0^*. \]

\[ \text{ □} \]

8.4. Computation on \( \uparrow A^1 \) and \( \uparrow A^1 \times \uparrow A^1 \). We lift some simple computations from \( \text{[Laub03]} \) (namely, Lemmas 1.4, 3.2, 3.3, and 3.4 of op. cit.) to the generality of topological weaves.

8.4.1. The sheaf \( \uparrow j_*(1) \). Let the notation be as follows:

\[
\begin{array}{c}
\xymatrix{ S & \uparrow A^1_S \\
& \xrightarrow{j} \ar@{.>}[ru] & G_{m, S} \ar@{.>}[lu] \\
& S & \xrightarrow{pr} \\
& & \xleftarrow{q} S
}
\end{array}
\]

where \( i \) is the zero section.

We record some basic observations about the sheaf \( j_*(1) \in \text{D}^{G_m}(A^1_S) \), or more precisely

\[ \uparrow j_*(1) \in \text{D}(\uparrow A^1_S) \]

where \( \uparrow : \text{D}^{G_m, S} \to \text{D}(\uparrow A^1_S) \).

\[ \text{ Proposition 8.4.1.} \]

(i) Geometricity. The sheaf \( \uparrow j_*(1) \) is geometric.

(ii) Base change. For any morphism \( f : S' \to S \), let \( j' : S' = \uparrow G_{m, S'} \to \uparrow A^1_{S'} \) denote the base change of \( j \) along \( f' : \uparrow A^1_{S'} \to \uparrow A^1_{S} \). Then the canonical morphism

\[ \text{Ex}^*_! : f'^* \uparrow j_*(1) \to j'_!(1) \]

is invertible.

(iii) Projection formula. For every \( K \in \text{D}(\uparrow A^1_S) \), the canonical morphism

\[ \text{Pr}^*_! : \uparrow j_*(1) \otimes K \to \uparrow j_! j^*(K) \]

is invertible.

(iv) We have \( \uparrow \text{pr} \uparrow j_! \cong 0 \text{ in } \text{D}(\uparrow S) \).

(v) There is a canonical isomorphism

\[ \uparrow j_!(1) \cong u \cdot j_*(1)[1] \]

in \( \text{D}(\uparrow A^1) \), where \( j_! : A^1 \setminus \{1\} \to A^1 \) is the complement of the unit section and \( u : A^1_S \to \uparrow A^1_S \) is the quotient morphism.

\[ \text{Proof.} \text{ We consider the } G_m \text{-scaling quotient of diagram (8.4.1), writing the resulting morphisms as } \uparrow i : \uparrow S \to \uparrow A^1_S, \text{ etc. We have the localization triangles} \]

\[
\begin{array}{cccc}
\uparrow j_! \cong \uparrow j_!(\uparrow j^*)\uparrow j_! & \text{count} & \uparrow j_! & \text{unit} \\
\uparrow j_! & \text{unit} & \uparrow j_! & \text{unit} \\
\end{array}
\]

\[ \text{Applying } \uparrow \text{pr} \text{ yields} \]

\[ \uparrow q_! \to \uparrow \text{pr} \uparrow j_! \uparrow \text{unit} \uparrow \text{pr} \uparrow j_! \uparrow \text{unit} \mid \uparrow j_! \cong \uparrow i^* \uparrow j_* \]

\[ \text{(8.4.2)} \]
We have \( \uparrow \text{pr}_1 \uparrow j_* \cong \uparrow \text{pr}_1 \uparrow i_* \cong 0 \) [iv] by the Contraction Principle (Proposition 8.3.1) and base change formula. We deduce a canonical isomorphism
\[
\uparrow i^* \uparrow j_* \cong \uparrow q_! [1].
\]
In particular, we can rewrite (8.4.2) as an exact triangle
\[
\uparrow j_! \to \uparrow j_* \to \uparrow i_* \uparrow q_! [1].
\]

Since \( \uparrow j_! \), \( \uparrow i_* \), and \( \uparrow q_! \) preserve geometric objects, it follows that \( \uparrow j_* \) preserves geometric objects. Similarly, the base change and projection formulas for \( \uparrow j_!, \uparrow i_! \), and \( \uparrow q_! \) yield the claimed base change and projection formulas for \( \uparrow j_*(1) \).

For the final claim (v), we begin by observing the canonical isomorphism
\[
(\uparrow j^* u j_*(1) \cong 1[-1])
\]
using the base change formula \( (\uparrow j^* u_1) \cong q_! j^* \) for the Cartesian square
\[
\begin{array}{ccc}
G_{m,S} & \xrightarrow{j} & A_S^1 \\
\downarrow{q} & & \downarrow{u} \\
S & \xrightarrow{\uparrow j} & A_S^1
\end{array}
\]
and the observation that
\[
q_! j^* j_*(1) \cong 1[-1]
\]
which is a straightforward computation using the base change formula and localization.

It will then suffice to show that the unit morphism
\[
u j_*(1) \to \uparrow j_* (\uparrow j^* u j_*(1)) \cong \uparrow j_*(1)
\]
is invertible. By localization, this is equivalent to showing that \( \uparrow i^! (\uparrow j^* u j_*(1)) \cong 0 \). By the Contraction Principle (Proposition 8.3.1), we have
\[
\uparrow i^!(\uparrow j^* u j_*(1)) \cong \uparrow \text{pr}_1 (\uparrow j^* u j_*(1)) \cong \uparrow q_! (\text{pr}_1 j_*(1)) = 0
\]
since \( \text{pr}_1 j_*(1) = 0 \in D(S) \) by a straightforward localization argument. \( \square \)

**Corollary 8.4.2 (Preservation of geometricity).** Assume the weave \( D \) is as in Theorem 8.2.1 (e.g. \( D = D_{\text{vec}}(-; \mathbb{Q}) \)). Then for every derived vector bundle \( E \to S \) over a derived Artin stack \( S \), the functor \( FT_E \) preserves geometricity.

**Proof.** With notation as in (8.2.3), the functor \( FT_E \) is the composite of the functors \( \text{pr}_2^* (-) \), \( (-) \otimes \mathcal{P}_E [-1] \), and \( \text{pr}_1 (-) \). As discussed in (8.2.3), geometricity is preserved by \( * \)-pullbacks, \( ! \)-pullbacks, and tensor product with geometric objects. Hence \( \text{pr}_2^* (-) \) preserves geometricity on general grounds, \( (-) \otimes \mathcal{P}_E [-1] \) preserves geometricity because Proposition 8.4.1 (v) shows that \( \mathcal{P}_E [-1] \) is geometric, and \( \text{pr}_1 (-) \) preserves geometricity because it can be identified with \( ! \)-pullback to the zero section by Proposition 8.3.1. \( \square \)

**8.4.2. The square of \( \uparrow j_* (1) \).** We establish more technical properties of \( \uparrow j_* (1) \).

**Lemma 8.4.3.** There is a canonical isomorphism
\[
\uparrow j_*(1) \otimes S \uparrow j_* (1) \cong (\uparrow j \times S \uparrow j_* (1)) \in D(\uparrow A^1_S, S \uparrow A^1_S).
\]

**Proof.** By definition,
\[
\uparrow j_*(1) \otimes S \uparrow j_* (1) := \text{pr}_1^* (\uparrow j_*(1)) \otimes \text{pr}_2^* (\uparrow j_* (1))
\]
where \( \text{pr}_1 \) and \( \text{pr}_2 \) are the projections \( \uparrow A^1_S, S \uparrow A^1_S \to \uparrow A^1_S \). By smooth base change, we have \( \text{pr}_1^* \uparrow j_*(1) \cong j_*(1) \), where \( j_1 = \uparrow j \times S \text{id} : S \times S \uparrow A^1_S \to \uparrow A^1_S \times S \uparrow A^1_S \) and similarly for the second term. By the projection formula (Proposition 8.4.1),
\[
j_1(1) \otimes j_2^*(1) \cong j_1 j_2^* j_2^*(1).
\]

\[20\] We omit verification of commutativity of some diagrams, expressing e.g. the compatibility of \( \text{Ex}_*^* : f'^* \uparrow j_*(1) \to j'_* (1) \) and \( \text{Ex}_*^* : j! (1) \to f'^* \uparrow j_!(1) \).
By smooth base change for the Cartesian square

\[
\begin{array}{ccc}
S \times_S S & \longrightarrow & \uparrow A^{1}_S \times_S S \\
\downarrow & & \downarrow j_2 \\
S \times_S \uparrow A^{1}_S & \longrightarrow & \uparrow A^{1}_S \times_S \uparrow A^{1}_S,
\end{array}
\]

where the diagonal composite is \( \uparrow j \times \uparrow j \), we have

\[j_1 \ast j_2 \ast (1) \cong (\uparrow j \times \uparrow j)_\ast (1),\]

whence the claim. \( \square \)

Consider the morphism

\[c = (\uparrow \text{pr}_1, \uparrow \text{pr}_2) : \uparrow A^2_S \rightarrow \uparrow A^1_S \times_S \uparrow A^1_S\]

induced by the projections \( A^2 \rightarrow A^1 \) (which are \( G_m \)-equivariant), which exhibits \( \uparrow A^1_S \times_S \uparrow A^1_S \) as the quotient of \( \uparrow A^2_S \) by the action \( \lambda \cdot (x, y) = (x, \lambda \cdot y) \). We have a commutative diagram

\[
\begin{array}{cccccccc}
G_{m,S} \times_S G_{m,S} & \longrightarrow & A^2_S \setminus \{0\}_S & \longrightarrow & A^2_S \times A^2_S & \leftarrow & i^2 & \longrightarrow & S \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
\{1\}_S \times_S G_{m,S} & \longrightarrow & \uparrow(\text{A}^2_S \setminus \{0\}_S) & \longrightarrow & \uparrow\text{A}^2_S & \leftarrow & \uparrow i^2 & \longrightarrow & \uparrow S \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
S & \longrightarrow & U & \longrightarrow & \uparrow\text{A}^1_S \times_S \uparrow\text{A}^1_S & \leftarrow & \uparrow i^2 & \longrightarrow & \uparrow S \times_S \uparrow S
\end{array}
\]

where the bottom row is the quotient of the middle one by the \( G_m \)-action which scales the second coordinate (with weight 1). In the two left-hand columns, the horizontal rows are factorizations of \( j \times j \), \( \uparrow j \times j \), and \( \uparrow j \times \uparrow j \), respectively. In the two right-hand columns, the horizontal rows are complementary open/closed immersions.

Let \( \Delta \subset A^2_S \) denote the diagonal, \( i_\Delta : \Delta \hookrightarrow A^2_S \) the inclusion, and \( j_\Delta \) the open complement of \( i_\Delta \).

**Lemma 8.4.4.** There is a canonical isomorphism

\[(\uparrow j \times \uparrow j)_\ast (1) \cong c_\ast (\uparrow j_\Delta)_\ast (1)[1] \in D(\uparrow A^1_S \times_S \uparrow A^1_S).
\]

**Remark 8.4.5.** Let \( c : A^2_S \rightarrow A^1_S \) denote the “difference” morphism, given informally by \((x, y) \mapsto x - y\). By smooth base change for the square

\[
\begin{array}{ccc}
\uparrow (A^2_S \setminus \Delta) & \longrightarrow & \uparrow A^2_S \\
\downarrow & & \downarrow \text{e} \\
\uparrow G_{m,S} & \longrightarrow & \uparrow A^1_S
\end{array}
\]

we can write \( \text{e} \ast \uparrow j_\ast (1) \cong \uparrow j_\Delta \ast (1) \) and hence also

\[(\uparrow j \times \uparrow j)_\ast (1) \cong c_\ast e \ast \uparrow j_\ast (1)[1]. \tag{8.4.3}
\]

**Proof of Lemma 8.4.4.** Set

\[\mathcal{K} := c_\ast \uparrow j_\Delta \ast (1) \in D(\uparrow A^1_S \times_S \uparrow A^1_S).
\]

We claim there are isomorphisms:

(a) \( i^\ast (\mathcal{K}) \cong 0 \),

(b) \( j_\ast (\mathcal{K}) \cong j_\ast (1)[-1] \).

By localization it will follow that the unit

\[\text{unit} : \mathcal{K} \rightarrow j_\ast j_\ast (\mathcal{K}) \cong j_\ast j_\ast (1)[-1] \cong (\uparrow j \times \uparrow j)_\ast (1)[-1]
\]

is invertible, as claimed.
Proof of (a) Since \( t^2 \) is the zero section of the vector bundle \( \uparrow p^2 : \uparrow \mathbb{A}_S^2 \rightarrow \uparrow S \) (where \( p^2 : \mathbb{A}_S^2 \rightarrow S \) is the projection), the Contraction Principle (Proposition 8.3.1) yields natural isomorphisms isomorphism 
\[
(\uparrow t^2)^!* \cong (\uparrow p_0^2)!; \quad \text{and} \quad i^!_0 \cong (\uparrow p \times \uparrow t). \]
Hence we have 
\[
i^!_0c_1 |_{j_\Delta*} \cong (\uparrow p \times \uparrow t)c_1 |_{j_\Delta*} \cong d_! |_{j_\Delta*} \cong d_! |_{\uparrow t^2} |_{j_\Delta*}
\]
where \( d \) is the diagonal of \( \uparrow S \) as in the diagram above. But \( (\uparrow t^2)^! |_{j_\Delta*} \cong 0 \) by base change (as \( 0 \in \mathbb{A}^2 \) is contained in \( \Delta \)).

Proof of (b) Consider the diagram of Cartesian squares

\[
\begin{array}{cccccc}
G_m \setminus \{1\} & \rightarrow & (G_m \times G_m \setminus \Delta) & \rightarrow & (\mathbb{A}^1 \times G_m \setminus \Delta) & \rightarrow & (\mathbb{A}^1 \setminus \{1\}) & \rightarrow & (\mathbb{A}^2 \setminus \Delta) \\
\downarrow j'_i & & \downarrow j_i & & \downarrow j_i & & \downarrow j_i & & \downarrow j_i & \\
G_m & \rightarrow & (G_m \times G_m) & \rightarrow & (\mathbb{A}^1 \times G_m) & \rightarrow & \mathbb{A}^1 & \rightarrow & \mathbb{A}^2 \\
\downarrow q & & \downarrow j & & \downarrow j & & \downarrow j & & \downarrow j & \\
S & \rightarrow & G_m & \rightarrow & \mathbb{A}^1 & \rightarrow & \mathbb{A}^1 & \rightarrow & \mathbb{A}^1 \times \mathbb{A}^1 \\
\end{array}
\]

where we omit the subscripts \( S \) for sanity of notation. By base change we get

\[
(\uparrow j \times \uparrow j)!c_1 |_{j_\Delta*}(1) \cong qj_1^*(1) \cong 1[-1]
\]

where the second isomorphism follows easily from localization.

Since \( \uparrow j \times \uparrow j = j_\circ j_\circ \) this isomorphism gives by adjunction a morphism

\[
j_\circ^!(K) \rightarrow j_\circ^!(1)[−1]
\]

in \( D(U) \) which we claim is invertible. Write \( U \) as the union of the two opens \( [\uparrow (\mathbb{A}^1 \times G_m)]/G_m \) and \( \uparrow ([G_m \times \mathbb{A}^1]/G_m) \), where \( [-/G_m] \) is the quotient by the scaling action on the second coordinate. Over either open this morphism restricts to the isomorphism 
\[
w_1j_1^!(1) \cong \uparrow j_1^!(1)[−1]
\]

constructed in Proposition 8.4.1(v). \( \square \)

8.5. Easy proofs. Here we spell out, for completeness, proofs which carry over essentially verbatim from the case of classical vector bundles.

8.5.1. Zero bundle. We prove Proposition 8.2.2 Recall that \( i : 0_S \hookrightarrow \mathbb{A}_S^1 \) is the zero section and \( j : G_{m,S} \hookrightarrow \mathbb{A}_S^1 \) is the complementary open embedding.

