

# K3 Metrics

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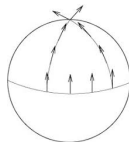
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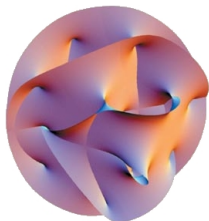
## Introduction

- ▶ Calabi-Yau (CY) compactification has played a central role in string theory. Reduced holonomy  $\Rightarrow$  low-energy SUSY
- ▶ Type II compactifications preserve 4d  $\mathcal{N} = 2$  and are the setting of mirror symmetry
- ▶ Heterotic and orientifold compactifications preserve 4d  $\mathcal{N} = 1$  and provide semi-realistic starting points for string phenomenology
- ▶ Setting in which much of our non-perturbative understanding of string theory has been developed



# K3

- ▶ K3 has played a particularly important role
- ▶  $SU(2) = Sp(1)$ , so in 4d Calabi-Yau = hyper-Kähler. Only compact examples are K3 and  $T^4$
- ▶ A concrete way to think about K3 is as  $T^4/Z_2$  orbifold.



## Introduction (continued...)

- ▶ Since K3 is hyper-Kähler, preserves even more SUSY (e.g.  $K3 \times T^2$  has  $4d \mathcal{N} = 4$ )
- ▶ Heterotic (on  $T^4$ ) - type IIA (on K3) duality plays an essential role in our understanding of how the various perturbative superstring theories are related. Can fiber this duality over a  $\mathbb{P}^1$  base to find dual  $4d \mathcal{N} = 2$  theories
- ▶ Earliest example of black hole microstate counting in string theory

## Introduction (continued...)

- ▶ Remarkably, all of this was achieved without an explicit form of the metric! Indeed, no smooth (compact, non-toroidal) Ricci-flat Calabi-Yau metric is (was) known!
- ▶ Why might this matter to a string theorist? Supposedly, (tree-level) string vacuum from CFT, such as non-linear sigma model with action

$$\frac{i}{8\pi\alpha'} \int (g_{ij} - B_{ij}) \partial x^i \bar{\partial} x^j d^2z - 2\pi \int \Phi R^{(2)} d^2z + \dots$$

(where the ... involve fermions). But, in reality since we don't have the metric, this formulation is rather useless.

## K3 Non-Linear Sigma Models

- ▶ This question is particularly well-motivated for K3 (as opposed to other Calabi-Yaus) because the  $\beta$  function of the non-linear sigma model is exactly 0 – not just to leading order in  $\alpha'$
- ▶ As an example of our ignorance, even for K3 the worldsheet partition function is not known at almost all points in moduli space.

## Explicit K3 metrics

Based on recent work (1810.10540, 2006.02435, 2009.xxxx)  
with



Shamit Kachru, Arnav Tripathy

Indeed, we have not one, but two constructions!

## Overview of math results: Higgs branch construction

- ▶ Construction of K3 as a hyper-Kähler quotient of an infinite-dimensional affine space
- ▶ One construction for each  $T^4$  orbifold limit in moduli space of Ricci-flat K3 metrics
- ▶ K3 arises as moduli space of singular equivariant instantons on the dual torus
- ▶ Differential-geometric analogue of derived McKay correspondence
- ▶ Explicit perturbative description of moduli space near orbifold limit
- ▶ Certain moduli spaces of singular Higgs bundles on  $\mathbb{P}^1$  coincide with moduli spaces of singular equivariant Higgs bundles on  $T^2$



## Overview of math results: Coulomb branch construction

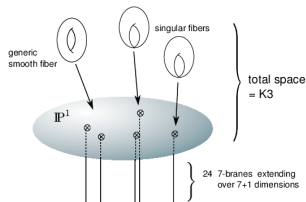
- ▶ Formula for K3 metrics in terms of certain K3 open string reduced Gromov-Witten invariants. SYZ for K3 at level of metric
- ▶ Instead, for now we'll compare these two formalisms in order to extract these invariants from K3 metrics
- ▶ Reformulations of this problem:
  - ▶ Tropical limit – at special loci, combinatorial flat surface problem
  - ▶ Generalized Donaldson-Thomas theory of an auxiliary non-compact Calabi-Yau threefold
  - ▶ For K3 surfaces which arise as generalized Kummer varieties, analogues of these problems

## Little string theory

- ▶ Heterotic small instanton 5-branes have a decoupling limit
- ▶ From supergravity perspective, this works because the corresponding soliton is so singular. In particular, an infinite throat with diverging  $g_s$  develops.
- ▶ It is *not* a QFT – it has T-duality, for example, so there is no unique stress-energy tensor.

# Geometrizing the moduli space, I: heterotic / F-theory duality

- ▶ Strong-weak duality (for  $SO(32)$  heterotic theory, for concreteness) takes us to D5-brane in type I. Now, to study the moduli space of the theory on  $T^2$ , use T-duality twice to replace D5 by D3.
- ▶ Heterotic ( $T^2$ )  $\leftrightarrow$  type IIB orientifold on  $T^2/\mathbb{Z}_2 \rightarrow$  F-theory on K3



# Geometrizing the moduli space, II: heterotic / M-theory duality

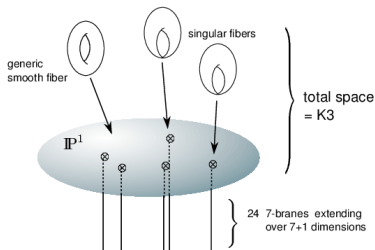
- ▶ Similarly, to study the theory on  $T^3$ , use T-duality three times to replace D5 by D2. An extra dimension is provided by the M-theory circle.
- ▶ Heterotic ( $T^3$ )  $\leftrightarrow$  M-theory on K3

# Parameters of LST

- ▶ Moduli of the heterotic string theory become parameters of the LST. Similarly, gauge symmetry in spacetime descends to global symmetry of LST.

