

① Pseudoholomorphic curves:

$(X, \omega)$  symplectic manifold,  $J$  compatible almost- $\mathbb{C}$  structure  
 $(J^2 = -1, \omega(\cdot, J\cdot))$  Riem. metric

$(\Sigma, j)$  Riemann surface of genus  $g$ ,  $z_1, \dots, z_k \in \Sigma$  marked points

Def:  $u: \Sigma \rightarrow X$  is  $J$ -holomorphic if  $J \cdot du = du \circ j$   
 ie.  $\bar{\partial}_J u = \frac{1}{2}(du + J du j) = 0 \in \Gamma(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* TX)$

Def:  $M_{g,k}(X, J, \beta) = \left\{ (\Sigma, j, z_1, \dots, z_k), u: \Sigma \rightarrow X \mid \begin{array}{l} u_*[\Sigma] = \beta \\ \bar{\partial}_J u = 0 \end{array} \right\} / \sim$   
 $\beta \in H_2(X)$

(equivalence relation:  $\phi: \Sigma \xrightarrow{\sim} \Sigma', \phi(z_i) = z'_i, \phi \downarrow \Sigma, \xrightarrow{u} X$ )

ie. zero set of a section  $\bar{\partial}_J \uparrow \downarrow \Sigma$  vector bundle,  $E_u = \Gamma(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* TX)$   
 $\text{Map}(\Sigma, X)_{\beta} \times M_{g,k}$

More precisely, look at  $W^{k+1,p}$  maps, and  $E_u = W^{k,p}(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* TX)$   
 $\rightarrow$  Banach bundle over a Banach manifold

The linearized operator  $D_{\bar{\partial}_J}: W^{k+1,p}(\Sigma, u^* TX) \times TM_{g,k} \rightarrow W^{k,p}(\Sigma, \Omega_{\Sigma}^{0,1} \otimes u^* TX)$   
 $D_{\bar{\partial}_J}(v, j') = \bar{\partial} v + \frac{1}{2} \nabla_v J \cdot du \circ j + \frac{1}{2} J \cdot du \cdot j'$

$D_{\bar{\partial}_J}$  is Fredholm, of index  $\mathbb{R} = 2d := 2 \langle c_1(TX), \beta \rangle + n(2-2g) + (6g-6+2k)$

Def:  $u \in M_{g,k}(X, J, \beta)$  is regular if  $D_{\bar{\partial}_J}$  is onto at  $u$ .

Def:  $u: \Sigma \rightarrow X$  is simple ("somewhere injective") if  $\exists z \in \Sigma$  st.  $\begin{cases} du(z) \text{ injective} \\ u^{-1}(u(z)) = \{z\} \end{cases}$

otherwise,  $u$  factors through a covering  $\Sigma \rightarrow \Sigma' \rightarrow X$

$M_{g,k}^*(X, J, \beta) = \{ \text{simple } J\text{-hol. curves} \}$

Thm:  $J^{\text{reg}}(X, \beta) = \{ J \in \mathcal{J}(X, \omega) / \text{every simple } J\text{-hol. curve in class } \beta \text{ is regular} \}$   
 is a Baire subset in  $\mathcal{J}(X, \omega)$   
 For  $J \in J^{\text{reg}}(X, \beta)$ ,  $M_{g,k}^*(X, J, \beta)$  is smooth of real dim.  $2d$   
 and carries a natural orientation.

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$\Sigma$  in general  $M_{g,k}$  orbitoid ( $\Sigma$  with automorphisms)

Proof sketch: • consider  $\mathfrak{D}_J u = 0$  as eqn on  $\text{Map}(\Sigma, X) \times M_{g,k} \times \mathcal{J}(X, \omega) \ni (u, j, J)$   
then linearization is surjective for all simple maps.  
(while fails for multiple covers...)

"univ. moduli space"  $\tilde{M}^* \xrightarrow{\pi_J} \mathcal{J}(X, \omega)$ : projection to  $J$  is Fredholm,  
 $\Rightarrow$  by Sard-Smale a generic  $J$  is a regular value.  
and then  $M_{g,k}^*(X, J, \beta)$  is smooth.