The evaluation map \( ev_{0_S} : [0_S/G_m] \times [0_S/G_m] \rightarrow [\mathbb{A}_S^1/G_m] \) factors as the composite

\[
BG_{m,S} \times BG_{m,S} \xrightarrow{m} BG_{m,S} \xrightarrow{i^!} [\mathbb{A}_S^1/G_m]
\]

where the first map \( m \) is \( (L, L') \rightarrow L \otimes L' \). By localization, one computes \( i^! j_\circ(1) \cong q(1)[1] \), where \( q : S \rightarrow BG_{m,S} \). Note that we have a Cartesian square

\[
\begin{array}{ccc}
BG_{m,S} & \rightarrow & S \\
\downarrow (id, o) & & \downarrow q \\
BG_{m,S} \times BG_{m,S} & \rightarrow & BG_{m,S}
\end{array}
\]

where the left-hand vertical map is \( L \rightarrow (L, \hat{L}) \). Thus the kernel of \( FT_0 \) is

\[
\mathcal{P}_0 = ev_{0_S}^* j_\circ(1) \cong m^*q(1)[1] \cong (id, o)_!(1)[1]
\]

and \( FT_0 \) itself is given by

\[
FT_0(K) \cong pr_1(\mathcal{P}_0 \otimes (id, o)_!(1))[1] \cong pr_1(id, o)_!(id, o)^*pr_2^*(\mathcal{K}) \cong o^*(\mathcal{K})
\]

by the projection formula.

For the remaining isomorphisms, note that \( o \) is finite étale so that \( o_* \cong o_! \) and \( o^* \cong o' \). Since \( o \) is an involution, we also have \( o^*o^* \cong id \), hence \( o^* \cong o_* \) and \( o_! \cong o' \). \( \square \)
8.5.2. Base change, part 1. Let the notation be as in Proposition 8.2.7.

The base change property for $\uparrow j_* (1)$ (Proposition 8.4.1) implies that there is a canonical isomorphism

$$f^\ast_E : \mathcal{P}_E \cong \mathcal{P}_{E'}$$

(8.5.1)

where $f^\ast_E : E \times_S E' \to E \times_S E$ is the base change of $f$. The isomorphisms (BC$^*_{\ast}$) and (BC$^*_{\ast}$) follow immediately using the base change and projection formulas.

The proofs of the remaining two isomorphisms will be done after proving involutivity.

8.5.3. Functoriality, part 1. We prove the isomorphism $\operatorname{Fun}^\ast_{\text{FT}}$ of Proposition 8.2.9. We omit the base $S$ from notation; all products are over $S$.

Let $\phi : E' \to E$ be a morphism of derived vector bundles. We have the commutative square

$$
\begin{array}{ccc}
\uparrow E \times \uparrow E' & \xrightarrow{id \times \phi} & \uparrow E \times \uparrow E' \\
\phi \times id & \downarrow & \phi \times id \\
\uparrow E \times \uparrow E' & \xrightarrow{ev_E} & \uparrow A^1
\end{array}
$$

whence a canonical isomorphism

$$(\phi \times id)^\ast \mathcal{P}_E \cong (\phi \times id)^\ast (\mathcal{P}_{E'}).$$

We use the following commutative diagram, where all squares are Cartesian.

By the base change and projection formulas we have:

$$\operatorname{Fun}^\ast (\phi_!(\mathcal{K})[1] \cong \operatorname{pr}_1(\operatorname{pr}_2^\ast (\phi_! \mathcal{K}) \otimes \mathcal{P}_E) \cong \operatorname{pr}_1((\phi \times id)(\operatorname{pr}_2^\ast \mathcal{K}) \otimes \mathcal{P}_E)$$

$$\cong \operatorname{pr}_1((\phi \times id)(\phi_! \mathcal{K}) \otimes \mathcal{P}_{E'}) \cong \operatorname{pr}_1(\phi_! \mathcal{K} \otimes (\phi \times id)^\ast (\mathcal{P}_{E'})).$$

Similarly we have:

$$\phi^\ast \operatorname{FT}_{E'} (\mathcal{K})[1] \cong \phi^\ast \operatorname{pr}_1(\phi_! (\phi^\ast \mathcal{K}) \otimes \mathcal{P}_{E'}) \cong \operatorname{pr}_1((\phi \times id)^\ast (\phi_! \mathcal{K}) \otimes \mathcal{P}_{E'})$$

$$\cong \operatorname{pr}_1(\phi \times id)^\ast (\phi_! \mathcal{K}) \otimes \mathcal{P}_{E'}).$$

Comparing these gives the desired isomorphism.

8.5.4. Base change for the twist, part 1. Given a morphism $f : S' \to S$ and $E' : E \times_S S' \in \operatorname{DVec}(S')$, we show the isomorphisms

$$f^\ast \mathcal{L} \cong \mathcal{L}$$

and

$$f^\ast_E ((-)(E)) \cong f_E^\ast ((-)(E'))$$

of Lemma 8.2.8. The remaining parts of these statements will be proven in 8.9.6.

By (BC$^*$) we have:

$$f^\ast \mathcal{L} = \mathcal{L}$$

and

$$f^\ast_E ((-)(E)) = f_E^\ast ((-)(E'))$$

of Lemma 8.2.8. The remaining parts of these statements will be proven in 8.9.6.

By (BC$^*$) we have:

$$f_E^\ast ((-)(E)) = f_E^\ast ((-)(E'))$$

of Lemma 8.2.8. The remaining parts of these statements will be proven in 8.9.6.
A straightforward application of base change and projection formulas yields an identification

\[ f_{E!}(-) \{ E \} = f_{E!}(-) \otimes \pi_E^*(L^E) \cong f_{E!}(- \otimes f_E^*\pi_E^*(L^E)) \cong f_{E!}(- \otimes \pi_E^*f^*(L^E)) \cong f_{E!}(- \otimes \pi_E^*(L^E)) = f_{E!}((-) \{ E' \}). \]

\[
\square
\]

8.6. **Proof of involutivity assuming cosupport.** In this section we prove Lemma 8.2.13

8.6.1. **Kernel of the square.** Consider the following commutative diagram:

\[
\begin{array}{c}
\begin{array}{c}
\downarrow \text{pr}_1 \\
\uparrow E \times_S \uparrow E \\
\downarrow \text{pr}_2 \\
\downarrow \uparrow E \times_S \uparrow E \\
\downarrow \text{pr}_1 \\
\downarrow \uparrow E \\
\end{array}
\end{array}
\end{array}
\]

A straightforward application of base change and projection formulas yields an identification

\[ FT_{\tilde{E}} \circ FT_E(-) \cong \text{pr}_1(\text{pr}_2(-) \otimes \mathcal{P}^\prime)[-2] \quad (8.6.1) \]

where

\[ \mathcal{P}^\prime := \text{pr}_{13}(\text{pr}_{12}(\mathcal{P}_E) \otimes \text{pr}_{23}(\mathcal{P}_E)) \in D(\uparrow E \times S \uparrow E). \]

Consider the \( G_m \)-action scaling both coordinates of \( E \times_S E \). The two projections \( \text{pr}_1, \text{pr}_2 : E \times_S E \to E \) are \( G_m \)-equivariant. We let \( e : \uparrow (E \times_S E) \to \uparrow E \times_S \uparrow E \) denote the induced morphism \( (\uparrow \text{pr}_1, \uparrow \text{pr}_2) \). We denote by \( e : E \times_S E \to E \) the “difference” morphism, given informally by \((x, y) \mapsto x - y\); this is also \( G_m \)-equivariant.

**Lemma 8.6.1.** For any \( E \in \text{DVect}(S) \), there is a canonical isomorphism

\[ \mathcal{P}^\prime \cong c_1(\uparrow e)^* FT_{\tilde{E}}(1)[2]. \]

**Proof.** Consider the morphism

\[ \text{ev}'' : \uparrow E \times S \uparrow \tilde{E} \times S \uparrow E \to \uparrow A_S^1 \times S \uparrow A_S^1 \]

given on points by

\[ ((L, x : L \to E), (L', \phi : L' \to \tilde{E}), (L'', y : L'' \to E)) \mapsto ((L' \otimes L, L' \otimes L' \phi \otimes x \tilde{E} \times S \xrightarrow{\text{ev}} A_S^1, (L' \otimes L'', L' \otimes L'' \phi \otimes y \tilde{E} \times E \xrightarrow{\text{ev}} A_S^1)). \]

We have commutative squares

\[
\begin{array}{cccccc}
\uparrow E \times_S \uparrow \tilde{E} & \xrightarrow{\text{pr}_{23}} & \uparrow E \times_S \uparrow \tilde{E} & \xrightarrow{\text{pr}_{12}} & \uparrow E \times_S \uparrow \tilde{E} \\
\downarrow \text{ev}'' & & \downarrow \text{ev} & & \downarrow \text{ev}'' \\
\uparrow A_S^1 \times S \times \uparrow A_S^1 & \xrightarrow{\text{pr}_1} & \uparrow A_S^1 & \xrightarrow{\text{pr}_2} & \uparrow A_S^1.
\end{array}
\]

This yields

\[ \mathcal{P}^\prime \cong \text{pr}_{13}(\text{ev}''( j_* (1) \boxtimes_S j_*(1)) \cong \text{pr}_{13}(\text{ev}''( c_1(\uparrow j_\Delta)_* (1))[1] \quad (8.6.2) \]

where the second isomorphism comes from Lemmas 8.4.3 and 8.4.4.
Next observe that we have a commutative diagram

\[
\begin{array}{cccccc}
\uparrow S & \overset{i_0}{\longrightarrow} & \uparrow E & \overset{pr_2}{\longrightarrow} & \uparrow \hat{E} \times S \uparrow E & \overset{ev}{\longrightarrow} & \uparrow \mathbf{A}_S^1 \\
\uparrow \pi_E \circ & \wedge & \uparrow e \circ & \wedge & \uparrow \text{id} \times \uparrow e \circ & \wedge & \uparrow e \\
\uparrow E \overset{\Delta}{\longrightarrow} & \uparrow (E \times S) \overset{pr_2}{\longrightarrow} & \uparrow \hat{E} \times S \uparrow (E \times S) \overset{ev}{\longrightarrow} & \uparrow \mathbf{A}_S^2 \overset{\imath_{jA}}{\longrightarrow} & \uparrow (\mathbf{A}^2 \setminus \Delta) \\
\end{array}
\]

(8.6.3)

where the squares labeled by \(\circ\) are derived Cartesian. The notation is as follows:

- The two projections \(pr_1, pr_2 : E \times S \to E\) are \(G_m\)-equivariant. We let \(c : \uparrow (E \times S) \to \uparrow E \times \uparrow S\) denote the induced morphism \((\uparrow pr_1, \uparrow pr_2)\). Similarly for \(c : \uparrow E \times S \to \uparrow E \times S\).
- \(\Delta\) is the diagonal of \(\uparrow E\) and \(\uparrow \Delta\) is the quotient of the diagonal of \(E\).
- \(e : E \times S \to E\) is the “difference” morphism, given informally by \((x, y) \mapsto x - y\). Similarly for \(e : A^2 \to A^1\).

From (8.6.2), applying proper and smooth base change isomorphisms to the Cartesian squares in (8.6.3) gives

\[\mathcal{D}'' \cong \text{pr}_{131} \text{ev}_{\mathcal{D}''} \circ c_1 \uparrow \Delta^* \circ e^*(1)[1] \cong c_1 \uparrow e^* \text{pr}_{21} \text{ev}_E^* \uparrow j_s(1)[1].\]  

(8.6.4)

Under the automorphism of \(\uparrow \hat{E} \times \uparrow \hat{E}\) which swaps the factors, the morphism \(\text{ev}_E : \hat{E} \times \hat{E} \to \uparrow \mathbf{A}_S^1\) is identified with \(\text{ev}_E\) and the projection \(pr_2 : \hat{E} \times \hat{E} \to \uparrow \mathbf{A}_S^1\) is identified with \(pr_1 : \hat{E} \times \hat{E} \to \uparrow \hat{E}\). Thus by definition we have an isomorphism

\[\text{FT}_{\hat{E}}(1) \cong \text{pr}_{21} \text{ev}_E^* \uparrow j_s(1)[-1].\]  

(8.6.5)

Combining (8.6.4) and (8.6.5), we obtain an isomorphism

\[\mathcal{D}'' \cong c_1 \uparrow e^* \text{FT}_{\hat{E}}(1)[2]\]

as desired.

\[\square\]

8.6.2. Proof of Lemma 8.2.13. Since \(E\) satisfies the cosupport property, we have the canonical isomorphism

\[0_{E!}(\mathcal{L}) := 0_{E}0_{E} \text{FT}_{\hat{E}}(1) \to \text{FT}_{\hat{E}}(1).\]

Applying \(c_1 \uparrow e^*\) yields a canonical isomorphism

\[c_1 \uparrow e^* 0_{E!}(\mathcal{L})[2] \to c_1 \uparrow e^* \text{FT}_{\hat{E}}(1)[2] \cong \mathcal{D}''\].

By base change, using the diagram (8.6.3) again, we obtain a canonical isomorphism

\[\Delta_!\pi_{\hat{E}!}(\mathcal{L})[2] \to \mathcal{D}''\].

Finally, plugging this into (8.6.1) yields

\[
\text{FT}_{\hat{E}} \text{FT}_E(K) \cong \text{pr}_{11}(pr_2^*(K) \otimes \mathcal{D}''[-2]) \cong \text{pr}_{11}(pr_2^*(K) \otimes \Delta\pi_{\hat{E}!}(\mathcal{L}))
\]

(Projection formula) \(\implies \cong \text{pr}_{11}(\Delta!\Delta^* pr_2^*(K) \otimes \pi_{\hat{E}!}(\mathcal{L})) \cong K \otimes \pi_{\hat{E}!}(\mathcal{L}). \)

\[\square\]

8.7. Co/support and involutivity for \(E \geq 0\). In this section we fix \(E \in \text{DVect}(S)\) of amplitude \(\leq 0\). We prove the support property Proposition 8.2.11 for \(E\) (which implies the cosupport property too) and deduce the optimal form of involutivity in this case.

8.7.1. Restriction to zero. We first compute the inverse image along \(0_{E} : \uparrow S \to \uparrow E:\)

\[0_{E} \text{FT}_{\hat{E}}(1) \cong \text{FT}_{0_{E}}(\uparrow \pi_{\hat{E}!}(1)) \cong \text{FT}_{0_{E}}(1(-\hat{E})) \cong 1(-\hat{E}),\]

using functoriality as well as the isomorphisms \(\uparrow \pi_{\hat{E}!} \cong \uparrow \pi_{\hat{E}!}(\hat{E})\) (Poincaré duality) and \((\uparrow \pi_{\hat{E}!})(\uparrow \pi_{\hat{E}!}) \cong \text{id}\) (homotopy invariance) for the morphism \(\uparrow \pi_{\hat{E}!} : \uparrow \hat{E} \to \uparrow S\) (smooth because \(\hat{E}\) is of amplitude \(\leq 0\)).
We will now prove Proposition 8.2.12, which implies involutivity (Theorem 8.2.4) by Lemma 8.2.13. We will show that the twist $s : S \to E$, the inverse image along $s' : S \to E \to \mathcal{E}$ vanishes. Without loss of generality, we may replace $S$ by Spec $(\kappa)$ and show that for every nowhere zero section $s$ of $E \to S$, the inverse image along $s' : S \to E \to \mathcal{E}$ vanishes.