## Compactification of the 4d theory

- ▶ Study little string theory on  $T^2$ , further compactified on  $S^1_R$
- ▶  $R \rightarrow \infty$  limit is large complex structure / semi-flat limit studied by [Greene-Shapere-Vafa-Yau '90] and familiar from F-theory on K3



# Finite $R$

- ▶ Corrections away from this limit are determined by instantons in this theory.
- ▶ These instantons are obtained by taking the worldlines of 4d BPS particles and wrapping them around  $S^1_R$
- ▶ Exponentially small away from singular fibers:  $e^{-2\pi RM}$

## BPS states and the metric

$$\mathcal{X}_\gamma(\zeta) = \mathcal{X}_\gamma^{\text{sf}}(\zeta) \exp \left[ -\frac{1}{4\pi i} \sum_{\gamma' \in \hat{\Gamma}'_a} \Omega(\gamma'; \mathbf{a}) \langle \gamma, \gamma' \rangle \right. \\ \left. \times \int_{\ell_{\gamma'}(\mathbf{a})} \frac{d\zeta'}{\zeta'} \frac{\zeta' + \zeta}{\zeta' - \zeta} \log(1 - \mathcal{X}_{\gamma'}(\zeta')) \right]$$

$$\mathcal{Y}_\gamma(\zeta) = \log \mathcal{X}_\gamma(\zeta), \quad \mathcal{Y}_\gamma^{\text{sf}}(\zeta) = \frac{\pi R}{\zeta} \mathbf{Z}_\gamma + i\theta_\gamma + \pi R \zeta \overline{\mathbf{Z}}_\gamma$$

$$\varpi(\zeta) = \frac{1}{4\pi^2 R} d\mathcal{Y}_m(\zeta) \wedge d\mathcal{Y}_e(\zeta) = -\frac{i}{2\zeta} \omega_+ + \omega_K - \frac{i\zeta}{2} \omega_-$$

$$\omega_\pm = \omega_I \pm i\omega_J$$

$$\mathbf{g} = -\omega_I \omega_J^{-1} \omega_K$$

[Gaiotto-Moore-Neitzke '08]



## Instanton corrections

- ▶ At large  $R$ , these  $\mathcal{X}_\gamma$  take a universal form, up to exponentially-suppressed corrections that result from 4d BPS states running around this circle.
- ▶ We have thus reduced the determination of a K3 metric to the simpler problem of counting BPS states in a little string theory on  $T^2$ . Specifically, need the BPS index (second helicity supertrace)  $\Omega(\gamma; a)$  that counts 4d BPS states at a point in (4d) moduli space  $a$ .
- ▶ Thanks to wall crossing formula, in principle only need to determine BPS state counts at one point in parameter and moduli space

## Approximation

Iterate integral equation once:  $\varpi^{\text{inst}}(\zeta) = \sum_{\gamma} \Omega(\gamma) \varpi_{\gamma}^{\text{inst}}$

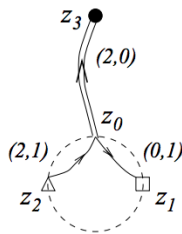
$$\varpi_{\gamma}^{\text{inst}}(\zeta) = -\frac{i}{8\pi^2} d\mathcal{Y}_{\gamma}^{\text{sf}}(\zeta) \wedge \left[ -A^{\text{inst}} d \log(Z_{\gamma}/\bar{Z}_{\gamma}) + V^{\text{inst}} \left( \frac{1}{\zeta} dZ_{\gamma} - \zeta d\bar{Z}_{\gamma} \right) \right]$$

$$A^{\text{inst}} = \sum_{n>0} e^{in\theta_{\gamma}} |Z_{\gamma}| K_1(2\pi Rn|Z_{\gamma}|)$$

$$V^{\text{inst}} = \sum_{n>0} e^{in\theta_{\gamma}} K_0(2\pi Rn|Z_{\gamma}|)$$

[Ooguri-Vafa '96, Seiberg-Shenker '96, GMN '08]

# String webs



- ▶ Particularly nice at points in moduli space with constant  $\tau$  – flat base, so combinatorial flat surface problem.

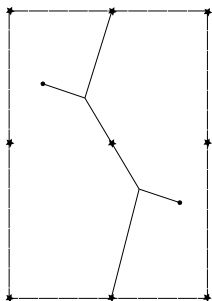
## $T^4/Z_q$ orbifold limits

- ▶  $T^4/Z_q = (T_F^2 \times T_B^2)/Z_q$ ,  $T_F^2$  fibration over  $T_B^2/Z_q$  [Sen '96, Dasgupta-Mukhi '96].
- ▶ Non-abelian global symmetry from coincident 7-branes. Moving D3-brane probe near one of these 7-brane stacks and taking low energy limit yields either  $SU(2)$   $N_f = 4$  SCFT or  $E_6$ ,  $E_7$ , or  $E_8$  Minahan-Nemeschansky (MN) SCFT

| $q$ | 4d global symmetry                                   | $\tau_F$   | $\tau_B$   |
|-----|--|------------|------------|
| 2   | $\text{Spin}(8)^4 \times U(1)^4$                     |            |            |
| 3   | $E_6^3 \times U(1)^2$                                | $\kappa_3$ | $\kappa_3$ |
| 4   | $E_7^2 \times \text{Spin}(8) \times U(1)^2$          | $i$        | $i$        |
| 6   | $E_6 \times E_8 \times \text{Spin}(8) \times U(1)^2$ | $\kappa_3$ | $\kappa_3$ |

$$\kappa_q = e^{2\pi i/q}$$

## LST vs SCFTs



$\mathcal{N} = 2$  SUSY:  $M = |Z_\gamma|$ . So, abelian global symmetries must be associated to F1 and D1 winding about the two 1-cycles of  $T_B^2$ . For  $q \neq 2$ , only two linear combinations of these four charges are conserved

