# Refined holomorphic anomaly equation for local $\mathbb{P}^{2}$ 

Pierrick Bousseau

CNRS, Laboratoire de Mathématiques d'Orsay, Université Paris-Saclay
Informal String-Math Seminar Berkeley, November 2, 2020

## References

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- Talk based on arXiv:2001.05347 "Holomorphic anomaly equation for
\(\left(\mathbb{P}^{2}, E\right)\) and the Nekrasov-Shatashvili limit of local \(\mathbb{P}^{2 \prime \prime}\), joint with
Honglu Fan, Shuai Guo, and Longting Wu.
- Relies on some of my previous works:
- arXiv:1706.07762 "Tropical refined curve counting from higher genera
    and lambda classes"
■ arXiv:1806.11495 "The quantum tropical vertex"
■ arXiv:1909.02985 "Scattering diagrams, stability conditions, and
    coherent sheaves on \(\mathbb{P}^{2 "}\)
■ arXiv:1909.02992 "A proof of N.Takahashi's conjecture for \(\left(\mathbb{P}^{2}, E\right)\) and
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■ arXiv:1909.02985 "Scattering diagrams, stability conditions, and coherent sheaves on $\mathbb{P}^{2}$ "

- arXiv:1909.02992 "A proof of N.Takahashi's conjecture for ( $\mathbb{P}^{2}, E$ ) and a refined sheaves/Gromov-Witten correspondence"
- Closely related:
- arXiv:1808.07336 "Quantum mirrors of log Calabi-Yau surfaces and higher genus curve counting"
- arXiv:2009.02266 "Strong positivity for the skein algebras of the 4 -punctured sphere and of the 1 -punctured torus"


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- Focus on local $\mathbb{P}^{2}$ : total space of the canonical line bundle $\mathcal{O}_{\mathbb{P}^{2}}(-3)$ of $\mathbb{P}^{2}$, and the Nekrasov-Shatashvili limit of the refined topological string.
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- Derivation of the holomorphic anomaly equation on the open string side by some degeneration from the (unrefined) closed topological string on local $\mathbb{P}^{2}$. Corollary: a new formula relating the unrefined topological string and the NS limit of the refined topological string (proved for local $\mathbb{P}^{2}$, conjectured to hold at least for all local del Pezzo surfaces).


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- Genus $g$ amplitudes of the topological string are functions $F_{g}(t, \bar{t})$ on $M$, where $t$ and $\bar{t}$ are holomorphic and antiholomorphic coordinates on $M$.


## Holomorphic anomaly equation

- Naive arguments suggest that $F_{g}(t, \bar{t})$ is an holomorphic function on $M$ (independent of $\bar{t}$ ).
of genus $g$ Riemann surfaces is not compact, need to add (stable) nodal curves to get a compact moduli space. The holomorphic anomaly equation expresses the fact that the defect of holomorpy comes entirely from there. Schematically (without details of special


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$$
\bar{\partial} F_{g}=\frac{1}{2} \sum_{i=1}^{g-1}\left(D F_{i}\right)\left(D F_{g-i}\right)+\frac{1}{2} D^{2} F_{g-1}
$$

where $D$ is a first order differential operator and $D^{2}$ is a second order differential operator. [Bershadsky-Cecotti-Ooguri-Vafa, 1993]

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- The $A$-model of the quintic 3 -fold can be solved for $g \geq 51$ using the holomorphic anomaly equation and known/expected boundary conditions (large volume leading behavior, orbifold regularity, conifold gap, Castelnuovo bound). [Huang-Klemm-Quackenbush, 2006]


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- The $A$-model of some non-compact Calabi-Yau 3-folds, such as local $\mathbb{P}^{2}$, is uniquely determined by the holomorphic anomaly equation and known/expected boundary conditions (large volume leading behavior, orbifold regularity, conifold gap). [Aganagic- Bouchard-Klemm, 2006][Haghighat-Klemm-Rauch, 2008]


## Topological string: mathematics

Focus on the $A$-model.

- There does not exist yet a general definition mathematical definition of the "stringy complexified Kähler moduli space". When we know that $X$ is mirror to $Y$, it is the moduli space of complex structures on $Y$.


