

# On the Strength of Fraïssés conjecture..

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December 2008

# The embeddability relation on Linear Orderings

A **linear ordering** (a.k.a. total ordering) is a structure  $\mathcal{L} = (L, \leq)$ , where  $\leq$  is a transitive, reflexive, antisymmetric and  $\forall x, y (x \leq y \vee y \leq x)$ .

A linear ordering  $\mathcal{A}$  **embeds** into another linear ordering  $\mathcal{B}$  if  $\mathcal{A}$  is isomorphic to a subset of  $\mathcal{B}$ . We write  $\mathcal{A} \preceq \mathcal{B}$ .

$\mathcal{A}$  and  $\mathcal{B}$  are **equimorphic** if  $\mathcal{A} \preceq \mathcal{B}$  and  $\mathcal{B} \preceq \mathcal{A}$ . We denote this by  $\mathcal{A} \sim \mathcal{B}$ .

We are interested in properties of linear orderings that are preserved under equimorphisms, of course, from a logic viewpoint.

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- 1 Equimorphism types of Linear Orderings
- 2 Computable Mathematics
- 3 Reverse Mathematics
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# Hausdorff rank

## Definition:

- Given a l.o.  $\mathcal{L}$ , we define another l.o.  $\mathcal{L}'$  by identifying the elements of  $\mathcal{L}$  which have finitely many elements in between.
- Then we define  $\mathcal{L}^0 = \mathcal{L}$ ,  $\mathcal{L}^{\alpha+1} = (\mathcal{L}^\alpha)'$ , and take direct limits when  $\alpha$  is a limit ordinal.
- $\text{rk}(\mathcal{L})$ , the Hausdorff rank of  $\mathcal{L}$ , is the least  $\alpha$  such that  $\mathcal{L}^\alpha$  is finite.

**Examples:**  $\text{rk}(\mathbb{N}) = \text{rk}(\mathbb{Z}) = 1$ ,  $\text{rk}(\mathbb{Z} + \mathbb{Z} + \mathbb{Z} + \cdots) = 2$ ,  
 $\text{rk}(\omega^\alpha) = \alpha$ ,  $\text{rk}(\mathbb{Q}) = \infty$ .

If  $\mathcal{A} \preceq \mathcal{B}$ , then  $\text{rk}(\mathcal{A}) \leq \text{rk}(\mathcal{B})$ . So,  $\mathcal{A} \sim \mathcal{B} \Rightarrow \text{rk}(\mathcal{A}) = \text{rk}(\mathcal{B})$

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## Scattered and Indecomposable linear orderings

Two other properties are preserved under equimorphism:

**Definition:**  $\mathcal{L}$  is **scattered** if  $\mathbb{Q} \not\preceq \mathcal{L}$ .

**Observation:** A linear ordering  $\mathcal{L}$  is scattered

$\Leftrightarrow$  for some  $\alpha$ ,  $\mathcal{L}^\alpha$  is finite

$\Leftrightarrow \text{rk}(\mathcal{L}) \neq \infty$ .

**Definition:**  $\mathcal{L}$  is **indecomposable** if whenever

$\mathcal{L} \preceq \mathcal{A} + \mathcal{B}$ , either  $\mathcal{L} \preceq \mathcal{A}$  or  $\mathcal{L} \preceq \mathcal{B}$ .

**Example:**  $\omega$ ,  $\omega^*$ ,  $\omega^2$  are indecomposable.  $\mathbb{Z}$  is not.

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## The structure of the scattered linear orderings

**Theorem:** [Laver '71] Every scattered linear ordering can be written as a **finite sum** of indecomposable ones.

**Theorem:** [Fraïssé's Conjecture '48; Laver '71]  
Every ctble. indecomposable linear ordering can be written as either an  $\omega$ -sum or an  $\omega^*$ -sum of indecomposable l.o. of smaller rank.

**Theorem:** [Fraïssé's Conjecture '48; Laver '71]  
The scattered linear orderings form a well-quasi-ordering with respect to embeddability.  
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## Up to equimorphism, hyperarithmetic is computable.

**Obs:** If  $\alpha$  is an ordinal and  $\mathcal{L} \sim \alpha$ , then  $\mathcal{L}$  is isomorphic to  $\alpha$ .

**Proof:**  $\mathcal{L} \preceq \alpha \Rightarrow \mathcal{L}$  is an ordinal and  $\mathcal{L} \leq \alpha$ .

$\alpha \preceq \mathcal{L} \Rightarrow \alpha \leq \mathcal{L}$  and hence  $\mathcal{L} \cong \alpha$ .

### Theorem

*Every hyperarithmetic linear ordering is equimorphic to a computable one.*

### Lemma

- *Every hyperarithmetic scattered l.o. has rank  $< \omega_1^{\text{CK}}$ .*
- *If  $\text{rk}(\mathcal{L}) < \omega_1^{\text{CK}}$  then  $\mathcal{L}$  is equimorphic to a computable l.o.*

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## Equimorphism types

**Definition:** Let  $\mathbb{L}$  be the partial ordering of equimorphism types of countable linear orderings, ordered by embeddability.

Let  $\mathbb{L}_\alpha$  be the restriction of  $\mathbb{L}$  to the linear orderings of rank  $< \alpha$ .

### Theorem

*For every ordinal  $\alpha$ ,*

*$\mathbb{L}_\alpha$  is computably presentable  $\Leftrightarrow \alpha < \omega_1^{\text{CK}}$ .*

Furthermore, a primitive recursive presentation of  $\mathbb{L}_\alpha$  can be computed uniformly from  $\alpha < \omega_1^{\text{CK}}$ .