## LST BPS spectra encoded in K3 metrics

- ▶ Turn on arbitrary Wilson lines for the 4d global symmetry as we reduce on  $S^1_R$  in order to smooth out the orbifold. (Correspond to extra moduli for heterotic on  $T^3$  vs.  $T^2$ , in addition to  $M_S R$ .)
- ▶ Contributions to  $\varpi^{\text{inst}}(\zeta)$  from the BPS states of the LST with gauge and global charges of the form  $\gamma = m\gamma_g + \gamma_f$ :

$$\varpi_{\gamma_g}^{\text{eff}} = -\frac{i}{8\pi^2} d\mathcal{Y}_{\gamma_g}^{\text{sf}}(\zeta) \wedge \sum_{n>0} e^{in\theta_{\gamma_g}} \sum_{m|n} m^2 \sum_{\gamma_f} \Omega(m\gamma_g + \gamma_f) e^{in\theta_{\gamma_f}/m} \times$$

$$\left( -|Z_{\gamma}/m| K_1(2\pi Rn|Z_{\gamma}/m|) d \log(Z_{\gamma}/\bar{Z}_{\gamma}) \right.$$

$$\left. + K_0(2\pi Rn|Z_{\gamma}/m|) \left( \frac{1}{\zeta} dZ_{\gamma_g} - \zeta d\bar{Z}_{\gamma_g} \right) \right)$$

## CFT BPS spectra encoded in K3 metrics

- At orbifold point, all flavor contributions to central charge are from winding, and for simplest string webs winding part of  $\gamma_f$  is also divisible by  $m$ :  $\gamma_f = m\gamma_w + \tilde{\gamma}_f$ . Letting  $Z_{\gamma''} = Z_{\gamma_g + \gamma_w} = Z_\gamma / m$  gives

$$\begin{aligned} \varpi_{\gamma_g}^{\text{eff,CFT}} &= -\frac{i}{8\pi^2} d\mathcal{Y}_{\gamma_g}^{\text{sf}}(\zeta) \wedge \sum_{n>0} e^{in\theta_{\gamma_g}} \times \\ &\sum_{\gamma_w} e^{in\theta_{\gamma_w}} \left( -|Z_{\gamma''}| K_1(2\pi Rn|Z_{\gamma''}|) d\log(Z_{\gamma''}/\bar{Z}_{\gamma''}) \right. \\ &\quad \left. + K_0(2\pi Rn|Z_{\gamma''}|) \left( \frac{1}{\zeta} dZ_{\gamma''} - \zeta d\bar{Z}_{\gamma''} \right) \right) \times \\ &\sum_{m|n} m^2 \sum_{\tilde{\gamma}_f} \Omega(m\gamma_g + \gamma_f) e^{in\theta_{\tilde{\gamma}_f}/m} \end{aligned}$$

## CFT BPS spectra encoded in K3 metrics, continued

- ▶ So, CFT BPS spectra are encoded in K3 metrics in the form of functions

$$\begin{aligned}
 F_{n,p,q}(\theta) &= \sum_{m|n} m^2 \sum_{\tilde{\gamma}_f} \Omega(\gamma) e^{in\theta_{\tilde{\gamma}_f}/m} \\
 &= \sum_{m|n} m^2 \sum_{\mathcal{R}} \Omega(m, p, q, \mathcal{R}) \phi_{\mathcal{R}}(n\theta/m)
 \end{aligned}$$

- ▶ (Dropped dependence on  $\gamma_w$ , since BPS spectrum only depends on which singular fiber strings are ending on, not number of times they wound around before terminating.)
- ▶ In contrast with LST spectrum, these CFT spectra don't wall cross, thanks to scale invariance plus R-symmetry



## K3 as a Higgs branch

- ▶ D2-brane probing  $T^4/Z_q$  orbifold: K3 is *Higgs branch*. No quantum corrections!
- ▶ Perturbative type IIA string vacuum: no non-Abelian gauge symmetry. So, not just  $S^1$ -reduction of earlier M-theory frame on K3. B-field [Aspinwall '95]. From D2-brane point of view, this B-field breaks global symmetries.
- ▶ Non-renormalization theorem:  $g_s$  is in background vector multiplet, B-field dilutes away in  $g_s \rightarrow \infty$  limit. So, moduli space is same as that of the M2-brane.
- ▶ Reminiscent of 3d mirror symmetry; not an accident! As discussed in [Porrati-Zaffaroni '96], this picture yields the simplest mirror pairs studied in [Intriligator-Seiberg '96]

# Hyper-Kähler quotient

- ▶ Superpotential takes form  $\text{Tr } \Phi \mu_+$ , where  $\Phi$  is chiral multiplet in  $\mathcal{N} = 4$  vector multiplet whose vev vanishes on Higgs branch and  $\mu_+$  is function of hypermultiplet fields. F-term equation is then  $\mu_+ = 0$ .
- ▶ D-terms analogously take form  $\mu_{\mathbb{R}} = 0$ , where  $\mu_{\mathbb{R}}$  is a Hermitian function of the hypermultiplet fields.
- ▶ Higgs branch is the quotient of the space  $\mu_{\mathbb{R}} = \mu_+ = 0$  by the gauge group.