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- In particular, there does not exist yet a general mathematical definition of the genus $g$ amplitudes $F_{g}(t, \bar{t})$.
- What exists mathematically is the power expansion around the large volume point $t=+\infty$ of the holomorphic limit $F_{g}(t):=\lim _{\bar{t} \rightarrow 0} F_{g}(t, \bar{t})$ where $t$ is a linear coordinate on $H^{2}(X, \mathbb{C})$ : up to known perturbative terms,

$$
F_{g}(t)=\sum_{\beta \in H_{2}(X, \mathbb{Z})} N_{g, \beta} e^{-\int_{\beta} t}
$$

where $N_{g, \beta}$ is the "number of holomorphic curves in $X$ of genus $g$ and class $\beta^{\prime \prime}$, more precisely Gromov-Witten invariants.

## Topological string: mathematics

- The physics prediction of the existence of $F_{g}(t, \bar{t})$ implies that the mathematically defined series $F_{g}(t)$ should have non-zero radius of convergence and analytic continuation to the universal cover of $\mathcal{M}$, with quasi-modular-like transformations with respect to the action of the fundamental group.


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- The holomorphic anomaly equation for $F_{g}(t, \bar{t})$ translates into an equation describing $F_{g}(t)$ up to strictly modular ambiguities. [Yamaguchi-Yau, 2004][Aganagic-Bouchard-Klemm, 2006]


## Topological string: mathematical results

> Theorem (Conjecture of (Aganagic-Bouchard-Klemm, 2006), proofs: (Fang-Liu-Zong, 2016), (Lho-Pandharipande, 2017), (Coates-Iritani, 2018))

For $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$, the generating series $F_{g}(t)$ of Gromov-Witten invariants are (after the mirror map change of variables) quasi-modular forms for the group $\Gamma_{1}(3)$ and satisfy the holomorphic anomaly equation.

The topological vertex ([Aganagic-Klemm-Marino-Vafa, 2003], [Li-Liu-Liu-Zhou, 2004]) gives a way to compute $A$-model/Gromov-Witten invariants of toric Calabi-Yau 3-folds. However, it is not known how to deduce quasimodularity and holomorphic anomaly equation from the topological vertex.

## Topological string from M-theory

The genus $g A$-model amplitudes of a Calabi-Yau 3-fold compute $F$-terms in the low-energy effective action of Type IIA string theory on $\mathbb{R}^{1,3} \times X$. Using that the strong coupling limit of Type IIA string theory is $M$-theory, one obtains a $M$-theory description of the topological string [Gopakumar-Vafa, 1998]

$$
\sum_{g \geq 0} F_{g}(t) g_{s}^{2 g-2}=-\sum_{\beta \in H_{2}(X, \mathbb{Z})} \sum_{k \geq 1} \frac{1}{k} \frac{\operatorname{Tr}_{\mathcal{H}_{\beta}}\left[(-1)^{2\left(J_{L}+J_{R}\right)} q^{\left.2 k J_{L}\right]}\right.}{\left(q^{\frac{k}{2}}-q^{-\frac{k}{2}}\right)^{2}} e^{-k \int_{\beta} t}
$$

where $\mathcal{H}_{\beta}$ is the spaces of BPS states of M 2 branes of charge $\beta$, representation of the 5 d little group $S O(4) \sim S U(2)_{L} \times S U(2)_{R}$, and $q=e^{i g_{s}}$.

## Refined topological string

Let $X$ be a Calabi-Yau 3-fold with a $U(1)_{T}$ symmetry scaling non-trivially the holomorphic volume form, e.g. $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ with $U(1)_{T}$ rotating the fibers of the natural projection $\mathcal{O}_{\mathbb{P}^{2}}(-3) \rightarrow \mathbb{P}^{2}$. Define the refined topological string amplitudes $F_{g_{1}, g_{2}}(t)$ by

$$
\begin{gathered}
\sum_{g_{1}, g_{2} \geq 0} F_{g_{1}, g_{2}}(t)\left(\epsilon_{1}+\epsilon_{2}\right)^{2 g_{1}}\left(-\epsilon_{1} \epsilon_{2}\right)^{g_{2}-1} \\
:=\sum_{\beta \in H_{2}(X, \mathbb{Z})} \sum_{k \geq 1} \frac{1}{k} \frac{\operatorname{Tr}_{\mathcal{H}_{\beta}}\left((-1)^{2\left(J_{L}+J_{R}\right)} q_{L}^{2 k J_{L}} q_{R}^{2 k\left(J_{R}+I_{T}\right)}\right)}{\left(q_{1}^{\frac{k}{2}}-q_{1}^{-\frac{k}{2}}\right)\left(q_{2}^{\frac{k}{2}}-q_{2}^{-\frac{k}{2}}\right)} e^{-k \int_{\beta} t}
\end{gathered}
$$

