Finite Element Analysis of a Nematic Liquid Crystal Landau-de Gennes Model with Quartic Elastic Terms

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#### Outline

Background Measures of orientational order Biaxial nematics The tensor order parameter Q

Phenomenontological theory Landau-de Gennes energy model Oseen-Frank energy model Golovaty et al. energy model

Numerical model Reformulation Finite element discretization Numerical results



## What are liquid crystals?

- Rod-like or disc-like molecules: orientation matters
- · Intermediate phase of matter between crystalline solid and isotropic liquid



Figure 1: Molecules in a small ball within an isotropic fluid (left) and a liquid crystal (right). Source: [2]



# Orientational order

- Director  $\vec{n}(\vec{x},t)$
- Molecular orientation angle  $\theta_m$
- Scalar order parameter  $S=rac{1}{2}\int_{\mathcal{B}}(3\cos^2 heta_m-1)f( heta_m)\,dec{x}$
- Crystal: S = 1. Isotropic: S = 0. Randomly oriented perpendicular to  $\vec{n}: S = -\frac{1}{2}$  (unusual).



Figure 2: Probability distribution function for the angle between a molecule and the director, when the system has high (left) or low (right) orientational order. Source: [2]



# Types of liquid crystals

- Nematic (what we'll be talking about today): No positional order
- Smectic: Layers, either perpendicular to director (Smectic A) or skew (Smectic C)
- Cholesterolic: Director field spirals in a helix
- etc.



Figure 3: Molecular arrangements for different types of liquid crystals. Source: [3]



# Uniaxial nematic arrangement



Figure 4: A uniaxial distribution of molecules with director z', viewed along each axis. Source: [2]



# Biaxial nematic arrangement



Figure 5: A biaxial distribution of molecules with primary director z' and secondary director x', viewed along each axis. Source: [2]



# Constructing a theory

- $\vec{n} = (\cos\theta\cos\phi, \cos\theta\sin\phi, \sin\theta)$
- $\vec{m} = (\sin\phi\cos\psi \cos\phi\sin\psi\sin\theta, -\sin\phi\sin\psi\sin\theta \cos\phi\cos\psi, \\ \sin\psi\cos\theta)$
- Theory depends on five (dependent) variables: heta ,  $\phi$  ,  $\psi$  ,  $S_1$  ,  $S_2$
- Issue: Degenerate when  $heta=rac{\pi}{2}$



Figure 6: The directors n and m in terms of Euler angles. Source: [2]



### The tensor order parameter **Q**

$$Q=S_1(ec{n}\otimesec{n})+S_2(ec{m}\otimesec{m})-rac{1}{3}(S_1+S_2)I$$

- Symmetric and traceless
- No problems with degeneracies
- Uniaxial when two eigenvalues are the same:

$$\begin{split} \lambda_1 &= (2S_1 - S_2)/3\\ \lambda_2 &= -(S_1 + S_2)/3\\ \lambda_3 &= (2S_2 - S_1)/3 \end{split}$$



### The nitty-gritty details

$$Q = egin{pmatrix} q_1 & q_2 & q_3 \ q_2 & q_4 & q_5 \ q_3 & q_5 & -q_1 - q_4 \end{pmatrix}$$

$$\begin{split} q_1 &= S_1 \cos^2 \theta \cos^2 \phi + S_2 (\sin \phi \cos \psi - \cos \phi \sin \psi \sin \theta)^2 - \frac{1}{3} (S_1 + S_2) \\ q_2 &= S_1 \cos^2 \theta \sin \phi \cos \phi - S_2 (\cos \phi \cos \psi + \sin \phi \sin \psi \sin \theta) \\ &* (\sin \phi \cos \psi - \cos \phi \sin \psi \sin \theta) \\ q_3 &= S_1 \sin \theta \cos \theta \cos \phi + S_2 \sin \psi \cos \theta (\sin \psi \cos \psi - \cos \phi \sin \psi \sin \theta) \\ q_4 &= S_1 \cos^2 \theta \sin^2 \phi + S_2 (\cos \phi \cos \psi + \sin \phi \sin \psi \sin \theta)^2 - \frac{1}{3} (S_1 + S_2) \\ q_5 &= S_1 \cos \theta \sin \theta \sin \phi - S_2 \sin \psi \cos \theta (\cos \phi \cos \psi + \sin \phi \sin \psi \sin \theta) \end{split}$$