We have the following commutative diagram:

$$
\begin{array}{ccc}
S & \xrightarrow{a} & \mathcal{E} \\
\downarrow s' & & \downarrow \iota_{\mathcal{E}} \\
\mathcal{E} \times_S \mathcal{E} & \xrightarrow{\iota_{\mathcal{E}} \times \iota_{\mathcal{E}}} & \mathcal{E} \\
\downarrow \iota_{\mathcal{E}} & & \downarrow \iota_{\mathcal{E}} \\
\mathcal{E} & \xrightarrow{pr_1} & \mathcal{E} \\
\end{array}
$$

where the left-hand square is Cartesian. Here $\iota_{\mathcal{E}}$ is the $G_m$-quotient of the “evaluation at $s$” morphism $ev_s : \mathcal{E} \to \mathcal{E}$, and $a_{\mathcal{E}}$ is the projection. Hence we have

$$s'^* pr_1^{-1} ev_s^* \cong a_{\mathcal{E}}^{-1} ev_s^* \cong a_{\mathcal{E}}^{-1} ev_s^*.
$$

(8.7.1)

Since $s$ is nowhere zero, $ev_s$ is surjective and its fibre $F = \text{Fib}(ev_s) : \mathcal{E} \to \mathcal{E}$ is of amplitude $\leq 0$. Since $\mathcal{E}$ is affine, it follows that $ev_s$ admits a section, hence can be identified with the projection $F \times_S \mathcal{E} \to \mathcal{E}$. Using Poincaré duality and homotopy invariance for the latter we have

$$a_{\mathcal{E}}^{-1} ev_s^* \cong a_1^{-1} ev_s^* \cong a_1^{-1} ev_s^* (-F) \cong a_1 (-F).
$$

(8.7.2)

where $a : \mathcal{E} \to S$ is the projection. Combining with (8.7.1) we deduce

$$s'^* pr_1^{-1} ev_s^* \cong a_1^{-1} ev_s^* (-F) \cong a_1^{-1} ev_s^* (-F).
$$

(8.7.3)

Since a factors through $s' : \mathcal{E} \to \mathcal{E}$, and $s'^* pr_1^{-1} ev_s^* \cong a_1^{-1} ev_s^* (-F)$ vanishes, as desired.

8.7.3. Proof of involutivity. At this point, combining Proposition 8.2.11 with Lemma 8.2.13 yields the following form of involutivity:

**Corollary 8.7.1** (Involutivity). Let $E \in \text{DVect}(S)$ be of amplitude $\geq 0$. Then there is a canonical isomorphism $(-) \otimes \pi_E^* (\mathcal{L}^E) \to \text{FT} E \text{FT}(-).

Proof. By Lemma 8.2.13 the cosupport property for $\mathcal{E}$ yields the canonical isomorphism $(-) \otimes \pi_E^* (\mathcal{L}^E) \to \text{FT} E \text{FT}(-).

By Proposition 8.2.11 (ii) we have the canonical isomorphism

$$\mathcal{L}^E \cong \pi_E^* (\mathcal{L}^E) \cong \pi_E^* (\mathcal{L}^E) \cong \pi_E^* (-\mathcal{E}).
$$

(8.7.4)

8.8. Cosupport and involutivity for general $E$. Let $E \in \text{DVect}(S)$ be an arbitrary derived vector bundle. We will now prove Proposition 8.2.12 which implies involutivity (Theorem 8.2.4) by Lemma 8.2.13. We will also show that the twist $\mathcal{L}^E = 1\{E\}$ is $\otimes$-invertible in general (Lemma 8.2.3).

8.8.1. Proof of Proposition 8.2.12. Let $E \in \text{DVect}(S)$ and let us show that the canonical morphism

$$\text{counit} : 0_{\mathcal{E}}^0 \mathcal{E} \to \text{FT} E(-)
$$

is invertible. Equivalently, $\text{FT} E(1_E)$ lies in the essential image of the fully faithful functor $\text{counit}_\mathcal{E}$ (Corollary 8.3.3).

Let $f : S' \to S$ be a smooth surjection and adopt the notation of Proposition 8.2.7. Applying $f_*^\mathcal{E}$ to the left we have (8.8.1) yields, by the base change formula $\text{Ex}^\mathcal{E} : f_*^\mathcal{E} \cong 0_{\mathcal{E}} f_*^{-1} f_*^\mathcal{E}$, the exchange isomorphism $Ex^\mathcal{E} : f_*^\mathcal{E} \cong 0_{\mathcal{E}} f_*^\mathcal{E}$, and $BC^\mathcal{E}$, a canonical morphism

$$0_{\mathcal{E}}^0 \mathcal{E} \to \text{FT} E(1_E) \to \text{FT} E(1_E)
$$

(8.8.2)

which one easily checks is identified with the counit. Thus the claim is local on $S$ and we may assume that $S$ is affine. In particular, by choosing a global presentation $\mathcal{E}$ as in 8.2.4 we may write $E$ as the fibre of a
morphism \( d : E^\times \to E^+ \) where \( E^\times \) is of amplitude \( \leq 0 \) and \( E^+ \) is of amplitude \( \geq 0 \)

We have the Cartesian squares

\[
\begin{array}{ccc}
E & \xrightarrow{i} & E^- \\
\downarrow{\pi_E} & & \downarrow{\pi_{E^-}} \\
S & \xrightarrow{0_{E^\times}} & E^+,
\end{array}
\]

\[
\begin{array}{ccc}
\widehat{E^\times} & \xrightarrow{\hat{d}} & \widehat{E^-} \\
\downarrow{\pi_{E^\times}} & & \downarrow{\pi_{E^-}} \\
S & \xrightarrow{0_E} & \widehat{E},
\end{array}
\]  

(8.8.3)

where \( i \) is a closed immersion (since \( E^+ \) is of amplitude \( \geq 0 \)) and its dual \( \hat{\pi} \) is a smooth surjection (it is a torsor under \( \widehat{E}^+ \)). We may thus check \( (8.8.4) \) is invertible after applying \( \hat{\pi}^* \) on the left; by base change and invertibility of \( \text{Ex}^+ \) this reduces to show that the morphism

\[
\text{counit} : \widehat{d} \text{Ex}^-(\hat{1}_E) \to \text{Ex}^-(\hat{1}_E)
\]  

(8.8.4)

is invertible. We claim that \( \text{FT}_{E^-}(\hat{1}_E) \) is in the essential image of \( \hat{d} \). Since unit \( 1 \to \hat{d} \hat{d}^{-1}(1) \) is invertible (Corollary 8.3.3), it will follow from adjunction identities that \( (8.8.4) \) is invertible.

Using \( \text{Ex}^- \), \( \text{Ex}^+ \), and the computation of \( \text{FT}_{E^+}(1) \) from Proposition 8.2.11 (which applies because \( E^+ \) is of amplitude \( \geq 0 \)), we first compute:

\[
\text{FT}_{E^-}(\hat{d} \hat{1}_{E^+}) \cong d^* \text{FT}_{E^+}(1_{E^+}) \cong d^*0_{E^+}(1_{E^+}) \langle -\widehat{E}^+ \rangle \cong \hat{1}_E(\langle -\widehat{E} \rangle).
\]  

Using involutivity for \( \widehat{E^-} \) (Corollary 8.7.1), we deduce the (canonical) isomorphism

\[
\text{FT}_{E^-}(\hat{1}_E) \cong \text{FT}_{E^-}(\hat{d}1_{E}) \langle \widehat{E}^+ \rangle \cong \hat{d}1_{E} \langle \widehat{E}^+ \rangle \langle -E^- \rangle.
\]  

(8.8.6)

The claim follows.

\[ \square \]

8.8.2. Proof of Lemma 8.2.3. We prove that \( \mathcal{L}E := \pi_{E!} \text{FT}_E(1) \) is \( \otimes \)-invertible. This is equivalent to the assertion that the evaluation morphism

\[
ev : \mathcal{L}E \otimes \text{Hom}(\mathcal{L}E, 1_S) \to 1_S
\]  

(8.8.7)

is invertible. If \( f : S' \to S \) is a smooth morphism, then we have the canonical isomorphism

\[
f^* \text{Hom}(\mathcal{L}E, 1_S) \cong \text{Hom}(f^*\mathcal{L}E, f^*1_{S'})
\]

under which the \(*\)-pullback of \( (8.8.7) \) is identified with

\[
f^*\mathcal{L}E \otimes \text{Hom}(f^*\mathcal{L}E, f^*1_{S'}) \to 1_{S'}.
\]

Let \( E' := E \times_S S' \) and let \( f \in E' \to \widehat{E} \) be the base change of \( f \). By 8.5.4 we have \( f^*\mathcal{L}E \cong \mathcal{L}E' \). This shows that the question of invertibility of \( (8.8.7) \) is local on \( S \). In particular, we may assume that \( E \) admits a presentation so that there are Cartesian squares

\[
\begin{array}{ccc}
E & \xrightarrow{i} & E^- \\
\downarrow{\pi_E} & & \downarrow{\pi_{E^-}} \\
S & \xrightarrow{0_{E^\times}} & E^+,
\end{array}
\]

\[
\begin{array}{ccc}
\widehat{E^\times} & \xrightarrow{\hat{d}} & \widehat{E^-} \\
\downarrow{\pi_{E^\times}} & & \downarrow{\pi_{E^-}} \\
S & \xrightarrow{0_E} & \widehat{E},
\end{array}
\]  

(8.8.8)

where \( E^- \) is of amplitude \( \leq 0 \) and \( E^+ \) is of amplitude \( \geq 0 \), as above in (8.8.3). By symmetry, we may as well show the claim for \( \widehat{E} \) instead, i.e., that \( \mathcal{L}\widehat{E} := \pi_{E^!} \text{FT}_{\widehat{E}}(1) \) is \( \otimes \)-invertible. After \(*\)-pullback along the smooth surjection \( \pi_{E^+} : \widehat{E^+} \to S \), we have:

\[
\pi_{E^+}^* \mathcal{L}\widehat{E} \cong \pi_{E^+}^* \text{FT}_{\widehat{E}}(1) \\
\cong \hat{d} \text{FT}_{E^-}(\hat{1}_E) \\
\cong \hat{d} \text{FT}_{\widehat{E}}(\hat{1}_E) \\
\cong \hat{d} \hat{d}_!(1_{E^+}) \langle -E^- \rangle \\
\cong 1_{E^+} \langle -E^- \rangle,
\]

(Ex^1)

(Fun^\circledast)

(8.8.9)

(8.8.10)

(8.8.11)

(8.8.12)
which is evidently $\otimes$-invertible. Here $\ast$ is from the isomorphism $\text{FT}_E \cdot (n\mathbf{1}) \cong \hat{d}_i(\mathbf{1})(E^+)\langle -E^- \rangle$ (8.8.6).

8.9. **Base change and functoriality, part 2.** Using involutivity, we conclude the proofs of Propositions 8.2.7 and 8.2.9. Specifically, we will use the fact that the functor $\text{FT}_E$ is inverse to $\text{FT}_E$ (Corollary 8.2.6).

8.9.1. **Proof of (BC).** Let the notation be as in Proposition 8.2.7. Recall the isomorphism $f_E^*((-\{E\})) \cong f_E^*(-\{E'\})$ of Lemma 8.2.8 proven in 8.5.4. Passing to right adjoints, we have:

$$f_E^*(-\{E\}) \cong f_E^*((-\{E'\}))$$

(8.9.1)

Recall also the $\ast$-base change natural isomorphism (BC$^\ast$)

$$f_E^* \text{FT}_E \cong \text{FT}_E^! f_E^*$$

proven in 8.5.2. Passing to right adjoints yields

$$f_E^*(\text{FT}_E^*(-\{E'\})) \cong \text{FT}_E^!(f_E^*(-\{E\}))$$

Pulling out the twist using (8.9.1), we deduce

$$f_E^*(\text{FT}_E^*\langle -E' \rangle) \cong \text{FT}_E^!(f_E^*(-\{E\})).$$

Equivalently, replacing $E$ by $\hat{E}$ gives the natural isomorphism

$$f_{\hat{E}}^*(\text{FT}_{\hat{E}}^*\langle -E' \rangle) \cong \text{FT}_{\hat{E}}^!(f_{\hat{E}}^*(-\{E\})).$$

(8.9.2)

8.9.2. **Proof of (BC$^\ast$).** This follows from (BC) exactly as above.

8.9.3. **Proof of (Fun$^\ast$).** Passing to right adjoints from (Fun$^\ast$)

$\text{Ex}^{\ast, \text{FT}}: \hat{\phi}^* \circ \text{FT}_{E'} \cong \text{FT}_E \circ \phi_!$

yields the canonical isomorphism

$$\phi'_E \circ \text{FT}_E \langle -\hat{E} \rangle \cong \text{FT}_{E'} \langle -\hat{E}' \rangle \circ \hat{\phi}_*.$$

(8.9.3)

Equivalently, applying this to $\hat{\phi}$ in place of $\phi$ we get the canonical isomorphism

$$\text{Ex}^{\ast, \text{FT}}: \hat{\phi}' \circ \text{FT}_{E'} \langle -E' \rangle \cong \text{FT}_E \langle -E \rangle \circ \phi_*.$$ (8.9.4)

8.9.4. **Proof of (Fun$_{\ast}$).** Begin with the isomorphism (8.9.3) above. Applying $\text{FT}_{E'}$ on the left and $\text{FT}_E$ on the right, then applying the natural isomorphism of Theorem 8.2.4 to $\text{FT}_{E'} \circ \text{FT}_E$ and $(-1)^{\text{rank } E}$ times the natural isomorphism of Theorem 8.2.4 to $\text{FT}_E \circ \text{FT}_E$ (cf. [FYZ23, §A.2.6]), and then untwisting, we obtain the natural isomorphism

$$\text{Ex}^{\ast, \text{FT}}: \hat{\phi}' \circ \text{FT}_{E'} \cong \hat{\phi}_0 \circ \text{FT}_E.$$ (8.9.4)

8.9.5. **Proof of (Fun$_{\ast}$).** Begin with the natural isomorphism (Fun$^\ast$):

$\text{Ex}^{\ast, \text{FT}}: \hat{\phi}^* \circ \text{FT}_{E'} \cong \text{FT}_E \circ \phi_!.$

Applying $\text{FT}_{E'}\langle -E \rangle$ on the left and $\text{FT}_E\langle -\{E\} \rangle$ on the right then applying the natural isomorphism of Theorem 8.2.4 to $\text{FT}_{E'} \circ \text{FT}_E$ and $(-1)^{\text{rank } E}$ times the natural isomorphism of Theorem 8.2.4 to $\text{FT}_E \circ \text{FT}_E$, and then untwisting, we obtain the natural isomorphism:

$$\text{Ex}^{\ast, \text{FT}}: \hat{\phi}^* \circ \text{FT}_{E'} \langle -E' \rangle \cong \hat{\phi}_0 \circ \text{FT}_E \langle -E \rangle.$$ (8.9.5)
As all sheaf-theoretic operations are compatible with shifts, the results of §ℓ with the derived 

we define a homogeneous version of the arithmetic Fourier transform 

which is equivalent by passage to left adjoints to a natural isomorphism  

Twisting by \{□\} which we have from (8.9.5).

the notion of global presentations of derived vector bundles from §globally presented assumptions (which are probably unnecessary for the statements to be true). We recall

Further functoriality properties. 

natural from the perspective of perverse sheaves.

The renormalization is arguably less natural from the perspective of weights, but more 

Remark 9.1.2.

Betti realization (see [KKb]). 

show that FT

†

[Laub87, FYZ23]. Indeed, in the \(\ell\)-adic context the “average” of the Artin–Schreier sheaf \(L_{\psi}\) is isomorphic to 

\(\text{ran} \circ \psi\) \(\text{mot} \circ \psi\) \(\text{mot} \circ \psi\) 

is defined as

As all sheaf-theoretic operations are compatible with shifts, the results of §8 all have straightforward reformulations in terms of \(\text{FT}^\text{ren}\).

Remark 9.1.1. The renormalization is designed to match the conventions for the \(\ell\)-adic Fourier transform in [Laub87] [FYZ23]. Indeed, in the \(\ell\)-adic context the “average” of the Artin–Schreier sheaf \(L_{\psi}\) is isomorphic to 

\(\text{ran} \circ \psi\) \(\text{mot} \circ \psi\) \(\text{mot} \circ \psi\) 

is compatible with the derived \(\ell\)-adic Fourier transform of [FYZ23] under \(\ell\)-adic realization. On the other hand, one can show that \(\text{FT}^\text{ren}\) (non-renormalized) is compatible with the Fourier–Sato transform of [KS90, KKa] under Betti realization (see [KK5]).