where

$$
\begin{gathered}
q_{1}=e^{\epsilon_{1}}, q_{2}=e^{\epsilon_{2}}, q_{L}=e^{\epsilon_{L}}, q_{R}=e^{\epsilon_{R}}, \\
\epsilon_{R}=\frac{\epsilon_{1}+\epsilon_{2}}{2}, \epsilon_{L}=\frac{\epsilon_{1}-\epsilon_{2}}{2} .
\end{gathered}
$$

[Nekrasov, 2002][Hollowood-Iqbal-Vafa, 2003]

## Refined topological string

- Unrefined limit: $\epsilon_{1}=-\epsilon_{2}=i g_{s}$, so

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$\epsilon_{L}=i g_{s}, \epsilon_{R}=0, q_{L}=e^{i g_{s}}, q_{R}=1$, and so $F_{0, g}(t)=F_{g}(t)$.
- From the $M$-theory definition, one expects $F_{g_{1}, g_{2}}(t)$, a priori a formal power series in $Q=e^{-t}$, to be convergent and to come from a globally defined function $F_{g_{1}, g_{2}}(t, \bar{t})$ on the space $M$ of parameters.


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- No obvious worldsheet definition of $F_{g_{1}, g_{2}}(t, \bar{t})$, so no BCOV derivation for an holomorphic anomaly equation.
- Nevertheless, a refined holomorphic anomaly equation was guessed [Krefl-Walcher, 2010][Huang-Klemm, 2010]

$$
\bar{\partial} F_{g_{1}, g_{2}}=\frac{1}{2} \sum_{\substack{0 \leq j_{1} \leq g_{1} \\ 0 \leq j_{2} \leq g_{2} \\\left(j_{1}, j_{2}\right) \neq(0,0) \\\left(j_{1}, j_{2}\right) \neq\left(g_{1}, g_{2}\right)}}^{g-1}\left(D F_{j_{1}, j_{2}}\right)\left(D F_{\left(g_{1}-j_{1}, g_{2}-j_{2}\right)}\right)+\frac{1}{2} D^{2} F_{g_{1}, g_{2}-1}
$$

## Refined topological string

- The refined topological string of some non-compact Calabi-Yau 3 -folds, such as local $\mathbb{P}^{2}$, is uniquely determined by the refined holomorphic anomaly equation and known/expected boundary conditions (large volume leading behavior, orbifold regularity, conifold gap). [Huang-Klemm, 2010]


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- Numerical checks against gauge theoretic partition functions [Nekrasov, 2002] and the refined topological vertex [lqbal-Kozcaz-Vafa, 2007].


## Refined topological string: mathematics

The spaces of $M 2$-branes $\mathcal{H}_{\beta}$ can be defined as cohomology of moduli spaces of $D 2-D 0$ branes, i.e. moduli spaces of coherent sheaves on $X$ supported on curves, and the $S U(2)_{L} \times S U(2)_{R}$ action can be understood geometrically ([Gopakumar-Vafa, 1998], subtleties coming from the fact that these moduli spaces are singular [Hosono-Saito-Takahashi, 2001],[Kiem-Li, 2016],[Maulik-Toda, 2016]).

## Refined topological string: mathematics

$$
\begin{gathered}
\sum_{\substack{g_{1}, g_{2} \geq 0}} F_{g_{1}, g_{2}}(t)\left(\epsilon_{1}+\epsilon_{2}\right)^{2 g_{1}}\left(-\epsilon_{1} \epsilon_{2}\right)^{g_{2}-1} \\
:=\sum_{\beta \in H_{2}(X, \mathbb{Z})} \sum_{k \geq 1} \frac{1}{k} \frac{\operatorname{Tr}_{\mathcal{H}_{\beta}}\left((-1)^{2\left(J_{L}+J_{R}\right)} q_{L}^{2 k J_{L}} q_{R}^{2 k\left(J_{R}+I_{T}\right)}\right)}{\left(q_{1}^{\frac{k}{2}}-q_{1}^{-\frac{k}{2}}\right)\left(q_{2}^{\frac{k}{2}}-q_{2}^{-\frac{k}{2}}\right)} e^{-k \int_{\beta} t} \\
\bar{\partial} F_{g_{1}, g_{2}}=\frac{1}{2} \sum_{\substack{0 \leq j_{1} \leq g_{1} \\
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\left(j_{1}, j_{2}\right) \neq\left(g_{1}, g_{2}\right)}}^{g-1}\left(D F_{\left.j_{1}, j_{2}\right)}\right)\left(D F_{\left(g_{1}-j_{1}, g_{2}-j_{2}\right)}\right)+\frac{1}{2} D^{2} F_{g_{1}, g_{2}-1} .
\end{gathered}
$$