$\text{Sym}^N \mathbb{C}^2$ 

- ▶ Higgs branch of  $N$  parallel D2-branes. 3d  $\mathcal{N} = 8$   $U(N)$  gauge theory; from  $\mathcal{N} = 4$  point of view, adjoint hyper consisting of chiral multiplets  $U, V$ .
- ▶  $\mu_+ = -2[U, V]$ ,  $\mu_{\mathbb{R}} = [U, U^\dagger] + [V, V^\dagger]$
- ▶  $\mu_+ = 0$  implies  $U$  and  $V$  can be simultaneously unitarily upper triangularized,  $\mu_{\mathbb{R}} = 0$  implies that these upper triangular matrices are actually diagonal. Can then fix most of gauge group by demanding  $U$  and  $V$  be diagonal. Remaining gauge symmetry is  $S_N$  Weyl group.

$\mathbb{C}^2/\mathbb{Z}_2$ 

- ▶ D2-brane probing  $\mathbb{C}^2/\mathbb{Z}_2$ . Worldvolume is obtained by starting on  $\mathbb{C}^2$  covering space with D2-brane and its image and the imposing orbifold projections. [Douglas-Moore '96]
- ▶ So, starting point is the  $N = 2$  theory from last slide. We then require

$$U = -\sigma_z U \sigma_z, \quad V = -\sigma_z V \sigma_z, \quad g = \sigma_z g \sigma_z$$

$$U = \begin{pmatrix} & u_+ \\ u_- & \end{pmatrix}, \quad V = \begin{pmatrix} & v_+ \\ v_- & \end{pmatrix}, \quad g = e^{i\theta} \begin{pmatrix} e^{i\alpha/2} & \\ & e^{-i\alpha/2} \end{pmatrix}$$

- ▶  $\mu_+ = 0 \Rightarrow \begin{pmatrix} u_+ \\ v_+ \end{pmatrix} = \lambda \begin{pmatrix} u_- \\ v_- \end{pmatrix}$ ,  $\mu_{\mathbb{R}} = 0 \Rightarrow |\lambda| = 1$ .
- ▶  $U(1)$ :  $\lambda = 1$ ;  $\alpha = \pi$ :  $(u, v) \sim (-u, -v)$

$$T^4 = \mathbb{C}^2 / \mathbb{Z}^4$$

- ▶ Same idea, but now we have an infinite-dimensional gauge group. [Taylor '96]
- ▶ Start with  $U(\infty^4)$  and impose  $\mathbb{Z}^4$  orbifold projection:  
 $(u, v) \mapsto (u, v) + (n^u, n^v), n \in \Lambda$
- ▶ Result is  $\widehat{U(1)} = \text{Maps}(\hat{T}^4 \rightarrow U(1)), \hat{T}^4 = \mathbb{C}^2 / \hat{\Lambda}, \hat{\Lambda} = \text{Hom}(\Lambda, 2\pi\mathbb{Z})$ .
- ▶ T-duality: D2 probing  $T^4$  becomes D6 wrapping  $\hat{T}^4$
- ▶  $U$  and  $V$  now define a  $U(1)$  connection on  $\hat{T}^4$ :

$$B = \sum_n (U_n d\psi_1 + V_n d\psi_2) e(n) + \text{h.c.}$$

$$e(n) = e^{i(n^u \psi_1 + n^v \psi_2 + \text{c.c.})} = e^{in \cdot y}, \psi_1 = \frac{y_1 - iy_2}{2}, \psi_2 = \frac{y_3 - iy_4}{2}$$

## $T^4$ continued

- ▶ The moment map equations, taken together, are equivalent to

$$F = - * F .$$

So, just looking at moduli space of ASD connections, mod gauge equivalence.

$$\|F\|^2 \equiv \int F \wedge *F = - \int F \wedge F = - \int dCS_3 = 0$$

So, moduli space of flat  $U(1)$  connections / Wilson lines on  $\hat{T}^4$ , which is indeed  $T^4$ .

- ▶ Physically sensible that we reduce to constant gauge fields: Kaluza-Klein masses. Moduli space is compact because of large gauge transformations.

$$K3 = T^4/Z_q = \mathbb{C}^2/\mathbb{Z}^4 \rtimes Z_q$$

- ▶ Now, realize K3 as resolution of  $T^4/Z_q$ ; i.e., orbifold  $\mathbb{C}^2$  by  $\Lambda$ , and then by  $Z_q$ , or equivalently by  $\mathbb{Z}^4 \rtimes Z_q$ . [ $q = 2$  case studied in Ramgoolam-Waldram '98, Greene-Lazaroiu-Yi '98. Similar constructions exist for all torus orbifold limits of K3]
- ▶ Start with  $U(q)$  gauge theory on  $\hat{T}^4$  and then impose  $Z_q$  projections:

$$\iota^* B = \sigma_q B \sigma_q^\dagger, \quad g \circ \iota = \sigma_q g \sigma_q^\dagger$$

$$\sigma_q = \begin{pmatrix} 1 & & & \\ & \kappa_q & & \\ & & \dots & \\ & & & \kappa_q^{q-1} \end{pmatrix}$$

## K3: blow-up parameters

$$F = - * F + \sum_{y'} \sum_{i=1}^{q-1} \eta_{y',i} \sigma_q^i \delta^4(y - y')$$