### Free energy of a liquid crystal sample

Components include (quoting Mottram [2]):

- "the elastic energy of any **distortion** to the structure of the material"
- "thermotropic energy which dictates the preferred phase of the material"
- "electric and/or magnetic energy from an externally applied electric or magnetic field and, in polar materials, the internal self-interaction energy of the polar molecules"
- "**surface** energy terms representing the interaction energy between the bounding surface and the liquid crystal molecules at the surface"

$$egin{aligned} \mathcal{F} &= \mathcal{F}_{distortion} + \mathcal{F}_{thermotropic} + \mathcal{F}_{electromagnetic} + \mathcal{F}_{surface} \ &= \int_{\Omega} (F_d + F_t + F_e) \, dec{x} + \int_{\partial\Omega} F_s \, ds \end{aligned}$$



### Landau-de Gennes thermotropic energy

- · Describes what state the material would prefer to be in
- High temperatures: Minimum at  ${m Q}=0$  (isotropic)
- Low temperatures: Minimum at three uniaxial states ( $m{S}_1=0,m{S}_2=0$ , or  $m{S}_1=m{S}_2$ )
- For temperature-dependent a, b, and c, the Landau-de Gennes energy is defined as

$$F_t = a \operatorname{tr}(Q^2) + \frac{2b}{3} \operatorname{tr}(Q^3) + \frac{c}{2} \operatorname{tr}^2(Q^2)$$

- When  $a < rac{b^2}{27c}$ , minimizers of  $F_t$  are uniaxial
- · Golovaty et al. [1] make this assumption, define the minimal set

$$\mathcal{N} = \left\{ s_0 \left( ec{n} \otimes ec{n} - rac{1}{3}I 
ight) : ec{n} \in \mathbb{S}^2 
ight\}$$

and add an ignorable constant such that  $F_t(\mathcal{N})=0$ 



### Landau-de Gennes elastic energy

• Mottram [2] again: "It is, generally, energetically favourable for Q to be constant throughout the material and any gradients in Q would lead to an increase in distortional energy.  $F_d$  therefore depends on the spatial derivatives of Q."

$$\mathcal{F}_{LdG}(Q) = \int_{\Omega} \sum_{i,j,k=1}^{3} \left( \frac{L_1}{2} Q_{ij,k}^2 + \frac{L_2}{2} Q_{ij,j} Q_{ik,k} + \frac{L_3}{2} Q_{ik,j} Q_{ij,k} \right) d\vec{x}$$

•  $L_i$ 's are material constants



### Oseen-Frank energy

- Director-based, not Q-tensor-based
- For some  $ec{n}:\Omega
  ightarrow\mathbb{S}^2$ , we have

$$\begin{split} \mathcal{F}_{OF}(\vec{n}) &= \int_{\Omega} \left( \frac{K_1}{2} (\operatorname{div} \vec{n})^2 + \frac{K_2}{2} ((\operatorname{curl} \vec{n}) \cdot \vec{n})^2 + \frac{K_3}{2} |(\operatorname{curl} \vec{n}) \times \vec{n}|^2 \\ &+ \frac{K_2 + K_4}{2} (\operatorname{tr} (\nabla \vec{n})^2 - (\operatorname{div} \vec{n})^2) \right) d\vec{x} \end{split}$$



#### Correspondence between OF and LdG

- Motivation: Find a Q-tensor-based model which corresponds smoothly to  $\mathcal{F}_{d,OF}$
- Previous attempts have added another term to  $\mathcal{F}_{d,LdG}$ :

$$\int_{\Omega} Q_{lk} Q_{ij,k} Q_{ij,l} \, d\vec{x}$$

• Problem: Cubic term means energy is unbounded from below

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## Golovaty et al. energy model