Main difficulty: the refined holomorphic anomaly equation is a recursion on $\left(g_{1}, g_{2}\right)$, but $F_{g_{1}, g_{2}}(t)$ is defined through the non-trivial change of variables $q_{1}=e^{\epsilon_{1}}$ and $q_{2}=e^{\epsilon_{2}}$. Without some geometric/worldsheet-like interpretation of the parameters $\left(g_{1}, g_{2}\right)$, it seems very difficult to prove anything. It is not known how to deduce quasimodularity and holomophic anomaly from the refined topological vertex for example.

## The Nekrasov-Shatashvili limit

- NS limit: $\epsilon_{1}=\hbar, \epsilon_{2}=0$, and so $\epsilon_{L}=\epsilon_{R}=\frac{\hbar}{2}$.

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- Denote $F_{g}^{N S}(t):=F_{g, 0}(t)$ and $y=e^{\hbar}$

$$
\sum_{g \geq 0} F_{g}^{N S}(t) \hbar^{2 g-1}=\sum_{\beta \in H_{2}(X, \mathbb{Z})} \sum_{k \geq 1} \frac{1}{k^{2}} \frac{\Omega_{\beta}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k \int_{\beta} t}
$$

where

$$
\Omega_{\beta}(y):=\operatorname{Tr}_{\mathcal{H}_{\beta}}\left((-1)^{2\left(J_{L}+J_{R}\right)} y^{J_{L}+J_{R}+I_{T}}\right) .
$$

Refined 4d BPS index for D2-D0 branes $\left(J_{L}+J_{R}\right.$ is the 4d diagonal $S U(2)$ in the $\left.5 d S U(2)_{L} \times S U(2)_{R}\right)$, (roughly) the Hirzebruch $\chi_{y}$-genus of the moduli space of D2-D0 branes.

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- Refined holomorphic equation in the NS limit (note: no loop term)

$$
\bar{\partial} F_{g}^{N S}=\frac{1}{2} \sum_{i=1}^{g-1}\left(D F_{i}^{N S}\right)\left(D F_{g-i}^{N S}\right)
$$

## Main result

## Theorem (Conjecture of (Huang-Klemm, 2010), proof: (B-Fan-Guo-Wu, 2020))

For $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$, the series $F_{g}^{N S}(t)$, defined in terms of Betti numbers of one-dimensional coherent sheaves on $\mathbb{P}^{2}$ ), are (after the mirror map change of variables) quasi-modular forms for the group $\Gamma_{1}(3)$ and satisfy the NS limit of the refined holomorphic anomaly equation.

## Precise definitions

$$
\begin{aligned}
& X=\mathcal{O}_{\mathbb{P}^{2}}(-3), H_{2}(X, \mathbb{Z})=H_{2}\left(\mathbb{P}^{2}, \mathbb{Z}\right)=\mathbb{Z} \\
& \sum_{g \geq 0} F_{g}^{N S}(t) \hbar^{2 g-1}=\sum_{d \geq 1} \sum_{k \geq 1} \frac{1}{k^{2}} \frac{\Omega_{d}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k d t}
\end{aligned}
$$

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- Moduli space $M_{d, \chi}$ of D2-D0 branes: (coarse) moduli space of semistable coherent sheaves $F$ on $\mathbb{P}^{2}$ supported on curves of degree $d$ and with $\chi(F)=\chi$. Very natural in algebraic geometry (Abel-Jacobi in family)[Simpson, 1990], [Le Potier, 1993].