Remark 9.1.2. The renormalization is arguably less natural from the perspective of weights, but more natural from the perspective of perverse sheaves.

9.2. Further functoriality properties. We formulate some functoriality results that we can prove under globally presented assumptions (which are probably unnecessary for the statements to be true). We recall the notion of global presentations of derived vector bundles from §2.4.
9.2.1. **Base change.** Consider a Cartesian square of globally presented derived vector bundles over $S$, along with the dual Cartesian square:

\[
\begin{array}{ccc}
A & \xrightarrow{f} & C \\
\downarrow{g} & & \downarrow{g'} \\
B & \xrightarrow{f'} & D
\end{array}
\quad \text{and} \quad
\begin{array}{ccc}
\hat{A} & \xrightarrow{\hat{g}} & \hat{C} \\
\downarrow{\hat{g}'} & & \downarrow{\hat{g}} \\
\hat{B} & \xrightarrow{\hat{f}'} & \hat{D}
\end{array}
\]

Then the base change formula gives natural isomorphisms

\[
g^* f_! \cong (f')_! (g')^* \quad \text{and} \quad \hat{g}^* \hat{f}_! \cong (\hat{f'})^* \hat{g}'_!
\]  
(9.2.1)

Let $d := d(f)$, $\delta := d(g)$. According to \[8.2.7\] there are natural isomorphisms

\[
\hat{g}^* \hat{f}_! \FT_{\hat{A}}^\ren \cong \FT_{\hat{D}}^\ren g^* f_! [d + \delta](\delta) \quad \text{and} \quad (\hat{f'})^* \hat{g}'_! \FT_{\hat{A}}^\ren \cong \FT_{\hat{D}}^\ren f'_!(g')^* [d + \delta](\delta).
\]  
(9.2.2)

**Proposition 9.2.1.** Assume that $f$ and $g$ are globally presented (in particular, $A, C, D$ are globally presented). Then there is a commutative diagram of functors $\D_{\mot}(A; \mathbb{Q}) \to \D_{\mot}(\hat{D}; \mathbb{Q})$

\[
\begin{array}{ccc}
\hat{g}^* \hat{f}_! \FT_{\hat{A}}^\ren & \sim & \FT_{\hat{D}}^\ren g^* f_! [d + \delta](\delta) \\
\downarrow{~} & & \downarrow{~} \\
(\hat{f'})^* \hat{g}'_! \FT_{\hat{A}}^\ren & \sim & \FT_{\hat{D}}^\ren f'_!(g')^* [d + \delta](\delta)
\end{array}
\]

where the identifications are as in \[9.2.1\] and \[9.2.2\].

**Proof.** The proof of \[FYZ23\] Proposition 6.6.3 works verbatim. \qed

9.2.2. **Gysin vs. forgetting supports.** Recall from \[FYZ23\] \[6.4\] that a map $f : E' \to E$ of derived vector bundles over $S$ is quasi-smooth if and only if the dual map $\hat{f} : \hat{E} \to \hat{E}'$ is separated. In this case, we have a Gysin natural transformation (see \[3.6\])

\[
gys_{f^*} : f^* \to f^!(-d(f))
\]

and a “forget supports” natural transformation

\[
\fsupp_{\hat{f}} : \hat{f}_! \to \hat{f}_!.
\]

**Proposition 9.2.2.** Let $f : E' \to E$ be a globally presented quasi-smooth map of derived vector bundles and let $\hat{f} : \hat{E} \to \hat{E}'$ be the dual map to $f : E' \to E$. Then there is a commutative diagram of functors $\D_{\mot}(\hat{E}; \mathbb{Q}) \to \D_{\mot}(\hat{E}'; \mathbb{Q})$

\[
\begin{array}{ccc}
\hat{f}_! \FT_{\hat{E}}^\ren & \sim \ft_{\fsupp_{\hat{f}}} & \hat{f}_! \FT_{\hat{E}}^\ren \\
\downarrow{~} & & \downarrow{~} \\
\FT_{\hat{E}'}^\ren f^*[d(f)](d(f)) & \xrightarrow{\gys_{f^*}} & \FT_{\hat{E}'}^\ren f'^![-d(f)]
\end{array}
\]

**Proof.** The proof of \[FYZ23\] Proposition 6.4.2 works verbatim. \qed

9.3. **Cohomological co-correspondences.** A co-correspondence between derived Artin stacks $A_0$ and $A_1$ is a diagram

\[
A_0 \xrightarrow{c'_1} C' \xrightarrow{c'_0} A_1
\]  
(9.3.1)

We define a cohomological co-correspondence from $\mathcal{K}_0 \in \D_{\mot}(A_0; \mathbb{Q})$ to $\mathcal{K}_1 \in \D_{\mot}(A_1; \mathbb{Q})$ to be an element of $\Hom_{C^*}(c'_1, \mathcal{K}_0, c'_0, \mathcal{K}_1)$. Let

\[
\text{CoCorr}_{C^*}(\mathcal{K}_0, \mathcal{K}_1) := \Hom_{C^*}(c'_1, \mathcal{K}_0, c'_0, \mathcal{K}_1).
\]  
(9.3.2)
9.3.1. **Correspondences versus co-correspondences.** To see the relation between cohomological correspondences and co-correspondences, suppose we have a Cartesian square

\[
\begin{array}{ccc}
C^\flat & \xrightarrow{c_0} & A_0 \\
\downarrow & & \downarrow \\
C^\sharp & \xrightarrow{c_1} & A_1
\end{array}
\]

(9.3.3)

Then for \( K_0 \in D_{\text{mot}}(A_0; \mathbb{Q}) \) and \( K_1 \in D_{\text{mot}}(A_1; \mathbb{Q}) \), there is a canonical isomorphism of vector spaces

\[
\gamma_C : \text{Corr}_{C^\flat}(K_0, K_1) \cong \text{CoCorr}_{C^\sharp}(K_0, K_1)
\]

(9.3.4)

given by the composition below, where the isomorphisms come from adjunctions and proper base change:

\[
\text{Hom}_{C^\flat}(c_0^* K_0, c_1^! K_1) \cong \text{Hom}_{A_1}(c_1^! c_0^* K_0, K_1) \cong \text{Hom}_{A_1}(c_1^! c_0^* K_0, c_0^* K_1) \cong \text{Hom}_{C^\sharp}(c_1^! K_0, c_0^* K_1).
\]

Note that a correspondence of derived vector bundles (over some base \( S \)) can always be completed to a Cartesian square of the form (9.3.3) by taking \( C^\sharp \) to be the pushout in the \( \infty \)-category of derived vector bundles over \( B \).

9.4. **Fourier transform of cohomological correspondences.** In \[\text{9.3}\] we defined the notion of cohomological co-correspondence. These arise naturally as the Fourier transforms of cohomological correspondences, as we now explain.

9.4.1. Suppose we are given a map of correspondences of derived Artin stacks

\[
\begin{array}{ccc}
E_0 & \xleftarrow{p_0} & C^\flat & \xrightarrow{p_1} & E_1 \\
\downarrow & & \downarrow & & \downarrow \\
S_0 & \xleftarrow{h_0} & C_S & \xrightarrow{h_1} & S_1
\end{array}
\]

(9.4.1)

where \( E_0, C^\flat \) and \( E_1 \) are derived vector bundles on \( S_0, C_S \) and \( S_1 \) respectively. Assume the maps \( p_0 \) and \( p_1 \) are linear.

Let \( \tilde{E}_0 \) and \( \tilde{E}_1 \) be the pullbacks of \( E_0 \) and \( E_1 \) to \( C_S \) via \( h_i \). We can canonically extend the correspondence \( E_0 \xleftarrow{p_0} C^\flat \xrightarrow{p_1} E_1 \) to a commutative diagram

\[
\begin{array}{ccc}
\tilde{E}_0 & \xleftarrow{\tilde{p}_0} & \tilde{C}^\flat & \xrightarrow{\tilde{p}_1} & \tilde{E}_1 \\
\downarrow & & \downarrow & & \downarrow \\
E_0 & \xleftarrow{p_0} & C^\flat & \xrightarrow{p_1} & E_1
\end{array}
\]

(9.4.2)

by defining \( \tilde{C}^\sharp \) to be the pushout of the diagram \( \tilde{E}_0 \xleftarrow{\tilde{p}_0} C^\flat \xrightarrow{\tilde{p}_1} \tilde{E}_1 \), taken in the \( \infty \)-category of derived vector bundles over \( C_S \), so that the inner diamond is (derived) Cartesian.

Dualizing (9.4.2), we get a commutative diagram

\[
\begin{array}{ccc}
\hat{E}_0 & \xleftarrow{\hat{p}_0} & \hat{C}^\flat & \xrightarrow{\hat{p}_1} & \hat{E}_1 \\
\downarrow & & \downarrow & & \downarrow \\
\tilde{E}_0 & \xleftarrow{\tilde{p}_0} & \tilde{C}^\flat & \xrightarrow{\tilde{p}_1} & \tilde{E}_1
\end{array}
\]

(9.4.3)

where the inner diamond is Cartesian.
Given $\mathcal{K}_i \in \mathcal{D}_{\text{mot}}(\mathcal{E}_i; \mathbb{Q})$ for each $i \in \{0, 1\}$, we define an isomorphism of vector spaces

$$\text{FT}_{\mathcal{C}^\flat}^{\text{ren}} : \text{Corr}_{\mathcal{C}^\flat}(\mathcal{K}_0, \mathcal{K}_1) \cong \text{Corr}_{\mathcal{C}^\flat}(\text{FT}_{\mathcal{E}_0}^{\text{ren}}(\mathcal{K}_0), \text{FT}_{\mathcal{E}_1}^{\text{ren}}(\mathcal{K}_1)[d(\tilde{p}_0) + d(\tilde{p}_1)][d(\tilde{p}_0)])$$ (9.4.4)

as the composite of the isomorphisms

$$\text{Corr}_{\mathcal{C}^\flat}(\mathcal{K}_0, \mathcal{K}_1) = \text{Corr}_{\mathcal{C}^\flat}(\mathcal{h}_0^E)^* \mathcal{K}_0, \mathcal{h}_1^E)^\dagger \mathcal{K}_1)$$ (§8.2.7) \Rightarrow \text{CoCorr}_{\mathcal{C}^\flat}(\text{FT}_{\mathcal{E}_0}^{\text{ren}}((h_0^E)^* \mathcal{K}_0), \text{FT}_{\mathcal{E}_1}^{\text{ren}}((h_1^E)^\dagger \mathcal{K}_1)[d(\tilde{p}_0) + d(\tilde{p}_1)][d(\tilde{p}_0)])$$

(§9.3.1) \Rightarrow \cong \text{Corr}_{\mathcal{C}^\flat}(\text{FT}_{\mathcal{E}_0}^{\text{ren}}((h_0^E)^* \mathcal{K}_0), \text{FT}_{\mathcal{E}_1}^{\text{ren}}((h_1^E)^\dagger \mathcal{K}_1)[d(\tilde{p}_0) + d(\tilde{p}_1)][d(\tilde{p}_0)])$$ (§8.2.6) \Rightarrow \cong \text{Corr}_{\mathcal{C}^\flat}(\mathcal{h}_0^E)^* \mathcal{K}_0, \mathcal{h}_1^E)^\dagger \mathcal{K}_1)[d(\tilde{p}_0) + d(\tilde{p}_1)][d(\tilde{p}_0)]) = \text{Corr}_{\mathcal{C}^\flat}(\text{FT}_{\mathcal{E}_0}^{\text{ren}}(\mathcal{K}_0), \text{FT}_{\mathcal{E}_1}^{\text{ren}}(\mathcal{K}_1)[d(\tilde{p}_0) + d(\tilde{p}_1)][d(\tilde{p}_0)])

9.4.2. Functoriality. We state and prove functorial properties of the Fourier transform of cohomological correspondences (9.4.7). Consider a diagram of maps of correspondences of derived Artin stacks

$$\begin{array}{ccc}
E_0 & \xrightarrow{p_0} & C^b & \xrightarrow{p_1} & E_1 \\
\downarrow f_0 & & \downarrow f^p & & \downarrow f_1 \\
F_0 & \xleftarrow{q_0} & D^b & \xleftarrow{q_1} & F_1 \\
\downarrow s_0 & & \downarrow h_0 & & \downarrow s_1 \\
S_0 & \xrightarrow{h_1} & C_S & \xrightarrow{h_1} & S_1
\end{array}$$ (9.4.5)

where $E_i$ and $F_i$ are derived vector bundles over $S_i$ (for $i = 0, 1$), and $C^b$ and $D^b$ are derived vector bundles over $C_S$. All maps between derived vector bundles are assumed to be linear.

Let $E_i \to C_S$, $F_i \to C_S$ and $\tilde{f}_i : E_i \to \tilde{F}_i$ be the base changes of $E_i$, $F_i$ and $f_i$ along $h_i : C_S \to S_i$. Using the discussion in §9.4.1 we can canonically extend the upper part of the diagram (9.4.5) to a commutative diagram

$$\begin{array}{ccc}
\tilde{E}_0 & \xrightarrow{\tilde{p}_0} & \tilde{C}^b & \xrightarrow{\tilde{p}_1} & \tilde{E}_1 \\
\downarrow \tilde{f}_0 & & \downarrow \tilde{f}^p & & \downarrow \tilde{f}_1 \\
\tilde{F}_0 & \xleftarrow{\tilde{q}_0} & \tilde{D}^b & \xleftarrow{\tilde{q}_1} & \tilde{F}_1 \\
\downarrow \tilde{s}_0 & & \downarrow \tilde{h}_0 & & \downarrow \tilde{s}_1 \\
\tilde{S}_0 & \xrightarrow{\tilde{h}_1} & \tilde{C}_S & \xrightarrow{\tilde{h}_1} & \tilde{S}_1
\end{array}$$ (9.4.6)

where the squares labeled by $\circ$ are derived Cartesian.

Since the leftmost parallelogram is derived Cartesian, the square $(\tilde{C}^b, \tilde{E}_0, \tilde{D}^b, \tilde{F}_0)$ is pushable if and only if the square $(C^b, E_0, D^b, F_0)$ is pushable. When any of these equivalent conditions holds, we have a pushforward map (for $\mathcal{K}_i \in \mathcal{D}_{\text{mot}}(\mathcal{E}_i; \mathbb{Q})$),

$$f_1^\flat : \text{Corr}_{\mathcal{C}^\flat}(\mathcal{K}_0, \mathcal{K}_1) \to \text{Corr}_{\mathcal{D}^\flat}(f_{00}^\flat \mathcal{K}_0, f_{11}^\flat \mathcal{K}_1).$$ (9.4.7)
The dual diagram to (9.4.6) is:

Since the rightmost parallelogram is derived Cartesian, the square \((\hat{D}^i, \hat{F}_1, \hat{C}^i, \hat{E}_1)\) is pullable if and only if the square \((\hat{D}^i, \hat{F}_1, \hat{C}^i, \hat{E}_1)\) is pullable. When any of these equivalent conditions holds, we have a pullback map (for \(k_i \in \mathcal{D}_{\text{mot}}(E_i; \mathbb{Q})\)),

\[
(f^\sharp)^* : \text{Corr}_{\hat{C}^i} (K_0, K_1) \to \text{Corr}_{\hat{D}^i} (\hat{f}_0^* K_0, \hat{f}_1^* K_1 (-\delta_{\hat{g}_1})).
\]  

(9.4.9)

Moreover, by [FY23] Lemma 7.2.1, \(\hat{f}^\flat\) is left pushable if and only if \(\hat{f}^\sharp\) is right pullable.