Solution: Define model with quartic terms instead

$$\begin{split} \mathcal{F}_{GNS}(Q) &= \int_{\Omega} \left( \frac{L_1}{2} \left| \left( \frac{s_0}{3} I + Q \right) \operatorname{div} Q \right|^2 + \frac{L_2}{2} \left| \left( \frac{s_0}{3} I + Q \right) \operatorname{curl} Q \right|^2 \right. \\ &+ \frac{L_3}{2} \left| \left( \frac{2s_0}{3} I - Q \right) \operatorname{div} Q \right|^2 + \frac{L_4}{2} \left| \left( \frac{2s_0}{3} I - Q \right) \operatorname{curl} Q \right|^2 \\ &+ F_t(Q) \right) d\vec{x} \end{split}$$

- $s_0$  is the scalar order parameter of the uniaxial tensors which minimize  $F_t$
- +  $s_0^4L_4=K_2+K_4$  and  $s_0^4(L_i+L_4)=K_i$  for i=1,2,3



### Divergence and curl of tensor fields

$$\operatorname{div} A = \sum_{j=1}^{3} (\operatorname{div} A_j) \vec{e}_j = \begin{bmatrix} A_{11,1} + A_{12,2} + A_{13,3} \\ A_{21,1} + A_{22,2} + A_{23,3} \\ A_{31,1} + A_{32,2} + A_{33,3} \end{bmatrix}$$

$$(\operatorname{curl} A)\vec{v} = \operatorname{curl}(A^{\top}\vec{v}) \quad \forall \vec{v} \in \mathbb{R}^{3}$$
  
 $\operatorname{curl} A = \sum_{i,j,k,m=1}^{3} \varepsilon_{ijk} A_{mj,i} \vec{e}_{k} \otimes \vec{e}_{m}$ 

Relevant property of curl:

 $\operatorname{curl}\left(\vec{m}\otimes\vec{m}
ight)=\left[\operatorname{curl}\left(m_{1}\vec{m}
ight)\quad\operatorname{curl}\left(m_{2}\vec{m}
ight)\quad\operatorname{curl}\left(m_{3}\vec{m}
ight)
ight]$ 



# Golovaty et al.'s proposition

+ Let  $Q\in H^1(\Omega;\mathcal{N})$ , such that  $Q=s_0(ec{n}\otimesec{n}-I/3)$  for  $ec{n}\in H^1(\Omega;\mathbb{S}^2)$ . Then

 $\mathcal{F}_{GNF}(Q) = \mathcal{F}_{OF}(\vec{n}).$ 

- Proof: Too long for this talk. I've cited the paper in the references
- After proving this result, Golovaty et al. relax the condition  $Q \in \mathcal{N}$  to  $Q \in \mathbb{S}^2$  to allow for biaxial states, then proves a  $\Gamma$ -convergence result as nematic correlation length divided by domain size tends to zero



# A slightly modified energy

$$\begin{split} \mathcal{F}(Q) &= \int_{\Omega} \left( \frac{L_1}{2} \left| \left( \frac{s_0}{3} I + Q \right) \operatorname{div} Q \right|^2 + \frac{L_2}{2} \left| \left( \frac{s_0}{3} I + Q \right) \operatorname{curl} Q \right|^2 \\ &+ \frac{L_3}{2} \left| \left( \frac{2s_0}{3} I - Q \right) \operatorname{div} Q \right|^2 + \frac{L_4}{2} \left| \left( \frac{2s_0}{3} I - Q \right) \operatorname{curl} Q \right|^2 \\ &+ \frac{L_5}{2} |Q|^2 |\nabla Q|^2 + F_t(Q) \right) d\vec{x} \end{split}$$

- Adding a fifth quartic term to make a  $\Gamma\text{-}\mathrm{convergence}$  result later on possible
- This model also reduces to Oseen-Frank in the uniaxial case for suitable  $L_i$ 's



## **Dissipation law**

• Formal definition of symmetric traceless tensor space:

$$\mathcal{S} = \{ \boldsymbol{Q} : \boldsymbol{Q} \in \mathbb{R}^{3 \times 3}, \, \boldsymbol{Q} = \boldsymbol{Q}^{\top}, \, \mathrm{tr}\left(\boldsymbol{Q}\right) = 0 \}$$