## Precise definitions

$$
X=\mathcal{O}_{\mathbb{P}^{2}}(-3), H_{2}(X, \mathbb{Z})=H_{2}\left(\mathbb{P}^{2}, \mathbb{Z}\right)=\mathbb{Z}
$$

$$
\sum_{g \geq 0} F_{g}^{N S}(t) \hbar^{2 g-1}=\sum_{d \geq 1} \sum_{k \geq 1} \frac{1}{k^{2}} \frac{\Omega_{d}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k d t}
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- Define $\Omega_{d, \chi}(y)$ as the Poincaré polynomial of Betti numbers of $M_{d, \chi}$ for the intersection cohomology (physics: think about $L^{2}$-cohomology of the stable (smooth) locus. $M_{d, \chi}$ is smooth if $\operatorname{gcd}(d, \chi)=1$, singular in general.


## Precise definitions

## Conjecture

$\Omega_{d, \chi}$ only depends on $d$ and not on $\chi$.
We have $M_{d, \chi} \simeq M_{d, \chi+d}\left(F \mapsto F \otimes \mathcal{O}_{\mathbb{P}^{2}}(1)\right)$ and $M_{d, \chi} \simeq M_{d,-\chi}$ ( $F \mapsto F^{\vee}$ ) but $M_{d, \chi} \neq M_{d, \chi^{\prime}}$ if $d \geq 3$ and $\chi \neq \pm \chi^{\prime} \bmod d$.

## Theorem (B, 2019)

- $\Omega_{d, \chi}$ only depends on $d$ and on $\operatorname{gcd}(d, \chi)$.
- The full conjecture is true for $d \leq 4$.

Proof using Gromov-Witten theory of a basic result on topology of moduli space of sheaves.
Without knowing the general conjecture, we define $\Omega_{d}(y)$ by average:

$$
\Omega_{d}(y):=\frac{1}{d} \sum_{\chi} \Omega_{d, \chi}(y)
$$

## Proof strategy

$y=e^{\hbar}$

$$
\sum_{g \geq 0} F_{g}^{N S}(t) \hbar^{2 g-1}=\sum_{d \geq 1} \sum_{k \geq 1} \frac{1}{k^{2}} \frac{\Omega_{d}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k d t}
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## Theorem (B-Fan-Guo-Wu, 2020)

For $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$, the series $F_{g}^{N S}(t)$, defined in terms of Betti numbers of one-dimensional coherent sheaves on $\mathbb{P}^{2}$ ), are (after the mirror map change of variables) quasi-modular forms for the group $\Gamma_{1}(3)$ and satisfy the NS limit of the refined holomorphic anomaly equation.

We would like a genus $g$ worldsheet definition of $F_{g}^{N S}(t)$. This cannot be the unrefined topological string on $\mathcal{O}_{\mathbb{P}^{2}}(-3): F_{g}^{N S}(t) \neq F_{g}(t)$ for $g \geq 1$.
$y=e^{\hbar}$

$$
\sum_{g \geq 0} F_{g}^{N S}(t) \hbar^{2 g-1}=\sum_{d \geq 1} \sum_{k \geq 1} \frac{1}{k^{2}} \frac{\Omega_{d}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k d t}
$$

Take the derivative $d / d t$

$$
\sum_{g \geq 0} \frac{d}{d t} F_{g}^{N S} \hbar^{2 g-1}=-\sum_{d \geq 1} \sum_{k \geq 1} \frac{1}{k} \frac{d \Omega_{d}\left(y^{k}\right)}{y^{\frac{k}{2}}-y^{-\frac{k}{2}}} e^{-k d t}
$$

The derivative of the NS limit of the refined topological string looks exactly like an open topological string! [Ooguri-Vafa, 1999] Main claim: for $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ (and more generally local del Pezzo sufaces), we can find such open topological string.

Towards the open string geometry
complex projective plane, complex dimension 2, real dimension 4 Standard symplectic structure, $T^{2}$-Hamiltonian action leading to a toric description


## Towards the open string geometry

- $\mathbb{P}^{2}$ : complex projective plane, complex dimension 2 , real dimension 4. Standard symplectic structure, $T^{2}$-Hamiltonian action leading to a toric description.



## Towards the open string geometry

- $\mathbb{P}^{2}$ : complex projective plane, complex dimension 2 , real dimension 4. Standard symplectic structure, $T^{2}$-Hamiltonian action leading to a toric description.
- Moment map: : $\mathbb{P}^{2} \rightarrow \bar{P}$. Over the interior $P$ of $\bar{P},\left(\mathbb{C}^{*}\right)^{2} \rightarrow P$, Lagrangian $T^{2}$-fibration. $\left(\mathbb{C}^{*}\right)^{2}$ : complement of a triangle of lines in $\mathbb{P}^{2}$.


