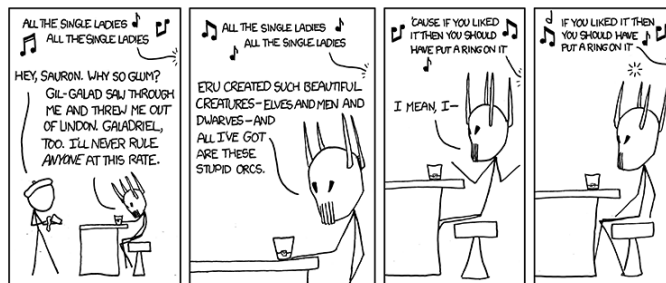


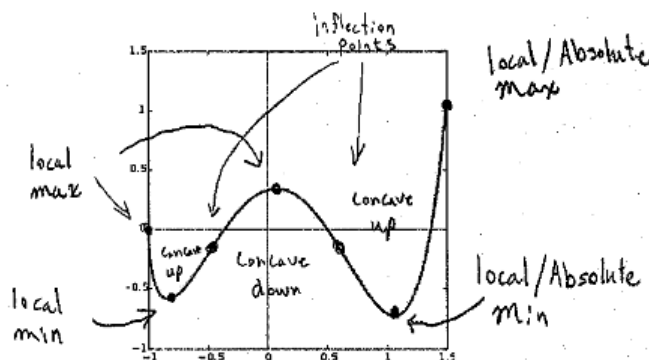
# Worksheet 22: Concavity

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- If you haven't already, label the local maxima/minima, absolute maximum/minimum, inflection points, and where the graph is concave up or concave down.



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- What is the first derivative test? What is the second derivative test?

The first derivative test states that if  $c$  is a critical number of a continuous function  $f$ :

- If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$
- If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $c$
- If  $f'$  does not change sign at  $c$ , then  $f$  has no local max or min at  $c$

The second derivative test states that if  $f''$  is continuous near  $c$ ,

- If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $c$ .
- If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $c$ .

- If you haven't already, for  $f(x) = 4x^3 + 3x^2 - 6x + 1$ , find the intervals on which  $f$  is increasing or decreasing, the local maxima and minima, and the inflection points.

We begin by taking the derivative of  $f$ :

$$f'(x) = 12x^2 + 6x - 6$$

Setting the derivative equal to 0 to find points where the function might switch increasing/decreasing:

$$\begin{aligned} 12x^2 + 6x - 6 &= 0 \\ 6(2x^2 + x - 1) &= 0 \\ 2x^2 + x - 1 &= 0 \\ (2x - 1)(x + 1) &= 0 \\ x &= \frac{1}{2}, -1 \end{aligned}$$

Plugging in values to determine increasing or decreasing, we obtain  $f'(-2) = 30$  (increasing),  $f'(0) = -6$  (decreasing), and  $f'(1) = 12$  (increasing). Solving for possible inflection points (taking the second derivative and setting equal to zero/looking for points where  $f''(x)$  is undefined):

$$\begin{aligned} 24x + 6 &= 0 \\ x &= -\frac{1}{4} \end{aligned}$$

Checking if the point above is actually an inflection point,  $f''(0) = 6$  (concave up) while  $f''(-1) = -18$  (concave down) shows it is.

Thus, in sum,  $f$  is increasing over  $(-\infty, -1)$  and  $(\frac{1}{2}, \infty)$ , decreasing over  $(-1, \frac{1}{2})$ , has a local max at  $-1$  and local min at  $\frac{1}{2}$  (by first derivative test; note that no other critical points exist), and finally an inflection point at  $-\frac{1}{4}$ .

4. Show that the equation  $x^3 - 15x + c$  has at most one root in the interval  $[-2, 2]$ .

Assume that  $x^3 - 15x + c$  has more than one root in the interval  $[-2, 2]$ . Then, by Rolle's theorem with these two points, there is a point in  $[-2, 2]$  where  $3x^2 - 15 = 0$ . Note, however,

$$\begin{aligned} 3x^2 - 15 &= 0 \\ x^2 &= 5 \\ x &= \pm\sqrt{5} \end{aligned}$$

—both outside of  $[-2, 2]$ , a contradiction. It follows, then, that the equation  $x^3 - 15x + c$  has at most one root in the interval  $[-2, 2]$ .

5. Find the intervals on which  $f$  is increasing/decreasing and the local maxima and minima values for  $f(x) = x - 2\sin(x)$ ,  $0 < x < 3\pi$ .

We begin by taking the derivative of  $f$ :

$$f'(x) = 1 - 2\cos(x)$$

Setting the derivative equal to 0 to find points where the function might switch increasing/decreasing:

$$\begin{aligned} 1 - 2\cos(x) &= 0 \\ \cos(x) &= \frac{1}{2} \end{aligned}$$

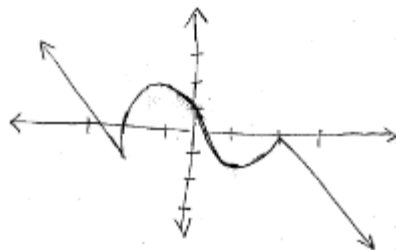
Recalling that the relevant interval is  $0 < x < 3\pi$ :

$$x = \frac{\pi}{3}, \frac{5\pi}{3}, \frac{7\pi}{3}$$

Noting that there are no points at which the derivative is undefined, we plug in values to determine increasing or decreasing, we obtain  $f'(0) = -1$  (decreasing),  $f'(\frac{\pi}{2}) = 1$  (increasing),  $f(2\pi) = -1$  (decreasing),  $f(2\pi + \frac{\pi}{2}) = 1$  (increasing).