**Proposition 9.4.1.** Assume the diagram (9.4.8) is globally presented.

1. Suppose the map of correspondences \(f^\flat : C^i \to D^i\) is left pushable. Let \(k_i \in \mathcal{D}_{\text{mot}}(E_i; \mathbb{Q})\) for \(i = 0, 1\). Then the following diagram commutes:

\[
\begin{array}{ccc}
\text{Corr}_{\hat{C}^i} (K_0, K_1) & \xrightarrow{\text{FT}^{\text{ren}}_{C^i}} & \text{Corr}_{\hat{C}^i} (\text{FT}_{\hat{E}_0}^{\text{ren}} (K_0), \text{FT}_{\hat{E}_1}^{\text{ren}} (K_1)[d(\delta_{\hat{g}_0}) + d(\delta_{\hat{g}_1})][d(\delta_{\hat{g}_0})]) \\
\text{Corr}_{\hat{D}^i} (f_0^* K_0, f_1^* K_1) & \xrightarrow{T_{[d(\delta_{\hat{f}_0})]} \text{FT}^{\text{ren}}_{D^i}} & \text{Corr}_{\hat{D}^i} (\hat{f}_0^* \text{FT}_{\hat{E}_0}^{\text{ren}} (K_0), \hat{f}_1^* \text{FT}_{\hat{E}_1}^{\text{ren}} (K_1)[d(\delta_{\hat{g}_0}) + d(\delta_{\hat{g}_1}) + d(\delta_{\hat{f}_0}) - d(\delta_{\hat{f}_1})][d(\delta_{\hat{g}_0})]) \\
\end{array}
\]  

(9.4.10)

Here we use [FY23] Lemma 7.2.2 to match the differences of the twists that appear in the right vertical map.

2. Suppose the map of correspondences \(f^\flat : C^i \to D^i\) is right pullable. Let \(k_i \in \mathcal{D}_{\text{mot}}(E_i; \mathbb{Q})\) for \(i = 0, 1\). Then the following diagram commutes

\[
\begin{array}{ccc}
\text{Corr}_{\hat{C}^i} (K_0, K_1) & \xrightarrow{\text{FT}^{\text{ren}}_{C^i}} & \text{Corr}_{\hat{C}^i} (\text{FT}_{\hat{E}_0}^{\text{ren}} (K_0), \text{FT}_{\hat{E}_1}^{\text{ren}} (K_1)[d(\delta_{\hat{g}_0}) + d(\delta_{\hat{g}_1})][d(\delta_{\hat{g}_0})]) \\
\text{Corr}_{\hat{D}^i} (f_0^* K_0, f_1^* K_1) & \xrightarrow{T_{[d(\delta_{\hat{f}_0})]} \text{FT}^{\text{ren}}_{D^i}} & \text{Corr}_{\hat{D}^i} (\hat{f}_0^* \text{FT}_{\hat{E}_0}^{\text{ren}} (K_0), \hat{f}_1^* \text{FT}_{\hat{E}_1}^{\text{ren}} (K_1)[d(\delta_{\hat{g}_0}) + d(\delta_{\hat{g}_1}) + d(\delta_{\hat{f}_0}) - d(\delta_{\hat{f}_1})][d(\delta_{\hat{g}_0})]) \\
\end{array}
\]  

(9.4.10)

Proof. The proof of [FY23] Proposition 7.2.4 works verbatim. \(\square\)

### 9.5. Homogeneous arithmetic Fourier transform

In this section we lift (a homogeneous variant of) the arithmetic Fourier transform of [FY23] §8 from ℓ-adic Borel–Moore homology to Chow groups.

#### 9.5.1. Étale \(F_q\)-vector space bundles

Let \(T\) be a derived Artin stack locally of finite type over a field. Let \(V \to T\) be an étale locally free \(F_q\)-vector space bundle of rank \(d\) (thus, the datum of \(V\) is equivalent to that of an étale \(GL_d(F_q^\times)\)-torsor).
Define $\dagger V$ to be the stack quotient $[V/\mathbb{F}_q^\times]$, where $\mathbb{F}_q^\times$ is the discrete group scheme over $T$ with value $\mathbb{F}_q^\times$.
Let $\hat{V} \to T$ be the dual $\mathbb{F}_q$-vector space, i.e., at the level of étale sheaves over $T$ we have $\hat{V} := \mathcal{H}om_{\mathbb{F}_q}(V, \mathbb{F}_q^\times)$.

Note that $\hat{V} \cong V$. We have an “evaluation” map
$$\text{ev}: \dagger V \times_T \dagger \hat{V} \to [\mathbb{F}_q/\mathbb{F}_q^\times].$$

9.5.2. Homogeneous arithmetic Fourier transform. Let $\xi$ be the function on $\mathbb{F}_q$ defined as
$$\xi(x) = \begin{cases} q - 1 & x = 0, \\ -1 & x \neq 0. \end{cases}$$
As $\xi$ is invariant under the scaling action of $\mathbb{F}_q^\times$, $\xi$ descends to a function on the quotient stack $[\mathbb{F}_q/\mathbb{F}_q^\times]$. Its significance is the following: if $\psi$ is any nontrivial additive character of $\mathbb{F}_q$, then the function on $[\mathbb{F}_q/\mathbb{F}_q^\times]$ obtained by averaging $\psi$ over $\mathbb{F}_q^\times$ is $\xi$.

Now consider the diagram
$$\begin{array}{ccc}
\dagger V \times_T \dagger \hat{V} & \xrightarrow{\text{ev}} & [\mathbb{F}_q/\mathbb{F}_q^\times] \\
\downarrow \pi & & \downarrow \tilde{\pi} \\
\dagger V & & \dagger \hat{V} \\
\end{array}$$

We define the homogeneous arithmetic Fourier transform to be the map
$$\text{FT}_V^{\text{arith}}: \text{CH}_i(\dagger V) \to \text{CH}_i(\dagger \hat{V})$$
given by
$$\alpha \mapsto (-1)^d \text{pr}_{1*}(\text{pr}_0^*(\alpha) \cap \text{ev}^* \xi),$$
where $d$ is the rank of $V$ as an $\mathbb{F}_q$-vector space over $T$. Here, we regard the locally constant function $\xi$ as an element of $\text{CH}^0([\mathbb{F}_q/\mathbb{F}_q^\times])$. We also used the fact that the projections $\text{pr}_i$ are finite étale so that the pushforward and pullback maps are defined.

Similarly, we consider a variant in Chow cohomology ($§3.5$):
$$\text{FT}_V^{\text{arith}}: \text{CH}^\ast(\dagger V; \mathbb{Q}) \to \text{CH}^\ast(\dagger \hat{V}; \mathbb{Q})$$
given by
$$\alpha \mapsto (-1)^d \text{pr}_{1!}(\text{pr}_0^*(\alpha) \cup \text{ev}^* \xi).$$

9.5.3. Basic properties. The following properties of the homogeneous arithmetic Fourier transform follow by the same arguments as in the non-homogeneous case (which are found in [FY23, §8.2]).

Notation 9.5.1. For $\alpha \in \text{CH}_i(\dagger V)$ and $\beta \in \text{CH}_j(\dagger V)$, we write
$$\langle \alpha, \beta \rangle := \pi_\ast(\alpha \cap \beta) \in \text{CH}_{i-j}(T)$$
where $\pi: \dagger V \to T$ is the projection.

Lemma 9.5.2 (Plancherel property). Let $\alpha_1 \in \text{CH}_i(\dagger V)$ and $\beta_2 \in \text{CH}_j(\dagger \hat{V})$. Then
$$\langle \alpha_1, \text{FT}_V^{\text{arith}}(\beta_2) \rangle = \langle \text{FT}_V^{\text{arith}}(\alpha_1), \beta_2 \rangle \in \text{CH}_{i-j}(T).$$

Lemma 9.5.3 (Involutivity). We have $\text{FT}_V^{\text{arith}} \circ \text{FT}_V^{\text{arith}} = q^d$ where $d$ is the rank of $V$.

9.6. Compatibility of motivic and arithmetic Fourier transforms. We establish the compatibility of the motivic Fourier transform with arithmetic Fourier transform under the motivic sheaf-cycle correspondence.
9.6.1. \textit{Setup.} Let $Y$ be a derived Artin stack locally of finite type over a field. Let $p: E \to Y$ be a vector bundle. Suppose $c = (c_0, c_1): C \to Y \times Y$ is a correspondence of derived Artin stacks and we are given an isomorphism of vector bundles over $C$

\[ \iota: c_0^* E \cong c_1^* E. \]  

(9.6.1)

Let $C_E$ be the total space of $c_0^* E \cong c_1^* E$. For $i \in \{0, 1\}$ we let $e_i: C_E \cong c_i^* E \to E$ be the corresponding projection map. Then we have a map of correspondences

\[ E \leftarrow^{e_0} C_E \xrightarrow{e_1} E \]

(9.6.2)

\[ \begin{array}{ccc}
Y & \leftarrow^{c_0} & C \xrightarrow{c_1} Y \\
\text{pr} & & \text{pr} & \text{pr} \\
\end{array} \]

such that both squares are Cartesian.

The above data induces a correspondence $\hat{c}: C_E \to \hat{E} \times \hat{E}$ by passing to the dual vector bundles.

Consider the Frobenius twisted correspondence map $c^{(1)} = (\text{Frob} \circ c_0, c_1): C^{(1)} \to Y \times Y$. Similarly, we define $C_E^{(1)}$ (a self-correspondence of $E$) and $C^{(1)}_E$ (a self-correspondence of $\hat{E}$). Recall notation (§6.4.2)

\[ \text{Sht}(C) := \text{Fix}(C^{(1)}), \quad \text{Sht}(C_E) := \text{Fix}(C^{(1)}_E), \quad \text{Sht}(C_{E}) := \text{Fix}(C^{(1)}_{E}). \]  

(9.6.3)

The projections

\[ \pi: \text{Sht}(C_E) \to \text{Sht}(C), \quad \hat{\pi}: \text{Sht}(C_{E}) \to \text{Sht}(C) \]  

(9.6.4)

are étale $F_{\pi}$-vector space bundles over Sht$(C)$ that are dual to each other.

9.6.2. Let $K \in \mathcal{D}_{\text{mot, gm}}(\hat{E}; \mathbb{Q})$ and $c \in \text{Corr}_{c_E}(K, K_{(-i)})$.

On one hand, we can form the Frobenius-twisted trace (§6.4.3)

\[ \text{Tr}^{\text{Sht}}(c) := \text{Tr}(c^{(1)}) \in \text{CH}_i(\mathbb{Q}^!(\text{Sht}(C_E))) \]  

(9.6.5)

where $c^{(1)}$ is the cohomological correspondence

\[ c^{(1)}: e_0^* \text{Frob}_E^* K \cong e_0^* K \xrightarrow{\iota} e_1^* K_{(-i)} \]  

(9.6.6)

supported on $\hat{C}_E^{(1)}$ (see §6.4.2).

On the other hand, we can first apply the homogeneous motivic Fourier transform to get a cohomological correspondence

\[ \text{FT}_{C_E}(c) \in \text{Corr}_{c_E}(\text{FT}_E(K), \text{FT}_E(K)) \]

given by the composite

\[ \hat{c}_0^* \text{FT}_E(K) \cong \text{FT}_{C_E}(e_0^* K) \xrightarrow{\text{FT}_{C_E}(c^{(1)})} \text{FT}_{C_E}(e_1^* K_{(-i)}) \cong \hat{c}_1^* \text{FT}_E(K)_{(-i)}, \]

(9.6.7)

where we used the commutativity of the Fourier transform with base change (§8.2.6). We can then (using that FT$(K)$ is geometric, thanks to Corollary 8.4.2) form the Frobenius-twisted trace

\[ \text{Tr}^{\text{Sht}}(\text{FT}_{C_E}(c)) := \text{Tr}(\text{FT}_{C_E}(c^{(1)})) \in \text{CH}_i(\mathbb{Q}^!(\text{Sht}(C_{E}))). \]  

(9.6.8)

The two constructions (9.6.5) and (9.6.8) agree up to the arithmetic Fourier transform:

\textbf{Theorem 9.6.1.} In the above situation, we have

\[ \text{Tr}^{\text{Sht}}(\text{FT}_{C_E}(c)) = \text{FT}^{\text{arith}}_{\text{Sht}(C_E)}(\text{Tr}^{\text{Sht}}(c)) \in \text{CH}_i(\mathbb{Q}^!(\text{Sht}(C_{E}))). \]  

(9.6.9)

\textit{Proof.} The version for $\ell$-adic coefficients is [FYZ23] Theorem 8.3.2], and the proof here is similar with a few modifications. The renormalized homogenous Fourier transform (resp. arithmetic homogeneous Fourier transform) is the composition of three steps:

\begin{enumerate}
\item pullback along $\hat{C}_E \leftarrow \hat{E} \times_Y \hat{E}$ (resp. pullback along $\hat{\text{Sht}}(E) \leftarrow \hat{\text{Sht}}(E) \times_{\text{Sht}(C)} \hat{\text{Sht}}(\hat{E})$),
\item tensor with $\mathcal{O}_{\hat{E}}[r - 1]$ (resp. multiply by $(-1)^r e^\ast \xi$) where $r = \text{rk}(E)$,
\item pushforward along $\hat{E} \times_Y \hat{E} \to \hat{E}$ (resp. pushforward along $\hat{\text{Sht}}(E) \times_{\text{Sht}(C)} \hat{\text{Sht}}(\hat{E}) \to \hat{\text{Sht}}(\hat{E})$).
\end{enumerate}

It suffices to show that each of these steps is compatible with the formation of the trace. The first two are easy:

\textit{i.e., a derived vector bundle of amplitude $[0, 0]$.}
• Since the pullback in (a) is smooth, the compatibility there follows from Proposition 6.2.2.
• The formation of trace takes the operation of tensoring with a self-correspondence of a local system to the operation of multiplication by the trace. Hence (b) follows from the computation that the Frobenius trace function of $\mathcal{Y}_E$ is $-\text{ev}^* \xi$.

For (c), we need to show that pushforward through the projection $\text{pr}_1: \overset{\dagger}{E} \times_Y \overset{\dagger}{E} \to \overset{\dagger}{E}$ commutes with formation of trace. From the map of correspondences

$$
\begin{array}{ccc}
E & \xrightarrow{c_0} & C_E & \xrightarrow{c_1} & E \\
\downarrow f & & \downarrow f & & \downarrow f \\
\overset{\dagger}{E} & \xleftarrow{\text{pr}} & \overset{\dagger}{C}_E & \xrightarrow{\text{pr}} & \overset{\dagger}{E}
\end{array}
$$

we have maps of correspondences

$$\text{Corr}_{C_E}(K, K(-i)) \xrightarrow{j^*} \text{Corr}_{C_E}(f^* K, f^* K(-i))$$

and

$$\text{Corr}_{C_E}(K, K(-i)) \xrightarrow{j^*} \text{Corr}_{C_E}(f^* K, f^* K(-i)).$$

The endofunctor $f_j^*: \mathcal{D}_\text{mot}(\overset{\dagger}{E}; \mathbb{Q}) \to \mathcal{D}_\text{mot}(\overset{\dagger}{E}; \mathbb{Q})$ is given by tensoring with $f_j^* Q_E$. Since $f$ is a $G_m$-torsor, this implies that $\operatorname{Tr}(f_j^* c) = (q-1) \operatorname{Tr}(c)$, which agrees with $\text{Fix}(f^*) \operatorname{Fix}(f_j^* \operatorname{Tr}(c))$ since $\text{Fix}(f)$ is a $G_m(F_q)$-torsor. Replacing $c$ by $f^* c$, it therefore suffices to show that the projection $\text{pr}_1: \overset{\dagger}{E} \times_Y \overset{\dagger}{E} \to \overset{\dagger}{E}$ commutes with formation of trace. This follows from Lemma 9.6.2. □

Lemma 9.6.2. In the situation of §9.6.2, let $K \in \mathcal{D}_\text{mot, gm}(\overset{\dagger}{E}; \mathbb{Q})$ and $c \in \text{Corr}_{C_E}(K, K(-i))$. Let $\text{Sh}(\text{pr}): \text{Sh}(C_E) \to \text{Sh}(C)$ be the induced map on fixed points of $C_E^{(1)}$ and $C^{(1)}$, which is an étale $F_q$-vector space bundle (in particular a finite morphism). Then

$$\text{Tr}(\text{pr}(c_1))(0) = \text{Sh}(\text{pr})(\text{Tr}(c_1))(0) \in \text{CH}_i(\text{Sh}(C)).$$

(9.6.10)

Proof. Note that this does not follow from Proposition 6.2.2 since $\text{pr}$ is far from proper. The analogous result for $\ell$-adic sheaves is [FY23] Lemma 8.3.3, and the proof here is essentially the same: compactify the map of correspondences, use the compatibility of trace and pushforward on the compactification, and then show that the boundary contribution vanishes. As some references need to be replaced, we will spell out the argument.