Towards the opens string geometry: $\left(\mathbb{P}^{2}, E\right)$

- Replace the triangle of lines by a smooth cubic E (genus one curve) and $\left(\mathbb{C}^{*}\right)^{2}$ by $U:=\mathbb{P}^{2}-E . U$ is a non-compact Calabi-Yau surface. Over the interior $B$ of $\bar{B}, U \rightarrow B$, Lagrangian $T^{2}$-fibration with 3 nodal singular fibers. Topological check:
$\chi_{\text {top }}(U)=\chi_{\text {top }}\left(\mathbb{P}^{2}\right)-\chi_{\text {top }}(E)=0$. In fact, there exists such special Lagrangian fibration. [Collins,Jacob,Lin, 2019]


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## Open topological string: Holomorphic curves in $\left(\mathbb{P}^{2}, E\right)$



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$$
\begin{aligned}
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& \downarrow{ }^{\downarrow} \downarrow
\end{aligned}
$$

## Open topological string: Holomorphic curves in $\left(\mathbb{P}^{2}, E\right)$

- Study holomorphic curves in $U$ with one boundary on a Lagrangian $T^{2}$-fiber.
- In fact $U$ is hyperkähler. Study the open topological string on the Calabi-Yau 3 -fold $Z$ obtained as the $\mathbb{C}^{*}$-twistor family of $U$, with brane $T_{b}^{2} \times \mathbb{R}$ : $N_{g, v}^{\text {open }}(b)$ "counts" of genus $g$ Riemanns surfaces in $Z$ with one boundary of class $v \in H_{1}\left(T_{b}^{2} \times \mathbb{R}, \mathbb{Z}\right) \simeq \mathbb{Z}^{2}$.


$$
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## Open topological string: Holomorphic curves in $\left(\mathbb{P}^{2}, E\right)$

- The open invariants $N_{g, v}^{\text {open }}(b)$ jump as a function of $b$ (wall-crossing) and are difficult to define rigorously (e.g. we are not in a toric situation)
- We provide a mathematically precise definition when $b$ is close to $E$ and $v \in H_{1}\left(T_{b}^{2}, \mathbb{Z}\right)$ is a multiple of the cycle $(1,0)$ collapsing on $E$. For open curves wrapping a cycle collapsing on $E$, close them by gluing a disc: get a closed holomorphic curve in $\mathbb{P}^{2}$ meeting $E$ in one point. Use relative Gromov-Witten theory to define $\mathbb{N}_{g,(d, 0)}^{o p e n} \in \mathbb{Q}$.



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## Open string interpretation of $F^{N S}$

## Theorem (B, 2019)

$$
\sum_{g \geq 0} \frac{d}{d t} F_{g}^{N S} \hbar^{2 g-1}=-\frac{1}{3} \sum_{g \geq 0} \sum_{d \geq 1}(-1)^{d-1} N_{g,(d, 0)}^{\text {open }} \hbar^{2 g-1} e^{-d t}
$$

In other words, the refined BPS counts $\Omega_{d}(y)$ of (closed) D2-D0 branes on $X=\mathcal{O}_{\mathbb{T}^{2}}(-3)$ are equal (up to simple factor) to Ooguri-Vafa open BPS counts on $Z=U \times \mathbb{C}^{*}=\left(\mathbb{P}^{2}-E\right) \times \mathbb{C}^{*}$.

# Open string interpretation of the BPS spectrum of $D 4-D 2$ - D0 branes of $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ 

> $\Omega_{d}(y)$ is the refined BPS count of D2-D0 branes on $X=\mathcal{O}_{\mathbb{P}_{2}}(-3)$ in the large volume limit. More generally, consider $\Omega_{d, r}(y)$ the refined BPS count of D4-D2-D0 branes in the large volume limit, where $r$ is the D4 charge, i.e. the rank of the coherent sheaf on $\mathbb{P}^{2}$ Assume that we have a definition of $N_{g,(d, r)}^{o p e n}$ for open curves wrapping general cycles $(d, r) \in H_{1}\left(T_{b}^{2}, \mathbb{Z}\right)$, not only the ones of class $(d, 0)$ which collapse to $E$.

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- It is NOT an example of MNOP-like GW-DT correspondence, but a new kind of coherent sheaves/open Gromov-Witten correspondence.
$U$ and a M5-brane wrapped on $T_{b}^{2}$. In IIA on $X$ the BPS spectrum is given by coherent sheaves on $X$. whereas in the $M$-theorv description the BPS spectrum is given by open M2-branes with boundary on $T^{2}$ Then, apply the twistorial description of [Cecotti-Vafa, 2009] to describe the onen M2-branes in terms of the onen tolonogical strin.