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# Fraïssé's Conjecture

**Theorem** [Fraïssé's Conjecture '48; Laver '71]

**FRA:** The countable linear orderings form a  
WQO with respect to embeddability.  
(i.e., there are no infinite descending sequences  
and no infinite antichains.)

**Obs:**  $\Pi_2^1\text{-CA}_0 \vdash \text{FRA}$ . By Laver's original proof.

**Obs:**  $\text{FRA} \not\Rightarrow \Pi_2^1\text{-CA}_0$ . Because no true  $\Pi_2^1$  statement does.

**Theorem**[Shore '93]  $\text{FRA} \Rightarrow \text{ATR}_0$  over  $\text{RCA}_0$ .

Furthermore, the statement

"countable well-orderings form a WQO under embeddability"  
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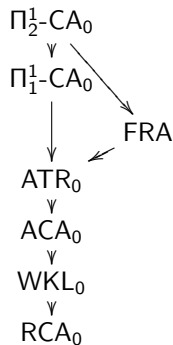
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**Conjecture:**[Clote '90][Simpson '99][Marcone]  
FRA is equivalent to  $ATR_0$  over  $RCA_0$ .



## Fraïssé's conjecture again.

### Claim

*$RCA_0 + FRA$  is the least system where it is possible to develop a reasonable theory of equimorphism types of linear orderings.*

### Theorem

*The following are equivalent over  $RCA_0$*

- *FRA;*
- *Every scattered lin. ord. is a finite sum of indecomposables;*
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## A Partition theorem

**Theorem:**[Folklore] If we color  $\mathbb{Q}$  with finitely many colors, there exists an embedding  $\mathbb{Q} \rightarrow \mathbb{Q}$  whose image has only one color.

**Theorem:**[Laver '72]

For every ctble  $\mathcal{L}$ , there exists  $n_{\mathcal{L}} \in \mathbb{N}$ , such that:

if  $\mathcal{L}$  is colored with finitely many colors, there is an embedding  $\mathcal{L} \rightarrow \mathcal{L}$  whose image has at most  $n_{\mathcal{L}}$  many colors.

Theorem

*FRA is implied by Laver's Theorem above over  $RCA_0$ .*

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# Robust Systems

FRA is a *Robust* system, as the big five, in the sense that small modifications of it are equivalent to it.

## Better quasi orderings

**Thm:**[Laver 71] The scattered linear orderings form a  
*Better quasi ordering* under embeddability.

The notion of *Better-quasi-ordering* is stronger than WQO,  
and enjoys more closer properties.

Marcone studied the reverse mathematics of FRA though the  
study of Better-quasi-orderings.

For instance he showed that

if  $\text{ATR}_0 \vdash \text{FRA}$ , it would need a completely new proof,  
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# Well-quasi-orderings

**Definition:** A *well-quasi-ordering* (*wqo*), is quasi-ordering which has no infinite descending sequences and no infinite antichains.

**Example:** The following sets are WQO under an embeddability relation:

- finite strings over a finite alphabet [Higman 52];
- finite trees [Kruskal 60],
- labeled transfinite sequences with finite labels [Nash-Williams 65];
- scattered linear orderings [Laver 71];
- finite graphs [Robertson, Seymour 04].



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# Length

**Obs:** Every linearization of a wpo is well-ordered.

(A *linearization* of  $(P, \leq_P)$  is a **linear ordering**  $\leq_L$  of  $P$

such that  $x \leq_P y \Rightarrow x \leq_L y$ .)

**Definition:** The *length* of  $\mathcal{W} = (W, \leq_W)$  is

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**Theorem:** [Kruskal 60] Let  $\mathcal{T}$  be the set of finite trees ordered by  $T \preceq S$  if there is an embedding  $f : T \rightarrow S$  preserving  $\leq$  and *g.l.b.* Then  $\mathcal{T}$  is a WQO.

**Theorem:** [Friedman] The length of  $\mathcal{T}$  is  $\geq \Gamma_0$ .  
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## Maximal order types

**Theorem:** [De Jongh, Parikh 77]

Every wpo  $\mathcal{W}$  has a linearization of order type  $o(\mathcal{W})$ .

We call such a linearization, a *maximal linearization* of  $\mathcal{W}$ .

This is why  $o(\mathcal{W})$  is often called the *maximal order type* of  $\mathcal{W}$ .

Such linearizations have been found in many of the examples, always by different methods.

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**Q:** Is the length, or maximal order type, of a computable wpo, computable?

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# A computable maximal linearization

## Theorem

*Every computable wpo has a computable maximal linearization.*

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*Let  $a$  be a Turing degree. TFAE:*

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**Def:** Let  $\mathbb{L}_\alpha$  be the partial ordering of linear orderings of Hausdorff rank  $< \alpha$ , modulo equimorphism.

For countable  $\alpha$ ,  $\mathbb{L}_\alpha$  is countable

For computable  $\alpha$ ,  $(\mathbb{L}_\alpha, \preceq)$  is computably presentable

**Obs:** FRA is equivalent to “ $\forall$  ordinal  $\alpha$  ( $\mathbb{L}_\alpha$  is WQO)”.

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## Finite Hausdorff rank

Theorem ([Marcone, M 08])

*The length of  $\mathbb{L}_\omega$  is  $\epsilon_{\epsilon_{\dots}}$ ,  
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**Def:**  $\text{ACA}^+$  is the system  $\text{RCA}_0 + \forall X (X^{(\omega)} \text{ exists})$ .

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# A Conjecture

## Conjecture:

The following are equivalent:

- $\text{ATR}_0 \not\equiv \text{FRA}$
- There exists  $\alpha < \Gamma_0$ , s.t.  $\text{length}(\mathbb{L}_\alpha) \geq \Gamma_0$ .