We compactify the map of correspondences $\overset{\dagger}{C}_E \to \overset{\dagger}{E}$ to

$$
\begin{array}{ccc}
\overset{\dagger}{E} & \xleftarrow{\pi_0} & C_{\overset{\dagger}{E}} & \xrightarrow{\pi_1} & \overset{\dagger}{E} \\
\downarrow \text{pr} & & \downarrow \text{pr}_{C_E} & & \downarrow \text{pr} \\
\overset{\dagger}{Y} & \xleftarrow{c_0} & \overset{\dagger}{C} & \xrightarrow{c_1} & \overset{\dagger}{Y}
\end{array}
$$

where $\overset{\dagger}{E} := \mathbb{P}(E \oplus O) \to Y$ and $C_{\overset{\dagger}{E}} := \mathbb{P}(\overset{\dagger}{c}_0 E \oplus O) \overset{\dagger}{\to} \mathbb{P}(\overset{\dagger}{c}_1 E \oplus O) \to C$ is the pullback projective bundle over $C$. Then $C_{\overset{\dagger}{E}}$ is a self-correspondence of $\overset{\dagger}{E}$ with a proper map to $C$. Let $E_\infty := \overset{\dagger}{E} - E$ be the divisor at infinity, which is isomorphic to $\mathbb{P}(E)$. Similarly define $C_{\overset{\dagger}{E}} := C_{\overset{\dagger}{E}} - C_E$, which is a self-correspondence of $E_\infty$. Let $C_E^{(1)}$ and $C^{(1)}$ be the twists by Frobenius as in §6.4.2. Since the vertical maps in (9.6.11) are proper, Proposition 6.2.1 implies that $\text{pr}_{C^{(1)}}$ is compatible with formation of traces.

Let $j: E \hookrightarrow \overset{\dagger}{E}$ and $j_C: C_E \hookrightarrow C_{\overset{\dagger}{E}}$ be the open inclusions. The map of correspondences

$$
\begin{array}{ccc}
E & \xleftarrow{c_0} & C_E & \xrightarrow{c_1} & E \\
\downarrow j & & \downarrow j_C & & \downarrow j \\
\overset{\dagger}{E} & \xleftarrow{\pi_0} & C_{\overset{\dagger}{E}} & \xrightarrow{\pi_1} & \overset{\dagger}{E}
\end{array}
$$

has both squares Cartesian, so it is left pushable. Therefore the pushforward cohomological correspondence $\tau := j_C(c_1) \in \text{Corr}_{C_{\overset{\dagger}{E}}}(j_C K, j_C K(-i))$ (9.6.12) is defined. It remains to show that $\text{Sh}(\text{pr}), \text{Tr}(\tau) = \text{Sh}(\text{pr}), \text{Tr}(c)$, which amounts to the vanishing of the contribution from the boundary correspondence. The rest of the argument is exactly the same as the corresponding step in the proof of [FY23] Lemma 8.3.3, except using Theorem 7.5.1 instead of [Var07] to

...
see that the contribution from the boundary correspondence vanishes, because $C^{(1)}_{\pi}$ is contracting near $E_{\infty}$ by Example \ref{5.2.2}.

\section{Generic modularity of higher theta series}

In this section we will assemble the preceding theory to prove the main result, Theorem \ref{1.2.1}

\subsection{Notation}

We fix the following notation throughout the section.

We let $\nu : X' \to X$ be an étale double cover of smooth projective curves over a finite field $\mathbf{F}_q$ of characteristic $p > 2$, and $\sigma : X' \to X'$ be the non-trivial automorphism over $X$. We let Frob denote the $q$-power Frobenius.

For a torsion coherent sheaf $Q$ on a curve $X'$ we let $D_{Q}$ be its scheme-theoretic support, viewed as a divisor on $X'$, and $|Q| \subset X'$ its set-theoretic support.

Let $n \in \mathbb{Z}_{\geq 1}$. The (smooth, classical, 1-Artin) stack $\text{Bun}_{\text{GU}(n)}$ parametrizes triples $(\mathcal{F}, \mathcal{L}, h)$, where $\mathcal{F}$ is a vector bundle on $X'$ of rank $n$, $\mathcal{L}$ is a line bundle on $X$, and $h : \mathcal{F} \overset{\sim}{\to} \sigma^* \mathcal{F}^\vee \otimes \nu^* \mathcal{L}$ is an $\mathcal{L}$-twisted Hermitian structure (i.e., $\sigma^* h^\vee = h$). The corresponding moduli space of shtukas is denoted $\text{Sht}_{\text{GU}(n)}$.

\subsection{Higher theta series}

We briefly summarize the construction of higher theta series on $\text{Sht}_{\text{GU}(n)}$, which can be found in \cite[§4]{FY21}. Let $m \in \mathbb{Z}_{\geq 1}$. The stack $\text{Bun}_{\text{GU}(-2m)}$ parametrizes triples $(\mathcal{G}, \mathcal{M}, h)$, where $\mathcal{G}$ is a vector bundle on $X'$ of rank $2m$, $\mathcal{M}$ is a line bundle on $X$, and $h : \mathcal{G} \overset{\sim}{\to} \sigma^* \mathcal{G}^\vee \otimes \nu^* \mathcal{M}$ is an $\mathcal{M}$-twisted skew-Hermitian structure (i.e., $\sigma^* h^\vee = -h$). Alternatively, we can think of $h$ as an $\mathcal{O}_X$-bilinear perfect pairing

\begin{align}
(\cdot, \cdot)_h : \mathcal{G} \times \sigma^* \mathcal{G} \to \nu^* (\mathcal{M} \otimes \omega_X) \tag{10.2.1}
\end{align}

satisfying $(\sigma^* \beta, \sigma^* \alpha)_h = -\sigma^*(\alpha, \beta)_h$ for local sections $\alpha$ and $\beta$ of $\mathcal{G}$ respectively.

Let $\text{Bun}_{\text{GU}}$ be the moduli stack of quadruples $(\mathcal{G}, \mathcal{M}, h, \mathcal{E})$ where $(\mathcal{G}, \mathcal{M}, h) \in \text{Bun}_{\text{GU}(-2m)}$, and $\mathcal{E} \subset \mathcal{G}$ is a Lagrangian sub-bundle (i.e., $\mathcal{E}$ has rank $m$ and the composition $\mathcal{E} \subset \mathcal{G} \overset{h}{\to} \sigma^* \mathcal{G}^\vee \otimes \nu^* \mathcal{M} \to \sigma^* \mathcal{E}^\vee \otimes \nu^* \mathcal{M}$ is zero). Thus $\text{Bun}_{\text{GU}}$ corresponds to the Siegel parabolic of $GU^{-2m}$.

Assume now that $1 \leq m \leq n$. In \cite[§4.6]{FY21}, we defined for each $r \geq 0$ and $m \leq n$ a higher theta series, which is a function

\begin{align}
\tilde{Z}_m : \text{Bun}_{\text{GU}}(k) \to \text{CH}_{r(n-m)}(\text{Sht}_{\text{GU}(n)}).
\end{align}

We repeat the brief recap of the definition of $\tilde{Z}_m$ from \cite[§2]{FY21}. Let $(\mathcal{G}, \mathcal{M}, h, \mathcal{E}) \in \text{Bun}_{\text{GU}}(k)$ and set $\mathcal{L} := \omega_X \otimes \mathcal{M}$. Let $\text{Sht}_{\text{GU}(n), \mathcal{L}} \subset \text{Sht}_{\text{GU}(n)}$ be the moduli stack of rank $n$ Hermitian shtukas

\begin{align}
\mathcal{F}_\bullet = ((x_1), (\mathcal{F}_1), (f_1), \varphi : \mathcal{F}_r \overset{\sim}{\to} \mathcal{F}_0)
\end{align}
on $X'$ with $r$ legs and similitude line bundle $\mathcal{L}$. For a vector bundle $\mathcal{E}$ on $X'$ of rank $m$, the special cycle $\mathcal{Z}_{\mathcal{E}, \mathcal{L}}$ parametrizes a point $\mathcal{F}_\bullet$ of $\text{Sht}_{\text{GU}(n), \mathcal{L}}$, and maps $t_i : \mathcal{F} \overset{\sim}{\to} \mathcal{E} = \mathcal{F}_i$ for each $0 \leq i \leq r$, compatible with the shtuka structure on $\mathcal{F}_\bullet$. For a Hermitian map $a : E \to \sigma^* E^\vee \otimes \nu^* \mathcal{L}$, let $Z_{\mathcal{E}, \mathcal{L}}(a)$ be the open-closed substack of $\mathcal{Z}_{\mathcal{E}, \mathcal{L}}$ consisting of $(\mathcal{F}_\bullet, t_\bullet)$ such that the Hermitian form on $\mathcal{F}_\bullet$ induces the Hermitian map $a$ on $\mathcal{E}$ via $t_\bullet$. Let $\zeta_\bullet : Z_{\mathcal{E}, \mathcal{L}}(a) \to \text{Sh}_{\text{GU}(n), \mathcal{L}} \subset \text{Sht}_{\text{GU}(n)}$ be the map forgetting $t_\bullet$, which is known to be finite \cite[Proposition 7.5]{FY24} and unramified.

In \cite[Definition 4.8]{FY21} there is constructed a virtual fundamental class $[Z_{\mathcal{E}, \mathcal{L}}(a)] \in \text{CH}_{r(n-m)}(Z_{\mathcal{E}, \mathcal{L}}(a))$, although the interpretation as a derived fundamental class in \cite[§5.6]{FY21} will be more useful in the proofs. Pushing forward along $\zeta_\bullet$, we get Chow classes

\begin{align}
\zeta_\bullet[Z_{\mathcal{E}, \mathcal{L}}(a)] \in \text{CH}_{r(n-m)}(\text{Sht}_{\text{GU}(n), \mathcal{L}}).
\end{align}

The value of $\tilde{Z}_m$ on $(\mathcal{G}, \mathcal{M}, h, \mathcal{E})$ (recall $\mathcal{M} = \omega_X^{-1} \otimes \mathcal{L}$), which we henceforth abbreviate as $(\mathcal{G}, \mathcal{E})$, is defined as

\begin{align}
\tilde{Z}_m(\mathcal{G}, \mathcal{E}) = \chi(\det \mathcal{E}) q^{(\deg \mathcal{E} - \deg \mathcal{L})/2} \sum_{a \in \mathcal{A}_{\mathcal{E}, \mathcal{L}}(k)} \psi((e_{\mathcal{G}, \mathcal{E}, a})) \zeta_\bullet[Z_{\mathcal{E}, \mathcal{L}}(a)], \tag{10.2.2}
\end{align}

where:

- $\chi : \text{Pic}_X(k) \to \mathbb{Q}^\times$ is a character whose restriction to $\text{Pic}_X(k)$ is the $n$th power of the quadratic character $\text{Pic}_X(k) \to \{\pm 1\}$ corresponding to the double cover $X'/X$ by class field theory.
- $\psi : \mathcal{F}_r \to \mathbb{Q}^\times$ is a nontrivial additive character.
- The sum is indexed over $\mathcal{A}_{\mathcal{E}, \mathcal{L}}(k)$, the set of Hermitian maps $a : \mathcal{E} \to \sigma^* \mathcal{E}^\vee \otimes \nu^* \mathcal{L}$. 

For any sub-bundles $e_1, e_2$, the case has the same content.

Theorem 10.3.1. For any $\mathcal{G} \in \text{Bun}_{GU-(2m)}(k)$ and any Lagrangian sub-bundles $\mathcal{E}_1, \mathcal{E}_2 \subset \mathcal{G}$, we have

$$\Z_m^{\eta}(\mathcal{G}, \mathcal{E}_1) = \Z_m^{\eta}(\mathcal{G}, \mathcal{E}_2) \in \text{CH}_{r(n-m)}(\text{Sht}_{GU(n)}^r \times (X')^r \eta') \quad (10.3.1)$$

where $\eta'$ is as in §2.2.

10.3.1. Reduction to transverse Lagrangians. As explained in [FYZ23] §2.2, Theorem 10.3.1 is reduced to the case where the sub-bundles $\mathcal{E}_1, \mathcal{E}_2$ are transverse in the sense that their intersection within $\mathcal{G}$ is the zero section. Henceforth we assume this to be the case.

10.3.2. Reduction to Harder–Narasimhan truncations. Given a Harder–Narasimhan polygon $\mu$ for $GU(n)$, we have a Harder–Narasimhan truncation $\text{Sht}_{GU(n)}^r \hookrightarrow \text{Sht}_{GU(n)}^r$. Because

$$\text{CH}_*(\text{Sht}_{GU(n)}^r \times (X')^r \eta') \cong \lim_{\mu} \text{CH}_*(\text{Sht}_{GU(n)}^r \times (X')^r \eta'),$$

it suffices to show (10.3.1) after restriction to this truncation. Henceforth we fix a Harder–Narasimhan polygon $\mu$ and write $S = \text{Bun}_{GU(n)}^{\leq \mu}$ for the corresponding open substack of $\text{Bun}_{GU(n)}$. As in [FYZ23] §9.1.2, we write $h_k = h_0^{-1}(S) \cap \ldots \cap h_r^{-1}(S)$ where $h_i : \text{Hk}_G^{GU(n)} \to \text{Bun}_{GU(n)}$ are the “leg maps”.

For a space over $\text{Bun}_{GU(n)}$ such as $\text{Sht}_{GU(n)}^r$ or the special cycles on it, we write $(-)^r\mu$ for the pullback to $S$.

4. Transverse Lagrangians ansatz. Let $\mathcal{G} \in \text{Bun}_{GU-(2m)}(k)$. For notational simplicity, we assume the similitude line bundle of $\mathcal{G}$ is trivial, therefore the skew-Hermitian form reads $h_0 : \mathcal{G} \sim \sigma^* \mathcal{G}^*$. The general case has the same content.