• orientation: need orient<sup>n</sup> on  $\ker(\mathfrak{D}_J)$ . If  $J$  is integrable  
then  $\mathfrak{D}_J$  is  $\mathbb{C}$ -linear and  $\exists$  natural orientation. Can still do it in general.  $\blacktriangle$

\* Moreover:  $\forall J_0, J_1 \in \mathcal{J}^{\text{reg}}(X, \beta)$ ,  $\exists$  (dense set of choices of) path  $\{J_t\}_{t \in [0,1]}$  s.t.  
 $\coprod_{t \in [0,1]} M_{g,k}^*(X, J_t, \beta)$  smooth cobordism between  $M_{g,k}^*(X, J_0, \beta)$  and  $M_{g,k}^*(X, J_1, \beta)$   
but we need a compactness result, else "# curves" not indep of  $J \in \mathcal{J}_{\text{reg}}$ !

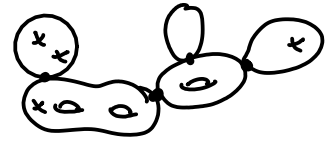
\* So far we haven't really used the symplectic form  $\omega$ ... it's used for

Thm: (Gromov compactness)

$u_n: \Sigma_n \rightarrow X$  sequen of  $J$ -holom. curves,  $J \in \mathcal{J}(X, \omega)$ ,  
 $E(u_n) = \int_{\Sigma_n} u_n^* \omega = \langle [\omega], u_{n*}[\Sigma_n] \rangle$  bounded  $\Rightarrow$   
 $\exists$  subsequence that converges to a stable map  $u_\infty: \Sigma_\infty \rightarrow X$

ie:  $\Sigma_\infty = \cup$  nodal Riemann surfaces

all marked points & nodes are distinct in the domain



(if they come together, create a constant bubble to keep them separated)

Phenomenon: besides possible degeneration of domain  $(\Sigma_n, j_n)$  to a nodal curve,  
the main phenomenon is bubbling of spheres

Example:  $u_n: S^2 = \mathbb{C}P^1 = \mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^1$

$(x_0 : x_1) \longmapsto (x_0 : x_1), (nx_1 : x_0)$

(in affine chart  $x = x_1/x_0$ :  $x \mapsto (x, \frac{1}{nx})$  + extend at  $0$  &  $\infty$ )

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then away from origin, uniform convergence to  $x \mapsto (x, 0)$   
 so limit seems to be just 1<sup>st</sup> coord. axis -- missing part!  
 but if we reparametrize:  $\tilde{x} = nx$ , then get  $\tilde{x} \mapsto \left(\frac{\tilde{x}}{n}, \frac{1}{\tilde{x}}\right)$   
 uniform cv away from  $\infty$  to  $\tilde{x} \mapsto \left(0, \frac{1}{\tilde{x}}\right) \rightarrow$  2<sup>nd</sup> coord axis ✓

- Idea:
- identify bubbling regions = where  $\sup |du_n| \rightarrow \infty$
  - in those regions, rescale domain:  $v_n(z) := u_n(z_n^0 + \epsilon_n z)$ ,  
 $\epsilon_n \rightarrow 0$  suitably chosen  $\Rightarrow$  a subsequence of  $v_n$  converges to  
 a map  $v_\infty: \mathbb{C} \rightarrow X$ , which by removable sing. theorem extends  
 to  $\mathbb{C}P^1 = \mathbb{C} \cup \{\infty\}$ : the bubble!
  - intermediate bubbling stages  $\Rightarrow$  might need various rescalings to  
 catch all bubbles.
  - The process is finite because of energy estimates:

$$E = \int u^* \omega \geq \frac{1}{h} > 0 \text{ for all non-compact closed J-hol. curves}$$

↑  
minimum energy

and we've assumed an upper bound on total energy.

