

**Exercises:**

1. Let  $U$  and  $V$  be open subsets.

(a) For  $T: U \rightarrow V$  be a smooth map, show that the pullback

$$T^*: \Omega^k(V) \rightarrow \Omega^k(U)$$

satisfies  $T^*(Z^k(V)) \subset Z^k(U)$  and  $T^*(B^k(V)) \subset T^*(B^k(U))$ .

(b) Prove that if  $U$  and  $V$  are diffeomorphic, then  $H^k(U) \cong H^k(V)$  as vector spaces.

2. Let  $\delta = (\delta_1, \dots, \delta_n) \in (0, \infty)^n$ . For  $A \subset \mathbb{R}^n$ , define

$$\delta A := \{(\delta_1 x_1, \dots, \delta_n x_n) : (x_1, \dots, x_n) \in A\}.$$

Show that  $m^*(\delta A) = \delta_1 \cdots \delta_n m^*(A)$ .

3. For  $A \subset \mathbb{R}^n$ , the **Jordan content** of  $A$  is the quantity

$$J^*(A) := \inf \left\{ \sum_{k=1}^N |B_k| : N < \infty, A \subset \bigcup_{k=1}^N B_k, B_k \text{ open boxes} \right\}.$$

That is, in contrast with the outer measure, here the infimum is taken over **finite** coverings of  $A$  by open boxes.

(a) Show that  $m^*(A) \leq J^*(A)$  for all  $A \subset \mathbb{R}^n$ .

(b) Show that for any subset  $A \subset \mathbb{R}^n$ ,  $J^*(A) = J^*(\bar{A})$ .

(c) Find a subset  $A \subset \mathbb{R}$  such that  $m^*(A) < J^*(A)$ .

4. Let  $S \subset \mathbb{R}^2$  be Riemann measurable. Show that  $|S| = m^*(S) = J^*(S)$ .

[**Hint:** first show you can replace  $S$  by  $\bar{S}$ , then take advantage of compactness.]

5. [**Not Collected**] Consider the triangle

$$T := \{(x, y) \in \mathbb{R}^2 : 0 \leq y \leq x \leq 1\}.$$

Convince yourself that computing  $m^*(T) = \frac{1}{2}$  directly from the definition of the outer measure is hard.

[**Note:** the easy way to show this is by using Exercise 4 and Fubini's theorem.]

**Solutions:**

1. (a) Suppose  $\omega \in Z^k(V)$ , so that by definition  $d\omega = 0$ . Since pullbacks commute with the exterior derivative, we have

$$d(T^*\omega) = T^*(d\omega) = 0.$$

Thus  $T^*\omega \in Z^k(U)$ . If  $\omega \in B^k(V)$ , then there exists  $\alpha \in \Omega^k(V)$  with  $d\alpha = \omega$ . We then have

$$T^*\omega = T^*(d\alpha) = d(T^*\alpha).$$

Hence  $T^*\omega \in B^k(U)$ . □

(b) Let  $T: U \rightarrow V$  be a diffeomorphism. By part (a),

$$T^*(Z^k(V)) \subset Z^k(U).$$

Moreover, for any  $\beta \in Z^k(U)$  we have (again by part (a)), that  $(T^{-1})^*(\beta) \in Z^k(V)$  and hence

$$T^*((T^{-1})^*(\beta)) = (T \circ T^{-1})^*(\beta) = id^*\beta = \beta.$$

Thus  $T^*(Z^k(V)) = Z^k(U)$ . Similarly  $T^*(B^k(V)) = B^k(U)$  and  $(T^{-1})^*(B^k(U)) = B^k(V)$ .  
Now, consider the (potentially ill-defined) map

$$\Psi : H^k(V) \ni \omega + B^k(V) \mapsto T^*(\omega) + B^k(U) \in H^k(U),$$

which we note is linear since  $T^*$  is linear. We will show that  $\Psi$  is a vector space isomorphism by checking it is (i) well-defined, (ii) injective, and (iii) surjective.

- (i) Suppose  $\alpha, \beta \in Z^k(V)$  satisfy  $\alpha + B^k(V) = \beta + B^k(V)$ . We need to show they have the same image under  $\Psi$ . The equality of these cosets implies  $\alpha - \beta \in B^k(V)$ , and so  $T^*(\alpha) - T^*(\beta) = T^*(\alpha - \beta) \in B^k(U)$  by the first part of our proof. But then

$$\Psi(\alpha + B^k(V)) = T^*(\alpha) + B^k(U) = T^*(\beta) + B^k(U) = \Psi(\beta + B^k(V))$$

Thus  $\Psi$  is well-defined.