Thanks to the transversality assumption from 10.3.1, we have a commutative diagram (cf. [FYZ23] §2.3.3)

$$\begin{array}{cccc}
\mathcal{E}_1 & \xrightarrow{b_{12}} & \sigma^* \mathcal{E}_2 & \xrightarrow{\iota_1} & Q_2 \\
& \swarrow_{\iota_2} & & \searrow_{\iota_2} & \\
\mathcal{G} & & G^* & & Q \\
\dowarrow_{\iota_1} & & \dowarrow_{\iota_1} & & \\
\mathcal{E}_2 & \xrightarrow{b_{21}} & \sigma^* \mathcal{E}_1 & \xrightarrow{\iota_1} & Q_1
\end{array} \quad (10.4.1)$$

where the horizontal rows and diagonal sequences are short exact sequences of coherent sheaves on $X'$. Here $b_{12}$ is the composition

$$\mathcal{E}_1 \to \mathcal{G} \sim \sigma^* \mathcal{G}^* \to \sigma^* \mathcal{E}_2.$$
For each $i \in \{1, 2\}$ let $\mathcal{E}_i \hookrightarrow \tilde{\mathcal{E}}_i$ be a sub-sheaf with cokernel a torsion coherent sheaf $\mathcal{T}_i$ on $X'$. Let $\mathcal{T}_i^* = \text{RHom}(\mathcal{T}_i, \mathcal{O}_{X'})[1]$ be its dual torsion sheaf on $X'$. Therefore, $\tilde{\mathcal{E}}_i$ is the saturation of $\mathcal{E}_i$ in $\mathcal{G}$, and we have the diagram below

$$
\begin{array}{ccccc}
\mathcal{E}_1 & \xrightarrow{\mathcal{T}_1} & \tilde{\mathcal{E}}_1 & \xrightarrow{\mathcal{G}} & \sigma^* \mathcal{E}_2 \\
\downarrow & & \downarrow \& & \downarrow \\
\mathcal{Q} & & \mathcal{Q} & & \sigma^* \mathcal{T}_2 \\
& & \downarrow & & \downarrow \\
& & \mathcal{E}_2 & & \sigma^* \mathcal{E}_2
\end{array}
$$

(10.4.2)

where the arrows are labeled by their cokernels.

10.4.1. Assumptions on $\mathcal{T}_1$ and $\mathcal{T}_2$. We make the following assumptions:

(a) The supports $|Q|, |\mathcal{T}_1|, |\mathcal{T}_2|$ are disjoint after mapping to $X$.

(b) For all $\mathcal{F} \in S(\mathcal{K}) = \text{Bun}_{U(\alpha)}(\mathcal{K})$ we have for $i = 1, 2$

$$\text{Ext}^1_{\mathcal{K}}(\mathcal{F}^*, \mathcal{E}_i^*) = 0. \quad (10.4.3)$$

These conditions can always be arranged, as discussed in [FYZ23, Remark 10.1.1]. Note that by the dualities in [FYZ23, (10.1.3), (10.4.3)] is equivalent to

$$\text{Hom}_{\mathcal{K}}(\mathcal{F}^*, \sigma^* \mathcal{E}_i) = 0 \quad (10.4.4)$$

for all $\mathcal{F} \in \text{Bun}_{U(\alpha)}(\mathcal{K})$ and $i = 1, 2$.

Let

$$\tilde{Q}_1 := Q^* \oplus \mathcal{Q}^* \oplus \mathcal{T}_2^*,$$

$$\tilde{Q}_2 := \sigma^* Q \oplus \sigma^* \mathcal{T}_1 \oplus \mathcal{T}_2^*. \quad (10.4.5)$$

From (10.4.2) and the disjointness assumption in (10.4.1) we have short exact sequences

$$0 \to \sigma^* \mathcal{E}_2 \to \mathcal{E}_1 \to \tilde{Q}_1 \to 0, \quad (10.4.7)$$

$$0 \to \sigma^* \mathcal{E}_1 \to \mathcal{E}_2 \to \tilde{Q}_2 \to 0. \quad (10.4.8)$$

10.5. Moduli spaces. We will use the same moduli spaces as in [FYZ23, §9.1, §9.2];

(a) For $i \in \{0, r\}$: $U_i, V_i, W_i$, which are derived vector bundles over $S$; and their respective dual derived vector bundles $W_i^\perp, \tilde{V}_i, U_i^\perp$.

(b) For $i \in \{0, r\}$: $\tilde{U}_i, \tilde{V}_i, \tilde{W}_i$, which are derived vector bundles over $Hk_S^\perp$ obtained by pulling back $U_i, V_i, W_i$ respectively along $h_i$; and their respective dual derived vector bundles $\tilde{W}_i^\perp, \tilde{V}_i, \tilde{U}_i$.

(c) The Hecke stacks $Hk_{U}^\perp, Hk_{U}^\parallel, Hk_{V}^\perp, Hk_{V}^\parallel, Hk_{W}^\perp, Hk_{W}^\parallel$, which are derived vector bundles over $Hk_S^\perp$; and their respective dual derived vector bundles $Hk_{W}^\perp, Hk_{W}^\parallel, Hk_{V}^\perp, Hk_{V}^\parallel, Hk_{U}^\perp, Hk_{U}^\parallel$.

We give an informal summary of the definitions. Recall that for an animated $\mathbb{F}_q$-algebra $R$, an $R$-point of $S$ is a Hermitian bundle $\mathcal{F}$ on $X'$. In these terms,

- The fiber of $U_i$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \mathcal{E}_i \otimes R)$.
- The fiber of $V_i$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \mathcal{Q}_1 \otimes R)$.
- The fiber of $W_i$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \sigma^* \mathcal{E}_2[1] \otimes R)$.
- The fiber of $U_i^\perp$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \mathcal{E}_2 \otimes R)$.
- The fiber of $\mathcal{V}_i$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \mathcal{Q}_2 \otimes R)$.
- The fiber of $\mathcal{W}_i^\perp$ over $\mathcal{F} \in S(R)$ is $R\text{Hom}_{X'}(\mathcal{F}^*, \sigma^* \mathcal{E}_2[1] \otimes R)$.

Note that the definitions of the six spaces above are, in fact, independent of $i$. However, it the notation is useful for indexing purposes.

For an animated $\mathbb{F}_q$-algebra $R$, an $R$-point of $Hk_S^\perp$ is a sequence of modifications of Hermitian bundles $(\mathcal{F}_0 \rightarrow \ldots \rightarrow \mathcal{F}_r)$ on $X'$ that we abbreviate $\mathcal{F}_*$. For $i \in \{0, r\}$ and $? \in \{U_i, V_i, W_i, \tilde{U}_i, \tilde{V}_i, \tilde{W}_i\}$, the fiber of $?$ over $(\mathcal{F}_*) \in Hk_S^\perp(R)$ is obtained by replacing $\mathcal{F}$ with $\mathcal{F}_i$ in the descriptions of $?(R)$ above.

From $\mathcal{F}_* \in Hk_S^\perp(R)$ we can define perfect complexes $\mathcal{F}_*$ and $\mathcal{F}_*$ on $X'$ as in [FYZ23, §9.1.3, §9.2.2]. For $? \in \{U, V, W\}$ the fiber of $Hk_S^\perp$ is obtained by replacing $\mathcal{F}$ with $\mathcal{F}_i$ in the description of $?(R)$ above, while the fiber of $Hk_S^\parallel$ over $\mathcal{F}_* \in Hk_S^\perp(R)$ is obtained by replacing $\mathcal{F}$ with $\mathcal{F}_i$ in the description of $?(R)$ above.
Remark 10.5.1. The vanishing assumption [10.4.3] implies that $U, V$ and $W$ are all classical vector bundles over $S$, and we have a short exact sequence of classical vector bundles over $S$

$$0 \to U_i \to V_i \to W_i \to 0.$$  \hfill (10.5.1)

Similarly, we have a short exact sequence of classical vector bundles over $S$

$$0 \to U_i^\perp \to \tilde{V}_i \to W_i^\perp \to 0.$$  \hfill (10.5.2)

Thus we have a pair of commutative diagrams:

![Diagram](image-url)

In each diagram:
- The maps in the columns come from exact triangles of perfect complexes.
- The three diamonds in the middle are derived Cartesian.
- The four parallelograms on the left and right sides are derived Cartesian.

The diagram on the right is dual to the diagram on the left. The duality exchanges $U$ with $W^\perp$, $V$ with $\tilde{V}$, and $W$ with $U^\perp$, and exchanges $b$ and $\perp$ superscripts. Sample examples of dual morphisms are colored with the same color. By [FYZ23 §9.3], each of these diagrams is globally presented, so that we may apply the results of [§9.2] to them.

10.6. Calculation of motivic Fourier transforms. We refer to the diagrams in [10.5.3]. By [FYZ23 Corollary 9.1.4], the map $a_r$ is quasi-smooth, hence it has a relative fundamental class, which defines in [§6.3] a cohomological correspondence

$$\epsilon_U = [a_r] \in \text{Corr}_{\text{Hk}_U}(Q_{U_0}, Q_{U_r}(-d(a_r))).$$  \hfill (10.6.1)

Similarly, the relative fundamental class of $a_r^\perp$ defines a cohomological correspondence

$$\epsilon_{U^\perp} = [a_r^\perp] \in \text{Corr}_{\text{Hk}_{U^\perp}}(Q_{U_0^\perp}, Q_{U_r^\perp}(-d(a_r^\perp))).$$  \hfill (10.6.2)

By [FYZ23 Corollary 9.1.5] the pushforward of cohomological correspondences [§4.3.1] along the morphism of correspondences $f : \text{Hk}_U \to \text{Hk}_{\tilde{V}}$ is defined, giving

$$f_!(\epsilon_U) \in \text{Corr}_{\text{Hk}_{\tilde{V}}}(f_! Q_{U_0}, f_! Q_{U_r}(-d(a_r))).$$  \hfill (10.6.3)

Similarly,

$$f_!^\perp(\epsilon_{U^\perp}) \in \text{Corr}_{\text{Hk}_{\tilde{V}}^\perp}(f_!^\perp Q_{U_0^\perp}, f_!^\perp Q_{U_r^\perp}(-d(a_r^\perp))).$$  \hfill (10.6.4)
is defined.

For a $G_m$-equivariant cohomological correspondence $\iota$ on a derived vector bundle $E$, we denote by $\dagger$ the descended cohomological correspondence on $\iota E$. Recall the notion of homogeneous Fourier transform of cohomological correspondences from [FYZ23 §9.4]. We have (simplifying some dimensions as in [FYZ23 §9.4])

$$FT^{\text{ren}}_{Hk_\nu}(f_i^!(\iota_U)) \in \text{Corr}_{Hk_\nu}((FT^{\text{ren}}_{V_0}(f_0^!Q_{U_0})), FT^{\text{ren}}_{V_r}(f_r^!Q_{U_r})(d(b_r) - d(a_r))).$$

(10.6.5)

Since $U^\perp$ is the orthogonal complement of $U$ relative to $V$ (in the derived sense), by [§8.2.7] we have canonical isomorphisms for $i = 0, r$:

$$FT^{\text{ren}}_{V_r}(f_i^!Q_{U_i}) \cong (f_i^!|_{\text{rank}(V)}|(-\text{rank}(U))).$$

Note the shift and twist on the right side is the same for $i = 0$ and $i = r$. Therefore we may view (simplifying some dimensions as in [FYZ23 §9.4])

$$FT^{\text{ren}}_{Hk_\nu}(f_i^!(\iota_U)) \cong \text{Corr}_{Hk_\nu}((f_0^!|_{\text{rank}(V)}|(-\text{rank}(U)))).$$

Theorem 10.6.1. Recall the shift and twist notation from [§6.3]. Let $\pi_i : U_i \to S$ be the bundle projection. Then we have

$$\pi : Hk^\nu_U \to Hk^\nu_S, \quad \pi^\perp : Hk^\nu_{U^\perp} \to Hk^\nu_S,$$

be the bundle projections viewed as maps of correspondences, and also recall the maps of correspondences

$$z^\perp : Hk^\nu_S \to Hk^\nu_{U^\perp}, \quad g^\perp : Hk^\nu_{U^\perp} \to Hk^\nu_{V^\perp}.$$

The proof is completed by the sequence of equalities of cohomological correspondences

$$T[d(f_o)+d(\pi_o)][d(\pi_o)]FT^{\text{ren}}(f^!_i\iota_U)(1) \cong T[d(f_o)+d(\pi_o)][d(\pi_o)]FT^{\text{ren}}(g^!|_z^\perp\pi^!s)(2) \cong (g^!|_z^\perp\pi^!s)FT^{\text{ren}}(s)(3) \cong (f^!|_z^\perp\pi^!s) = (f^!|_z^\perp\pi^!s)(5).$$

Here:

(1), (5) follow from the $G_m$-equivariant identifications

$$\pi^!s = \iota_U, \quad (\pi^\perp)^*s = \iota_{U^\perp},$$

which are proved exactly as in [FYZ23 Lemma 9.4.2].

(2) involves two applications of Proposition 9.4.1, namely

$$T[d(f_o)]FT^{\text{ren}} \circ f_i = (g^!|_z^\perp\pi^!s) \circ FT^{\text{ren}}, \quad T[d(\pi_o)][d(\pi_o)]FT^{\text{ren}} \circ \pi^! = z^\perp \circ FT^{\text{ren}}.$$

(3) is the trivial equality $s = FT^{\text{ren}}_{Hk_\nu}(s)$, where $Hk^\nu_S$ is regarded as a trivial vector bundle over itself.

(4) follows from Theorem 4.4.2 (Note that the hypotheses of Theorem 4.4.2 hold in this situation by [FYZ23 Corollary 9.1.3]).

10.7. Calculations with the homogeneous arithmetic Fourier transform. We denote

$$X^\circ := X - \nu(|Q| \cup |T_1| \cup |T_2|) = X - \nu(|\tilde{Q}_1|) = X - \nu(|\tilde{Q}_2|);$$

$$X^\circ := \nu^{-1}(X^\circ).$$

(10.7.1)

(10.7.2)

For a stack $A$ over $X^\circ$, we denote

$$A^\circ := A \times_{X^\circ} (X^\circ)^\circ.$$

(10.7.3)

In particular, $Hk^\circ_S \subset Hk^\circ_{\tilde{S}}$ denotes the open substack where the legs are all disjoint from $|\tilde{Q}_1| \cup |\tilde{Q}_2|.$

By [FYZ23 Lemma 10.13], for each $i$ the restriction $\tilde{b}_i^\circ : Hk^\circ_{V_i} \to Hk^\circ_{\tilde{U}_i}$ of $\tilde{b}_i$ and the restriction $\tilde{b}_i^\circ : Hk^\circ_{\tilde{V}_i} \to Hk^\circ_{\tilde{U}_i}$ of $\tilde{b}_i$ are isomorphisms. This implies [FYZ23 Corollary 10.1.4] that the projection map $\text{Sh}^\circ_{V_i} \to \text{Sh}^\circ_{U_i} \cong Hk^\circ_{\tilde{U}_i}$ is an étale $F_q$-vector space bundle. Hence the theory of the homogeneous arithmetic Fourier transform (§9.5) applies to it.
10.7.1. Virtual fundamental classes. By [FYZ23, Remark 9.1.1] the spaces \( U_i \) from [10.5] can be viewed as the derived fiber of the derived Hitchin stack \( \mathcal{M}_{H_1, H_2} \) from [FYZ21] §5 over \( \{ E_{\mathcal{L}} \} \times S \to \text{Bun}_G(m)^{\vee} \times \text{Bun}_G(n)^{\vee} \), where \( H_1 = \text{GL}(m)^{\vee} \) and \( H_2 = U(n) \). Similarly, by [FYZ23, Remark 9.1.2] \( \text{Hk}^0 \) is an open substack of the derived fiber of the derived Hecke stack \( \text{Hk}^0 \) from [FYZ21] §5 over \( \{ E_{\mathcal{L}} \} \times S \to \text{Bun}_G(m)^{\vee} \times \text{Bun}_G(n)^{\vee} \). Therefore, the derived fibered product

\[
\text{Sht}^*_{U_i} \longrightarrow \text{Hk}^0_{U_i}
\]

\[
\downarrow \quad \text{Id. Frob} 
\]

\[
U_0 \longrightarrow U_0 \times U_1
\]

is equipped with an open embedding in \( \text{Sht}^*_{U_i} \), and in particular is of virtual dimension \( d(a_r) \). We then have two natural cycles in \( \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}) \):

(a) The intrinsic derived fundamental class \( [\text{Sht}^*_{U_i}] \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}) \).

(b) The trace of the cohomological correspondence \( c_U \) (calculated using the canonical Weil structure), denoted \( \text{Tr}_{\text{Sht}}(c_U) \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}) \) (cf. §6.4.2).