• Projection operator:

$$\mathscr{P}(A) = \frac{1}{2}(A + A^{\top}) - \frac{\operatorname{tr}(A)}{3}I$$

Gradient descent:

$$\frac{\partial Q}{\partial t} = -M\mathscr{P}\left(\frac{\delta\mathcal{F}}{\delta Q}\right)$$

• Dissipation law from chain rule:

$$\frac{d\mathcal{F}}{dt} = \int_{\Omega} \mathscr{P}\left(\frac{\delta\mathcal{F}}{\delta Q}\right) : \frac{\partial Q}{\partial t} \, d\vec{\mathbf{x}} = \left\|\frac{\partial Q}{\partial t}\right\|^2$$



# **Energy components**

$$\begin{split} S_1(Q) &= \frac{s_0}{3}I + Q \\ \mathcal{F}_1(Q) &= \frac{L_1}{2} \|S_1 \operatorname{div} Q\|^2 \\ \mathcal{F}_3(Q) &= \frac{L_3}{2} \|S_2 \operatorname{div} Q\|^2 \\ \mathcal{F}_5(Q) &= \frac{L_5}{2} \|Q| \nabla Q\|^2 \\ \mathcal{F}_6(Q) &= \int_{\Omega} \left( a \operatorname{tr}(Q^2) + \frac{2b}{3} \operatorname{tr}(Q^3) + \frac{c}{2} \operatorname{tr}^2(Q^2) \right) d\vec{x} \\ \mathcal{F}(Q) &= \mathcal{F}_1(Q) + \mathcal{F}_2(Q) + \mathcal{F}_3(Q) + \mathcal{F}_4(Q) + \mathcal{F}_5(Q) \end{split}$$



### Derivatives of energy components

$$\begin{split} &\frac{\delta \mathcal{F}_1}{\delta Q} = L_1 \left( -\nabla (S_1^2 \operatorname{div} Q) + S_1 \operatorname{div} Q (\operatorname{div} Q)^\top \right) \\ &\frac{\delta \mathcal{F}_2}{\delta Q} = L_2 \left( \operatorname{curl} (S_1^2 \operatorname{curl} Q)^\top + S_1 \operatorname{curl} Q (\operatorname{curl} Q)^\top \right) \\ &\frac{\delta \mathcal{F}_3}{\delta Q} = L_3 \left( -\nabla (S_2^2 \operatorname{div} Q) + S_2 \operatorname{div} Q (\operatorname{div} Q)^\top \right) \\ &\frac{\delta \mathcal{F}_4}{\delta Q} = L_4 \left( \operatorname{curl} (S_2^2 \operatorname{curl} Q)^\top + S_2 \operatorname{curl} Q (\operatorname{curl} Q)^\top \right) \\ &\frac{\delta \mathcal{F}_5}{\delta Q} = L_5 \left( -\nabla (|Q|^2 \nabla Q) + |\nabla Q|^2 Q \right) \\ &\frac{\delta \mathcal{F}_6}{\delta Q} = 2aQ - 2bQ^2 + 2c \operatorname{tr} (Q^2)Q \end{split}$$



#### **Finite elements**



Figure 7: A whiteboard drawing demonstrating the partition of a square into finite elements



### Weak solutions

- Given a tetrahedral mesh  $\{\Omega_\ell\}$  of  $\Omega$  with element diameter  $\pmb{h}$ , define

$$\begin{split} \mathcal{T}_h^0 &= \{\varphi: \Omega \to \mathcal{S}: \varphi \text{ continuous}, \varphi|_{\Omega_\ell} \text{ linear}, \varphi|_{\partial\Omega} = 0 \} \\ \mathcal{T}_h^g &= \{\varphi + g: \varphi \in \mathcal{T}_h^0 \} \end{split}$$

where g is a Dirichlet boundary condition

- Basis functions: Multiply basis of  ${\mathcal S}$  by "hat" functions, which are zero on all nodes except one
- The discrete formulation is to find  $Q_h \in \mathcal{T}_h^g$  such that

$$\left\langle \frac{Q_h^{n+1} - Q_h^n}{\Delta t}, \varphi \right\rangle = -MH^{n+\frac{1}{2}}(\varphi) \quad \forall \varphi \in \mathcal{T}_h^0$$
  
where  $H^{n+\frac{1}{2}}(\varphi)$  represents " $\left\langle \frac{\delta \mathcal{F}}{\delta Q_h^{n+\frac{1}{2}}}, \varphi \right\rangle$ "