- ▶ So, K3 is hyper-Kähler quotient of infinite-dimensional flat space of  $Z_q$ -equivariant  $SU(q)$  connections on  $\hat{T}^4$  with prescribed (singular, for generic FI parameters) boundary conditions by group of equivariant  $SU(q)$  gauge transformations (that preserve the boundary conditions).
- ▶  $q = 2$ : 16 triples of FI parameters plus 10  $T^4$  moduli = 58 moduli
- ▶  $q \neq 2$ : 18 triples of FI parameters plus 4  $T^4$  moduli = 58 moduli



## K3: moduli space with vanishing FI parameters

- ▶ Can restrict to zero-modes, thanks to Kaluza-Klein masses and gauge transformations.
- ▶ Zero-mode moment maps and gauge transformations allow us to set  $U_0 = us_q$ ,  $V_0 = vs_q^\dagger$ , where

$$s_q = \begin{pmatrix} & 1 & & & \\ & & 1 & & \\ & & & \ddots & \\ & & & & 1 \\ 1 & & & & \end{pmatrix},$$

and  $(u, v) \sim (\kappa_q u, \kappa_q^* v)$ .

- ▶ ‘Quasi-large’ gauge transformations preserve this gauge and implement  $(u, v) \sim (u + n^u, v + n^v)$ .

# Perturbation theory

- ▶ Parametrize general zero modes as  $U_0 = U_0^{\text{orb}} + \Delta U_0$ ,  
 $V_0 = V_0^{\text{orb}} + \Delta V_0$ , where

$$\text{Tr} (U_0^{\text{orb}})^\dagger \Delta U_0 = \text{Tr} (V_0^{\text{orb}})^\dagger \Delta V_0 = 0 .$$

- ▶ Goal: solve for  $U_n(u, v)$ ,  $V_n(u, v)$  (in a particular gauge) – carve K3 out of infinite-dimensional flat space

## Perturbation theory, continued

- ▶ Suppose, inductively, that one knows  $(\nu - 1)$ -th order approximations  $U_n^{(\nu-1)}(u, v)$ ,  $V_n^{(\nu-1)}(u, v)$ . Then, write  $U_n^{(\nu)} = U_n^{(\nu-1)} + \delta U_n^{(\nu)}$ , and similarly for  $V$ .
- ▶ Writing the moment map equations and keeping only order  $\nu$  terms, we find that they are linear in  $\delta U_n^{(\nu)}$  and  $\delta V_n^{(\nu)}$  and decouple into infinitely many equations, each involving only finitely many variables.
- ▶ Furthermore, there is a natural gauge choice,

$$d_{B^{\text{orb}}} * B = 0 ,$$

which shares these features.

## Perturbation theory, continued

Explicitly, for each  $n$  we solve the linear equations

$$\xi_{n,+}^{(\nu)} = \delta U_n^{(\nu)} n^\nu - \delta V_n^{(\nu)} n^\mu + [U_0^{\text{orb}}, \delta V_n^{(\nu)}] + [\delta U_n^{(\nu)}, V_0^{\text{orb}}]$$

$$\xi_{n,\mathbb{R}}^{(\nu)} = -n^\mu (\delta U_{-n}^{(\nu)})^\dagger + n^{\bar{\mu}} \delta U_n^{(\nu)} + [U_0^{\text{orb}}, (\delta U_{-n}^{(\nu)})^\dagger] + [\delta U_n^{(\nu)}, (U_0^{\text{orb}})^\dagger] \\ + (U \mapsto V)$$

$$0 = -n^\mu (\delta U_{-n}^{(\nu)})^\dagger - n^{\bar{\mu}} \delta U_n^{(\nu)} + [U_0^{\text{orb}}, (\delta U_{-n}^{(\nu)})^\dagger] + [(U_0^{\text{orb}})^\dagger, \delta U_n^{(\nu)}] \\ + (U \mapsto V),$$

where  $\xi_{n,+/\mathbb{R}}^{(\nu)}$  are constructed out of  $\delta U_n^{(\nu')}$ ,  $\delta V_n^{(\nu')}$  with  $\nu' < \nu$  and  $\xi_{n,+/\mathbb{R}}^{(1)}$  are the FI parameters. Note: coefficients on right side of equation are identical for all  $\nu$ !

# Perturbation theory, continued

For  $\nu \geq 2$ ,

$$\xi_{n,+}^{(\nu)} = - \sum_m \sum_{\nu'=1}^{\nu-1} [\delta U_{n-m}^{(\nu')}, \delta V_m^{(\nu-\nu')}]$$

$$\xi_{n,\mathbb{R}}^{(\nu)} = - \sum_m \sum_{\nu'=1}^{\nu-1} [\delta U_{n+m}^{(\nu')}, (\delta U_m^{(\nu-\nu')})^\dagger] + (U \mapsto V)$$

## Solution

$$N_{i,j}^u = n^u + (1 - \kappa_q^i) \kappa_q^j u, \quad N_{i,j}^v = n^v + (1 - \kappa_q^{-i}) \kappa_q^{-j} v, \quad D_{i,j} = |N_{i,j}^u|^2 + |N_{i,j}^v|^2$$

$$\tilde{\xi}_{n,i,j,+}^{(\nu)} = \frac{1}{q} \text{Tr } S_j S_{i+j}^\dagger \xi_{n,+}^{(\nu)}, \quad \tilde{\xi}_{n,i,j,\mathbb{R}}^{(\nu)} = \frac{1}{q} \text{Tr } S_j S_{i+j}^\dagger \xi_{n,\mathbb{R}}^{(\nu)}, \quad S_j = \begin{pmatrix} 1 \\ \kappa_q^j \\ \vdots \\ \kappa_q^{(q-1)j} \end{pmatrix}$$