We assemble the earlier results to calculate the trace of our cohomological correspondences. The assumptions [10.4.3] imply that the maps \( U_i \to S \) and \( U_i^\perp \to S \) are smooth, hence \( U_i \) and \( U_i^\perp \) are smooth. Then by Corollary 6.4.1 we have

\[
\text{Tr}_{\text{Sht}}(c_U) = [\text{Sht}^*_{U_i}] \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}).
\]  \hspace{1cm} (10.7.4)

In particular, \( \text{Sht}^*_{U_i} \) is an open substack of \( \text{Sht}^*_{\mathcal{M}_{E_{\mathcal{L}}}} \), so it is quasi-smooth and \( [\text{Sht}^*_{U_i}] \) is the restriction of what was called \([Z_{E_{\mathcal{L}}}]\) in [FYZ21].

Similarly, we have

\[
\text{Tr}_{\text{Sht}}(c_{U^\perp}) = [\text{Sht}^*_{U_i^\perp}] \in \text{CH}_{d(a^\perp)}(\text{Sht}^*_{U_i^\perp}),
\]  \hspace{1cm} (10.7.5)

where \( \text{Sht}^*_{U_i^\perp} \) is defined by the derived Cartesian square

\[
\text{Sht}^*_{U_i^\perp} \longrightarrow \text{Hk}^0_{U_i^\perp}
\]

\[
\downarrow \quad \text{Id. Frob} 
\]

\[
U_0^\perp \longrightarrow U_0^\perp \times U_1^\perp
\]

Next, the assumptions [10.4.4] imply that the maps \( f_i : U_i \to V_i, f_i^\perp : U_i^\perp \to \tilde{V}_i \), and \( f_{\perp} : \text{Hk}^0_{U_i^\perp} \to \text{Hk}^0_{V_i^\perp} \) are all closed embeddings. Then Proposition 6.2.1 applies to give

\[
\text{Tr}_{\text{Sht}}(f_i c_{U_i}) = \text{Sht}(f_i) \circ \text{Tr}_{\text{Sht}}(c_U) \quad \text{Sht}(f_i) [\text{Sht}^*_{U_i}] \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}),
\]  \hspace{1cm} (10.7.6)

where we write \( \text{Sht}(f_i) := \text{Fix}(f_i^{(1)}) : \text{Sht}^*_{U_i} \to \text{Sht}^*_{U_i} \) for the map induced by taking fixed points of the twisted cohomological correspondence \( c_U^{(1)} \), and similarly for other cohomological correspondences. We similarly have

\[
\text{Tr}_{\text{Sht}}(f_{\perp} c_{U_i^\perp}) = \text{Sht}(f_{\perp}) \circ \text{Tr}_{\text{Sht}}(c_{U_i^\perp}) \quad \text{Sht}(f_{\perp}) [\text{Sht}^*_{U_i^\perp}] \in \text{CH}_{d(a^\perp)}(\text{Sht}^*_{V_i^\perp}).
\]  \hspace{1cm} (10.7.7)

**Notation 10.7.1.** For an \( F_q \)-vector space stack \( Y \to T \) as in [9.5.1] and a class \( \alpha \in \text{CH}_* (Y) \) (resp. \( \text{CH}^* (Y) \)), we denote

\[
^\dagger \alpha = \text{Av} (\alpha) := \frac{1}{q-1} \text{pr}_1 (\alpha) \in \text{CH}_* (^\dagger Y) \quad (\text{resp. CH}^* (^\dagger Y))
\]

where \( \text{pr} : Y \to ^\dagger Y \) is the quotient map.

**Example 10.7.2 (Homogeneous cycles).** We say that \( \alpha \) on \( Y \) is *homogeneous* if \( \alpha = \text{pr}^* (^\dagger \alpha) \). Note that \( [\text{Sht}^*_{U_i}] \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}) \) is homogeneous, hence \( \text{Sht}(f_i) [\text{Sht}^*_{U_i}] \in \text{CH}_{d(a_r)}(\text{Sht}^*_{U_i}) \) is homogeneous.
10.7.2. Arithmetic Fourier transform of cycles. Recall that $\text{Sht}^r_{V} \to \text{Sht}^r_S$ is an étale $\mathbf{F}_q$-vector space bundle. We now relate the cycle classes \[[10.7.6]\] and \[[10.7.7]\] under the homogeneous arithmetic Fourier transform on $\text{Sht}^r_V$ as defined in §9.5.

**Theorem 10.7.3.** We have

$$\text{FT}_{\text{arith}}(\text{Sht}(f)\{[\text{Sht}^r_U]\}) = (-1)^{d(U/S) + d(f_0)} q^{d(U/S)} \cdot \text{Sht}(f^\perp)\{[\text{Sht}^r_U]\} \in \text{CH}_{d(a_\tau)}(\text{Sht}^r_V).$$

Here $\text{Sht}(f) : \text{Sht}^r_U \to \text{Sht}^r_V$ is the restriction of $\text{Sht}(f)$, and similarly for $\text{Sht}(f^\perp)$. We use the same notation for induced maps on the homogeneous quotients $\{[\cdot]\}$. (We are using \cite{FY23} Remark 10.2.2 to match the degrees of homology.)

**Proof.** We apply Theorem \[[9.6.1]\] with $E = V$, $C_E = H_{k^\perp}$ and $c = (f_1c_U)|_{H_{k^\perp}}$. Then Theorem \[[9.6.1]\] tells us that

$$\text{FT}_{\text{arith}}(\text{Sht}^{r_{(1)}}(f_1^1c_U)|_{\text{Sht}^r_V}) = \left(\text{Tr}^{\text{Sht}}_{\text{Hk}}(f_1^1c_U)|_{\text{Sht}^r_V}^{\text{ren}}\right)|_{\text{Sht}^r_V} \in \text{CH}_{d(a_\tau)}(\text{Sht}^r_V).$$

By Theorem \[[10.6.1]\] we have

$$\text{FT}_{\text{Hk}}^{\text{ren}}(f_1^1c_U) = T_{[-d(U/S) - d(f_0)]}(f_1^1)c_U.$$ 

Putting this into \[[10.7.8]\] and then taking the trace, using \[[6.5.1]\], \[[10.7.6]\] and \[[10.7.7]\], yields the result. \hfill \Box

10.7.3. Test functions. We introduce some notation for functions on $\text{Sht}_V$ and $\text{Sht}_\mathbb{G}$. The decompositions $\bar{Q}_1 := Q^* \oplus T^* \oplus \sigma^* T_2$ and $\bar{Q}_2 := \sigma^* Q \oplus \sigma^* T_1 \oplus T_2$ induce the following decompositions defined in \cite{FY23} §10.2.1] (with the same notation):

$$\text{Sht}_V = \text{Sht}_V^{(0)} \times \text{Sht}_V^{(1)} \times \text{Sht}_V^{(2)},$$

$$\text{Sht}_\mathbb{G} = \text{Sht}_\mathbb{G}^{(0)} \times \text{Sht}_\mathbb{G}^{(1)} \times \text{Sht}_\mathbb{G}^{(2)}.$$ 

We note that $\text{Sht}_\mathbb{G}^{r_{(2)}}$ is dual to $\text{Sht}_V^{r_{(2)}}$ as $\mathbf{F}_q$-vector spaces over $\text{Sht}_S^r$ in the sense of §9.5.1.

We denote $q_{12} : \text{Sht}_V^{r_{(0)}} \to \mathbf{F}_q$ and $q_{21} : \text{Sht}_V^{r_{(0)}} \to \mathbf{F}_q$ the two quadratic forms induced by the Hermitian structures $h_{12}$ and $h_{21}$ on $Q$ from \cite{FY23} §2.3.3], respectively. Namely, $q_{12}$ is the composition

$$q_{12} : \text{Sht}_V^{r_{(0)}} \stackrel{1 \times h_{12}}{\longrightarrow} \text{Sht}_V^{r_{(0)}} \times \text{Sht}_S^r \to \mathbf{F}_q,$$

and similarly for $q_{21}$. They are related by $q_{12} = -q_{21}$. Recall the additive character $\psi : \mathbf{F}_q \to \mathbb{Q}^\times$ from §10.2.

- We let $q_{12}^* \psi$ be the pullback of $\psi$ to $\text{Sht}_V^{r_{(0)}}$ via $q_{12}$, and similarly for $q_{21}$. Abusing notation, we will also use the same notation $q_{12}^* \psi$ to denote its pullback to $\text{Sht}_V^r$ and to $\text{Sht}_S^r$. The meaning will be clear from context.
- We let $\delta_{\text{Sht}^{r_{(1)}}}$ be the indicator function of the zero-section of the étale $\mathbf{F}_q$-vector space bundle $\text{Sht}_V^{r_{(1)}} \to \text{Sht}_S^r$. Abusing notation, we will also use this same notation to denote its pullback to $\text{Sht}_V^r$.
- We let $1_{\text{Sht}_V^{r_{(1)}}}$ be the constant function of $\text{Sht}_V^{r_{(1)}}$ with value 1. Abusing notation, we will also use this same notation to denote its pullback to $\text{Sht}_V^r$.
- We use similar notation on $\text{Sht}_V^r$ and $\text{Sht}_\mathbb{G}^r$.

**Lemma 10.7.4.** Let $d^{(i)}$ be the rank of $\text{Sht}_V^{r_{(i)}}$ as an étale $\mathbf{F}_q$-vector space bundle over $\text{Sht}_S^r$. Let $d = d^{(0)} + d^{(1)} + d^{(2)}$ be the rank of $\text{Sht}_V^r$ as an étale $\mathbf{F}_q$-vector space bundle over $\text{Sht}_S^r$. Then we have

$$\text{FT}_{\text{arith}}^{\text{Sht}_V^r}(\text{Av}((q_{12}^\psi) \cdot \delta_{\text{Sht}^{r_{(1)}}}) \cdot 1_{\text{Sht}^{r_{(2)}}}) = (-1)^d q^{d^{(2)} + \frac{1}{2}d^{(0)}} \eta_{D_{\mathbb{G}^{r}}} \cdot \text{Av}((q_{12}^\psi[1] \psi) \cdot 1_{\text{Sht}^{r_{(1)}}} \cdot \delta_{\text{Sht}^{r_{(2)}}})$$

as functions on $\text{Sht}_V^r$.

**Proof.** This follows from \cite{FY23} Corollary 10.2.4 by applying $\text{Av}(-)$. \hfill \Box
Lemma 10.7.5. For $i = 1, 2$, let $\mathcal{A}_{E_i}$ be the Hitchin base as in [FYZ23] §3.3. For $a \in \mathcal{A}_{E_i}(k)$, recall that $Z_{E_i}^{r, \leq \mu, (a)} := Z_{E_i}(a) \times_{\text{Sht}_{U(n)}} \text{Sht}_{S}^{r, \mu}$. 

(1) We have an equality in $\text{CH}_{d(a), r}(\text{Sht}_{V}^{r, \mu})$:

\[
(\text{Sh}(f)^{\dagger}[\text{Sh}_{U}^{r, \mu}]) \cdot \text{Av}((q_{12}^* \psi) \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}}) = \text{Av} \left( \sum_{a \in \mathcal{A}_{E_i}(k)} \psi((\epsilon_{G}, \xi_1, a)) \text{Sh}(f)^{\dagger}[Z_{E_i}^{r, \leq \mu, (a)}] \right).
\]

(2) We have an equality in $\text{CH}_{d(a), r}(\text{Sht}_{V}^{r, \mu})$:

\[
(\text{Sh}(f)^{\perp}[\text{Sh}_{U}^{r, \mu}]) \cdot \text{Av}((q_{21}^* \psi) \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \delta_{\text{Sht}_{V}^{r, \mu}}) = \text{Av} \left( \sum_{a \in \mathcal{A}_{E_i}(k)} \psi((\epsilon_{G}, \xi_2, a)) \text{Sh}(f)^{\perp}[Z_{E_i}^{r, \leq \mu, (a)}] \right).
\]

Proof. This follows from [FYZ23] Lemma 10.2.7] by applying $\text{Av}(-)$. 

10.8. Proof of Theorem [10.3.1]. We will now complete the proof of Theorem [10.3.1]. Let $d^{(i)}$, for $i \in \{0, 1, 2\}$, be the rank of $\text{Sht}_{V}^{r, \mu}$ as an étale $\mathbb{F}_q$-vector space bundle over $\text{Sht}_{S}^{r, \mu}$. Note that $d^{(i)}$ is also the rank of $V^{(i)}$ as a vector bundle over $S$.

From [FYZ23] (10.3.1) we may rewrite the higher theta series in the following way, using the non-homogeneous variant of Notation 9.5.1

\[
\tilde{Z}_{m}(\tilde{E}_{1}, G)|_{\text{Sht}_{V}^{r, \mu}} = \chi(\det \tilde{E}_{1}) q^{n(\deg \tilde{E}_{1} - \deg \omega X)/2} \langle \text{Sh}(f)^{\dagger}[\text{Sh}_{U}^{r, \mu}], q_{12}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}} \rangle
\]

and

\[
\tilde{Z}_{m}(\tilde{E}_{2}, G)|_{\text{Sht}_{V}^{r, \mu}} = \chi(\det \tilde{E}_{2}) q^{n(\deg \tilde{E}_{2} - \deg \omega X)/2} \langle \text{Sh}(f^{\perp})^{\dagger}[\text{Sh}_{U}^{r, \mu}], q_{21}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}} \rangle.
\]

Since $\text{Sh}(f)^{\dagger}[\text{Sh}_{U}^{r, \mu}]$ and $\text{Sh}(f^{\perp})^{\dagger}[\text{Sh}_{U}^{r, \mu}]$ are homogeneous (cf. Example 10.7.2), it suffices to show that

\[
\chi(\det \tilde{E}_{1}) q^{n(\deg \tilde{E}_{1} - \deg \omega X)/2} \langle \text{Sh}(f)^{\dagger}[\text{Sh}_{U}^{r, \mu}], \text{Av}(q_{12}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}}) \rangle = \chi(\det \tilde{E}_{2}) q^{n(\deg \tilde{E}_{2} - \deg \omega X)/2} \langle \text{Sh}(f^{\perp})^{\dagger}[\text{Sh}_{U}^{r, \mu}], \text{Av}(q_{21}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}}) \rangle.
\]

(10.8.3)

Let $d = d^{(0)} + d^{(1)} + d^{(2)}$ be the rank of $\text{Sht}_{V}^{r, \mu}$ as an $\mathbb{F}_q$-vector space over $\text{Sht}_{S}^{r, \mu}$. By the Plancherel formula from Lemma 9.5.2 and the involutivity of $\text{FT}^{\text{arith}}$ from Lemma 9.5.3, we have

\[
(\text{Sh}(f)^{\dagger}[\text{Sh}_{U}^{r, \mu}], \text{Av}(q_{12}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}})) = \frac{1}{q^{d^{(U/S)}(-1)d^{(U/F)} + d^{(0)} + \frac{1}{2}d^{(0)}}} \langle \text{Sh}(f^{\perp})^{\dagger}[\text{Sh}_{U}^{r, \mu}], \text{Av}(q_{21}^* \psi \cdot \delta_{\text{Sht}_{V}^{r, \mu}} \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}}) \rangle.
\]

(10.8.4)

Using Theorem 10.7.3 and Lemma 10.7.4 we rewrite the RHS of (10.8.4) as

\[
\frac{1}{q^{d^{(U/S)}(-1)d^{(U/F)} + d^{(0)} + \frac{1}{2}d^{(0)}}} \langle \text{Sh}(f^{\perp})^{\dagger}[\text{Sh}_{U}^{r, \mu}], \text{Av}(q_{12}^* [\cdots -1]^* \psi \cdot \mathbb{1}_{\text{Sht}_{V}^{r, \mu}}) \rangle.
\]

(10.8.5)

Since $q_{12} = -q_{21}$, we have $q_{12}^* [-1]^* = q_{21}^*$. Then clearly (10.8.5) agrees with the RHS of (10.8.3) up to sign and exponent of $q$. These signs and the exponents of $q$ on either side are matched exactly as in [FYZ23] §10.3.

References