# Discretization

The discrete value of 
$$(\cdot)(\vec{x}, t)$$
 at time  $t^n$  is denoted  $(\cdot)^n(\vec{x})$ .  
The average of  $(\cdot)^n$  and  $(\cdot)^{n+1}$  is denoted  $(\cdot)^{n+\frac{1}{2}}$ .  
 $H_1^{n+\frac{1}{2}}(\varphi) = L_1 \left\langle (S_{1,h} \operatorname{div} Q_h)^{n+\frac{1}{2}}, S_{1,h} \operatorname{div} \varphi + \varphi \operatorname{div} Q_h^{n+\frac{1}{2}} \right\rangle$   
 $H_2^{n+\frac{1}{2}}(\varphi) = L_2 \left\langle (S_{1,h} \operatorname{curl} Q_h)^{n+\frac{1}{2}}, S_{1,h} \operatorname{curl} \varphi + \varphi \operatorname{curl} Q_h^{n+\frac{1}{2}} \right\rangle$   
 $H_3^{n+\frac{1}{2}}(\varphi) = L_3 \left\langle (S_{2,h} \operatorname{div} Q_h)^{n+\frac{1}{2}}, S_{2,h} \operatorname{div} \varphi - \varphi \operatorname{div} Q_h^{n+\frac{1}{2}} \right\rangle$   
 $H_4^{n+\frac{1}{2}}(\varphi) = L_4 \left\langle (S_{2,h} \operatorname{curl} Q_h)^{n+\frac{1}{2}}, S_{2,h} \operatorname{curl} \varphi - \varphi \operatorname{curl} Q_h^{n+\frac{1}{2}} \right\rangle$   
 $H_5^{n+\frac{1}{2}}(\varphi) = L_5 \left( \left\langle (|\nabla Q_h|^2)^{n+\frac{1}{2}} Q_h^{n+\frac{1}{2}}, \varphi \right\rangle + \left\langle (|Q_h|^2)^{n+\frac{1}{2}} \nabla Q_h^{n+\frac{1}{2}}, \nabla \varphi \right\rangle \right)$   
 $H_6^{n+\frac{1}{2}}(\varphi) = \left\langle 2aQ_h^{n+\frac{1}{2}} - \frac{2b}{3}(2(Q_h^2)^{n+\frac{1}{2}} + Q_h^{n+1}Q_h) + 2c(\operatorname{tr}(Q_h^2))^{n+\frac{1}{2}}Q_h^{n+\frac{1}{2}}, \varphi \right\rangle$   
 $H^{n+\frac{1}{2}} = H_1^{n+\frac{1}{2}} + H_2^{n+\frac{1}{2}} + H_3^{n+\frac{1}{2}} + H_4^{n+\frac{1}{2}} + H_5^{n+\frac{1}{2}} + H_6^{n+\frac{1}{2}}$ 



# Discrete dissipation law

• The scheme on the previous two slides satisfies the semi-discrete dissipation law

$$\frac{\mathcal{F}(Q_h^{n+1}) - \mathcal{F}(Q_h^n)}{\Delta t} = -\frac{1}{M} \left\| \frac{Q_h^{n+1} - Q_h^n}{\Delta t} \right\|^2$$

•  $Q_h^{n+1}$  is uniquely defined and can be found by fixed-point iteration (due to Banach's theorem)



### Numerical results: Convergence tests

- Domain:  $\Omega = [0,2]^2$
- Initial and boundary conditions:

$$Q_0 = ec{n}_0 ec{n}_0^ op - rac{|ec{n}_0|^2}{2} I_2,$$

where

$$\vec{n}_0 = \begin{pmatrix} x(2-x)y(2-y)\\\sin(\pi x)\sin(\pi y/2) \end{pmatrix}$$