$$\delta U_n^{(\nu)} = \frac{1}{2q} \sum_{j=0}^{q-1} \sum_{i=\delta_{n,0}}^{q-1} \frac{2\tilde{\xi}_{n,i,j,+}^{(\nu)} \bar{N}_{i,j}^v + \tilde{\xi}_{n,i,j,\mathbb{R}}^{(\nu)} N_{i,j}^u}{D_{i,j}} S_{i+j} S_j^\dagger$$

$$\delta V_n^{(\nu)} = \frac{1}{2q} \sum_{j=0}^{q-1} \sum_{i=\delta_{n,0}}^{q-1} \frac{-2\tilde{\xi}_{n,i,j,+}^{(\nu)} \bar{N}_{i,j}^u + \tilde{\xi}_{n,i,j,\mathbb{R}}^{(\nu)} N_{i,j}^v}{D_{i,j}} S_{i+j} S_j^\dagger$$

## Integral equation

Summing up the contribution from each  $\nu$  and writing  $e(n) = e^{in \cdot y}$ ,  $U = U^{\text{orb}} + \Delta U$ , and  $V = V^{\text{orb}} + \Delta V$ , we find

$$\begin{aligned} \Delta U = & \frac{1}{2q} \sum_n \sum_{j=0}^{q-1} \sum_{i=\delta_{n,0}}^{q-1} \frac{S_{i+j} S_j^\dagger}{D_{i,j}} \left[ \left( 2\xi_{n,i,+} e(n) \bar{N}_{i,j}^\nu + \xi_{n,i,\mathbb{R}} e(n) N_{i,j}^u \right) \right. \\ & - \frac{1}{q} \sum_m \text{Tr} \left[ S_j S_{i+j}^\dagger \left( 2[\Delta U_{n-m} e(n-m), \Delta V_m e(m)] \bar{N}_{i,j}^\nu \right. \right. \\ & \quad \left. \left. + \left( [\Delta U_{n+m} e(n+m), \Delta U_m^\dagger e(-m)] \right. \right. \right. \\ & \quad \left. \left. \left. + [\Delta V_{n+m} e(n+m), \Delta V_m^\dagger e(-m)] \right) N_{i,j}^u \right) \right] \right]. \end{aligned}$$

Similarly for  $\Delta V$ . Coupled integral equations on  $\hat{T}^4!$

# Kähler forms

$$\omega_I = \frac{i}{2q} \sum_n \text{Tr} \left( -dU_n \wedge dV_{-n} + dU_n^\dagger \wedge dV_{-n}^\dagger \right)$$

$$\omega_J = -\frac{1}{2q} \sum_n \text{Tr} \left( dU_n \wedge dV_{-n} + dU_n^\dagger \wedge dV_{-n}^\dagger \right)$$

$$\omega_K = \frac{i}{2q} \sum_n \text{Tr} \left( dU_n \wedge dU_n^\dagger + dV_n \wedge dV_n^\dagger \right)$$

$$dU_n = \frac{\partial U_n}{\partial u} du + \frac{\partial U_n}{\partial u^*} du^* + \frac{\partial U_n}{\partial v} dv + \frac{\partial U_n}{\partial v^*} dv^*$$



## Kähler forms – first order corrections

$$\omega_+^{\text{orb}} = -i du \wedge dv, \quad \omega_K^{\text{orb}} = \frac{i}{2}(du \wedge du^* + dv \wedge dv^*)$$

$$\varpi(\zeta) = \varpi^{\text{orb}}(\zeta) + \varpi^{\text{pert}}(\zeta)$$

$$\varpi^{\text{pert}}(\zeta) = -\frac{i}{2\zeta}\omega_+^{\text{pert}} + \omega_K^{\text{pert}} - \frac{i\zeta}{2}\omega_-^{\text{pert}}$$

$$= \sum_n \sum_{i=1}^{\lfloor q/2 \rfloor} f_i \sum_{t=\pm 1} \left( -\frac{i}{2\zeta}\omega_{nti+} + \omega_{ntiK} - \frac{i\zeta}{2}\omega_{nti-} \right)$$

$$f_i = \begin{cases} \frac{1}{2} & : i = q/2 \\ 1 & : \text{else} \end{cases}$$

$$N_i^u = N_{i,0}^u, \text{ etc.}$$

$$\omega_{nti+u\bar{u}} = \frac{i|1 - \kappa_q^i|^2 (2\xi_{nti+} \bar{N}_i^v + \xi_{nti\mathbb{R}} N_i^u)(2\xi_{n(-t)i+} \bar{N}_i^u - \xi_{nti\mathbb{R}}^* N_i^v)}{4 D_i^3}$$

$$\omega_{nti+uv} = 0$$

$$\omega_{nti+u\bar{v}} = -\frac{i(1 - \kappa_q^i)^2 (2\xi_{nti+} \bar{N}_i^u - \xi_{nti\mathbb{R}} N_i^v)(2\xi_{n(-t)i+} \bar{N}_i^u - \xi_{nti\mathbb{R}}^* N_i^v)}{4 D_i^3}$$

$$\omega_{nti+\bar{u}v} = -\frac{i(1 - \kappa_q^{-i})^2 (2\xi_{nti+} \bar{N}_i^v + \xi_{nti\mathbb{R}} N_i^u)(2\xi_{n(-t)i+} \bar{N}_i^v + \xi_{nti\mathbb{R}}^* N_i^u)}{4 D_i^3}$$