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- It is NOT an example of MNOP-like GW-DT correspondence, but a new kind of coherent sheaves/open Gromov-Witten correspondence.
- A dual description of IIA on $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ is given by a M-theory on $U$ and a M5-brane wrapped on $T_{b}^{2}$. In IIA on $X$ the BPS spectrum is given by coherent sheaves on $X$, whereas in the M -theory description, the BPS spectrum is given by open M2-branes with boundary on $T_{b}^{2}$. Then, apply the twistorial description of [Cecotti-Vafa, 2009] to describe the open M2-branes in terms of the open tolopogical string.


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- Suspension construction: $S$ Calabi-Yau 3-fold $u v=W$, fibration in affine conics over $V$, degenerate over $T^{2}$. Open special Lagrangians in $V$ lift to closed special Lagrangians in $S$.
- $S$ is the mirror of local $\mathbb{P}^{2}$ ! Apply homological mirror symmetry, get coherent sheaves on $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$.


## Chain of dualities

- Following the chain of dualities, the base $B$ of the Lagrangian torus fibration on $U$ becomes moduli space of complex moduli on $S$ and so should become the stringy Kähler moduli space of $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$
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- More precisely, $B$ is a $3: 1$ cover over the stringy Kähler moduli space of $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ : the 3 singular torus fibers correspond to the 3 lifts of the conifold point and the $\mathbb{Z} / 3$-orbifold point became smooth.


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- Even more general correspondence: open invariants $N_{g,(r, d)}^{o p e n}(b)$ with boundary on a general fiber $T_{b}^{2}$ should equal counts of BPS state $\Omega_{(d, r)}(y, b)$ with phase of the central charge equal to $\pi / 2$ at the point $b$ of the stringy Kähler moduli space.


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- Jump of $N_{g,(r, d)}^{\text {open }}(b)$ and $\Omega_{(d, r)}(y, b)$ : wall-crossing phenomenon for BPS spectrum of the $\mathcal{N}=24 d$ theories, determined by the Kontsevich-Soibelman wall-crossing formula.


## Proof

- Tropicalize the holomorphic curves in $U$ to graphs on the base $B$ of the $T^{2}$-fibration ( $g=0$ : Carl-Pumperla-Siebert, Prince, Gabele, $g>0$ : Bousseau), get a scattering diagram computing the Gromov-Witten invariants $N_{g,(d, r)}^{o p e n}(b)$ ("4d spectral network").
- Everything algorithmically reconstructed from the three initial discs emitted by the singularities. Combinatorial/tropical proof of the Kontsevich-Soibelman wall-crossing formula for the open invariants (argued physically by [Cecotti-Vafa, 2009] on the basis of the relation with quantum Chern-Simones theory).

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## Scattering diagram



- Main result (B. 2019): the scattering diagram can be embedded in the space of Bridgeland stability conditions on the derived category $D_{c}^{b} \operatorname{Coh}\left(K_{\mathbb{P} 2}\right)$, such that the rays correspond to stability conditions for which there exists stable objects of phase $\pi / 2$. Use coordinates on the stringy Kähler moduli space given by the real part of the central charges. Similar to attractor flows in supergravity [Denef,2001][Denef, Moore,2007]
- One key technical point: one needs to know that the stringy Kähler moduli space given by mirror symmetry indeed produces Bridgeland stability conditions on the derived category [Bayer-Macri, 2009]
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- Need to consider D4 even if only interested in D2-D0: going away from large volume, D2-D0 decay in $D 4-\overline{D 4}$.


## Remarks

> (Prince) Triangles in the scattering diagrams are indexed by Markov triples (integer solutions of $x^{2}+y^{2}+z^{2}=3 x y z$ ). Discs potential associated to Vianna's monotone tori can be extracted from the scattering diagram.
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- (B.) Viewing the scattering diagrams as living in the space of stability conditions, the sides of the triangles correspond exactly to triples of exceptional objects in the derived category of coherent sheaves on $\mathbb{P}^{2}$.
- The previous story provides an explanation for the common appearance of the Markov triples in a priori two distinct topics:
- Lagrangians, discs counting, cluster mutations, mirror symmetry for $\mathbb{P}^{2}$ (more precisely $\mathbb{P}^{2}-E$ )
- Exceptional objects in the derived category of coherent sheaves on $\mathbb{P}^{2}$.