- Max time: T = 0.8
- · Grid size and number of time steps vary between experiments



# Numerical results: Spatial refinement

1600 time steps per experiment. Reference solution  $Q_{ref}$  calculated with h=0.005 using 25000 time steps.

h	$\ Q_h-Q_{ref}\ $	Order	$ \mathcal{F}(Q_h) - \mathcal{F}(Q_{ref}) $	Order
0.2	$4.3506 \times 10^{-2}$	—	$9.7867 \times 10^{-4}$	_
0.1	$1.5921 \times 10^{-2}$	1.4503	$3.2908\times10^{-4}$	1.5724
0.05	$3.5480 \times 10^{-3}$	2.1659	$7.3880 \times 10^{-5}$	2.1552
0.025	$8.3939\times 10^{-4}$	2.0796	$1.7793\times10^{-5}$	2.0539



# Numerical results: Time refinement

h=2/30 for all experiments. Reference solution  $Q_{\it ref}$  taken with the same h and 80000 time steps.

$\Delta t$	$\ Q_h-Q_{ref}\ $	Order	$ \mathcal{F}(Q_h) - \mathcal{F}(Q_{ref}) $	Order
$4 \times 10^{-3}$	$4.2744 \times 10^{-6}$	—	$4.7072 \times 10^{-7}$	_
$2  imes 10^{-3}$	$1.0684 \times 10^{-6}$	2.0002	$1.1767 \times 10^{-7}$	2.0001
$1 \times 10^{-3}$	$2.6708 \times 10^{-7}$	2.0001	$2.9415\times10^{-8}$	2.0001
$5 \times 10^{-4}$	$6.6771 \times 10^{-8}$	2.0000	$7.3541 \times 10^{-9}$	1.9999
$2.5  imes 10^{-4}$	$1.6684\times10^{-8}$	2.0007	$1.8377\times10^{-9}$	2.0006



# Numerical results: Maximum $\Delta t$

For each h, we find the maximum  $\Delta t$  for which the fixed-point iteration converges for at least 100 time steps

h	Max convergent $\Delta t$	Order	
0.2	$7.9801 \times 10^{-2}$	_	
0.1	$2.2379\times10^{-2}$	1.8342	
0.05	$7.7537\times10^{-3}$	1.5292	
0.025	$2.0005\times10^{-3}$	1.9545	
0.0125	$4.0707\times10^{-4}$	2.2970	
0.00625	$9.1601\times10^{-5}$	2.1518	



# Numerical results: Tactoid simulations

- $\Omega$  is the unit circle, discretized by a Delaunay triangulation with  $5809~{\rm nodes}$  and  $11366~{\rm elements}$
- Time step:  $\Delta t = 0.01$
- Initial and boundary conditions:

$$Q_0 = \sqrt{rac{-2a}{c}} \left(ec{n}_0( heta)ec{n}_0^ op( heta) - rac{1}{2}I_2
ight)\chi_{r^2\geq 0.3}$$

where  $ec{n}_0: [0,2\pi) 
ightarrow \mathbb{S}^1$  depends on the experiment

- Isotropic tactoid surrounded by a nematic sample
- Cue the animations!



### References

- [1] DMITRY GOLOVATY, MICHAEL NOVACK, and PETER STERNBERG. "A novel Landau-de Gennes model with quartic elastic terms". In: European Journal of Applied Mathematics 32.1 (Mar. 2020), pp. 177–198. ISSN: 1469-4425. DOI: 10.1017/s09567925200008x. URL: http://dx.doi.org/10.1017/S09567925200008X.
- [2] Nigel J. Mottram and Christopher J. P. Newton. *Introduction to Q-tensor theory*. 2014. arXiv: 1409.3542 [cond-mat.soft].
- [3] UKEssays. Classifications of Liquid Crystals. URL: https://om.ukessays.com/essays/chemistry/classificationsliquid-crystals-7625.php?vref=1. (accessed: 04.25.2024).