- (ii) If  $\Psi(\alpha + B^k(V)) = \Psi(\beta + B^k(V))$  for  $\alpha, \beta \in Z^k(V)$ , then  $T^*(\alpha) + B^k(U) = T^*(\beta) + B^k(U)$  so that  $T^*(\alpha - \beta) = T^*(\alpha) - T^*(\beta) \in B^k(U)$ . But then the first part of our proof implies  $\alpha - \beta \in B^k(V)$ . Thus  $\alpha + B^k(V) = \beta + B^k(V)$ , and so  $\Psi$  is injective.
- (iii) This follows immediately from  $T^*(Z^k(V)) = Z^k(U)$ .

Thus  $H^k(V) \cong H^k(U)$  via the vector space isomorphism  $\Psi$ . □

2. First observe that for any open box  $B = (a_1, b_1) \times \cdots \times (a_n, b_n) \subset \mathbb{R}^n$ , we have

$$\delta B = (\delta_1 a_1, \delta_1 b_1) \times \cdots \times (\delta_n a_n, \delta_n b_n).$$

Thus  $|\delta B| = \delta_1 \cdots \delta_n |B|$ . Now, if  $\{B_k\}_{k \in \mathbb{N}}$  is a countable collection of open boxes that covers  $A$ , then  $\{\delta B_k\}_{k \in \mathbb{N}}$  is a countable collection of open boxes. Furthermore, it covers  $\delta A$ . Indeed, every element of  $\delta A$  is of the form  $(\delta_1 x_1, \dots, \delta_n x_n)$  for some  $(x_1, \dots, x_n) \in A$ . There exists some  $k$  such that  $(x_1, \dots, x_n) \in B_k$  and hence  $(\delta_1 x_1, \dots, \delta_n x_n) \in \delta B_k$ . This implies

$$m^*(\delta A) \leq \sum_{k=1}^{\infty} |\delta B_k| = \delta_1 \cdots \delta_n \sum_{k=1}^{\infty} |B_k|.$$

Since this holds for all countable coverings of  $A$  by open boxes, we obtain  $m^*(\delta A) \leq m^*(A)$ . The reverse inequality holds by considering  $A' := \delta A$ ,  $\delta' = (\delta_1^{-1}, \dots, \delta_n^{-1})$ , and  $\delta' A'$ . □

3. (a) Since every finite covering of  $A$  by open boxes is in particular a countable covering, we immediately have  $m^*(A) \leq J^*(A)$ . □
- (b) Since  $A \subset \bar{A}$ , any covering of  $\bar{A}$  by finitely many open boxes is a covering for  $A$ . Hence  $J^*(A) \leq J^*(\bar{A})$ . To see the reverse inequality let  $\epsilon > 0$ . Let  $\{B_1, \dots, B_N\}$  be a finite collection of open boxes covering  $A$ . Observe that

$$\bigcup_{k=1}^N \bar{B}_k$$

is a closed set (as a finite union of closed sets) containing  $A$ . Hence  $\bar{A}$  is contained in the above union. For each  $k = 1, \dots, N$ , if

$$B_k = (a_1, b_1) \times \cdots \times (a_n, b_n),$$

define  $B'_k$  to be the dilation of  $B_k$  about its center point  $(\frac{a_1+b_1}{2}, \dots, \frac{a_n+b_n}{2})$  by a factor of  $(1 + \epsilon)$ . That is,

$$B'_k := \left( \frac{a_1 + b_1}{2} - (1 + \epsilon) \frac{b_1 - a_1}{2}, \frac{a_1 + b_1}{2} + (1 + \epsilon) \frac{b_1 - a_1}{2} \right) \times \cdots \\ \cdots \times \left( \frac{a_n + b_n}{2} - (1 + \epsilon) \frac{b_n - a_n}{2}, \frac{a_n + b_n}{2} + (1 + \epsilon) \frac{b_n - a_n}{2} \right).$$

Then  $|B'_k| = (1 + \epsilon)^n |B_k|$ . Also,  $\overline{B_k} \subset B'_k$  so that

$$\bigcup_{k=1}^N B'_k \supset \bigcup_{k=1}^N \overline{B_k} \supset \overline{A}.$$

Hence

$$J^*(\overline{A}) \leq \sum_{k=1}^N |B'_k| = (1 + \epsilon) \sum_{k=1}^N |B_k|.$$