## Open string interpretation of $F^{N S}$

I have sketched above the proof of:
Theorem (B, 2019)

$$
\sum_{g \geq 0} \frac{d}{d t} F_{g}^{N S} \hbar^{2 g-1}=-\frac{1}{3} \sum_{g \geq 0} \sum_{d \geq 1}(-1)^{d-1} N_{g,(d, 0)}^{\text {open }} \hbar^{2 g-1} e^{-d t}
$$

In other words, the refined BPS counts $\Omega_{d}(y)$ of (closed) D2-D0 branes on $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ are equal (up to simple factor) to Ooguri-Vafa open BPS counts on $Z=U \times \mathbb{C}^{*}=\left(\mathbb{P}^{2}-E\right) \times \mathbb{C}^{*}$.

To prove the quasimodularity and refined holomorphic equation for $F_{g}^{N S}$, it remains to work on the open topological string side. There is some hope because the $\hbar$-expansion is now the geometric genus expansion of the topological string.

## Modularity from the Gromov-Witten side (with Fan, Guo, Wu, 2020)



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- Degeneration argument. Degeneration of $\mathbb{P}^{2}$ to the normal cone of $E$. Line bundle defined by the family of divisors $E$. General fiber: $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)=\mathcal{O}(-E)$. Special fiber: $\mathbb{P}^{2} \times \mathbb{A}^{1}$, glued along $E \times \mathbb{C}^{1}$ to a non-trivial line bundle over $\mathbb{P}\left(N_{E \mid \mathbb{P}^{2}} \oplus \mathcal{O}\right)$.


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- Localization on the bubble $\mathbb{P}\left(N_{E \mid \mathbb{P}^{2}} \oplus \mathcal{O}\right)$ : reduction to equivariant Gromov-Witten theory of $N_{E \mid \mathbb{P}^{2}} \oplus N_{E \mid \mathbb{P}^{2}}^{\vee} \rightarrow E$ with stationary descendent insertions.


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- Use Grothendieck-Riemann-Roch (in Coates-Givental form) to reduce to Gromov-Witten theory of $E$ with stationary descendent insertions.


## Modularity from the Gromov-Witten side (with Fan, Guo, Wu, 2020)



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- Upshot: formula computing open Gromov-Witten invariants $N_{g,(d, 0)}^{\text {open }}$ of $\left(\mathbb{P}^{2}, E\right)$ in terms of Gromov-Witten invariants of $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ and the elliptic curve $E$.


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$$
\sum_{\substack{n \geq 0}}^{\left.\sum_{\substack{g=h+g_{1}+\ldots+g_{n}, \mathbf{a}=\left(a_{1}, \ldots, a_{n} \in \mathbb{Z}_{\geq 0}^{n} \\\left(a_{j}, g_{j}\right) \neq(0,0), \sum_{j=1}^{n} a_{j}=2 h-2\right.}} \frac{(-1)^{g} F_{g}^{N S}+}{|\operatorname{Aut}(\mathbf{a}, \mathbf{g})|}\right]}
$$

- $F_{h, \mathbf{a}}^{E}$ : Gromov-Witten theory of $E$ with stationary descendent insertions, known in closed form by [Okounkov-Pandharipande, 2002]
- New formula relating the unrefined topological string $F_{g}$ and the NS limit $F_{g}^{N S}$ of the refined topological string (conjecturally valid at least for all local del Pezzo surfaces).


## Modularity from the Gromov-Witten side (with Fan, Guo, Wu, 2020)

> - Use quasimodularity [Okounkov-Pandharipande, 2003] and holomorphic anomaly equation [Oberdieck-Pixton 2017] for Gromov-Witten invariants of the elliptic curve
> - Use quasimodularity and holomorphic anomaly equation for Gromov-Witten invariants of $X=\mathcal{O}_{\mathbb{P}^{2}}(-3)$ [Fang-Liu-Zhong, 2016] [Lho-Pandharipande,2017][Coates-Iritani, 2018]

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- Slightly miraculous combination of these modularity results gives the desired result (the $S L(2, \mathbb{Z})$ quasimodularity of the elliptic curve needs to become $\Gamma_{1}(3)$ quasimodularity after mirror map).

Thank you for your attention!