$$\omega_{nti+\bar{u}\bar{v}} = 0$$

$$\omega_{nti+v\bar{v}} = -\omega_{nti+u\bar{u}}$$

Similar expressions for  $\omega_K$

$$g = -\omega_I \omega_J^{-1} \omega_K = g^{\text{orb}} + \sum_n g_n$$

$$J_I = -\omega_J^{-1} \omega_K = J_I^{\text{orb}} + \sum_n J_{nI}, \quad \dots$$

$$\begin{aligned} R_{km} &= R^{\ell}_{k\ell m} \approx (g^{\text{orb}})^{li} R_{ik\ell m} \\ &\approx \frac{1}{2} \sum_n (g^{\text{orb}})^{li} (g_{nim,kl} + g_{nkl,im} - g_{nil,km} - g_{nkm,il}) = 0 \end{aligned}$$

$$J_{\sigma}^2 \approx (J_{\sigma}^{\text{orb}})^2 + \sum_n \{J_{\sigma}^{\text{orb}}, J_{n\sigma}\} = -1$$

$$\sum_n \delta(x - n) = \sum_k e^{2\pi i k x}$$

$$\sum_n \lim_{x \rightarrow n} f(x) = \sum_k \mathcal{F}[f](k)$$

- ▶ We now perform a *2-dimensional* Poisson resummation over lattice parametrized by  $n^\vee$ . Motivated by geometric picture we're trying to make contact with – corrections to semi-flat geometry.
- ▶ Set  $\xi_+ = 0$  for simplicity – focus on BPS spectrum of 4d theory at orbifold point

$$\varpi^{\text{inst}}(\zeta) = \sum_{\gamma_g} \varpi_{\gamma_g}^{\text{eff}}$$

$$\varpi_{\gamma_g}^{\text{eff}} = -\frac{i}{8\pi^2} d\mathcal{Y}_{\gamma_g}^{\text{sf}}(\zeta) \wedge \sum_{n>0} e^{in\theta_{\gamma_g}} \times$$

$$\sum_{\gamma_w} e^{in\theta_{\gamma_w}} \left( -|Z_{\gamma''}| K_1(2\pi Rn|Z_{\gamma''}|) d\log(Z_{\gamma''}/\bar{Z}_{\gamma''}) \right.$$

$$\left. + K_0(2\pi Rn|Z_{\gamma''}|) \left( \frac{1}{\zeta} dZ_{\gamma''} - \zeta d\bar{Z}_{\gamma''} \right) \right) \times$$

$$F_{n,p,q,\gamma_w}$$

Geometry of string webs is encoded in lattice of winding charges and the flavor central charges  $Z_{\gamma_w}$ :

$$Z_{\gamma''} = (p_{TF} + q)(a - a_0)$$

$F_{n,p,q,\gamma_w}$  depends very weakly on  $\gamma_w$ : only depends on subgroup of  $Z_q$  that stabilizes fixed point  $a_0$  – i.e., type of singular fiber

$$F_{n,p,q,Z_2} = n^2 (-1)^n \sum_{\lambda \in Z_2^2} \left( -\frac{1}{2} \pi^4 R^2 \xi_{\lambda 1\mathbb{R}}^2 \right) (-1)^{n(\lambda^3 p + \lambda^4 q)}$$

$$F_{n,p,q,Z_3} = n^2 (-1)^n \sum_{\lambda \in Z_3} \left( -\frac{4}{3} \pi^4 R^2 |\xi_{\lambda 2\mathbb{R}}|^2 \right) \kappa_3^{n\lambda(p+q)}$$

$$\begin{aligned} F_{n,p,q,Z_4} &= n^2 (-1)^n \sum_{\lambda \in Z_2} \left( -2\pi^4 R^2 |\xi_{\lambda 3\mathbb{R}}|^2 \right) (-1)^{n\lambda(p+q)} \\ &\quad + F_{n,p,q,Z_2}(\xi_{(1,0)1\mathbb{R}} = \xi_{(0,1)1\mathbb{R}}) \\ F_{n,p,q,Z_6} &= n^2 (-1)^n \left( -4\pi^4 R^2 |\xi_{4\mathbb{R}}|^2 \right) \\ &\quad + F_{n,p,q,Z_2}(\xi_{(1,0)1\mathbb{R}} = \xi_{(0,1)1\mathbb{R}} = \xi_{(1,1)1\mathbb{R}}) \\ &\quad + F_{n,p,q,Z_3}(\xi_{12\mathbb{R}} = \xi_{22\mathbb{R}}) \end{aligned}$$

# Conjectural exact relationships

$$F_{n,p,q,Z_4}(\xi_{\lambda 3\mathbb{R}} = 0) = F_{n,p,q,Z_2}(\xi_{(1,0)1\mathbb{R}} = \xi_{(0,1)1\mathbb{R}})$$

$$F_{n,p,q,Z_6}(\xi_{4\mathbb{R}} = \xi_{\lambda 1\mathbb{R}} = 0) = F_{n,p,q,Z_3}(\xi_{12\mathbb{R}} = \xi_{22\mathbb{R}})$$

$$F_{n,p,q,Z_6}(\xi_{4\mathbb{R}} = \xi_{\lambda 2\mathbb{R}} = 0) = F_{n,p,q,Z_2}(\xi_{(1,0)1\mathbb{R}} = \xi_{(0,1)1\mathbb{R}} = \xi_{(1,1)1\mathbb{R}})$$