Thus, we have that  $f$  is decreasing over  $(0, \frac{\pi}{3})$  and  $(\frac{5\pi}{3}, \frac{7\pi}{3})$ , increasing over  $(\frac{\pi}{3}, \frac{5\pi}{3})$  and  $(\frac{7\pi}{3}, 3\pi)$ , has a local max at  $\frac{5\pi}{3}$  and local mins at  $\frac{\pi}{3}, \frac{7\pi}{3}$  (by first derivative test; note that no other critical points exist).

6. Sketch the graph of a function that satisfies all of the following:  $f'(1) = f'(-1) = 0$ ,  $f'(x) < 0$  if  $|x| < 1$ ,  $f'(x) > 0$  if  $1 < |x| < 2$ ,  $f'(x) = -1$  if  $|x| > 2$ ,  $f''(x) < 0$  if  $-2 < x < 0$ , inflection point at  $(0, 1)$ .



7. Find all critical numbers of  $5x^{\frac{2}{3}} + x^{\frac{5}{3}}$ .

Taking the derivative and setting it equal to zero,

$$\begin{aligned}\frac{10}{3}x^{-\frac{1}{3}} + \frac{5}{3}x^{\frac{2}{3}} &= 0 \\ \frac{10}{3x^{\frac{1}{3}}} + \frac{5x}{3x^{\frac{1}{3}}} &= \\ \frac{10 + 5x}{3x^{\frac{1}{3}}} &= \end{aligned}$$

And so we need

$$\begin{aligned}10 + 5x &= 0 \\ x &= -2\end{aligned}$$

Noting further that the derivative is only undefined when  $x = 0$ , we have that the critical numbers are -2 and 0.

8. Find the intervals on which  $f$  is increasing/decreasing and the local maxima and minima values for  $f(x) = xe^x$

We begin by taking the derivative of  $f$ :

$$f'(x) = (1)e^x + xe^x$$

Setting the derivative equal to 0 to find points where the function might switch increasing/decreasing:

$$\begin{aligned}e^x + xe^x &= 0 \\ e^x(1 + x) &= 0\end{aligned}$$

Since  $e^x$  is never 0, we need only consider the case below:

$$\begin{aligned}1 + x &= 0 \\ x &= -1\end{aligned}$$

Noting that there are no points at which the derivative is undefined, we plug in values to determine increasing or decreasing, we obtain  $f'(-2) = -e^{-2}$  (decreasing) and  $f'(0) = 1$  (increasing).

Thus, we have that  $f$  is decreasing over  $(-\infty, -1)$  and increasing over  $(-1, \infty)$ . It therefore has a local min at  $-1$  (by first derivative test; note that no other critical points exist).

9. Sketch  $f(x) = \sqrt[3]{x^3 - x}$  showing: increasing, decreasing, zeroes, behavior for  $|x|$  large, behavior for  $|x|$  small, and points where the function is not differentiable. You need not show convexity or points of inflection.

We begin by taking the derivative of  $f$ :

$$\begin{aligned}f'(x) &= \frac{1}{3}(x^3 - x)^{-\frac{2}{3}}(3x^2 - 1) \\ &= \frac{3x^2 - 1}{3(x^3 - x)^{\frac{2}{3}}}\end{aligned}$$

Setting the derivative equal to 0 to find points where the function might switch increasing/decreasing and noting that only the top need be considered:

$$\begin{aligned}3x^2 - 1 &= 0 \\ x^2 &= \frac{1}{3} \\ x &= \pm\sqrt{\frac{1}{3}}\end{aligned}$$

Noting that the derivative is undefined at  $0, \pm 1$ , we plug in values to determine increasing or decreasing, we obtain  $f'(-2)$  as positive (increasing),  $f'(-.75)$  as positive (increasing),  $f'(-.5)$  as negative (decreasing),  $f'(.5)$  as negative (decreasing),  $f'(.75)$  as positive (increasing), and  $f'(2)$  as positive (increasing).

Thus, we have that  $f$  is increasing over  $(-\infty, -\sqrt{\frac{1}{3}})$  and  $(\sqrt{\frac{1}{3}}, \infty)$ , decreasing over  $(-\sqrt{\frac{1}{3}}, \sqrt{\frac{1}{3}})$ . It therefore has a local max at  $-\sqrt{\frac{1}{3}}$  and local min at  $\sqrt{\frac{1}{3}}$  (by first derivative test; note that no other critical points exist).

Consider next the roots of the function:

$$\begin{aligned}\sqrt[3]{x^3 - x} &= 0 \\ \sqrt[3]{x(x^2 - 1)} &= 0 \\ \sqrt[3]{x(x-1)(x+1)} &= 0\end{aligned}$$

The function has zeroes, then, at  $0, \pm 1$ .

Finally, we consider the behavior of the function for  $|x|$  large and for  $|x|$  small. If  $x$  is approaching  $\infty$ , then the function approaches infinity. If  $x$  is approaching  $-\infty$ , then the function is approaching  $-\infty$ . If  $x$  is approaching 0 from the left, then the function approaches zero from the right. If  $x$  is approaching zero from the right, then the function approaches zero from the left. Putting all of the above together,