Since  $\{B_1, \dots, B_N\}$  was an arbitrary finite covering of  $A$  by open boxes, we obtain  $J^*(\overline{A}) \leq (1 + \epsilon)J^*(A)$ . Since  $\epsilon > 0$  was arbitrary, we have  $J^*(\overline{A}) \leq J^*(A)$ , which establishes the claimed equality.  $\square$

- (c) Let  $A = \mathbb{Q} \cap [0, 1]$ . Then  $A$  is a countable set, hence a zero set:  $m^*(A) = 0$ . On the other hand, by part (b) we have

$$J^*(A) = J^*(\overline{A}) = J^*([0, 1]).$$

One can argue directly from the definition of the Jordan content that  $J^*([0, 1]) = 1$ , but here simply appeal to Exercise 4 and note  $J^*([0, 1]) = |[0, 1]| = 1$ . Thus  $J^*(A) = 1 > 0 = m^*(A)$ .  $\square$

4. We first note that we may assume  $S$  is closed by replacing  $S$  by  $\overline{S}$  if necessary. Indeed, by part (b) of the previous exercise we have  $J^*(S) = J^*(\overline{S})$ . Also, since  $S$  is Riemann measurable,  $\partial S$  is a zero set. Hence by subadditivity and monotonicity of the outer measure we have

$$m^*(\overline{S}) = m^*(S \cup \partial S) \leq m^*(S) + m^*(\partial S) = m^*(S) \leq m^*(\overline{S}),$$

so that  $m^*(S) = m^*(\overline{S})$ . Finally, we have

$$\partial \overline{S} = \overline{S} \setminus (\overline{S})^\circ \subset \overline{S} \setminus S^\circ = \partial S,$$

so that  $m^*(\partial \overline{S}) = 0$ , which means  $\overline{S}$  is Riemann measurable. Since  $\chi_{\overline{S}}$  and  $\chi_S$  agree everywhere except possibly on  $\partial S$ , a zero set, we have

$$|\overline{S}| = \int \chi_{\overline{S}} = \int \chi_S = |S|,$$

by Exercise 3 on Homework 6. Hence all concerned quantities are unchanged when we replace  $S$  by  $\overline{S}$  and so we may assume  $S$  is closed.

We will prove the following series of inequalities:

$$|S| \leq m^*(S) \leq J^*(S) \leq |S|.$$

Let  $\{B_k\}_{k \in \mathbb{N}}$  be a countable covering of  $S$  by open boxes. Since  $S$  is bounded (it is Riemann measurable) and closed, it is compact by the Heine–Borel theorem. Hence we can reduce this open covering to a finite one:  $\{B_{k_1}, \dots, B_{k_N}\}$ . We then have by monotonicity of the Riemann integral that

$$|S| = \int \chi_S \leq \int \sum_{i=1}^N \chi_{B_{k_i}} = \sum_{i=1}^N |B_{k_i}| \leq \sum_{k=1}^{\infty} |B_k|.$$

Since this holds for any countable covering  $\{B_k\}_{k \in \mathbb{N}}$ , we have  $|S| \leq m^*(S)$ . Next, the inequality  $m^*(S) \leq J^*(S)$  follows from part (a) of the previous exercise. Finally,  $\epsilon > 0$ , let  $R \subset \mathbb{R}^2$  be a rectangle containing  $S$ , and let  $G$  be a grid with  $\text{mesh}(G)$  small enough so that

$$U(\chi_S, G) \leq \int \chi_S + \epsilon = |S| + \epsilon.$$

Let  $R_1, \dots, R_N$  be the subrectangles of  $R$  from the grid  $G$  which intersect  $S$ . Then  $S \subset \bigcup_{k=1}^N R_k$  and

$$U(\chi_S, G) = \sum_{k=1}^N 1 \cdot |R_k|.$$

To relate this to  $J^*(S)$ , we must replace these closed subrectangles by open rectangles. For each  $k = 1, \dots, N$ , define  $B_k$  to be the interior of the dilation of  $R_k$  about its center point by a factor of  $(1 + \epsilon)$ . Then each  $B_k$  is an open rectangle containing  $R_k$  with  $|B_k| = (1 + \epsilon)|R_k|$ . Hence  $\{B_1, \dots, B_N\}$  is a finite covering of  $S$  by open rectangles and so

$$J^*(S) \leq \sum_{k=1}^N |B_k| = (1 + \epsilon) \sum_{k=1}^N |R_k| \leq (1 + \epsilon)(|S| + \epsilon).$$

Letting  $\epsilon \rightarrow 0$  yields the final inequality and hence the desired equalities. □