$Z_2: SU(2) N_f = 4$ 

$$F_{n,p,q}(\theta) = \begin{cases} \phi_{\mathcal{R}_{p,q}}(n\theta) - 8 & : 2|n \\ \phi_{\mathcal{R}_{p,q}}(n\theta) & : 2 \nmid n \end{cases}, \quad \mathcal{R}_{p,q} = \begin{cases} \mathbf{8}_v & : 2|p \wedge 2 \nmid q \\ \mathbf{8}_s & : 2 \nmid p \wedge 2 \nmid q \\ \mathbf{8}_c & : 2 \nmid p \wedge 2|q \end{cases}$$

- ▶ Half-hyper ( $\Omega = 1$ ) with gauge charge  $(p, q)$  in one of the 3 8-dimensional reps of Spin(8), depending on whether  $p, q$ , or both are odd.
- ▶ Vector ( $\Omega = -2$ ) with gauge charge  $(2p, 2q)$  in singlet of Spin(8)

Agrees with result from hyper-Kähler quotient after a simple linear change of variables from  $\theta$  to  $\xi$

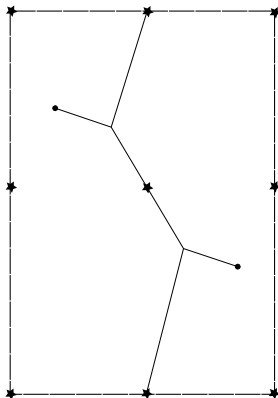
$Z_3: E_6$  MN

| $n$ | $\Omega_{\text{red}}(n\gamma_1)$  |
|-----|---|
| 1   | $27$  |
| 2   | $27$  |
| 3   | $78 + 2 \times 1$   |
| 4   | $351 + 2 \times \overline{27}$  |
| 5   | $1728 + 2 \times 351 + 6 \times 27$   |
| 6   | $5824 + 2430 + 2 \times 2925 + 6 \times 650 + 13 \times 78 + 16 \times 1$   |
| 7   | $19305 + 3 \times \overline{17550} + 6 \times \overline{7371} + 13 \times \overline{1728} + 12 \times \overline{351}' + 29 \times \overline{351} + 44 \times \overline{27}$ |

[Hollands-Neitzke '16]. We also compared with data on  $E_6$  and  $E_7$  theories from [Hao-Hollands-Neitzke '19]

- ▶ We have derived constraints on the spectra of these field theories for arbitrarily large imprimitivity!
- ▶ At leading order in the FI parameters, they are fairly weak, but we have obtained some new BPS state counts.
- ▶ Proceeding to higher orders will yield the entire spectra.
- ▶ Motivated by the leading order expressions produced by the hyper-Kähler quotient, we have conjectured strong all-orders relationships between the BPS spectra of the various field theories that coexist within the same F-theory compactifications (which are satisfied by all existing data)

# Missing BPS states of LSTs

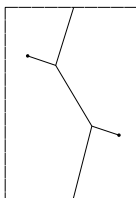


## $A_1 \mathcal{N} = (1, 1)$ LST

- ▶ Considerations from before show that moduli space of LST on  $T^3$  is  $\text{Sym}^2(T^4)$
- ▶ However, can turn on holonomy of background R-symmetry gauge field which preserves 3d  $\mathcal{N} = 4$ , and resulting moduli space is essentially  $T^4 \times K3$  [Cheung-Ganor-Krogh '98]
- ▶ Mathematically, this is related to construction of K3 as a generalized Kummer variety
- ▶ So, can read off K3 metric from metric on this moduli space
- ▶ Only get special K3 surfaces from this construction: always have  $Z_2^4$  symmetries.

## BPS state counting

- ▶ One 1-real-dimensional family is particularly nice: if holonomy is only on the third circle, then the BPS state counting problem is simply that of the  $(1, 1)$  (or  $(2, 0)$ ) LST on  $T^2$ , with no R-symmetry holonomies
- ▶ String web formulation: type IIB on  $T^2$  with two transverse D3-branes



- ▶ Geometric engineering: type IIA on affine  $A_1$  singularity, i.e. total space of  $I_2$  singular fiber, times  $T^2$

## Conclusion

- ▶ A hyper-Kähler quotient yields computationally useful, explicit, analytic expressions for K3 metrics.
- ▶ They secretly encode the solution to a little string theory BPS state counting problem. In particular, there are piecewise constant lists of integers hiding inside of K3 metrics! Similarly, we find characters of  $\text{Spin}(8)$  and  $E_n$  representations. We also find an interesting dependence on the geometry of string webs.
- ▶ Via string dualities, we can recast this BPS state counting problem in terms of open string reduced Gromov-Witten theory of K3. Aligns with the Strominger-Yau-Zaslow construction of mirror manifolds.

## Coulomb branch construction

- ▶ By finding the full BPS spectrum of the little string theory, we will complete the specification of a second, equivalent construction of K3 metrics. We intend to do so by Poisson resumming the Higgs branch result at all orders.
- ▶ Other approaches: geometric engineering, holography, DLCQ, deconstruction. Neat connections with  $\mathcal{N} = (1, 1)$   $A_1$  little string theory and open topological string theory.
- ▶ Even without most counts, Coulomb branch construction gives some very accurate approximations, similar to (and generalizing) [Gross-Wilson '00]



## Generalizations

- ▶ Adding D6-branes wrapping  $T^4$  or an orbifold thereof to the hyper-Kähler quotient construction will allow us to obtain nearly all (hopefully all) known compact hyper-Kähler manifolds. 3d mirror symmetry again relates these configurations to little string theories
- ▶ Poisson resummation 1, 3, or 4 times is also possible. Do these yield other interesting expansions with corresponding counting problems?
- ▶ Although we've focused in this talk on K3 and little string theories, analogous stories hold for moduli spaces of various field theories whose Coulomb branches are non-compact 4-dimensional hyper-Kähler manifolds.